

**UNITED STATES HOUSE OF REPRESENTATIVES**  
**2018 FINANCIAL DISCLOSURE STATEMENT**

**Form A**  
For Use by Members, Officers, and Employees

Name: **DAVID PHILLIP ROE**

Daytime Telephone: \_\_\_\_\_

*ML* 2019 *11/12* on *AM* 9:23  
LEGISLATIVE RESOURCE CENTER  
A \$200 penalty shall be assessed against any individual who files more than 30 days late.

<b>FILER STATUS</b>	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>TN</u> District: <u>01</u>	<input type="checkbox"/> Officer or Employee	Employing Office: _____	Staff Filer Type: (if Applicable) Shared <input type="checkbox"/> Principal Assistant <input type="checkbox"/>
<b>REPORT TYPE</b>	<input checked="" type="checkbox"/> 2018 Annual (Due: May 15, 2019)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Date of Termination: _____	

**PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS**

<b>A.</b> Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <u>or</u> b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	<b>F.</b> Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>B.</b> Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	<b>G.</b> Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$390 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>C.</b> Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	<b>H.</b> Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$390 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>D.</b> Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	<b>I.</b> Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>E.</b> Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	<b>ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"</b>	

**IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS**

<b>IPO</b> - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>TRUSTS</b> - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>EXEMPTION</b> - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

## Page \_\_\_\_ of \_\_\_\_

**Use additional sheets if more space is required**

Name: DAVID PHILLIP ROE	Page _____ of _____
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**Use additional sheets if more space is required**

## Page \_\_\_\_ of \_\_\_\_

**Use additional sheets if more space is required.**

Name: **DAVID PHILLIP ROE**

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# SCHEDULE A - ASSETS & "UNEARNED INCOME"

Name: DAVID PHILLIP ROE

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	EFF	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E
			None	\$1-\$1,000	\$1,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Spouse/DC Asset over \$1,000,000*									None	\$1-\$200	\$201-\$1,000	\$1,001-\$2,500	\$2,501-\$5,000	\$5,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$1,000,000	\$1,000,001-\$5,000,000	Over \$5,000,000	Spouse/DC Asset with Income over \$1,000,000*	
SP	UBS - DRJ MARITAL SHARE TWO TRUST														X		X																			SEE ATTACHED SCHEDULE #11
SP	UBS - DRJ SWING SHARE ONE TRUST														X		X																			SEE ATTACHED SCHEDULE #12
	UBS - DPR MD / CPR JOINT ACCT							X									X									X										SEE ATTACHED SCHEDULE #13
	RESOURCE MGMT ACCT																																			

# SCHEDULE B - TRANSACTIONS

Name: DAVID PHILLIP ROE

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SP, DC, JT		Asset	Type of Transaction				Check Box if Capital Gain Exceeded \$200	Date (MO/DA/YR) or Quarterly, Monthly, or Bi- weekly, if applicable	Amount of Transaction										
			Purchase	Sale	Partial Sale	Exchange			A \$1,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Asset)
SP	Example	Mega Corp. Stock			X		X	3/9/18		X									
		SALE OF FRISCO, CO CONDO		X			X	6/21/18			X								
		ML IRA						2018		SEE SCHEDULE #2									
		ML ALT INVESTMENT						2018		SEE SCHEDULE #3									
		ML ADVISORY IRA						2018		SEE SCHEDULE #4									
		UBS - CPJ LIVING TRUST						2019		SEE SCHEDULE #5									
		UBS - CLARINDA IRA						2019		SEE SCHEDULE #6									
		UBS - CPJ LIVING TRUST BLACKROCK						2019		SEE SCHEDULE #7									
		UBS - JEANES INVESTMENT LLC						2019		SEE SCHEDULE #8									
		UBS - CPJ IRREV INHERITANCE TRUST						2019		SEE SCHEDULE #9									
		UBS - DRJ EXEMPT FAMILY SHARE TRUST						2019		SEE SCHEDULE #10									
		UBS - DRJ MARITAL SHARE TWO TRUST						2019		SEE SCHEDULE #11									
		UBS - DRJ SWING SHARE ONE TRUST						2019		SEE SCHEDULE #12									
		UBS - DAVID P ROE MD CLARINDA P ROE JT WROS						2019		SEE SCHEDULE #13									

Use additional sheets if more space is required.



# SCHEDULE D - LIABILITIES

Name: DAVID PHILLIP ROE

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. **Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. \*Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A \$10,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000	K Over \$1,000,000* (Spouse/DC Liability)
Example	First Bank of Wilmington, DE	5/16	Mortgage on Rental Property, Dover, DE				X							
	JP MORGAN CHASE BANK		MORTGAGE (FRISCO CONDO)			X								
			RENTAL PROPERTY											
			(SOLD FRISCO CONDO JUNE 2018)											

# SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization

Use additional sheets if more space is required.

# **SCHEDULE F – AGREEMENTS**

Name: **DAVID PHILLIP ROE**

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Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement

# **SCHEDULE G – GIFTS**

Report the source (by name), a brief description, and the value of all gifts totaling more than \$390 received by you, your spouse, or your dependent child from any source during the year. **Exclude:** Gifts from relatives, gifts of personal hospitality from an individual (which may not include a registered lobbyist or foreign agent), local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$156 or less need not be added towards the \$390 disclosure threshold. **Note:** The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule and some gifts require prior approval of the Committee on Ethics.

Source		Description	Value
Example:	Mr. Joseph Smith, Arlington, VA	Silver Platter (prior determination of personal friendship received from the Committee on Ethics)	\$400

Use additional sheets if more space is required.



**SCHEDULE I - PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA**

**Name:** DAVID PHILLIP ROE

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List the source, activity (*i.e.*, speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of paying an honorarium to you. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics.

[illegible]

**Use additional sheets if more space is required.**

**FILER NOTES**  
(Optional)

**Name: DAVID PHILLIP ROE**

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**Use additional sheets if more space is required.**

## **SCHEDULE 1**



State Of Franklin Healthcare  
Associates, PLLC 401(k)

DAVIN B RNC

JUNESBOROUGH, IN 46050

## Retirement Savings Statement

Customer Service: (800) 294-4015  
Fidelity Brokerage Services LLC  
900 Salem Street, Smithfield, RI 02917

### Your Account Summary

Statement Period: 12/01/2018 to 12/31/2018

Beginning Balance	\$440,937.00
Change in Market Value	-\$28,672.74
<b>Ending Balance</b>	<b>\$412,264.26</b>
<b>Additional Information</b>	
Vested Balance	\$412,264.26
Dividends & Interest	\$17,406.74

### Your Personal Rate of Return

This Period **-6.6%**

Your Personal Rate of Return is calculated with a time-weighted formula, widely used by financial analysts to calculate investment earnings. It reflects the results of your investment selections as well as any activity in the plan account(s) shown. There are other Personal Rate of Return formulas used that may yield different results. Remember that past performance is no guarantee of future results.

### Your Asset Allocation

Statement Period: 12/01/2018 to 12/31/2018



- 81.23% Stock: \$334,876.26
- 10.67% Bond: \$43,992.40
- 8.10% Short Term: \$33,395.58

Your account is allocated among the asset classes specified above as of 12/31/2018. Percentages and totals may not be exact due to rounding.

### Market Value of Your Account

Statement Period: 12/01/2018 to 12/31/2018

Displayed in this section is the value of your account for the statement period, in both shares and dollars.

Investment	Shares as of 11/30/2018	Shares as of 12/31/2018	Price as of 11/30/2018	Price as of 12/31/2018	Market Value as of 11/30/2018	Market Value as of 12/31/2018
<b>Stock</b>					<b>\$334,384.62</b>	<b>\$334,876.26</b>
International						
FA Int Discovery Z	2,051.721	2,131.847	\$40.05	\$36.33	\$82,171.42	\$77,442.73
Small Cap						
FID SM Cap Idx	196.271	204.930	\$20.42	\$17.24	\$4,007.86	\$3,533.00
Mid-Cap						
J H Enterprise N	22.814	24.076	\$128.16	\$110.46	\$2,696.66	\$2,659.82
Large Cap						
FID 500 Index	1,466.291	1,482.304	\$96.79	\$87.10	\$141,922.31	\$129,106.68
FA NEW Heights Z	4,065.451	4,486.768	\$32.86	\$27.16	\$133,363.76	\$122,131.95
<b>Bond</b>					<b>\$43,212.21</b>	<b>\$43,992.40</b>
JPM Govt Bond RS	4,261.560	4,271.106	\$10.14	\$10.30	\$43,212.21	\$43,992.40
<b>Short Term</b>					<b>\$33,340.77</b>	<b>\$33,395.58</b>
FID Govt Mkdt	33,340.770	33,395.580	\$1.00	\$1.00	\$33,340.77	\$33,395.58
<b>Account Totals</b>					<b>\$440,937.00</b>	<b>\$412,264.26</b>

Remember that a dividend payment to fund shareholders reduces the share price of the fund, so a decrease in the share price for the statement period does not necessarily reflect lower fund performance.

Please refer to NetBenefits and other Plan information, such as your SPD, for a description of your right to direct investments under the Plan. For information on any plan restrictions on those rights, please contact your benefits office.

To help achieve long-term retirement security, you should give careful consideration to the benefits of a well-balanced and diversified investment portfolio. Spreading your assets among different types of investments can help you achieve a favorable rate of return, while minimizing your overall risk of losing money. This is because market or other economic conditions that cause one category of assets, or one particular security, to perform very well often cause another asset category, or another particular security, to perform poorly. If you invest more than 20% of your retirement savings in any one company or industry, your savings may not be properly diversified. Although diversification is not a guarantee against loss, it is an effective strategy to help you manage investment risk.

In deciding how to invest your retirement savings, you should take into account all of your assets, including any retirement savings outside of the Plan. No single approach is right for everyone because, among other factors, individuals have different financial goals, different time horizons for meeting their goals, and different tolerances for risk. It is also important to periodically review your investment portfolio, your investment objectives, and the investment options under the Plan to help ensure that your retirement savings will meet your retirement goals. Visit the Dept of Labor website [www.dol.gov/agencies/ebsa/laws-and-regulations/laws/pension-protection-act/investing-and-diversification](http://www.dol.gov/agencies/ebsa/laws-and-regulations/laws/pension-protection-act/investing-and-diversification) for information on individual investing and diversification.

Employer contributions under this Plan may be integrated with Social Security contributions made on your behalf by your employer. This is often called "permitted disparity". See your Summary Plan description for more details.

Some of the administrative services performed for the Plan were underwritten from the total operating expenses of the Plan's investment options.

## Your Contribution Elections as of

As of 05/17/2019

This section displays the funds in which your future contributions will be invested.

### Your Current Investment Elections as of 05/17/2019

Source Group:

Includes: EMPLOYEE DEFERRAL, EMPLOYER MATCH, EMPLOYER DISCRETIONARY, QUALIFIED DISCRETIONARY, PRIOR PROFIT SHARING, SAFE HARBOR NON-ELECTIVE, EMPLOYEE DOLLAR DEFERRAL, ROTH DEFERRAL, ROTH DOLLAR DEFERRAL, ROTH IN-PLAN CONVERSION

Any change to the source group below will apply across all the sources within the source group. Plan rules require that all sources within a source group be invested in the same investment options with the same percentages.

#### EMPLOYEE DEFERRAL

Investment Option	Current %
<b>Stock Investments</b>	
LARGE CAP	
FA NEW INSIGHTS Z	25%
FID 500 INDEX	25%
INTERNATIONAL	
FA INTL DISCOVERY Z	20%
<b>Bond Investments</b>	
JPM GOVT BOND R6	10%
<b>Short-Term Investments</b>	
FID GOVT MMKT	20%
<b>Total</b>	<b>100%</b>

#### EMPLOYER MATCH

Investment Option	Current %
<b>Stock Investments</b>	
LARGE CAP	
FA NEW INSIGHTS Z	25%
FID 500 INDEX	25%
INTERNATIONAL	
FA INTL DISCOVERY Z	20%

<b>Bond Investments</b>	
JPM GOVT BOND R6	10%
<b>Short-Term Investments</b>	
FID GOVT MMKT	20%
<b>Total</b>	<b>100%</b>

<b>Investment Option</b>	<b>Current %</b>
<b>Stock Investments</b>	
LARGE CAP	
FA NEW INSIGHTS Z	25%
FID 500 INDEX	25%
INTERNATIONAL	
FA INTL DISCOVERY Z	20%

<b>Bond Investments</b>	
JPM GOVT BOND R6	10%
<b>Short-Term Investments</b>	
FID GOVT MMKT	20%
<b>Total</b>	<b>100%</b>

<b>Investment Option</b>	<b>Current %</b>
<b>Stock Investments</b>	
LARGE CAP	
FA NEW INSIGHTS Z	25%
FID 500 INDEX	25%
INTERNATIONAL	
FA INTL DISCOVERY Z	20%

<b>Bond Investments</b>	
JPM GOVT BOND R6	10%
<b>Short-Term Investments</b>	
FID GOVT MMKT	20%
<b>Total</b>	<b>100%</b>

<b>Investment Option</b>	<b>Current %</b>
<b>Stock Investments</b>	
LARGE CAP	
FA NEW INSIGHTS Z	25%
FID 500 INDEX	25%
INTERNATIONAL	
FA INTL DISCOVERY Z	20%

<b>Bond Investments</b>	
JPM GOVT BOND R6	10%
<b>Short-Term Investments</b>	
FID GOVT MMKT	20%

<b>Total</b>	<b>100%</b>
--------------	-------------

<b>Investment Option</b>	<b>Current %</b>
--------------------------	------------------

**Stock Investments**

LARGE CAP

FA NEW INSIGHTS Z	25%
-------------------	-----

FID 500 INDEX	25%
---------------	-----

INTERNATIONAL

FA INTL DISCOVERY Z	20%
---------------------	-----

**Bond Investments**

JPM GOVT BOND R6	10%
------------------	-----

**Short-Term Investments**

FID GOVT MMKT	20%
---------------	-----

<b>Total</b>	<b>100%</b>
--------------	-------------

<b>Investment Option</b>	<b>Current %</b>
--------------------------	------------------

**Blended Investments**

FA FREEDOM INC I	100%
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<b>Total</b>	<b>100%</b>
--------------	-------------

<b>Investment Option</b>	<b>Current %</b>
--------------------------	------------------

**Stock Investments**

LARGE CAP

FA NEW INSIGHTS Z	25%
-------------------	-----

FID 500 INDEX	25%
---------------	-----

INTERNATIONAL

FA INTL DISCOVERY Z	20%
---------------------	-----

**Bond Investments**

JPM GOVT BOND R6	10%
------------------	-----

**Short-Term Investments**

FID GOVT MMKT	20%
---------------	-----

<b>Total</b>	<b>100%</b>
--------------	-------------

<b>Investment Option</b>	<b>Current %</b>
--------------------------	------------------

**Stock Investments**

LARGE CAP

FA NEW INSIGHTS Z	25%
-------------------	-----

FID 500 INDEX	25%
---------------	-----

INTERNATIONAL

FA INTL DISCOVERY Z	20%
---------------------	-----

**Bond Investments**

JPM GOVT BOND R6	10%
------------------	-----

**Short-Term Investments**

FID GOVT MMKT	20%
---------------	-----

<b>Total</b>	<b>100%</b>
--------------	-------------

<b>Investment Option</b>	<b>Current %</b>
--------------------------	------------------

**Stock Investments**

LARGE CAP

FA NEW INSIGHTS Z 25%

FID 500 INDEX 25%

INTERNATIONAL

FA INTL DISCOVERY Z 20%

**Bond Investments**

JPM GOVT BOND R6 10%

**Short-Term Investments**

FID GOVT MMKT 20%

<b>Total</b>	<b>100%</b>
--------------	-------------

<b>Investment Option</b>	<b>Current %</b>
--------------------------	------------------

**Stock Investments**

LARGE CAP

FA NEW INSIGHTS Z 25%

FID 500 INDEX 25%

INTERNATIONAL

FA INTL DISCOVERY Z 20%

**Bond Investments**

JPM GOVT BOND R6 10%

**Short-Term Investments**

FID GOVT MMKT 20%

<b>Total</b>	<b>100%</b>
--------------	-------------

<b>Investment Option</b>	<b>Current %</b>
--------------------------	------------------

**Stock Investments**

LARGE CAP

FA NEW INSIGHTS Z 25%

FID 500 INDEX 25%

INTERNATIONAL

FA INTL DISCOVERY Z 20%

**Bond Investments**

JPM GOVT BOND R6 10%

**Short-Term Investments**

FID GOVT MMKT 20%

<b>Total</b>	<b>100%</b>
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## RITH PLAN VALUES

Investment Option	Current %
<b>Stock Investments</b>	
LARGE CAP	
FA NEW INSIGHTS Z	25%
FID 500 INDEX	25%
INTERNATIONAL	
FA INTL DISCOVERY Z	20%
<b>Bond Investments</b>	
JPM GOVT BOND R6	10%
<b>Short-Term Investments</b>	
FID GOVT MMKT	20%
<b>Total</b>	<b>100%</b>

## Your Contribution Summary

Statement Period: 12/01/2018 to 12/31/2018

Contributions	Employee Deferral	Employer Discretionary	Safe Harbor Non-Elective
Period to date	\$0.00	\$0.00	\$0.00
Vested Percent	100%	100%	100%
Total Account Balance	\$71,928.50	\$319,690.19	\$20,645.57
Total Vested Balance	\$71,928.50	\$319,690.19	\$20,645.57

## Your Account Activity

Statement Period: 12/01/2018 to 12/31/2018

Use this section as a summary of transactions that occurred in your account during the statement period.

## Detailed Transaction History

Activity	JPM Govt Bond R6	J H Enterprise M	FID Govt Mmkt	FID 500 Index
Beginning Balance	\$43,212.21	\$2,898.66	\$33,348.77	\$141,822.31
Change in Market Value	\$780.19	-\$238.74	\$54.81	-\$12,813.63
Ending Balance	\$43,992.40	\$2,659.92	\$33,398.58	\$129,008.68
Dividends & Interest	\$97.84	\$161.80	\$54.81	\$1,439.94

Activity	FID SM Cap Idx	FA Intl Discovery Z	FA NEW Insights Z	Total
Beginning Balance	\$4,807.88	\$82,171.42	\$133,383.78	\$440,937.08
Change in Market Value	-\$474.85	-\$4,728.69	-\$11,251.83	-\$28,672.74
Ending Balance	\$4,333.03	\$77,442.73	\$122,131.95	\$412,264.36
Dividends & Interest	\$156.03	\$2,985.25	\$12,511.07	\$17,406.74

Questions? Call (800) 294-4916

NetBenefits® provided by



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IA=1 CU=1 PT=1 SZ=5 UM=1

## **SCHEDULE 2**

Online at: [www.mymerrill.com](http://www.mymerrill.com)

Account Number:

24-Hour Assistance: (800) MERRILL



DR DAVID PHILIP ROE TTEE  
U/A DTD 08/08/2007  
BY DAVID PHILIP ROE

JONESBOROUGH TN 37659-4791

## CRUT - Advisory

This account is enrolled in the Merrill Lynch Investment Advisory Program

December 01, 2018 - December 31, 2018

	This Statement	Year to Date
Opening Value (12/01)	\$462,041.27	
Total Credits	6,335.93	21,055.32
Total Debits	(6,730.37)	(33,305.93)
Securities You Transferred In/Out		
Market Gains/(Losses)	(24,399.10)	(37,474.19)
Closing Value (12/31)	\$437,247.73	

### Net Portfolio Value:

**\$437,247.73**

#### Your Financial Advisor:

SMITH CONKIN DIXON  
206 PRINCETON RD SUITE NO 1  
JOHNSON CITY TN 37601  
1-423-282-5191

### ASSETS

	December 31	November 30
Cash/Money Accounts	32,242.00	27,545.97
Fixed Income	90,175.27	88,814.16
Equities		
Mutual Funds	319,169.45	345,099.93
Options		
Other		
Subtotal (Long Portfolio)	441,586.72	461,460.06
Estimated Accrued Interest	751.48	581.21
<b>TOTAL ASSETS</b>	<b>\$442,338.20</b>	<b>\$462,041.27</b>

### LIABILITIES

Debit Balance	(5,090.47)	
Short Market Value		
<b>TOTAL LIABILITIES</b>	<b>(5,090.47)</b>	
<b>NET PORTFOLIO VALUE</b>	<b>\$437,247.73</b>	<b>\$462,041.27</b>

Merrill Lynch Wealth Management makes available products and services offered by Merrill Lynch, Pierce, Fenner & Smith Incorporated (MLPF&S) and other subsidiaries of Bank of America Corporation. MLPF&S is a registered broker-dealer, Member Securities Investor Protection Corporation (SIPC) and a wholly owned subsidiary of Bank of America Corporation. Investment products: ☐ Are Not FDIC Insured ☐ Are Not Bank Guaranteed ☐ May Lose Value

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## CASH FLOW

	This Statement	Year to Date
Opening Cash/Money Accounts	\$27,545.97	
<b>CREDITS</b>		
Funds Received	-	-
Electronic Transfers	275.45	5,495.12
Other Credits	275.45	5,495.12
Subtotal		
<b>DEBITS</b>		
Electronic Transfers	-	-
Margin Interest Charged	-	-
Other Debits	(6,131.87)	(25,918.28)
Visa Purchases	-	-
ATM/Cash Advances	-	-
Checks Written/Bill Payment	(598.50)	(7,387.65)
Advisory and other fees	(6,730.37)	(33,305.93)
Subtotal		
Net Cash Flow	(\$6,454.92)	(\$27,810.81)

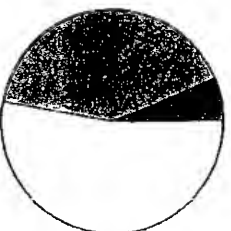
## OTHER TRANSACTIONS

Dividends/Interest Income	6,060.48	15,560.20
Security Purchases/Debits	-	(201,323.13)
Security Sales/Credits	-	211,038.10
Closing Cash/Money Accounts	\$27,151.53	

Fees Included in Transactions Above		
Commissions/Trading Fees	-	(4.24)

## ASSET ALLOCATION \*

\* Estimated Accrued Interest not included; may not reflect all holdings; does not include asset categories less than 1%; includes the categorical values for the underlying portfolio of individual mutual funds, closed end funds, and UITs.



Equities  
Fixed Income  
Cash/Money Accounts  
TOTAL

Allocation  
53.07%  
40.71%  
6.22%  
100%

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## INVESTMENT ADVISORY PROGRAM

### YOUR INVESTMENT STRATEGY - Custom Managed - Advisor

WESTERN ASSET MULTI CORE PLUS 37.50% RATE: 0.320%

CIO EQUITY MF/ETF INTL CORE 14.38% RATE: \*

CIO EQUITY ETF US GROWTH 19.54% RATE: \*

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CIO EQUITY MF/ETF SCC 5.75% RATE: \*  
CIO EQUITY MF/ETF LCV 17.83% RATE: \*  
Cash Allocation 5.00% RATE: \*

The "Rate" above is the current expense rate for your Style Manager as of the end of the statement period. The section "Style Manager Expense Rate" in the Investment Advisory Program Form ADV Brochure and the section "Your Program Fees" in your Investment Advisory Program Client Agreement, or similar sections, provide more information on the expense rate payable to all available Style Managers and the Merrill Lynch Fee Rate respectively. For participating Trust Management Accounts (TMA), please refer to your investment Services or Agency Agreement, Fee Schedule and TMA Brochure in addition to the Program Form ADV Brochure for expense and fee information. Changes in the Style Managers may result in a change in the Manager Expense Rate indicated above for that Related Style Manager. For a list of Related Style Managers, as listed above, the Style Managers may result in a change in the Fund's prospectus or other disclosure documents for a description of the Fund's fees and expenses. For a "Rate" noted with a double asterisk (\*\*) above, it is the current Overlay Expense Rate for the Overlay Service (as described in the Investment Advisory Program Form ADV Brochure and applicable Profile) as of the end of the statement period. The Overlay Expense Rate will be applied to all assets allocated to the applicable Style Manager Strategy(s) and/or Exchange-Traded Fund(s) within a Custom Managed Strategy for which the Overlay Expense Rate has been selected, and which will be identified in the Investment Advisory Program Portfolio Summary rather than this statement; the Overlay Expense Rate will not be applied to the percentage of the assets allocated to the Overlay Service for MMA options strategies. For additional information relating to the Overlay Expense Rate, see the Investment Advisory Program Form ADV Brochure. Investment Advisory Program Client Agreement and the applicable Overlay Service Profile(s). The percentage allocations listed above are based, as applicable, on target allocations for the Strategy selected or the allocations as of a particular point in time. Allocations for any particular account may be different from the allocations indicated above. For additional information, see the Investment Advisory Program Form ADV Brochure, Style Manager Profiles and Style Manager Disclosures as well as your Investment Advisory Program Client Agreement or for participating TMAs your Investment Services or Agency Agreement, Fee Schedule and TMA Brochure.

We encourage you to contact your Financial Advisor(s) if there have been any changes in your financial situation or investment objectives, or if you wish to impose any reasonable restrictions on the management of your account(s) or reasonably modify existing restrictions. Upon your request, and at no charge, we will provide to you more detailed information regarding the Program Fees that you pay. Please contact your Financial Advisor(s) if you would like to request this detailed Account fee information.

If you would like to receive a free copy of the current Form ADV Brochure(s) for the Investment Advisory Program, please send a written request with your account number(s) to: Managed Accounts Processing and Services, 4800 Deer Lake Drive West, Building 1, 3rd Fl., Jacksonville, FL 32246. You may also obtain a copy of the Investment Advisory Program Form ADV Brochure(s) by accessing the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

As part of the Investment Advisory Program, you will be provided with periodic performance measurement reports to help you monitor and assess the performance of your account(s). Should you have any questions regarding these reports or would like performance measurement reports on a more frequent basis, please contact your Financial Advisor(s).

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## YOUR TAA BANK DEPOSIT INTEREST SUMMARY

December 01, 2018 - December 31, 2018

Money Account Description	Opening Balance	Average Deposit Balance	Current Yield%	Interest on Deposits	Closing Balance
Bank of America, N.A.	27,544	28,678	.75	18.21	32,242
TOTAL ML Bank Deposit Program	27,544			18.21	32,242

## YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

CASH/MONEY ACCOUNTS	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Market Value	Estimated Annual Income	Estimated Current Yield%
+ML BANK DEPOSIT PROGRAM	17,209.00	17,209.00	1.0000	17,209.00		129	.75
+FDIC INSURED NOT SIPC COVERED							

GOVERNMENT AND AGENCY SECURITIES<sup>1</sup>

Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Estimated Current Yield%
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0 U.S. TREASURY NOTE 10/22/18 7,000 6,965.00 100.1330 7,009.31 44.31 61.93 184 2.62

2.625% AUG 31 2020

MOODY'S: AAA S&amp;P: \*\*\* CUSIP: 9128284Y3

ORIGINAL UNIT/TOTAL COST: 99,5000/6,965.00

Δ U.S. TREASURY NOTE 08/17/15 2,000 2,016.93 99.0510 1,981.02 (35.91) 10.74 43 2.14

2.125% SEP 30 2021

MOODY'S: AAA S&amp;P: \*\*\* CUSIP: 912828F21

ORIGINAL UNIT/TOTAL COST: 101.8320/2,036.64

Θ U.S. TREASURY NOTE 04/12/18 2,000 1,971.33 99.0510 1,981.02 9.69 10.74 43 2.14

ORIGINAL UNIT/TOTAL COST: 98.5665/1,971.33

Subtotal

4,000 3,988.26 3,962.04 (26.22) 21.48 86 2.14

U.S. TREASURY NOTE 04/24/18 2,000 1,959.06 100.5160 2,010.32 51.26 20.63 55 2.73

2.750% FEB 15 2028 02.750% FEB 15 2028

MOODY'S: AAA S&amp;P: \*\*\* CUSIP: 9128283W8

ORIGINAL UNIT/TOTAL COST: 97.9530/1,959.06

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## YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

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GOVERNMENT AND AGENCY SECURITIES <sup>1</sup> (continued)									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Current Yield%
A FEDERAL NATL MTG ASSOC									
BONDS 06.625% NOV 15 2030	01/08/15	1,000	1,404.18	134.0430	1,340.43	(63.75)	8.47	67	4.94
MOODY'S: AAA S&P: AA+ CUSIP: 31359MGK3									
ORIGINAL UNIT/TOTAL COST: 151.3860/1,513.86									
FNMA PAD8529 04 50%2040									
AMORTIZED FACTOR 0.114744520	01/28/15	15,000	1,876.55	104.7438	1,802.82	(73.73)	6.45	78	4.29
AMORTIZED VALUE 1,721									
MOODY'S: *** S&P: *** CUSIP: 31418WPP9									
FNMA PAL6004 04 50%2043									
AMORTIZED FACTOR 0.262317790	05/11/15	3,000	855.80	104.1293	819.43	(36.37)	2.95	36	4.32
AMORTIZED VALUE 786									
MOODY'S: *** S&P: *** CUSIP: 3138ENU64									
FNMA PAL7767 04 50%2044									
AMORTIZED FACTOR 0.49663690	02/19/16	1,000	545.96	104.7385	523.34	(22.62)	1.87	23	4.29
AMORTIZED VALUE 499									
MOODY'S: *** S&P: *** CUSIP: 3138E0T25									
FHLMC GO 8615 03 50%2044									
AMORTIZED FACTOR 0.466732150	03/02/15	1,000	487.88	100.4527	468.85	(19.03)	1.36	17	3.48
AMORTIZED VALUE 466									
MOODY'S: *** S&P: *** CUSIP: 3128MUWH0									
FHLMC GO 8641 03 50%2045									
AMORTIZED FACTOR 0.592409530	06/08/15	2,000	1,220.36	100.3393	1,188.84	(31.52)	3.46	42	3.48
AMORTIZED VALUE 1,184									
MOODY'S: *** S&P: *** CUSIP: 3128MUWB2									
A U.S. TREASURY BOND									
3.000% MAY 15 2045	08/17/15	3,000	3,104.35	99.8090	2,994.27	(110.08)	11.44	90	3.00
MOODY'S: AAA S&P: *** CUSIP: 912810RM2									
ORIGINAL UNIT/TOTAL COST: 103.7656/3,112.97									
A U.S. TREASURY BOND									
ORIGINAL UNIT/TOTAL COST: 103.5160/1,035.16	11/30/17	1,000	1,034.22	99.8090	998.09	(36.13)	3.81	30	3.00
U.S. TREASURY BOND									
02/20/18	02/20/18	1,000	973.16	99.8090	998.09	24.93	3.81	30	3.00
ORIGINAL UNIT/TOTAL COST: 97.3160/973.16									
Subtotal									
		5,000	5,111.73		4,980.45	(121.28)	19.06	150	3.00

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## YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2018 - December 31, 2018

GOVERNMENT AND AGENCY SECURITIES * (continued)									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
FHLMC GO 8669 04%2045	01/27/16	7,000	3,788.28	102.1205	3,621.87	(166.41)	11.82	142	3.91
AMORTIZED FACTOR 0.506666280 AMORTIZED VALUE 3,546									
MOODY'S: *** S&P: *** CUSIP: 3128MLW71									
FHLMC GO 8676 03 50%2045	02/09/16	6,000	4,002.89	100.3393	3,824.07	(178.82)	11.12	134	3.48
AMORTIZED FACTOR 0.635189480 AMORTIZED VALUE 3,871									
MOODY'S: *** S&P: *** CUSIP: 3128MXE5									
FHLMC GO 8687 03 50%2046	11/15/16	1,000	670.61	100.3290	649.96	(20.65)	1.89	23	3.48
AMORTIZED FACTOR 0.647831310 AMORTIZED VALUE 647									
MOODY'S: *** S&P: *** CUSIP: 3128MXR6									
U.S. TREASURY BOND	03/30/17	2,000	1,790.23	90.2810	1,805.62	15.39	18.75	50	2.76
2.500% FEB 15 2046 02.500% FEB 15 2046									
MOODY'S: AAA S&P: *** CUSIP: 912810RQ3									
ORIGINAL UNIT/TOTAL COST: 89,5115/1,790.23									
U.S. TREASURY BOND	11/30/17	2,000	1,872.19	90.2810	1,805.62	(66.57)	18.75	50	2.76
ORIGINAL UNIT/TOTAL COST: 93,6095/1,872.19									
Subtotal		4,000	3,662.42		3,611.24	(51.18)	37.50	100	2.76
FHLMC GO 8693 03 50%2046	09/09/16	2,000	1,375.41	100.3290	1,309.94	(65.47)	3.81	46	3.48
AMORTIZED FACTOR 0.652821390 AMORTIZED VALUE 1,305									
MOODY'S: *** S&P: *** CUSIP: 3128MXX3									
FNMA PAL9107 04 50%2046	03/30/17	1,000	635.97	104.1212	617.05	(18.92)	2.22	27	4.32
AMORTIZED FACTOR 0.592625130 AMORTIZED VALUE 592									
MOODY'S: *** S&P: *** CUSIP: 3138ERDM9									
FNMA PAST22 04 50%2046	10/21/16	1,000	603.58	103.6435	569.75	(33.83)	2.06	25	4.34
AMORTIZED FACTOR 0.549721600 AMORTIZED VALUE 549									
MOODY'S: *** S&P: *** CUSIP: 3138WHBW1									
FNMA PMA2806 03%2046	04/26/18	1,000	816.66	97.5282	825.63	8.97	2.12	26	3.07
AMORTIZED FACTOR 0.846554730 AMORTIZED VALUE 846									
MOODY'S: *** S&P: *** CUSIP: 3141BCDL5									

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GOVERNMENT AND AGENCY SECURITIES <sup>1</sup> (continued)									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Current Yield%
<b>FNMA PMA3008 04 50%2047</b>									
AMORTIZED FACTOR 0.722841680	AMORTIZED VALUE 722	1,000	780.22	103.6347	749.11	(31.11)	2.71	33	4.34
MOODY'S: *** S&P: *** CUSIP: 31418CKW3									
<b>FNMA PMA3073 04 50%2047</b>									
AMORTIZED FACTOR 0.763802090	AMORTIZED VALUE 763	1,000	795.55	103.6320	791.54	(4.01)	2.86	35	4.34
MOODY'S: *** S&P: *** CUSIP: 31418CMX9									
<b>FNMA PMA3210 03 50%2047</b>									
AMORTIZED FACTOR 0.923930870	AMORTIZED VALUE 4,619	5,000	4,755.35	100.0281	4,620.95	(134.40)	13.47	162	3.49
MOODY'S: *** S&P: *** CUSIP: 31418CR89									
<b>FNMA PMA3210 03 50%2047</b>									
AMORTIZED FACTOR 0.928990740	AMORTIZED VALUE 4,644	5,000	4,620.27	100.0071	4,645.28	25.01	13.55	163	3.49
MOODY'S: *** S&P: *** CUSIP: 31418CR89									
<b>FNMA PMA3210 03 50%2047</b>									
AMORTIZED VALUE 1,857		2,000	1,815.89	100.0071	1,858.11	42.22	5.42	66	3.49
Subtotal		7,000	6,436.16		6,503.39	67.23	18.97	229	3.49
<b>FNMA PMA3443 04%2048</b>									
AMORTIZED FACTOR 0.974129420	AMORTIZED VALUE 974	1,000	977.17	101.9591	993.21	16.04	3.25	39	3.92
MOODY'S: *** S&P: *** CUSIP: 31418CZHO									
<b>TOTAL</b>		<b>86,000</b>	<b>59,731.57</b>		<b>58,680.07</b>	<b>(1,051.50)</b>	<b>277.72</b>	<b>1,955</b>	<b>3.33</b>

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## YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2018 - December 31, 2018

CORPORATE BONDS									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ JPMORGAN CHASE & CO									
GLB 04/400% JUL 22 2020	01/07/15	3,000	3,085.28	101.8090	3,054.27	(31.01)	58.30	132	4.32
MOODY'S: A2 S&P: A- CUSIP: 46625HHS2									
ORIGINAL UNIT/TOTAL COST: 109.6030/3,288.09									
Δ JPMORGAN CHASE & CO									
08/15/18		1,000	1,020.88	101.8090	1,018.09	(2.79)	19.43	44	4.32
ORIGINAL UNIT/TOTAL COST: 102.5730/1,025.73									
Subtotal									
		4,000	4,106.16		4,072.36	(33.80)	77.73	176	4.32
Δ VERIZON COMMUNICATIONS									
GLB 05.150% SEP 15 2023	01/07/15	2,000	2,138.07	106.4360	2,128.72	(9.35)	30.33	103	4.83
MOODY'S: BAA1 S&P: BBB+ CUSIP: 92343VBR4									
ORIGINAL UNIT/TOTAL COST: 111.9060/2,238.12									
Δ VERIZON COMMUNICATIONS									
04/29/15		1,000	1,080.34	106.4360	1,064.36	(15.98)	15.16	52	4.83
ORIGINAL UNIT/TOTAL COST: 113.4840/1,134.84									
Subtotal									
		3,000	3,218.41		3,193.08	(25.33)	45.49	155	4.83
Δ GOLDMAN SACHS GROUP INC									
GLB 04.000% MAR 03 2024	01/07/15	2,000	2,062.72	98.6770	1,973.54	(89.18)	26.22	80	4.05
MOODY'S: A3 S&P: BBB+ CUSIP: 38741GWM3									
ORIGINAL UNIT/TOTAL COST: 105.2050/2,104.10									
Δ GOLDMAN SACHS GROUP INC									
09/07/17		2,000	2,105.03	98.6770	1,973.54	(131.49)	26.22	80	4.05
ORIGINAL UNIT/TOTAL COST: 106.4590/2,129.18									
Subtotal									
		4,000	4,167.75		3,947.08	(220.67)	52.44	160	4.05
Δ BP CAPITAL MARKETS PLC									
COMPANY GUARNT GLB 03.506% MAR 17 2025	04/11/17	2,000	2,033.96	98.0350	1,960.70	(73.26)	20.26	71	3.57
MOODY'S: A1 S&P: A- CUSIP: 05565QDA3									
ORIGINAL UNIT/TOTAL COST: 102.1090/2,042.18									
Δ SHELL INTERNATIONAL FIN									
COMPANY GUARNT GLB 02.500% SEP 12 2026	01/18/18	2,000	1,923.92	92.8230	1,856.46	(67.46)	15.14	50	2.69
MOODY'S: AA2 S&P: AA- CUSIP: 822582BX9									
ORIGINAL UNIT/TOTAL COST: 96.1960/1,923.92									

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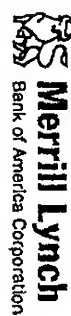
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## YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

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CORPORATE BONDS (continued)									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Yield%
Ø SHELL INTERNATIONAL FIN	04/25/18	1,000	924.07	92.8230	928.23	4.16	7.57	25	2.69
ORIGINAL UNIT/TOTAL COST: 92.4070/924.07									
Subtotal		3,000	2,847.99		2,784.69	(63.30)	22.71	75	2.69
Δ CITICGROUP INC	08/28/17	3,000	3,090.66	96.4910	2,894.73	(195.93)	55.39	117	4.02
VAR% JAN 10 2028									
MOODY'S: BAA1 S&P: BBB+ CUSIP: 172967LD1									
PAR CALL DATE: 01/10/27 PAR CALL PRICE: 100.00									
ORIGINAL UNIT/TOTAL COST: 103.3940/3,101.82									
Δ WELLS FARGO & COMPANY	09/06/17	2,000	2,046.51	96.0080	1,920.16	(126.35)	7.77	72	3.73
SER MTN VAR% MAY 22 2028									
MOODY'S: A2 S&P: A- CUSIP: 95000U2A0									
PAR CALL DATE: 05/22/27 PAR CALL PRICE: 100.00									
ORIGINAL UNIT/TOTAL COST: 102.5980/2,051.96									
Δ WELLS FARGO & COMPANY	12/18/17	1,000	1,018.55	96.0080	960.08	(58.47)	3.88	36	3.73
ORIGINAL UNIT/TOTAL COST: 102.0260/1,020.26									
Subtotal		3,000	3,065.06		2,880.24	(184.82)	11.65	108	3.73
Δ MORGAN STANLEY	08/28/17	2,000	2,024.15	94.5500	1,891.00	(133.15)	31.72	72	3.79
GLB VAR% JUL 22 2028									
MOODY'S: A3 S&P: BBB+ CUSIP: 61744YAK4									
PAR CALL DATE: 07/22/27 PAR CALL PRICE: 100.00									
ORIGINAL UNIT/TOTAL COST: 101.3470/2,026.94									
Δ GENERAL ELEC CAP CORP	01/27/16	2,000	2,418.19	95.6090	1,912.18	(506.01)	54.51	118	6.14
NOTES 05.875% JAN 14 2038									
MOODY'S: BAA1 S&P: BBB+ CUSIP: 36962G3P7									
ORIGINAL UNIT/TOTAL COST: 122.8750/2,457.50									
ENTERPRISE PRODUCTS OPER	01/27/16	2,000	1,876.68	106.3610	2,127.22	250.54	43.07	114	5.35
COMPANY GUARNT 05.700% FEB 15 2042									
MOODY'S: BAA1 S&P: BBB+ CUSIP: 29379WAV5									
ORIGINAL UNIT/TOTAL COST: 93.8340/1,876.68									

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## YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

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CORPORATE BONDS (continued)									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
A MICROSOFT CORP									
GLB 03/700% AUG 08 2046	11/07/17	3,000	3,059.42	95.7980	2,873.94	(185.48)	44.09	111	3.86
MODDY'S: AAA S&P: AAA CUSIP: 594918BTD									
PAR CALL DATE: 02/08/46 PAR CALL PRICE: 100.00									
ORIGINAL UNIT/TOTAL COST: 102.0280/3,060.84									
A MICROSOFT CORP									
01/03/18		1,000	1,035.36	95.7980	957.98	(77.38)	14.70	37	3.86
ORIGINAL UNIT/TOTAL COST: 103.6100/1,036.10									
Subtotal		4,000	4,094.78		3,831.92	(262.86)	58.79	148	3.86
TOTAL		32,000	32,943.79		31,495.20	(1,448.59)	473.76	1,314	4.17

PLEASE REFER TO NOTES BELOW FOR INFORMATION REGARDING CREDIT RATINGS.

MUTUAL FUNDS/CLOSED END FUNDS/UIT									
Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Current Yield%
BLACKROCK EQTY DIVIDEND									
FUND INSTL	554	12,487.16	18.7000	10,359.80	(2,127.36)	12,487	(2,127)	241	2.32
FUND INSTL									
SYMBOL: MADVX Initial Purchase: 04/16/18									
Equity 100%									
CAMBIAR INTERNATIONAL									
EQUITY FUND INSTL CL	292	7,884.76	23.0700	6,736.44	(1,148.32)	7,884	(1,148)	64	.94
SYMBOL: CAMYX Initial Purchase: 07/05/17									
Equity 100%									
.4720 Fractional Share		14.27	23.0700	10.89	(3.38)			1	.94
CARILLON EAGLE SMALL CAP									
GROWTH-FUND CL I	80	4,886.25	44.8600	3,588.80	(1,297.45)	4,886	(1,297)		
SYMBOL: HSIIX Initial Purchase: 01/05/15									
Equity 100%									

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## YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2018 - December 31, 2018

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued)		Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%
CHARTWELL SMALL CAP VALUE FUND CLASS I SYMBOL: CWSIX Equity 100%		240	4,436.64	15.8500	3,804.00	(632.64)	4,436	(632)	18 .46
Initial Purchase: 10/25/16									
COMM SERVICES SELECT SECTOR SYMBOL: XLC Equity 100%		237	11,072.02	41.2800	9,783.36	(1,288.66)	11,072	(1,288)	126 1.28
Initial Purchase: 10/18/18									
CONSUMER DISCRETIONARY SPDR SYMBOL: XLY Equity 100%		116	9,936.30	99.0100	11,485.16	1,548.86	9,936	1,548	155 1.34
Initial Purchase: 02/10/15									
DELAWARE EMERGING MARKETS FUND INSTL CL SYMBOL: DEMIX Equity 100%		284	5,253.28	16.7100	4,745.64	(507.64)	5,253	(507)	31 .65
Initial Purchase: 07/05/17									
FIRST TRUST DOW JONES INTERNET INDEX FUND SYMBOL: FDN Equity 100%		52	6,565.22	116.6600	6,066.32	(498.90)	6,565	(498)	
Initial Purchase: 09/25/17									
FIRST TRUST CLOUD COMPUTING ETF SYMBOL: SKYY Equity 100%		128	6,439.45	48.4700	6,204.16	(235.29)	6,439	(235)	23 .36
Initial Purchase: 09/25/17									
HARDING LOEVNER INTL		321	6,934.99	19.3100	6,198.51	(736.48)	6,934	(736)	93 1.49

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24-Hour Assistance: (800) MERRILL

## YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2018 - December 31, 2018

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued)		Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%
EQUITY PT FD INSTL CL									
SYMBOL: HLMIX		Initial Purchase: 07/05/17							
Equity 100%									
HEALTH CARE SELECT SPDR		103	7,709.97	86.5100	8,910.53	1,200.56	7,709	1,200	141 1.57
SYMBOL: XLV		Initial Purchase: 02/10/15							
Equity 100%									
ISHARES RUSSELL 1000		338	41,189.02	111.0500	37,534.90	(3,654.12)	41,189	(3,654)	1,019 2.71
VALUE									
SYMBOL: IWD		Initial Purchase: 04/16/18							
Equity 100%									
ISHARES NASDAQ BIOTECH		42	4,487.41*	96.4300	4,050.06	(437.35)	4,487	(437)	8 .19
ETF									
SYMBOL: IBB		Initial Purchase: 02/10/15							
Equity 100%									
ISHARES MSCI EAFE		101	6,208.07	58.7800	5,936.78	(271.29)	6,208	(271)	202 3.38
SYMBOL: EFA		Initial Purchase: 07/26/16							
Equity 100%									
ISHARES RS 2000 GROWTH		12	2,207.43	168.0000	2,016.00	(191.43)	2,207	(191)	16 .75
SYMBOL: IWO		Initial Purchase: 07/05/17							
Equity 100%									
ISHARES RS 2000 VALUE		21	2,568.94	107.5400	2,258.34	(310.60)	2,568	(310)	45 1.98
SYMBOL: IWN		Initial Purchase: 07/05/17							
Equity 100%									
ISHARES MSCI JAPAN ETF		59	3,019.58	50.6900	2,990.71	(28.87)	3,019	(28)	52 1.70

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MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued)										
Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%		
SHS										
SYMBOL: EWJ	Initial Purchase: 07/26/16									
Equity 100%										
ISHARES TR CORE MSCI EAF	175	11,359.13	55.0000	9,625.00	(1,734.13)	11,359	(1,734)	334 3.46		
ETF										
SYMBOL: IEFA	Initial Purchase: 09/29/17									
Equity 100%										
JOHN HANCOCK DISCIPLINED	434	9,491.58	17.9100	7,772.94	(1,718.64)	9,491	(1,718)	124 1.59		
VALUE FUND CL I										
SYMBOL: JVLIX	Initial Purchase: 04/16/18									
Equity 100%										
MFS VALUE FD CL I	241	9,623.13	35.5100	8,557.91	(1,065.22)	9,623	(1,065)	180 2.10		
SYMBOL: MEIIX	Initial Purchase: 04/16/18									
Equity 100%										
NUVEEN SMALL CAP VALUE	230	5,920.01	20.2600	4,659.80	(1,260.21)	5,920	(1,260)	47 .99		
FUND CL I										
SYMBOL: FSCCX	Initial Purchase: 07/05/17									
Equity 100%										
OAKMARK INTL FD CL	242	6,595.61	20.4300	4,944.06	(1,651.55)	6,595	(1,651)	121 2.43		
ADV										
SYMBOL: OAYIX	Initial Purchase: 07/05/17									
Equity 100%										
.6729 Fractional Share		18.22	20.4300	13.75	(4.47)			1 2.43		
.0001 Fractional Share		N/A	20.4300	N/A	N/A			2.43		
OPPENHEIMER INTERNATL	177	6,088.44	34.6900	6,140.13	51.69	6,088	51	89 1.44		

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## YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2018 - December 31, 2018

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued)									
Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Yield%
GROWTH FD CL Y									
SYMBOL: OIGYX Initial Purchase: 09/14/12									
Equity 100%									
.4930 Fractional Share		14.54	34.6900	17.10	2.56			1	1.44
REAL ESTATE SELECT									
SECTOR SPDR FD SHS	89	2,883.86+	31.0000	2,759.00	(124.86)	2,883	(124)	105	3.77
SYMBOL: XLRE Initial Purchase: 09/22/16									
Equity 100%									
SOUND SHORE FUND									
INSTL CL	209	9,528.31	37.1900	7,772.71	(1,755.60)	9,528	(1,755)	116	1.48
SYMBOL: SSHVX Initial Purchase: 04/16/18									
Equity 100%									
SPDR US FINANCIAL SECTOR									
ETF	208	4,965.11	23.8200	4,954.56	(10.55)	4,965	(10)	103	2.07
SYMBOL: XLF Initial Purchase: 02/10/15									
Equity 100%									
T ROWE PRICE EMERGING									
MARKETS STOCK FD INV CL	206	8,866.93	37.4700	7,718.82	(1,148.11)	8,866	(1,148)	38	.48
SYMBOL: PRMSX Initial Purchase: 09/29/17									
Equity 100%									
VANGUARD CONSUMER									
STAPLES ETF	49	6,484.75	131.1700	6,427.33	(57.42)	6,484	(57)	179	2.78
SYMBOL: VDC Initial Purchase: 02/10/15									
Equity 100%									
VANGUARD MATERIALS ETF									
	14	1,525.99	110.8300	1,551.62	25.63	1,525	25	32	2.02

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## YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2018 - December 31, 2018

MUTUAL FUNDS/CLOSED END FUNDS/UT (continued)		Total	Estimated	Estimated	Unrealized	Total Client	Cumulative	Estimated
Description	Quantity	Cost Basis	Market Price	Market Value	Gain/(Loss)	Investment	Investment Return (\$)	Annual Current Income Yield%
SYMBOL: VAW Initial Purchase: 02/10/15								
Equity 100%								
VANGUARD INFORMATION TECH ETF	69	8,869.12	166.8300	11,511.27	2,642.15	8,869	2,642	149 1.29
SYMBOL: VGT Initial Purchase: 02/10/15								
Equity 100%								
VANGUARD INDUSTRIAL ETF	85	9,194.55	120.4100	10,234.85	1,040.30	9,194	1,040	195 1.90
SYMBOL: VIS Initial Purchase: 01/08/16								
Equity 100%								
WELLS FARGO SMALL COMPANY GROWTH FD INSTL	91	4,834.68	47.4600	4,318.86	(515.82)	4,834	(515)	
SYMBOL: WSCGX Initial Purchase: 07/05/17								
Equity 100%								
WESTERN ASSET SMASH SERIES EC FUND	4,053	35,732.68	8.5700	34,734.21	(998.47)	35,732	(998)	1,614 4.64
SYMBOL: LMECX Initial Purchase: 01/11/16								
Fixed Income 100%								
WESTERN ASSET SMASH SERIES C FUND	1,424	13,133.06	8.9700	12,773.28	(359.78)	13,133	(359)	599 4.68
SYMBOL: LMLCX Initial Purchase: 01/27/16								
Fixed Income 100%								
WESTERN ASSET SMASH SERIES M FUND	3,735	40,172.13	10.7100	40,001.85	(170.28)	40,172	(170)	994 2.48
SYMBOL: LMSMX Initial Purchase: 01/27/16								
Fixed Income 100%								

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## YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2018 - December 31, 2018

MUTUAL FUNDS/CLOSED END FUNDS/UIT		(continued)		Total	Estimated	Estimated	Unrealized	Total Client	Cumulative	Estimated
Description	Quantity	Cost Basis	Market Price	Market Value	Gain/(Loss)	Investment	Return (\$)	Income	Yield%	
Subtotal (Fixed Income)		87,509.34								
Subtotal (Equities)		231,660.11								
TOTAL		338,602.59		319,169.45		(19,433.14)		(19,417)		7,256 2.27
TOTAL PRINCIPAL INVESTMENTS		448,486.95		426,553.72		(21,933.23)		10,654		2.50

**Total Client Investment:** Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.

**Cumulative Investment Return:** Estimated Market Value minus Total Client Investment.

**Cumulative Investment Return** is the dollar value of the capital appreciation (depreciation) of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed.

**Unrealized Gain or (Loss):** Estimated Market Value minus Total Cost Basis (total cost of shares directly purchased and still held, as well as cost of shares acquired through reinvestment). Provided for Tax Planning purposes only and is not applicable to retirement accounts.

**Initial Purchase:** Date of your initial investment in this fund.

**Market Timing:** Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

**Sales Charge Discounts or Waivers:** Many funds offer various sales charge discounts or waivers depending on the terms of the prospectus and/or statement of additional information. You should consult a fund's prospectus and/or statement of additional information to determine whether you may qualify for a discount or waiver. Notify your Financial Advisor, Financial Solutions Advisor or Investment Center representative if you believe you qualify for any of these or any other discounts or waivers. Please contact your Financial Advisor, Financial Solutions Advisor or Investment Center representative for further information on available sales charge discounts and waivers.

## Notes

A Debt instruments purchased at a premium show amortization

B Debt instruments purchased at a discount show accretion

\* Some agency securities are not backed by the full faith and credit of the United States government.

Total values exclude N/A items

† Cost basis has been adjusted by the deferred loss amount from a previous "Wash Sale" and the acquisition date has been adjusted to include the holding period of the lot closed by that previous "Wash Sale".

For Credit Ratings: S&P and Moody's provide credit ratings on the credit quality of certain bonds and preferred stocks. For a credit enhanced security, Moody's and S&P publish and provide third party vendors the higher of the rating on the credit enhancer (guarantor) or the stand alone rating on the underlying security.

Principal Debit Balance

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# YOUR TAA STATEMENT OF INCOME INVESTMENTS

December 01, 2018 - December 31, 2018

CASH/MONEY ACCOUNTS		Total	Estimated	Estimated	Estimated	Est. Annual
Description	Quantity	Cost Basis	Market Price	Market Value	Annual Income	Yield%
CASH	188.53	188.53		188.53		
+ML BANK DEPOSIT PROGRAM	15,033.00	15,033.00	1.0000	15,033.00	113	.75
+FDIC INSURED NOT SIPC COVERED						
TOTAL		15,221.53		15,221.53	113	.75
TOTAL INCOME INVESTMENTS		15,221.53		15,221.53	112	.74
LONG PORTFOLIO						
		Adjusted/Total	Estimated	Unrealized	Estimated	Estimated
		Cost Basis	Market Value	Gain/(Loss)	Accrued Interest	Annual Income
TOTAL PRINCIPAL/INCOME INVESTMENTS		463,708.48	441,775.25	(21,933.23)	751.48	10,767
						2.44

## YOUR TAA TRANSACTIONS

DIVIDENDS/INTEREST INCOME TRANSACTIONS		Transaction Type	Quantity	Income	Principal	Income
Date	Description			Cash	Cash	Year To Date
Tax-Exempt Interest						
Subtotal (Tax-Exempt Interest)						
(50.64)						
Taxable Interest						
12/17	FHLMC GO 8615 03 50%2044	Interest		1.38		
	AMORTIZED FACTOR 0.466732150	INTEREST CREDIT PAY DATE 12/15/2018	CUSIP NUM: 3128MJVH0			
12/17	FHLMC GO 8641 03 50%2045	Interest		3.49		
	AMORTIZED FACTOR 0.592409530	INTEREST CREDIT PAY DATE 12/15/2018	CUSIP NUM: 3128MJWB2			
12/17	FHLMC GO 8669 04%2045	Interest		11.95		
	AMORTIZED FACTOR 0.506666280	INTEREST CREDIT PAY DATE 12/15/2018	CUSIP NUM: 3128MJW71			
12/17	FHLMC GO 8676 03 50%2045	Interest		11.21		
	INTEREST CREDIT PAY DATE 12/15/2018	CUSIP NUM: 3128MJX5				
12/17	FHLMC GO 8687 03 50%2046	Interest		1.91		
	AMORTIZED FACTOR 0.647831310	INTEREST CREDIT PAY DATE 12/15/2018	CUSIP NUM: 3128MJXR6			
12/17	FHLMC GO 8693 03 50%2046	Interest		3.84		

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## YOUR TAA TRANSACTIONS

December 01, 2018 - December 31, 2018

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)				Income		Principal		Income	
Date	Description	Transaction Type	Quantity	Cash		Cash		Year To Date	
<b>Taxable Interest</b>									
12/17	AMORTIZED FACTOR 0.652821390	INTEREST CREDIT PAY DATE 12/15/2018	CUSIP NUM: 3128MJXX3						
12/17	FMNC GO 8737 03%2046	Interest		10.74					
12/17	AMORTIZED FACTOR 0.852783670	INTEREST CREDIT PAY DATE 12/15/2018	CUSIP NUM: 3128MJZB9						
12/17	FMNC GO 8786 04 50%2047	Interest		3.14					
12/17	AMORTIZED FACTOR 0.831226800	INTEREST CREDIT PAY DATE 12/15/2018	CUSIP NUM: 3128MJ2U3						
12/26	FMMA PMA3182 03 50%2047	Interest		13.55					
12/26	AMORTIZED FACTOR 0.923930870	INTEREST CREDIT PAY DATE 12/25/2018	CUSIP NUM: 31418CRD0						
12/26	FMMA PMA3210 03 50%2047	Interest		19.08					
12/26	AMORTIZED FACTOR 0.928990740	INTEREST CREDIT PAY DATE 12/25/2018	CUSIP NUM: 31418CR89						
12/26	FMMA PAD8529 04 50%2040	Interest		6.52					
12/26	AMORTIZED FACTOR 0.114744520	INTEREST CREDIT PAY DATE 12/25/2018	CUSIP NUM: 31418WPP9						
12/26	FMMA PMA3443 04%2048	Interest		3.26					
12/26	AMORTIZED FACTOR 0.974129420	INTEREST CREDIT PAY DATE 12/25/2018	CUSIP NUM: 31418CZHO						
12/26	FMMA PAL6004 04 50%2043	Interest		2.99					
12/26	AMORTIZED FACTOR 0.262311790	INTEREST CREDIT PAY DATE 12/25/2018	CUSIP NUM: 3138ENU64						
12/26	FMMA PAL7767 04 50%2044	Interest		1.89					
12/26	AMORTIZED FACTOR 0.499663690	INTEREST CREDIT PAY DATE 12/25/2018	CUSIP NUM: 3138EQTZ5						
12/26	FMMA PMA2806 03%2046	Interest		2.13					
12/26	AMORTIZED FACTOR 0.846554730	INTEREST CREDIT PAY DATE 12/25/2018	CUSIP NUM: 31418CDL5						
12/26	FMMA PAS7252 04 50%2046	Interest		2.06					
12/26	AMORTIZED FACTOR 0.549721600	INTEREST CREDIT PAY DATE 12/25/2018	CUSIP NUM: 3138WHBW1						
12/26	FMMA PAL9107 04 50%2046	Interest		2.25					
12/26	AMORTIZED FACTOR 0.592625130	INTEREST CREDIT PAY DATE 12/25/2018	CUSIP NUM: 3138ERDM9						
12/26	FMMA PMA2959 03 50%2047	Interest		2.49					
12/26	AMORTIZED FACTOR 0.849962680	INTEREST CREDIT PAY DATE 12/25/2018	CUSIP NUM: 31418CJD7						
12/26	FMMA PMA3008 04 50%2047	Interest		2.74					
12/26	AMORTIZED FACTOR 0.722841600	INTEREST CREDIT PAY DATE 12/25/2018	CUSIP NUM: 31418CKW3						
12/26	FMMA PMA3073 04 50%2047	Interest		2.88					

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## YOUR TAA TRANSACTIONS

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DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)					
Date	Description	Transaction Type	Quantity	Income Cash	Principal Cash
Taxable Interest				Income Cash	Income Year To Date
AMORTIZED FACTOR 0.763802090 INTEREST CREDIT PAY DATE 12/25/2018 CUSIP NUM: 31418CMX9					
12/31	BANK DEPOSIT INTEREST	Bank Interest		1.21	
	BANKING INTEREST				
	ML BANK DEPOSIT PROGRAM	Income Total		17.00	
	<b>Subtotal (Taxable Interest)</b>			<b>127.71</b>	<b>3,200.49</b>
Taxable Dividends					
12/13	OPPENHEIMER INTERNATL	Dividend		88.91	
	GROWTH FD CL Y DIVIDEND PAY DATE 12/12/2018				
12/14	WELLS FARGO SMALL	*Long Term Capital Gain			285.60
	COMPANY GROWTH FD INSTL LONG TERM CAPITAL GAIN PAY DATE 12/13/2018				
12/14	WELLS FARGO SMALL	Short Term Capital Gain			87.92
	COMPANY GROWTH FD INSTL SHORT TERM CAPITAL GAIN PAY DATE 12/13/2018				
12/14	OAKMARK INTL FD CL	Dividend		139.78	
	ADV DIVIDEND PAY DATE 12/13/2018				
12/14	OAKMARK INTL FD CL	*Long Term Capital Gain			248.98
	ADV LONG TERM CAPITAL GAIN PAY DATE 12/13/2018				
12/18	VANGUARD CONSUMER	Dividend		51.14	
	STAPLES ETF DIVIDEND HOLDING 49.0000 PAY DATE 12/18/2018				
12/18	VANGUARD MATERIALS ETF	Dividend		8.83	
	DIVIDEND HOLDING 14.0000 PAY DATE 12/18/2018				
12/18	VANGUARD INFORMATION	Dividend		44.20	
	TECH ETF DIVIDEND HOLDING 69.0000 PAY DATE 12/18/2018				
12/18	VANGUARD INDUSTRIAL ETF	Dividend		55.04	
	DIVIDEND HOLDING 85.0000 PAY DATE 12/18/2018				
12/18	JOHN HANCOCK DISCIPLINED	Dividend		123.88	
	VALUE FUND CL I DIVIDEND PAY DATE 12/17/2018				
12/18	JOHN HANCOCK DISCIPLINED	*Long Term Capital Gain			694.68
	VALUE FUND CL I LONG TERM CAPITAL GAIN PAY DATE 12/17/2018				

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## YOUR TAA TRANSACTIONS

December 01, 2018 - December 31, 2018

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)						
Date	Description	Transaction Type	Quantity	Income Cash	Principal Cash	Income Year To Date
Taxable Dividends						
12/18	JOHN HANCOCK DISCIPLINED	Short Term Capital Gain			4.89	
	VALUE FUND CL I SHORT TERM CAPITAL GAIN PAY DATE 12/17/2018					
12/19	NUVEEN SMALL CAP VALUE	*Long Term Capital Gain			129.10	
	FUND CL I LONG TERM CAPITAL GAIN PAY DATE 12/18/2018					
12/19	NUVEEN SMALL CAP VALUE	Short Term Capital Gain			20.40	
	FUND CL I SHORT TERM CAPITAL GAIN PAY DATE 12/18/2018					
12/19	T ROWE PRICE EMERGING	Dividend		47.38		
	MARKETS STOCK FD INV CL DIVIDEND PAY DATE 12/18/2018					
12/19	WESTERN ASSET SMASH	Dividend		281.74		
	SERIES EC FUND DIVIDEND PAY DATE 12/18/2018					
12/19	WESTERN ASSET SMASH	Dividend		104.06		
	SERIES C FUND DIVIDEND PAY DATE 12/18/2018					
12/19	WESTERN ASSET SMASH	*Long Term Capital Gain			52.30	
	SERIES C FUND LONG TERM CAPITAL GAIN PAY DATE 12/18/2018					
12/19	WESTERN ASSET SMASH	Short Term Capital Gain			61.92	
	SERIES C FUND SHORT TERM CAPITAL GAIN PAY DATE 12/18/2018					
12/19	WESTERN ASSET SMASH	Dividend		204.89		
	SERIES M FUND DIVIDEND PAY DATE 12/18/2018					
12/19	WESTERN ASSET SMASH	Short Term Capital Gain			277.59	
	SERIES M FUND SHORT TERM CAPITAL GAIN PAY DATE 12/18/2018					
12/20	HARDING LOEVNER INTL	Dividend		93.07		
	EQUITY PT FD INSTL CL DIVIDEND PAY DATE 12/19/2018					
12/21	ISHARES RUSSELL 1000	Dividend		279.35		
	VALUE DIVIDEND HOLDING 338.0000 PAY DATE 12/21/2018					
12/21	ISHARES RS 2000 GROWTH	Dividend		4.30		
	DIVIDEND HOLDING 12.0000 PAY DATE 12/21/2018					
12/21	ISHARES RS 2000 VALUE	Dividend		12.69		
	DIVIDEND HOLDING 21.0000 PAY DATE 12/21/2018					

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## YOUR TAA TRANSACTIONS

December 01, 2018 - December 31, 2018

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)			
Date	Description	Transaction Type	Quantity
Taxable Dividends			
12/21	MFS VALUE FD CL I	Dividend	58.53
DIVIDEND PAY DATE 12/20/2018			
12/21	MFS VALUE FD CL I	*Long Term Capital Gain	124.58
LONG TERM CAPITAL GAIN PAY DATE 12/20/2018			
12/21	MFS VALUE FD CL I	Short Term Capital Gain	5.36
SHORT TERM CAPITAL GAIN PAY DATE 12/20/2018			
12/24	ISHARES MSCI EAFE	Dividend	64.44
DIVIDEND HOLDING 101.0000 PAY DATE 12/24/2018			
12/24	ISHARES MSCI JAPAN ETF	Dividend	23.92
SHS DIVIDEND HOLDING 59.0000 PAY DATE 12/24/2018			
12/24	ISHARES TR CORE MSCI EAF	Dividend	131.60
ETF DIVIDEND HOLDING 175.0000 PAY DATE 12/24/2018			
12/24	CARILLON EAGLE SMALL CAP	*Long Term Capital Gain	879.38
GROWTH FUND CL I LONG TERM CAPITAL GAIN PAY DATE 12/21/2018			
12/24	CAMBIAR INTERNATIONAL	*Long Term Capital Gain	13.16
EQUITY FUND INSTL CL LONG TERM CAPITAL GAIN PAY DATE 12/21/2018			
12/26	DELAWARE EMERGING	Dividend	30.96
MARKETS FUND INSTL CL DIVIDEND PAY DATE 12/24/2018			
12/27	REAL ESTATE SELECT	Dividend	32.81
SECTOR SPDR FD SHS DIVIDEND HOLDING 89.0000 PAY DATE 12/27/2018			
12/27	COMM SERVICES SELECT	Dividend	31.82
SECTOR DIVIDEND HOLDING 237.0000 PAY DATE 12/27/2018			
12/27	HEALTH CARE SELECT SPDR	Dividend	39.09
DIVIDEND HOLDING 103.0000 PAY DATE 12/27/2018			
12/27	CONSUMER DISCRETIONARY	Dividend	43.59
SPDR DIVIDEND HOLDING 116.0000 PAY DATE 12/27/2018			
12/27	SPDR US FINANCIAL SECTOR	Dividend	30.15
ETF DIVIDEND HOLDING 208.0000 PAY DATE 12/27/2018			

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## YOUR TAA TRANSACTIONS

December 01, 2018 - December 31, 2018

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)					
Date	Description	Transaction Type	Quantity	Income Cash	Principal Cash
Taxable Dividends					
12/31	FIRST TRUST CLOUD	Dividend		5.58	
	COMPUTING ETF DIVIDEND HOLDING-128.0000 PAY DATE 12/31/2018				
12/31	NUVEEN SMALL CAP VALUE	Dividend		46.53	
	FUND CL I DIVIDEND PAY DATE 12/31/2018				
12/31	CHARTWELL SMALL CAP	Dividend		17.95	
	VALUE FUND CLASS I DIVIDEND PAY DATE 12/31/2018				
12/31	CHARTWELL SMALL CAP	*Long Term Capital Gain			269.02
	VALUE FUND CLASS I LONG TERM CAPITAL GAIN PAY DATE 12/31/2018				
12/31	CHARTWELL SMALL CAP	Short Term Capital Gain			24.41
	VALUE FUND CLASS I SHORT TERM CAPITAL GAIN PAY DATE 12/31/2018				
12/31	SOUND SHORE FUND	Dividend		53.03	
	INSTL CL DIVIDEND PAY DATE 12/28/2018				
12/31	SOUND SHORE FUND	*Long Term Capital Gain			539.99
	INSTL CL LONG TERM CAPITAL GAIN PAY DATE 12/28/2018				
12/31	CAMBIAR INTERNATIONAL	Dividend		64.23	
	EQUITY FUND INSTL CL DIVIDEND PAY DATE 12/31/2018				
Subtotal (Taxable Dividends)				2,213.49	3,719.28
NET TOTAL				2,341.20	3,719.28
					15,560.20
* Long Term Capital Gain Distributions					3,236.79
					4,406.35

The long-term capital gain distribution amounts may change due to income reclassification information provided by the issuer. In particular, distributions made by Mutual Funds, REITs and UITs often need to be reclassified as a different type of distribution (including long-term capital gain distributions) after the end of the year in which the distribution was originally paid.

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## YOUR TAA TRANSACTIONS

December 01, 2018 - December 31, 2018

### SECURITY TRANSACTIONS TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement Date	Description	Transaction Type	Quantity	Transaction Amount	Commissions/Trading Fees	Income Cash	Principal Cash
Other Security Transactions							
12/10	OAKMARK INTL FD CL	Exchange	-243.0000				
	INVESTOR EXCHANGE SHARE CLASS CONVERSION SHARES AS OF 12/07/2018						
12/10	OAKMARK INTL FD CL	Exchange	242.6730				
	ADV EXCHANGE FRAC QUANTITY IS .673 SHARE CLASS CONVERSION SHARES AS OF 12/07/2018						
Subtotal (Other Security Transactions)							

### REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) Year to Date
<b>Subtotal (Long-Term)</b>							
							56,344.01
<b>Subtotal (Short-Term)</b>							
							5,418.59
<b>TOTAL</b>							
							61,762.60

ⓐ - Excludes transactions for which we have insufficient data  
The capital gains and losses shown above may not reflect all transactions which must be reported on your 2018 tax return. These reportable transactions will appear on your January statement.

### CASH/OTHER TRANSACTIONS

Date	Description	Transaction Type	Quantity	Income Cash	Principal Cash
<b>Other Debits/Credits</b>					
12/14	OAKMARK INTL FD CL	Foreign Tax Withholding		(18.93)	
	ADV FGN TAX DIV PAY DATE 12/13/2018				
12/17	FHLMC GO 8615 03 50%2044	Principal Payment			4.86
	AMORTIZED FACTOR 0.466732150 PRIN PAYMENT RECORD DATE: 11/30/2018 PAY DATE 12/15/2018				
12/17	FHLMC GO 8641 03 50%2045	Principal Payment			10.29

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## YOUR TAA TRANSACTIONS

December 01, 2018 - December 31, 2018

## CASH/OTHER TRANSACTIONS (continued)

Date	Description	Transaction Type	Quantity	Income Cash	Principal Cash
<b>Other Debits/Credits</b>					
12/17	AMORTIZED FACTOR 0.592409530 PRIN PAYMENT RECORD DATE: 11/30/2018 PAY DATE 12/15/2018				
12/17	FHLMC GO 8669 04%2045	■Principal Payment			36.84
12/17	AMORTIZED FACTOR 0.506666280 PRIN PAYMENT RECORD DATE: 11/30/2018 PAY DATE 12/15/2018				
12/17	FHLMC GO 8676 03 50%2045	■Principal Payment			33.13
12/17	PRIN PAYMENT RECORD DATE: 11/30/2018 PAY DATE 12/15/2018				
12/17	FHLMC GO 8687 03 50%2046	■Principal Payment			5.91
12/17	AMORTIZED FACTOR 0.647831310 PRIN PAYMENT RECORD DATE: 11/30/2018 PAY DATE 12/15/2018				
12/17	FHLMC GO 8693 03 50%2046	■Principal Payment			11.07
12/17	AMORTIZED FACTOR 0.652821390 PRIN PAYMENT RECORD DATE: 11/30/2018 PAY DATE 12/15/2018				
12/17	FHLMC GO 8737 03%2046	■Principal Payment			30.36
12/17	AMORTIZED FACTOR 0.852783670 PRIN PAYMENT RECORD DATE: 11/30/2018 PAY DATE 12/15/2018				
12/17	FHLMC GO 8786 04 50%2047	■Principal Payment			6.74
12/17	AMORTIZED FACTOR 0.831226800 PRIN PAYMENT RECORD DATE: 11/30/2018 PAY DATE 12/15/2018				
12/26	FNMA PMA3182 03 50%2047	■Principal Payment			25.78
12/26	AMORTIZED FACTOR 0.923930870 PRIN PAYMENT RECORD DATE: 11/30/2018 PAY DATE 12/25/2018				
12/26	FNMA PMA3210 03 50%2047	■Principal Payment			39.71
12/26	AMORTIZED FACTOR 0.928990740 PRIN PAYMENT RECORD DATE: 11/30/2018 PAY DATE 12/25/2018				
12/26	FNMA PAD8529 04 50%2040	■Principal Payment			18.72
12/26	AMORTIZED FACTOR 0.114744520 PRIN PAYMENT RECORD DATE: 11/30/2018 PAY DATE 12/25/2018				
12/26	FNMA PMA3443 04%2048	■Principal Payment			5.22
12/26	AMORTIZED FACTOR 0.974129420 PRIN PAYMENT RECORD DATE: 11/30/2018 PAY DATE 12/25/2018				
12/26	FNMA PAL6004 04 50%2043	■Principal Payment			9.84
12/26	AMORTIZED FACTOR 0.26231790 PRIN PAYMENT RECORD DATE: 11/30/2018 PAY DATE 12/25/2018				
12/26	FNMA PAL7767 04 50%2044	■Principal Payment			4.25
12/26	AMORTIZED FACTOR 0.499663690 PRIN PAYMENT RECORD DATE: 11/30/2018 PAY DATE 12/25/2018				
12/26	FNMA PMA2806 03%2046	■Principal Payment			5.80
12/26	AMORTIZED FACTOR 0.846554730 PRIN PAYMENT RECORD DATE: 11/30/2018 PAY DATE 12/25/2018				

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# YOUR TAA TRANSACTIONS

December 01, 2018 - December 31, 2018

## CASH/OTHER TRANSACTIONS (continued)

Date	Description	Transaction Type	Quantity	Income Cash	Principal Cash
<b>Other Debits/Credits</b>					
12/26	FNMA PAS7252 04 50%2046	■Principal Payment			.86
	AMORTIZED FACTOR 0.549721600 PRIN PAYMENT RECORD DATE: 11/30/2018 PAY DATE 12/25/2018				
12/26	FNMA PAL9107 04 50%2046	■Principal Payment			8.04
	AMORTIZED FACTOR 0.592625130 PRIN PAYMENT RECORD DATE: 11/30/2018 PAY DATE 12/25/2018				
12/26	FNMA PMA2959 03 50%2047	■Principal Payment			5.25
	AMORTIZED FACTOR 0.849962680 PRIN PAYMENT RECORD DATE: 11/30/2018 PAY DATE 12/25/2018				
12/26	FNMA PMA3008 04 50%2047	■Principal Payment			7.30
	AMORTIZED FACTOR 0.722841680 PRIN PAYMENT RECORD DATE: 11/30/2018 PAY DATE 12/25/2018				
12/26	FNMA PMA3073 04 50%2047	■Principal Payment			5.48
	AMORTIZED FACTOR 0.763802090 PRIN PAYMENT RECORD DATE: 11/30/2018 PAY DATE 12/25/2018				
12/31	P601DISBURSEMENT	Disbursement			(6,112.94)
	DISBURSEMENT Only unitrust distr per TA				
	<b>Subtotal (Other Debits/Credits)</b>			<b>(18.93)</b>	<b>(5,837.49)</b>
	<b>NET TOTAL</b>			<b>(18.93)</b>	<b>(5,837.49)</b>

## ADVISORY AND OTHER FEES

Date	Fee Type	Quantity	Description	Income Cash	Principal Cash
12/04	Advisory Program Fee		INV. ADVISORY FEE DEC APPLIED TO PRINCIPAL		(215.92)
12/04	Advisory Program Fee		INV. ADVISORY FEE DEC APPLIED TO INCOME	(215.92)	
12/11	TMA Fee		US TRUST FEE-PERIOD OF 10/27/2018 TO 11/30/2018 APPLIED TO PRINCIPAL BASED ON AVG MKT VAL OF- \$458,578.50		(83.33)

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## YOUR TAA TRANSACTIONS

December 01, 2018 - December 31, 2018

## ADVISORY AND OTHER FEES (continued)

Date	Fee Type	Quantity	Description	Income Cash	Principal Cash
12/11	TAA Fee		US TRUST FEE-PERIOD OF 10/27/2018 TO 11/30/2018 APPLIED TO INCOME BASED ON AVG MKT VAL OF- \$458,578.50	(83.33)	
NET TOTAL				(299.25)	(299.25)

## YOUR TAA MONEY ACCOUNT TRANSACTIONS

Date	Description	Withdrawals	Deposits	Date	Description	Withdrawals	Deposits
12/03	ML BANK DEPOSIT PROGRAM		1.00	12/18	ML BANK DEPOSIT PROGRAM		186.00
12/04	ML BANK DEPOSIT PROGRAM	432.00		12/19	ML BANK DEPOSIT PROGRAM		983.00
12/05	ML BANK DEPOSIT PROGRAM		216.00	12/20	ML BANK DEPOSIT PROGRAM		1,179.00
12/05	ML BANK DEPOSIT PROGRAM	216.00		12/21	ML BANK DEPOSIT PROGRAM		93.00
12/11	ML BANK DEPOSIT PROGRAM	167.00		12/24	ML BANK DEPOSIT PROGRAM		485.00
12/12	ML BANK DEPOSIT PROGRAM		84.00	12/26	ML BANK DEPOSIT PROGRAM		1,113.00
12/12	ML BANK DEPOSIT PROGRAM	83.00		12/27	ML BANK DEPOSIT PROGRAM		229.00
12/14	ML BANK DEPOSIT PROGRAM		89.00	12/28	ML BANK DEPOSIT PROGRAM		178.00
12/17	ML BANK DEPOSIT PROGRAM		743.00				
NET TOTAL				4,681.00			

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Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	12/29/2017	1/2/2018	Security Transactions	Purchase WESTERN ASSET SMASH SERIES EC FUND FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	LMECX	'9MEK5'	'5247003734'	2	'000'	0	159	9.22	-1,455.98
Settled	1/3/2018	1/5/2018	Security Transactions	Purchase MICROSOFT CORP GLB 03.700% AUG 08 2046 RATINGS ARE SUBJ. TO CHG MOODYS AAA S&P AAA CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 3.49% CALL DATE 2/08/46 CALL PRICE \$100.00. 147 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MSFT46	'C6C38'	'594918BT0'	1	'000'	0	1,000.00	103.61	-1,051.21
Settled	1/18/2018	1/22/2018	Security Transactions	Purchase SHELL INTERNATIONAL FIN COMPANY GUARNT GLB 02.500% SEP 12 2026 RATINGS ARE SUBJ. TO CHG MOODYS AA2 S&P AA MAKE-WHOLE CALL. DETAILS UPON REQUEST YLD TO MATURITY 3.00% MATURITY DATE 9/12/26. 130 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	RDS28	'E38W1'	'822582BX9'	3	'000'	0	2,000.00	86.196	-1,941.98
Settled	1/22/2018	1/24/2018	Security Transactions	Purchase ISHARES RS 2000 GROWTH PRODUCT DESCRIPTION ENCL. ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	IWO	'385M6'	'464287648'	3	'000'	0	1	195.95	-195.95
Settled	1/22/2018	1/24/2018	Security Transactions	Sale ISHARES RS 2000 VALUE ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	IWN	'385P9'	'464287630'	4	'000'	0	-1	130.38	130.37
Settled	1/22/2018	1/24/2018	Security Transactions	Purchase ISHARES MSCI EAFE ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	EFA	'31CT9'	'464287465'	2	'000'	0	2	74.31	-148.62
Settled	1/22/2018	1/24/2018	Security Transactions	Purchase ISHARES TR CORE MSCI EAF ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	IEFA	'39T52'	'464325842'	5	'000'	0	4	69.83819	-279.35
Settled	1/22/2018	1/23/2018	Security Transactions	Purchase HARDING LOEVNER INTL EQUITY PT FD INSTL CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	HLMIX	'9KY55'	'412295107'	4	'000'	0	11	24.17	-265.87
Settled	1/22/2018	1/23/2018	Security Transactions	Sale NUVEEN SMALL CAP VALUE FUND CL I PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	FSCCX	'9KW56'	'570678200'	3	'000'	0	-18	27.88	501.84
Settled	1/22/2018	1/23/2018	Security Transactions	Purchase CHARTWELL SMALL CAP VALUE FUND CLASS I PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CWSIX	'9MO86'	'161407508'	8	'000'	0	-13	20.45	265.85
Settled	1/22/2018	1/23/2018	Security Transactions	Purchase CAMBIAR INTERNATIONAL EQUITY FUND INVESTOR CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CAMIX	'9MP25'	'00758M139'	9	'000'	0	8	30.15	-241.2

Activity Status	Trade/Transa ction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	1/22/2018	1/23/2018	Security Transactions	Purchase THE OAKMARK INTL FUND INV CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	OAKIX	'9EHF3'	'413838202'	1	'000'	0	4	30.69	-122.76
Settled	1/22/2018	1/23/2018	Security Transactions	Sale DELAWARE EMERGING MARKETS FUND INSTL CL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	DEMIX	'9KTH9'	'245914817'	2	0	0	-23	21.7	499.1
Settled	1/22/2018	1/23/2018	Security Transactions	Sale T ROWE PRICE EMERGING MARKETS STOCK FD RETAIL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	PRMSX	'9KGD2'	'77956H864'	5	0	0	-21	48.12	1,010.52
Settled	1/22/2018	1/23/2018	Security Transactions	Purchase WELLS FARGO SMALL COMPANY GROWTH FD INSTL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	WSCGX	'9PK42'	'949921571'	10	'000'	0	4	56.65	-226.6
Settled	1/22/2018	1/23/2018	Security Transactions	Purchase CARILLON EAGLE SMALL CAP GROWTH FUND CL I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	HSIX	'9L6B4'	'14214L486'	7	'000'	0	7	66.31	-464.17
Settled	1/22/2018	1/23/2018	Security Transactions	Purchase OPPENHEIMER INTERNATL GROWTH FD CL Y FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	OIGYX	'9LX99'	'66380L407'	6	'000'	0	3	46.04	-138.12
Settled	1/30/2018	2/1/2018	Security Transactions	Purchase MICROSOFT CORP EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	MSFT	'48622'	'584918104'	11	'000'	0	24	93.08677	-2,234.13
Settled	1/30/2018	2/1/2018	Security Transactions	Sale DOVER CORP EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	DOV	'24456'	'260003108'	6	0	0	-7	102.925375	720.46
Settled	1/30/2018	2/1/2018	Security Transactions	Sale THERMO FISHER SCIENTIFIC INC EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	TMO	'74058'	'863556102'	15	0	0	-3	214.661302	643.97
Settled	1/30/2018	2/1/2018	Security Transactions	Purchase ABBVIE INC SHS EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	ABBV	'02FC0'	'00287Y109'	4	'000'	0	12	117.979525	-1,415.75

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	1/30/2018	2/1/2018	Security Transactions	Purchase LOWES COMPANIES INC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	LOW	'45714'	'548661107'	10	'000'	0	8	105.486518	-843.89
Settled	1/30/2018	2/1/2018	Security Transactions	Purchase BOEING COMPANY EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	BA	'09728'	'097023105'	5	'000'	0	3	336.779438	-1,010.34
Settled	1/30/2018	2/1/2018	Security Transactions	Sale ADOBE SYSTEMS INC RSTD SHS EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	ADBE	'00380'	'00724F101'	1	0	0	-3	196.081829	588.24
Settled	1/30/2018	2/1/2018	Security Transactions	Sale ALIGN TECH INC DEL. COM EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	ALGN	'018L3'	'016255101'	3	0	0	-4	262.690917	1,050.74
Settled	1/30/2018	2/1/2018	Security Transactions	Sale BROADCOM LTD EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	AVGO	'608N1'	'Y09827109'	13	0	0	-2	239.26709	478.52
Settled	1/30/2018	2/1/2018	Security Transactions	Sale FEDEX CORP DELAWARE COM EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	FDX	'283L2'	'31428X106'	8	0	0	-6	262.560107	1,575.32
Settled	1/30/2018	2/1/2018	Security Transactions	Purchase UNITEDHEALTH GROUP INC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	UNH	'76B75'	'91324P102'	16	'000'	0	6	237.481631	-1,424.89
Settled	1/30/2018	2/1/2018	Security Transactions	Sale INTERCONTINENTAL EXCHANGE INC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	ICE	'398P2'	'45866F104'	9	0	0	-10	73.918164	739.16
Settled	1/30/2018	2/1/2018	Security Transactions	Purchase PROGRESSIVE CORP OHIO EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	PGR	'63010'	'743315103'	14	'000'	0	15	53.722451	-805.84

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SQL	Quantity	Price	Amount
Settled	1/30/2018	2/1/2018	Security Transactions	Sale NETFLIX COM INC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	AMG	'004W6'	'008252108'	2		0	-3	205.528568	616.58
Settled	1/30/2018	2/1/2018	Security Transactions	Sale EXACT SCIENCES CORP COM EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	NFLX	'53AW2'	'64110L106'	12		0	-2	274.639028	549.27
Settled	1/30/2018	2/1/2018	Security Transactions	Purchase PROGRESSIVE GRP OHIO EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	EXAS	'25876'	'30063P105'	7		0	-24	49.169503	1,180.04
Settled	1/31/2018	2/2/2018	Security Transactions	Sale AFFILIATED MANAGERS GRP EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	PGR	'63010'	'743315103'	5	'000'	0	10	53.955508	-539.56
Settled	1/31/2018	2/2/2018	Security Transactions	Sale INTERCONTINENTAL EXCHANGE INC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	AMG	'004W6'	'008252108'	1		0	-3	200.22967	600.68
Settled	1/31/2018	2/2/2018	Security Transactions	Purchase LOWE'S COMPANIES INC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	ICE	'398P2'	'45886F104'	3		0	-9	73.983214	665.83
Settled	1/31/2018	2/2/2018	Security Transactions	Purchase BOEING COMPANY EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	LOW	'45714'	'548661107'	4	'000'	0	4	105.299224	-421.2
Settled	1/31/2018	2/2/2018	Security Transactions	Sale AFFILIATED MANAGERS GRP EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	BA	'08728'	'087023105'	2	'000'	0	1	367.598049	-357.6
Settled	2/1/2018	2/5/2018	Security Transactions	MAKING A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	AMG	'004W6'	'008252108'	1		0	-1	198.591063	198.58

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	2/1/2018	2/5/2018	Security Transactions	Purchase PROGRESSIVE GRP OHIO EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	PGR	'63010'	743315103'	3	'000'	0	4	53.686011	-214.74
Settled	2/1/2018	2/5/2018	Security Transactions	Purchase LOWES COMPANIES INC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	LOW	'45714'	'548661107'	2	'000'	0	2	103.868448	-207.74
Settled	2/7/2018	2/9/2018	Security Transactions	Sale LAUDER ESTEE COS INC A EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	EL	'43273'	'518439104'	6	'000'	0	-3	135.903925	407.7
Settled	2/7/2018	2/9/2018	Security Transactions	Purchase MICROSOFT CORP EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	MSFT	'49522'	'594918104'	7	'000'	0	8	90.76967	-726.16
Settled	2/7/2018	2/9/2018	Security Transactions	Sale DANAHER CORP DEL COM EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	DHR	'22576'	'235851102'	4	'000'	0	-19	96.250581	1,828.72
Settled	2/7/2018	2/9/2018	Security Transactions	Purchase EDWARDS LIFESCIENCES GRP EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	EW	'25795'	'28178E108'	5	'000'	0	6	130.162556	-780.98
Settled	2/8/2018	2/12/2018	Security Transactions	Purchase EDWARDS LIFESCIENCES GRP EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	EW	'25785'	'28178E108'	1	'000'	0	5	128.217847	-641.08
Settled	2/20/2018	2/22/2018	Security Transactions	Sale BP CAPITAL MARKETS PLC COMPANY GUARNT GLB 03.506% MAR 17 2025 MAKE-WHOLE CALL. DETAILS UPON REQUEST YLD TO MATURITY 3.54% MATURITY DATE 3/17/25. 155 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	BP25	'F1H59'	'05566QDA3'	1	'000'	0	-1,000.00	99.787	1,012.97
Settled	2/20/2018	2/22/2018	Security Transactions	Purchase U.S. TREASURY BOND 3.000% MAY 15 2045 03.000% MAY 15 2045 YLD TO MATURITY 3.14% MATURITY DATE 5/15/45. 99 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	'H25W6'	'912810RM2'	2	'000'	0	1,000.00	97.316406	-981.36	

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	2/27/2018	2/27/2018	Security Transactions	Exchange THE PRICELINE GROUP INC PAY DATE 02/27/2018		'591D6'	'741503403'	4 '000'			-1		0
Settled	2/27/2018	2/27/2018	Security Transactions	Exchange BOOKING HLDGS INC USD0.008 PAY DATE 02/27/2018	BKNG	'095C3'	'09857L108'	3 '000'			1		0
Settled	3/5/2018	3/7/2018	Security Transactions	Purchase APPLE INC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	AAPL	'05001'	'037833100'	1 '000'		0	3	176.053143	-528.16
Settled	3/5/2018	3/7/2018	Security Transactions	Sale NVIDIA EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	NVDA	'528G9'	'67066G104'	2		0	-2	231.268669	462.53
Settled	3/5/2018	3/7/2018	Security Transactions	Sale NETFLIX COM INC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	NFLX	'53AW2'	'64110L106'	3		0	-2	300.430042	600.85
Settled	3/5/2018	3/8/2018	Security Transactions	Sale SPDR US FINANCIAL SECTOR ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	XLFI	'673G3'	'81369Y605'	12		0	-68	28.88	1,963.79
Settled	3/5/2018	3/8/2018	Security Transactions	Sale HEALTH CARE SELECT SPDR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	XLV	'673F6'	'81369Y209'	10		0	-5	83.9701	419.84
Settled	3/5/2018	3/8/2018	Security Transactions	Sale VANGUARD INFORMATION TECH ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	VGIT	'31FM7'	'92204A702'	5		0	-36	179.2	6,451.05
Settled	3/5/2018	3/8/2018	Security Transactions	Purchase REAL ESTATE SELECT SECTOR SPDR FD SHS ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	XLRE	'31MV5'	'81369Y860'	8 '000'		0	14	30.37	-425.18
Settled	3/5/2018	3/8/2018	Security Transactions	Sale VANGUARD INDUSTRIAL ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	VIS	'31FX1'	'92204A603'	6		0	-2	142.4306	284.85
Settled	3/5/2018	3/8/2018	Security Transactions	Purchase CONSUMER DISCRETIONARY SPDR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	XLV	'673G1'	'81369Y407'	11 '000'		0	13	104.63	-1,360.19

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	3/8/2018	3/8/2018	Security Transactions	Sale ISHARES NASDAQ BIOTECH ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	IBB	'31CM7'	'484287556'	3		0	-3	110.5106	331.52
Settled	3/8/2018	3/8/2018	Security Transactions	Purchase FIRST TRUST CLOUD COMPUTING ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	SKYY	'39RY4'	'33734X192'	9	'000'	0	64	50.3	-3,219.20
Settled	3/6/2018	3/6/2018	Security Transactions	Purchase FIRST TR EXCHANGE TRADED FD DOW JONES IN ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	FDN	'31KW2'	'33733E302'	7	'000'	0	23	127.5137	-2,932.82
Settled	3/6/2018	3/6/2018	Security Transactions	Purchase VANGUARD CONSUMER STAPLES ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	VDC	'31FM0'	'92204A207'	4	'000'	0	4	139.2698	-557.08
Settled	3/19/2018	3/19/2018	Security Transactions	Exchange CAMBIAR INTERNATIONAL EQUITY FUND INSTL CL FRAC QUANTITY IS .472 SHARE CLASS CONVERSION SHARES-AS OF 03/16/2018	CAMVX	'97N12'	'00769G543'	5	TLF		215.472		0
Settled	3/19/2018	3/19/2018	Security Transactions	Exchange CAMBIAR INTERNATIONAL EQUITY FUND INVESTOR CL SHARE CLASS CONVERSION SHARES-AS OF 03/16/2018	CAMIX	'9MP25'	'00758M139'	4	TLF		-216		0
Settled	3/28/2018	4/2/2018	Security Transactions	Sale FACEBOOK INC CLASS A COMMON STOCK EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	FB	'291S7'	'30303M102'	7		0	-5	152.592252	762.94
Settled	3/28/2018	4/2/2018	Security Transactions	Purchase VISA INC CL A SHRS EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	V	'79EC8'	'92826C839'	11	'000'	0	12	117.062152	-1,404.75
Settled	3/28/2018	4/2/2018	Security Transactions	Purchase COGNIZANT TECH SOLUTIONS A EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	CTSH	'133W6'	'192446102'	6	'000'	0	14	80.125054	-1,121.75
Settled	3/28/2018	4/2/2018	Security Transactions	Sale ORACLE CORP \$0.01 DEL EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	ORCL	'58010'	'68389X105'	10		0	-43	45.021569	1,935.89

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	3/28/2018	4/2/2018	Security Transactions	Purchase APPLE INC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	AAPL	'05001'	'037833100'	5	'000'	0	3	187.344192	-502.03
Settled	3/28/2018	4/2/2018	Security Transactions	Sale AMAZON COM INC COM EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	AMZN	'011K2'	'023135106'	4	0	0	-1	1,435.91	1,435.88
Settled	3/28/2018	4/2/2018	Security Transactions	Sale ADOBE SYSTEMS INC RSTD SHS EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	ADBE	'00380'	'00724F101'	3	0	0	-2	212.274456	424.54
Settled	3/28/2018	4/2/2018	Security Transactions	Sale NVIDIA EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	NVDA	'528G9'	'67066G104'	9	0	0	-2	223.889406	447.77
Settled	3/28/2018	4/2/2018	Security Transactions	Purchase MICROSOFT CORP EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	MSFT	'48522'	'594918104'	8	'000'	0	9	90.156937	-811.41
Settled	4/2/2018	4/4/2018	Security Transactions	Sale ISHARES NASDAQ BIOTECH ETF PER ADVISORY AGREEMENT.	IBB	'31CM7'	'464287556'	12	0	0	-1	102.759	102.75
Settled	4/2/2018	4/4/2018	Security Transactions	Sale DOVER CORP WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	DOV	'24456'	'260003108'	7	0	0	-1	95.2975	95.29
Settled	4/2/2018	4/4/2018	Security Transactions	Sale CSX CORP WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	CSX	'12568'	'126408103'	4	0	0	-1	54.3906	54.38
Settled	4/2/2018	4/4/2018	Security Transactions	Sale ECOLAB INC WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	ECL	'25731'	'278865100'	8	0	0	-1	133.8574	133.85
Settled	4/2/2018	4/4/2018	Security Transactions	Sale SALESFORCE COM INC WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	CRM	'67897'	'79466L302'	34	0	0	-1	114.9362	114.93
Settled	4/2/2018	4/4/2018	Security Transactions	Sale FEDEX CORP DELAWARE COM WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	FDX	'283L2'	'31428X106'	9	0	0	-1	237.4092	237.4
Settled	4/2/2018	4/4/2018	Security Transactions	Sale FACEBOOK INC CLASS A COMMON STOCK WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	FB	'291S7'	'30303M102'	10	0	0	-1	155.59	155.58

Activity Status	Trade/Transa ction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	4/2/2018	4/4/2018	Security Transactions	Sale FIRST TR EXCHANGE TRADED FD DOW JONES IN PER ADVISORY AGREEMENT.	FDN	'31KW2'	'33733E302'	18	0	-1	117.55	117.55	117.54
Settled	4/2/2018	4/4/2018	Security Transactions	ISHARES TR CORE MSCI EAF ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	IEFA	'39T52'	'46432F842'	24	0	-1	64.7152	64.7152	64.71
Settled	4/2/2018	4/4/2018	Security Transactions	Sale REAL ESTATE SELECT SECTOR SPDR FD SHS PER ADVISORY AGREEMENT.	XLRE	'31MV5'	'81369Y860'	19	0	-5	30.8069	30.8069	154.02
Settled	4/2/2018	4/4/2018	Security Transactions	Sale PAYPAL HOLDINGS INC SHS WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	PYPL	'60738'	'70450Y103'	29	0	-1	75.0176	75.0176	75.01
Settled	4/2/2018	4/4/2018	Security Transactions	Sale FIRST TRUST CLOUD COMPUTING ETF PER ADVISORY AGREEMENT.	SKYY	'39RY4'	'33734X192'	23	0	-3	47.1852	47.1852	141.55
Settled	4/2/2018	4/4/2018	Security Transactions	Sale ALPHABET INC SHS CL A WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	GOOGL	'02JIE2'	'02079K305'	2	0	-1	1,004.94	1,004.94	1,004.92
Settled	4/2/2018	4/4/2018	Security Transactions	Sale INTUITIVE SURGICAL INC NEW WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	ISRG	'388C2'	'46120E602'	22	0	-1	401.6075	401.6075	401.6
Settled	4/2/2018	4/4/2018	Security Transactions	Sale MICROSOFT CORP WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	MSFT	'49522'	'594918104'	28	0	-1	88.315	88.315	88.31
Settled	4/2/2018	4/4/2018	Security Transactions	Sale LAUDER ESTEE COS INC A WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	EL	'432T3'	'518439104'	26	0	-1	147.6925	147.6925	147.68
Settled	4/2/2018	4/4/2018	Security Transactions	Sale AMERICAN TOWER REIT INC (HLDG CO) SHS PER ADVISORY AGREEMENT. ML ACTED AS AGENT	AMT	'02D61'	'03027X100'	1	0	-1	144.045	144.045	144.04
Settled	4/2/2018	4/4/2018	Security Transactions	Sale GENL DYNAMICS CORP COM WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	GD	'31564'	'369550108'	20	0	-1	217.0155	217.0155	217.01
Settled	4/2/2018	4/4/2018	Security Transactions	Sale APPLE INC WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	AAPL	'05001'	'037833100'	3	0	-1	165.1532	165.1532	165.14
Settled	4/2/2018	4/4/2018	Security Transactions	Sale COSTCO WHOLESALE CRP DEL WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	COST	'140R9'	'22160K105'	5	0	-1	182.3418	182.3418	182.33
Settled	4/2/2018	4/4/2018	Security Transactions	Sale CONSUMER DISCRETIONARY SPDR PER ADVISORY AGREEMENT.	XLV	'673G1'	'81369Y407'	32	0	-2	98.245	98.245	196.48
Settled	4/2/2018	4/4/2018	Security Transactions	Sale VANGUARD INFORMATION TECH ETF PER ADVISORY AGREEMENT.	VGT	'31FM7'	'92204A702'	15	0	-1	166.6666	166.6666	166.66
Settled	4/2/2018	4/4/2018	Security Transactions	Sale ISHARES CORE S&P MID-CAP ETF PER ADVISORY AGREEMENT.	IJH	'31CJ8'	'464287507'	11	0	-1	183.462	183.462	183.45
Settled	4/2/2018	4/4/2018	Security Transactions	Sale VANGUARD MID-CAP ETF PER ADVISORY AGREEMENT.	VO	'31FL8'	'922908629'	13	0	-1	150.7204	150.7204	150.71

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	4/2/2018	4/4/2018	Security Transactions	Sale VANGUARD INDUSTRIAL ETF PER ADVISORY AGREEMENT.	VIS	'31FX1'	'92204A603'	16	0	0	-3	135.671	407
Settled	4/2/2018	4/4/2018	Security Transactions	Sale INTERCONTINENTAL EXCHANGE INC WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	ICE	'398P2'	'45866F104'	25	0	0	-1	70.604	70.59
Settled	4/2/2018	4/4/2018	Security Transactions	Sale HONEYWELL INTL INC DEL WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	HON	'349M1'	'438516106'	21	0	0	-1	141.5218	141.51
Settled	4/2/2018	4/4/2018	Security Transactions	Sale UNITEDHEALTH GROUP INC WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	UNH	'78BTS'	'91324P102'	37	0	0	-1	217.7331	217.72
Settled	4/2/2018	4/4/2018	Security Transactions	Sale PROGRESSIVE CRP OHIO WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	PGR	'63010'	'743315103'	30	0	0	-1	59.7176	59.71
Settled	4/2/2018	4/4/2018	Security Transactions	Sale TX COS INC NEW WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	TLX	'733F2'	'872540109'	35	0	0	-1	80.4938	80.48
Settled	4/2/2018	4/4/2018	Security Transactions	Sale THERMO FISHER SCIENTIFIC INC PER ADVISORY AGREEMENT. ML ACTED AS AGENT	TMO	'74058'	'883556102'	36	0	0	-1	202.8721	202.86
Settled	4/2/2018	4/4/2018	Security Transactions	Sale ZOEITIS INC WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	ZTS	'8CEMO'	'96978V103'	38	0	0	-1	81.5182	81.51
Settled	4/2/2018	4/4/2018	Security Transactions	Sale VANGUARD DIVIDEND APPRECIATION ETF PER ADVISORY AGREEMENT.	VIG	'31KM9'	'921908844'	17	0	0	-5	98.5846	492.91
Settled	4/2/2018	4/4/2018	Security Transactions	Sale HEALTH CARE SELECT SPDR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	XLV	'673F6'	'81369Y209'	31	0	0	-2	79.56	159.11
Settled	4/2/2018	4/4/2018	Security Transactions	Sale SPDR US FINANCIAL SECTOR ETF PER ADVISORY AGREEMENT.	XLF	'673G3'	'81369Y605'	33	0	0	-2	26.802	53.59
Settled	4/2/2018	4/4/2018	Security Transactions	Sale CONSTELLATION BRANDS INC WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	STZ	'143E5'	'21036P108'	6	0	0	-1	228.8963	228.89
Settled	4/2/2018	4/4/2018	Security Transactions	Sale VANGUARD CONSUMER STAPLES ETF PER ADVISORY AGREEMENT.	VDC	'31FM0'	'92204A207'	14	0	0	-1	133.468	133.46
Settled	4/2/2018	4/4/2018	Security Transactions	Sale MASTERCARD INC PER ADVISORY AGREEMENT. ML ACTED AS AGENT	MA	'48L15'	'57636Q104'	27	0	0	-1	171.0152	171.01
Settled	4/2/2018	4/3/2018	Security Transactions	Sale HARDING LOEVNER INTL EQUITY PT FD INSTL CL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	HLMIX	'9KRY55'	'412285107'	2	0	0	-3	22.66	67.98
Settled	4/2/2018	4/3/2018	Security Transactions	Sale WELLS FARGO SMALL COMPANY GROWTH FD INSTL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	WSCGX	'9PK42'	'949921571'	8	0	0	-1	54.36	54.36
Settled	4/2/2018	4/3/2018	Security Transactions	Sale CAMBIA INTERNATIONAL EQUITY FUND INSTL CL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CAMYX	'97NI2'	'00768G543'	7	0	0	-1	27.8	27.8

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	4/2/2018	4/3/2018	Security Transactions	Sale T ROWE PRICE EMERGING MARKETS STOCK FD INV CL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	PRMSX	'9K6D2'	'77956H864'	3		0	-3	45.51	136.53
Settled	4/2/2018	4/3/2018	Security Transactions	Sale DELAWARE EMERGING MARKETS FUND INSTL CL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	DEMIX	'9KTH9'	'245914817'	1		0	-1	18.92	18.92
Settled	4/2/2018	4/3/2018	Security Transactions	Sale CHARTWELL SMALL CAP VALUE FUND CLASS I PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CWSIX	'9MOC6'	'16140T509'	5		0	-1	19.01	19.01
Settled	4/2/2018	4/3/2018	Security Transactions	Sale OPPENHEIMER INTERNATL GROWTH FD CL Y PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	OIGYX	'9LX89'	'68360L407'	4		0	-1	43.19	43.19
Settled	4/5/2018	4/5/2018	Security Transactions	Exchange BROADCOM LTD PAY DATE 04/05/2018	'608N1'	'Y09827109'		3 '000'			-6		0
Settled	4/5/2018	4/5/2018	Security Transactions	Exchange BROADCOM LTD PAY DATE 04/05/2018	AVGO	'070U8'	'11135F101'	2 '000'			6		0
Settled	4/12/2018	4/13/2018	Security Transactions	Sale U.S. TRSY INFLATION NTE 0.125% JAN 15 2023 INFL ADJ PRIN FOR 1.0757 YLD TO MATURITY 0.51% MATURITY DATE 1/15/23. 88 DAYS INTEREST PER ADVISORY AGREEMENT. REF CPI @ PUR: 248.31860 REF CPI @ ISS: 230.82203 INDEX RATIO: 1.07579 INFL ADJ PRIN: 2151.58 ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FALLS CHARGE SEE	'H26C1'	'912828UJH1'	1		0	-2,000.00		98.171875	2,112.80
Settled	4/12/2018	4/13/2018	Security Transactions	Purchase U.S. TREASURY NOTE 2.125% SEP 30 2021 YLD TO MATURITY 2.56% MATURITY DATE 9/30/21. 13 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	'H2682'	'912828F21'	2 '000'		0	2,000.00		98.566406	-1,972.84
Settled	4/16/2018	4/18/2018	Security Transactions	Sale UNITEDHEALTH GROUP INC WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	UNH	'76B75'	'91324P102'	59		0	-5	230.73	1,163.62
Settled	4/16/2018	4/18/2018	Security Transactions	Purchase VANGUARD INFORMATION TECH ETF ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	VGT	'31FM7'	'92204A702'	31 '000'		0	7	174.6185	-1,222.34
Settled	4/16/2018	4/18/2018	Security Transactions	Purchase SPDR US FINANCIAL SECTOR ETF ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	XLF	'673G3'	'81369Y605'	55 '000'		0	20	27.7	-554
Settled	4/16/2018	4/18/2018	Security Transactions	Purchase VANGUARD INDUSTRIAL ETF ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	VIS	'31FX1'	'92204A603'	32 '000'		0	9	140.3987	-1,263.59
Settled	4/16/2018	4/18/2018	Security Transactions	Sale VANGUARD DIVIDEND APPRECIATION ETF PER ADVISORY AGREEMENT.	VIG	'31KM9'	'921908844'	33		0	-278	102.2978	28,438.13
Settled	4/16/2018	4/18/2018	Security Transactions	Sale THERMO FISHER SCIENTIFIC INC PER ADVISORY AGREEMENT. ML ACTED AS AGENT	TMO	'74058'	'883558102'	58		0	-6	216.6104	1,299.63

Activity Status	Trade/Transa ction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	J/E ID	SOL	Quantity	Price	Amount
Settled	4/16/2018	4/18/2018	Security Transactions	Sale ZOEITIS INC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	ZTS	'8CEM0'	'98978V103'	61	0	0	-31	84.4566	2,618.09
Settled	4/16/2018	4/18/2018	Security Transactions	Purchase CONSUMER DISCRETIONARY SPDR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	XLV	'673G1'	'81369Y407'	54	'000'	0	12	102.1578	-1,225.89
Settled	4/16/2018	4/18/2018	Security Transactions	Sale TX COS INC NEW WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	TJX	'733F2'	'872540109'	57	0	0	-17	82.8022	1,407.61
Settled	4/16/2018	4/18/2018	Security Transactions	PER ADVISORY AGREEMENT. ML ACTED AS AGENT	STZ	'143E5'	'21036P108'	18	0	0	-9	226.63	2,039.62
Settled	4/16/2018	4/18/2018	Security Transactions	Purchase HEALTH CARE SELECT SPDR PER ADVISORY AGREEMENT.	XLV	'673F6'	'81369Y209'	53	'000'	0	11	82.7099	-909.81
Settled	4/16/2018	4/18/2018	Security Transactions	Purchase VANGUARD CONSUMER STAPLES ETF PER ADVISORY AGREEMENT.	VDC	'31FM0'	'92204A207'	29	'000'	0	5	138.0347	-690.17
Settled	4/16/2018	4/18/2018	Security Transactions	Sale PROGRESSIVE CRP OHIO WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	PGR	'63010'	'743315103'	52	0	0	-28	61.055	1,709.50
Settled	4/16/2018	4/18/2018	Security Transactions	Sale VISA INC CL A SHRS PER ADVISORY AGREEMENT. ML ACTED AS AGENT	V	'78EC6'	'92826C839'	60	0	0	-12	122.0499	1,464.57
Settled	4/16/2018	4/18/2018	Security Transactions	Purchase VANGUARD MATERIALS ETF PER ADVISORY AGREEMENT.	VAV	'31FM6'	'92204A801'	30	'000'	0	1	133.5902	-133.59
Settled	4/16/2018	4/18/2018	Security Transactions	Sale VANGUARD MID-CAP ETF PER ADVISORY AGREEMENT.	VO	'31FL8'	'922908629'	28	0	0	-91	155.629	14,161.91
Settled	4/16/2018	4/18/2018	Security Transactions	Purchase REAL ESTATE SELECT SECTOR SPDR FD SHS PER ADVISORY AGREEMENT.	XLRE	'31MV5'	'81369Y860'	35	'000'	0	9	30.718	-276.46
Settled	4/16/2018	4/18/2018	Security Transactions	Sale MICROSOFT CORP WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	MSFT	'49522'	'594918104'	48	0	0	-40	94.1821	3,767.19
Settled	4/16/2018	4/18/2018	Security Transactions	Sale NVIDIA WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	NVDA	'528G9'	'67066G104'	49	0	0	-6	231.7925	1,390.73
Settled	4/16/2018	4/18/2018	Security Transactions	PAYPAL HOLDINGS INC SHS WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	PYPL	'60738'	'70450Y103'	51	0	0	-27	77.9565	2,104.78
Settled	4/16/2018	4/18/2018	Security Transactions	Purchase FIRST TRUST CLOUD COMPUTING ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	SKYY	'39RY4'	'33734X192'	41	'000'	0	14	49.7591	-696.63
Settled	4/16/2018	4/18/2018	Security Transactions	Sale NETFLIX COM INC WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	NFLX	'53AAW2'	'64110L106'	50	0	0	-7	306.1908	2,143.29

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	4/16/2018	4/18/2018	Security Transactions	Sale AMAZON COM INC COM EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	AMZN	'011K2'	'023135106'	6	0	0	-2	1,441.08	2,882.10
Settled	4/16/2018	4/18/2018	Security Transactions	Sale ADOBE SYSTEMS INC RSTD SHS WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	ADBE	'00380'	'00724F101'	4	0	0	-10	226.994	2,259.88
Settled	4/16/2018	4/18/2018	Security Transactions	Sale ALIGN TECH INC DEL COM WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	ALGN	'018L3'	'016255101'	7	0	0	-5	266.97	1,334.82
Settled	4/16/2018	4/18/2018	Security Transactions	Sale APPLE INC WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	AAPL	'05001'	'037833100'	11	0	0	-21	176.8638	3,693.05
Settled	4/16/2018	4/18/2018	Security Transactions	Sale ABBVIE INC SHS WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	ABBV	'02FC0'	'00287Y109'	9	0	0	-12	92.7963	1,113.53
Settled	4/16/2018	4/18/2018	Security Transactions	Sale BROADCOM LTD WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	AVGO	'070U8'	'111335101'	12	0	0	-6	248.8298	1,492.95
Settled	4/16/2018	4/18/2018	Security Transactions	Sale COGNIZANT TECH SOLUTIONS A WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	CTSH	'133W6'	'192446102'	16	0	0	-14	81.8118	1,145.34
Settled	4/16/2018	4/18/2018	Security Transactions	Sale COSTCO WHOLESALE CORP DEL WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	COST	'140R8'	'22160K105'	17	0	0	-10	185.1752	1,951.70
Settled	4/16/2018	4/18/2018	Security Transactions	Sale BOOKING HLDGS INC USD0.008 WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	BKNG	'095C3'	'09857L108'	13	0	0	-1	2,112.63	2,112.58
Settled	4/16/2018	4/18/2018	Security Transactions	Sale INTUITIVE SURGICAL INC NEW WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	ISRG	'388C2'	'46120E802'	40	0	0	-3	420.0897	1,260.24
Settled	4/16/2018	4/18/2018	Security Transactions	Sale ALPHABET INC SHS CL A WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	GOOGL	'02J12'	'02079K305'	10	0	0	-2	1,047.67	2,095.30
Settled	4/16/2018	4/18/2018	Security Transactions	Purchase ISHARES MSCI JAPAN ETF SHS ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	IEFA	'39T52'	'46432F842'	43	000'	0	46	66.9388	-3,079.18
Settled	4/16/2018	4/18/2018	Security Transactions	Purchase ISHARES MSCI JAPAN ETF SHS ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	EWJ	'39S20'	'46434G822'	42	000'	0	17	60.4174	-1,027.10
Settled	4/16/2018	4/18/2018	Security Transactions	Sale LOWES COMPANIES INC WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	LOW	'45714'	'548661107'	46	0	0	-14	86.4566	1,210.36
Settled	4/16/2018	4/18/2018	Security Transactions	Sale SALESFORCE COM INC WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	CRM	'67897'	'79466L302'	56	0	0	-20	119.6176	2,382.29

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JEID	SOL	Quantity	Price	Amount	
Settled	4/16/2018	4/18/2018	Security Transactions	Sale HONEYWELL INTL INC DEL WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	HON	'349M1'	'438516106'	37	0	-12	147.675	1,772.06		
Settled	4/16/2018	4/18/2018	Security Transactions	Purchase ISHARES NASDAQ BIOTECH ETF PRODUCT DESCRIPTION ENCL PER ADVISORY AGREEMENT.	IBB	'31CM7'	'464287556'	26	000	0	5	105.7992	-529	
Settled	4/16/2018	4/18/2018	Security Transactions	Sale GENL DYNAMICS CORP COM WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	GD	'31564'	'369650108'	36	0	-12	218.766	2,625.13		
Settled	4/16/2018	4/18/2018	Security Transactions	Sale DOVER CORP EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	DOV	'24456'	'260003108'	19	0	-14	94.5272	1,323.35		
Settled	4/16/2018	4/18/2018	Security Transactions	Sale FEDEX CORP DELAWARE COM WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	FDX	'283L2'	'31428X106'	22	0	-5	249.6891	1,248.32		
Settled	4/16/2018	4/18/2018	Security Transactions	Sale FACEBOOK INC CLASS A COMMON STOCK WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	FB	'291S7'	'30303M102'	23	0	-9	165.2769	1,487.46		
Settled	4/16/2018	4/18/2018	Security Transactions	Purchase ISHARES RUSSELL 1000 VALUE PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	IWD	'31CK1'	'464287598'	25	000	0	348	121.861	-42,407.63	
Settled	4/16/2018	4/18/2018	Security Transactions	Sale ISHARES CORE S&P MID-CAP ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	IJH	'31CJ8'	'464287507'	24	0	-44	190.0736	8,363.05		
Settled	4/16/2018	4/18/2018	Security Transactions	Sale AMERICAN TOWER REIT INC (HLDG CO) SHS PER ADVISORY AGREEMENT. ML ACTED AS AGENT	AMT	'02D61'	'03027X100'	8	0	-12	139.2862	1,671.39		
Settled	4/16/2018	4/18/2018	Security Transactions	Purchase ISHARES RS 2000 GROWTH PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	IWO	'385M6'	'464287648'	38	000	0	6	194.8137	-1,166.88	
Settled	4/16/2018	4/18/2018	Security Transactions	Sale MASTERCARD INC PER ADVISORY AGREEMENT. ML ACTED AS AGENT	MA	'46L15'	'57636Q104'	47	0	-13	173.41	2,254.28		
Settled	4/16/2018	4/18/2018	Security Transactions	Sale AFFILIATED MANAGERS GRP WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	AMG	'004W6'	'008252108'	5	0	-7	172.316	1,206.18		
Settled	4/16/2018	4/18/2018	Security Transactions	Sale INTERCONTINENTAL EXCHANGE INC WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	ICE	'398P2'	'45866F104'	44	0	-19	73.2165	1,391.08		
Settled	4/16/2018	4/18/2018	Security Transactions	Purchase ISHARES RS 2000 VALUE PRODUCT DESCRIPTION ENCL PER ADVISORY AGREEMENT.	IWN	'385P9'	'464287630'	39	000	0	11	124.9491	-1,374.44	

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	4/16/2018	4/18/2018	Security Transactions	Sale CSX CORP EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	CSX	'12568'	'126408103'	15	0	0	-23	56.4609	1,298.57
Settled	4/16/2018	4/18/2018	Security Transactions	Sale LAUDER ESTEE COS INC A WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	EL	'43273'	'518439104'	45	0	0	-15	150.9598	2,264.35
Settled	4/16/2018	4/18/2018	Security Transactions	Sale ECOLAB INC WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	ECL	'25731'	'278865100'	20	0	0	-9	145.74	1,311.63
Settled	4/16/2018	4/18/2018	Security Transactions	Sale EDWARDS LIFESCIENCES CORP WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	EW	'25785'	'281766108'	21	0	0	-11	137.7962	1,515.71
Settled	4/16/2018	4/18/2018	Security Transactions	Purchase FIRST TR EXCHANGE TRADED FD DOW JONES IN ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	FDN	'31KW2'	'33733E302'	34	0	0	7	122.4829	-857.38
Settled	4/16/2018	4/18/2018	Security Transactions	Purchase ISHARES MSCI EAFE PER ADVISORY AGREEMENT.	EFA	'31CT9'	'464287465'	27	0	0	28	70.8761	-1,984.53
Settled	4/16/2018	4/18/2018	Security Transactions	Sale BOEING COMPANY WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	BA	'08728'	'087023105'	14	0	0	-4	331.0495	1,324.17
Settled	4/16/2018	4/17/2018	Security Transactions	Purchase HARDING LOEVNER INTL EQUITY PT FD INSTL CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	HLMIX	'9K755'	'412298107'	5	0	0	89	23.21	-2,065.69
Settled	4/16/2018	4/17/2018	Security Transactions	Purchase BLACKROCK EQTY DIVIDEND FUND INSTL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MADVX	'974Y9'	'09251M504'	15	0	0	572	22.54	-12,892.88
Settled	4/16/2018	4/17/2018	Security Transactions	Purchase OPENHEIMER INTERVATL GROWTH FD CL Y FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	OIGYX	'9LX99'	'68380L407'	8	0	0	49	44.36	-2,173.64
Settled	4/16/2018	4/17/2018	Security Transactions	Purchase MFS VALUE FD CL I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MEIX	'9K2M9'	'552983694'	6	0	0	245	39.93	-9,782.85
Settled	4/16/2018	4/17/2018	Security Transactions	Purchase THE OAKMARK INTL FUND INV CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	OAKIX	'9EHF3'	'413838202'	1	0	0	70	28.42	-1,989.40
Settled	4/16/2018	4/17/2018	Security Transactions	Purchase NIVEEN SMALL CAP VALUE FUND CL I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	FSCCX	'9KWS6'	'670678200'	4	0	0	118	26.26	-3,098.68

Activity Status	Trade/Transa ction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	4/16/2018	4/17/2018	Security Transactions	Purchase SOUND SHORE FUND INSTL CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	SSHVX	'9PV94'	'836083204'	13	'000'	0	214	45.59	-9,756.26
Settled	4/16/2018	4/17/2018	Security Transactions	Purchase WELLS FARGO SMALL COMPANY GROWTH FD INSTL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	WSCGX	'9PK42'	'949921571'	12	'000'	0	49	56.47	-2,767.03
Settled	4/16/2018	4/17/2018	Security Transactions	Purchase T ROWE PRICE EMERGING MARKETS STOCK FD INV CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	PRMSX	'9K6D2'	'77956H864'	7	'000'	0	58	45.32	-2,537.92
Settled	4/16/2018	4/17/2018	Security Transactions	Purchase CHARTWELL SMALL CAP VALUE FUND CLASS I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CWSIX	'9MO66'	'16140T509'	11	'000'	0	122	19.88	-2,425.36
Settled	4/16/2018	4/17/2018	Security Transactions	Purchase CAMBIAR INTERNATIONAL EQUITY FUND INSTL CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CAMVX	'97NI2'	'00769G543'	14	'000'	0	78	28.78	-2,244.84
Settled	4/16/2018	4/17/2018	Security Transactions	Purchase JOHN HANCOCK DISCIPLINED VALUE FUND CL I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	JVLIX	'9EHV3'	'47803U640'	2	'000'	0	446	21.87	-9,754.02
Settled	4/16/2018	4/17/2018	Security Transactions	Purchase DELAWARE EMERGING MARKETS FUND INSTL CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	DEMIX	'9KTH9'	'245914817'	3	'000'	0	81	19.93	-1,614.33
Settled	4/16/2018	4/17/2018	Security Transactions	Purchase CARILLON EAGLE SMALL CAP GROWTH FUND CL I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	HSIIX	'9L6B4'	'14214L486'	9	'000'	0	42	64.49	-2,708.58
Settled	4/16/2018	4/17/2018	Security Transactions	Purchase CARILLON SCOUT MID CAP FUND CL I PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	UMBMX	'9MHP0'	'14214M872'	10	'000'	0	-549	19.14	-10,507.86
Settled	4/17/2018	4/18/2018	Security Transactions	Sale WESTERN ASSET SMASH SERIES M FUND FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	LMSMX	'9MEK9'	'52470G759'	62	'000'	0	85	10.7	-909.5
Settled	4/19/2018	4/20/2018	Security Transactions	Sale CARILLON SCOUT MID CAP FUND CL I FRAC SHR QUANTITY .554 UNSOLICITED ORDER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	UMBMX	'9MHP0'	'14214M872'	1	'000'	0	-0.554	19.32	10.7

Activity Status	Trade/Transa ction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	4/20/2018	4/23/2018	Security Transactions	Sale FEDERAL HOME LN MTG CORP NOTES 03.750% MAR 27 2019 YLD TO MATURITY 2.26% MATURITY DATE 3/27/19. 26 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE WWW.SIFMA.ORG/MPG.	'H4Z28'		'3137EACA5'	2	0	0	-4,000.00	101.3591	4,065.19
Settled	4/20/2018	4/23/2018	Security Transactions	Purchase FNMA PMA3210 03.50%2047 AMORTIZED FCR .97843 22 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT.	'GAZD0'		'31418CR89'	1	000'	0	5,000.00	99.46875	-4,876.62
Settled	4/24/2018	4/25/2018	Security Transactions	Purchase U.S. TREASURY NOTE 2.750% FEB 15 2028 02.750% FEB 15 2028 FIRST COUPON 08/15/18 YLD TO MATURITY 2.99% MATURITY DATE 2/15/28. 69 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	'H26E8'		'9128283W8'	17	000'	0	2,000.00	97.953125	-1,969.54
Settled	4/24/2018	4/25/2018	Security Transactions	Sale U.S. TRSY INFLATION BOND 1.000% FEB 15 2046 INFL ADJ PRIN FCR 1.0498 YLD TO MATURITY 1.01% MATURITY DATE 2/15/46. 89 DAYS INTEREST PER ADVISORY AGREEMENT. REF CPI @ PUR: 248.76620 REF CPI @ ISS: 236.94448 INDEX RATIO: 1.04989 INFL ADJ PRIN: 2089.78 ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE	'H26T1'		'912810RR1'	18	0	0	-2,000.00	99.578125	2,094.92
Settled	4/25/2018	4/27/2018	Security Transactions	Purchase SHELL INTERNATIONAL FIN COMPANY GUARNT GLB 02.500% SEP 12 2026 RATINGS ARE SUBJ. TO CHG MOODYS AA2 S&P AA MAKE-WHOLE CALL. DETAILS UPON REQUEST YLD TO MATURITY 3.55% MATURITY DATE 9/12/26. 45 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	RDS26	'E38W1'	'822582BX9'	2	000'	0	1,000.00	92.407	-927.2
Settled	4/26/2018	4/27/2018	Security Transactions	Purchase FNMA PMA2806 03%2046 AMORTIZED FCR .90518 26 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT.	'YYS86'		'31418CDSL5'	3	000'	0	1,000.00	96.46875	-875.18
Settled	5/9/2018	5/10/2018	Security Transactions	Purchase FNMA PMA3073 04.50%2047 AMORTIZED FCR .84189 9 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT.	'Y74J0'		'31418CMX9'	1	000'	0	1,000.00	104.15625	-877.63
Settled	6/1/2018	6/4/2018	Security Transactions	Purchase WESTERN ASSET SMASH SERIES EC FUND FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	LMECX	'9MEK5'	'52470G734'	1	000'	0	163	8.76	-1,427.88
Settled	6/1/2018	6/4/2018	Security Transactions	Purchase WESTERN ASSET SMASH SERIES C FUND FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	LMLCX	'9MEK8'	'52470G742'	2	000'	0	42	9.52	-399.84

Activity Status	Trade/Transa ction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	6/21/2018	6/25/2018	Security Transactions	Sale VANGUARD INFORMATION TECH ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	VGT	'31FM7'	'92204A702'	30	0	0	-2	187.140025	374.27
Settled	6/21/2018	6/25/2018	Security Transactions	Sale ISHARES NASDAQ BIOTECH ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	IBB	'31CMT'	'464287556'	27	0	0	-1	112.6111	112.8
Settled	6/21/2018	6/25/2018	Security Transactions	Purchase VANGUARD MATERIALS ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	VAV	'31FME'	'92204A801'	29	'000'	0	1	132.089727	-132.09
Settled	6/21/2018	6/25/2018	Security Transactions	Purchase REAL ESTATE SELECT SECTOR SPDR FD SHS ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	XLRE	'31MV5'	'81369Y860'	33	'000'	0	1	31.84	-31.94
Settled	6/21/2018	6/25/2018	Security Transactions	Sale FIRST TRUST CLOUD COMPUTING ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	SKYY	'39RY4'	'33734X192'	34	0	0	-14	54.8201	767.47
Settled	6/21/2018	6/25/2018	Security Transactions	Purchase VANGUARD CONSUMER STAPLES ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	VDC	'31FMO'	'92204A207'	28	'000'	0	5	134.7694	-673.95
Settled	6/21/2018	6/25/2018	Security Transactions	Sale CONSUMER DISCRETIONARY SPDR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	XLV	'673G1'	'81369Y407'	36	0	0	-2	111.6701	223.33
Settled	6/21/2018	6/25/2018	Security Transactions	Sale ISHARES MSCI EAFE ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	EFA	'31CT9'	'464287465'	1	0	0	-5	68	339.99
Settled	6/21/2018	6/25/2018	Security Transactions	Sale ISHARES MSCI JAPAN ETF SHS ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	EWJ	'39SZ0'	'46434G822'	2	0	0	-1	59.104552	59.09
Settled	6/21/2018	6/25/2018	Security Transactions	Purchase ISHARES TR CORE MSCI EAF ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	IEFA	'39TS2'	'46432F842'	3	'000'	0	2	64.34	-128.68
Settled	6/21/2018	6/25/2018	Security Transactions	Sale HARDING LOEWNER INTL EQUITY PT FD INSTL CL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	HLMI	'9KY55'	'412296107'	40	0	0	-8	22.99	183.92

Activity Status	Trade/Transa ction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SQL	Quantity	Price	Amount
Settled	6/22/2018	6/25/2018	Security Transactions	<b>Sale</b> FIRST TR EXCHANGE TRADED FD DOW JONES IN TRADE AS OF 06/21/18 ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	FDN	'31KW2'	'33733E302'	32	0	-10	143.7705	1,437.69	
Settled	6/22/2018	6/25/2018	Security Transactions	<b>Purchase</b> VANGUARD INDUSTRIAL ETF TRADE AS OF 06/21/18 ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	VIS	'31FX1'	'92204A603'	31	000'	0	6	138.679308	-832.08
Settled	6/22/2018	6/25/2018	Security Transactions	<b>Purchase</b> SPDR US FINANCIAL SECTOR ETF TRADE AS OF 06/21/18 ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	XLFF	'673G3'	'81369Y605'	37	000'	0	13	27.309537	-355.02
Settled	6/22/2018	6/25/2018	Security Transactions	<b>Sale</b> OPPENHEIMER INTERNATL GROWTH FD CL Y PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	OIGYX	'9LX99'	'68380L407'	42	0	-4	43.58	174.36	
Settled	6/22/2018	6/25/2018	Security Transactions	<b>Purchase</b> T ROWE PRICE EMERGING MARKETS STOCK FD INV CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	PRMSX	'9K6D2'	'77956H864'	41	000'	0	9	43.03	-387.27
Settled	6/22/2018	6/25/2018	Security Transactions	<b>Purchase</b> DELAWARE EMERGING MARKETS FUND INSTL CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	DEMIX	'9KTH9'	'245914817'	39	000'	0	9	19.13	-172.17
Settled	6/22/2018	6/25/2018	Security Transactions	<b>Purchase</b> THE OAKMARK INTL FUND INV CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	OAKIX	'9EHF3'	'413638202'	38	000'	0	3	27.03	-81.09
Settled	6/22/2018	6/25/2018	Security Transactions	<b>Purchase</b> HEALTH CARE SELECT SPDR TRADE AS OF 06/21/18 ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	XLV	'673F6'	'81369Y208'	35	000'	0	2	84.6397	-169.28
Settled	8/15/2018	8/17/2018	Security Transactions	<b>Purchase</b> JPMORGAN CHASE & CO GLB 04.400% JUL 22 2020 RATINGS ARE SUBJ. TO CHG MOODYS A3 S&P A- YLD TO MATURITY 3.01% MATURITY DATE 7/22/20. 25 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	JPM20A	'C0394'	'46626HHS2'	4	000'	0	1,000.00	102.573	-1,028.79
Settled	8/29/2018	8/31/2018	Security Transactions	<b>Sale</b> VANGUARD INDUSTRIAL ETF PER ADVISORY AGREEMENT.	VIS	'31FX1'	'92204A603'	2	0	-18	147.01	2,352.13	
Settled	8/29/2018	8/31/2018	Security Transactions	<b>Purchase</b> VANGUARD CONSUMER STAPLES ETF PER ADVISORY AGREEMENT.	VDC	'31FM0'	'92204A207'	1	0	-15	140.5001	2,107.47	
Settled	8/29/2018	8/31/2018	Security Transactions	<b>Purchase</b> FIRST TRUST CLOUD COMPUTING ETF PER ADVISORY AGREEMENT.	SKYY	'39RY4'	'33734X192'	4	000'	0	25	56.8792	-1,421.98

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	8/29/2018	8/31/2018	Security Transactions	Purchase CONSUMER DISCRETIONARY SPDR PER ADVISORY AGREEMENT.	XLV	'673G1'	'81369Y407'	5	'000'	0	14	116.907193	-1,636.70
Settled	8/29/2018	8/31/2018	Security Transactions	Purchase FIRST TR EXCHANGE TRADED FD DOW JONES IN PER ADVISORY AGREEMENT.	FDN	'31KW2'	'33733E302'	3	'000'	0	11	146.0897	-1,606.99
Settled	10/1/2018	10/3/2018	Security Transactions	Sale FIRST TRUST CLOUD COMPUTING ETF PER ADVISORY AGREEMENT.	SKYY	'39RY4'	'33734X192'	11	0	0	-10	56.7001	566.99
Settled	10/1/2018	10/3/2018	Security Transactions	Sale REAL ESTATE SELECT SECTOR SPDR FD SHS PER ADVISORY AGREEMENT.	XLRE	'31MV5'	'81369Y860'	8	0	0	-4	32.4628	129.84
Settled	10/1/2018	10/3/2018	Security Transactions	Sale VANGUARD INFORMATIONTECH ETF PER ADVISORY AGREEMENT.	VGT	'31FM7'	'92204A702'	5	0	0	-6	203.4703	1,220.80
Settled	10/1/2018	10/3/2018	Security Transactions	Sale CONSUMER DISCRETIONARY SPDR PER ADVISORY AGREEMENT.	XLV	'673G1'	'81369Y407'	13	0	0	-8	117.484	938.86
Settled	10/1/2018	10/3/2018	Security Transactions	Sale SPDR US FINANCIAL SECTOR ETF PER ADVISORY AGREEMENT.	XLF	'673G3'	'81369Y605'	14	0	0	-8	27.6736	221.38
Settled	10/1/2018	10/3/2018	Security Transactions	Sale FIRST TR EXCHANGE TRADED FD DOW JONES IN PER ADVISORY AGREEMENT.	FDN	'31KW2'	'33733E302'	7	0	0	-3	141.2118	423.63
Settled	10/1/2018	10/3/2018	Security Transactions	Sale ISHARES RS 2000 VALUE PER ADVISORY AGREEMENT.	IWN	'385P9'	'464287630'	10	0	0	-1	132.0265	132.02
Settled	10/1/2018	10/3/2018	Security Transactions	Sale VANGUARD MATERIALS ETF PER ADVISORY AGREEMENT.	VAW	'31FM6'	'92204A801'	4	0	0	-1	132.3532	132.34
Settled	10/1/2018	10/3/2018	Security Transactions	Sale VANGUARD CONSUMER STAPLES ETF PER ADVISORY AGREEMENT.	VDC	'31FM0'	'92204A207'	3	0	0	-2	139.892	279.77
Settled	10/1/2018	10/3/2018	Security Transactions	Sale VANGUARD INDUSTRIAL ETF PER ADVISORY AGREEMENT.	VIS	'31FX1'	'92204A603'	6	0	0	-5	148.6701	743.34
Settled	10/1/2018	10/3/2018	Security Transactions	Sale ISHARES RS 2000 GROWTH PER ADVISORY AGREEMENT.	IWO	'385M6'	'464287648'	9	0	0	-1	213.2658	213.26
Settled	10/1/2018	10/3/2018	Security Transactions	Sale ISHARES RUSSELL 1000 VALUE PER ADVISORY AGREEMENT.	IWD	'31CK1'	'464287598'	1	0	0	-10	127.1734	1,271.71
Settled	10/1/2018	10/3/2018	Security Transactions	Sale ISHARES NASDAQ BIOTECH ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	IBB	'31CM7'	'464287556'	2	0	0	-3	121.8654	365.59
Settled	10/1/2018	10/3/2018	Security Transactions	Sale HEALTH CARE SELECT SPDR PER ADVISORY AGREEMENT.	XLV	'673F6'	'81369Y209'	12	0	0	-10	95.6655	956.65
Settled	10/1/2018	10/2/2018	Security Transactions	Sale WELLS FARGO SMALL COMPANY GROWTH FD INSTL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	WSCGX	'9PK42'	'945921571'	10	0	0	-7	63.83	446.81
Settled	10/1/2018	10/2/2018	Security Transactions	Sale BLACKROCK EQTY DIVIDEND FUND INSTL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MADVX	'974Y9'	'09251M504'	12	0	0	-18	24.01	432.18

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	10/1/2018	10/22/2018	Security Transactions	Sale JOHN HANCOCK DISCIPLINED VALUE FUND CL I PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	JVLX	'9EHV3'	'47803U640'	5		0	-12	23.07	276.84
Settled	10/1/2018	10/22/2018	Security Transactions	Sale CARILLON EAGLE SMALL CAP GROWTH FUND CL I PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	HSIX	'9L6B4'	'14214L486'	8		0	-5	70.91	354.65
Settled	10/1/2018	10/22/2018	Security Transactions	Sale MFS VALUE FD CL I PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MEIX	'9K2M9'	'552983694'	7		0	-4	41.25	165
Settled	10/1/2018	10/22/2018	Security Transactions	Sale CHARTWELL SMALL CAP VALUE FUND CLASS I PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CWSIX	'9M068'	'16140T509'	9		0	-6	20.45	122.7
Settled	10/1/2018	10/22/2018	Security Transactions	Sale NUVEEN SMALL CAP VALUE FUND CL I PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	FSCCX	'9KW56'	'670678200'	6		0	-2	26.28	52.56
Settled	10/1/2018	10/22/2018	Security Transactions	Sale SOUND SHORE FUND INSTL CL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	SSHVX	'9PV94'	'836083204'	11		0	-5	47.5	237.5
Settled	10/18/2018	10/22/2018	Security Transactions	Purchase COMM SERVICES SELECT SECTOR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	XLC	'31OX9'	'81369Y852'	3	000	0	237	46.7174	-11,072.02
Settled	10/18/2018	10/22/2018	Security Transactions	Sale CONSUMER DISCRETIONARY SPDR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	XLX	'673G1'	'81369Y407'	5		0	-17	107.5971	1,829.13
Settled	10/18/2018	10/22/2018	Security Transactions	Sale FIRST TRUST CLOUD COMPUTING ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	SKYY	'38RY4'	'33734X192'	4		0	-52	52.1171	2,710.05
Settled	10/18/2018	10/22/2018	Security Transactions	Sale FIRST TRUST DOW JONES INTERNET INDEX FUND PER ADVISORY AGREEMENT.	FDN	'31KW2'	'33733E302'	2		0	-19	128.4466	2,440.46
Settled	10/18/2018	10/22/2018	Security Transactions	Sale VANGUARD INFORMATION TECH ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	VGT	'31FM7'	'92204A702'	1		0	-14	187.8513	2,629.89
Settled	10/19/2018	10/19/2018	Security Transactions	Redemption FEDERAL NATL MTG ASSOC BONDS 01.125% OCT 19 2018 PAY DATE 10/19/2018	'HM2US'	'3135G0E58'		2			-7,000.00		7,000.00
Settled	10/22/2018	10/23/2018	Security Transactions	Purchase U.S. TREASURY NOTE 2.625% AUG 31 2020 FIRST COUPON 02/28/19 YLD TO MATURITY 2.90% MATURITY DATE 8/31/20. 53 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	'H26N7'	'9128284V3'		1	000	0	7,000.00	99.5	-6,991.90
Settled	10/24/2018	10/25/2018	Security Transactions	Purchase WESTERN ASSET SMASH SERIES EC FUND FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	LMECX	'9MEKS'	'52470G734'	23	000	0	49	8.5	-416.5

Activity	Trade/Transa	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	10/25/2018	10/26/2018	Security Transactions	Purchase FNMA PMA3443 04%2048 AMORTIZED FCR .98501 25 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT.	'GFFH'	'31418CZHO'		2	'000'	0	1,000.00	100.3125	-990.84
Settled	10/25/2018	10/26/2018	Security Transactions	Purchase FNMA PMA3210 03 50%2047 AMORTIZED FCR .94051 25 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT.	'GAZDO'	'31418CR89'		1	'000'	0	2,000.00	97.734375	-1,842.99
Settled	12/10/2018	12/10/2018	Security Transactions	Exchange OAKMARK INTL FD CL ADV FRAC QUANTITY IS 673 SHARE CLASS CONVERSION SHARES-AS OF 12/07/2018	'OAYIX'	'9UOY3'	'413838731'		2	'TLF'		242.673	0
Settled	12/10/2018	12/10/2018	Security Transactions	Exchange OAKMARK INTL FD CL INVESTOR SHARE CLASS CONVERSION SHARES-AS OF 12/07/2018	'OAKIX'	'9EHP3'	'413838202'		1	'TLF'		-243	0

## **SCHEDULE 3**



Online at: [www.mymerrill.com](http://www.mymerrill.com)

Account Number:

24-Hour Assistance: (800) MERRILL

MLPF&S CUST FPO  
DR DAVID PHILIP ROE IRRA  
FBO DR DAVID PHILIP ROE  
JONESBOROUGH TN 37659-4791

**Net Portfolio Value: \$165,603.43**

Your Financial Advisor:  
SMITH CONKIN DIXON  
206 PRINCETON RD SUITE NO 1  
JOHNSON CITY TN 37601  
1-423-282-5191

## Alt Investments

December 01, 2018 - December 31, 2018

	This Statement	Year to Date
Opening Value (12/31/17)	\$167,076.59	
Total Credits	34.17	2,495.52
Total Debits	-	-
Securities You Transferred In/Out	-	-
Market Gains/(Losses)	(1,507.33)	2,060.14
Closing Value (12/31/18)	\$165,603.43	

ASSETS	December 31	November 30
Cash/Money Accounts	65,166.60	65,132.43
Fixed Income	-	-
Equities	-	-
Mutual Funds	-	-
Options	-	-
Other	-	-
Alternative Investments	100,436.83	101,944.16
Subtotal (Long Portfolio)	165,603.43	167,076.59
TOTAL ASSETS	\$165,603.43	\$167,076.59
LIABILITIES		
Debit Balance	-	-
TOTAL LIABILITIES	-	-
NET PORTFOLIO VALUE	\$165,603.43	\$167,076.59

Merrill Lynch Wealth Management makes available products and services offered by Merrill Lynch, Pierce, Fenner & Smith Incorporated (MLPF&S) and other subsidiaries of Bank of America Corporation. MLPF&S is a registered broker-dealer, Member Securities Investor Protection Corporation (SIPC) and a wholly owned subsidiary of Bank of America Corporation. Investment products: ☐ Are Not FDIC Insured ☐ Are Not Bank Guaranteed ☐ May Lose Value

Alt Investments

Account Number:

24-Hour Assistance: (800) MERRILL

# Alt Investments

December 01, 2018 - December 31, 2018

## CASH FLOW

This Statement

Year to Date

Opening Cash/Money Accounts

\$65,132.43

### CREDITS

Funds Received

Electronic Transfers

Other Credits

Subtotal

### DEBITS

Electronic Transfers

Other Debits

Advisory and other fees

Subtotal

Net Cash Flow

## OTHER TRANSACTIONS

Dividends/Interest Income

34.17

2,495.52

Dividend Reinvestments

-

(2,355.10)

Security Purchases/Debits

-

-

Security Sales/Credits

-

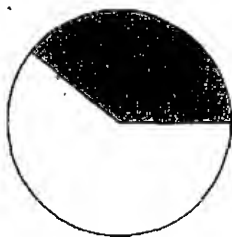
62,016.07

Closing Cash/Money Accounts

\$65,166.60

## ASSET ALLOCATION\*

\* Estimated Accrued Interest not included; may not reflect all holdings; does not include asset categories less than 1%.



Alternative Investments  
Cash/Money Accounts  
TOTAL

Allocation  
60.65%  
39.35%  
100%

Having an asset allocation that reflects your profile and goals is key to achieving the right outcome. Consult with your advisor to determine an appropriate allocation across all your holdings.

## DOCUMENT PREFERENCES THIS PERIOD

	Mail	Online Delivery
Statements	X	X
Performance Reports	X	X
Trade Confirms	X	X
Shareholders Communication	X	X
Prospectus	X	X
Service Notices	X	X
Tax Statements	X	X

Alt Investments

Account Number:

## ACCOUNT INVESTMENT OBJECTIVE

December 01, 2018 - December 31, 2018

**TOTAL RETURN:** Objective is to strike a balance between current income and growth. Despite the relatively balanced nature of the portfolio, the investor should be willing to assume the risk of price volatility and principal loss.

If you have changes to your investment objective, please contact your Financial Advisor(s).

## YOUR RETIREMENT ACCOUNT ASSETS

CASH/MONEY ACCOUNTS									
Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Income	Est. Annual Yield%
+BANK OF AMERICA, NA RASP	65,166.00	65,166.00	1.0000	1.0000		65,166.00		391	.60
+FDIC INSURED NOT SIPC COVERED (.6000 FRACTIONAL SHARE)				1.0000		.60			.60
<b>TOTAL</b>		65,166.60				65,166.60		391	.60
ALTERNATIVE INVESTMENTS HELD AT MLPF&S									
Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Income	Est. Annual Yield%
ELEMENTS - ROGERS TR	2,308	25,353.73	4.8900	11,286.12	(14,067.61)	25,353	(14,067)		
Initial Purchase: 09/24/08 SV-4126.81 - ROGRTR DUE OCTOBER 24, 2022									
PMF TEL FUND, LP	190	19,000.02	110.4387	20,983.35	1,983.33	19,000	1,983		
Initial Purchase: 04/01/14 (ENDOWMENT - LONG TERM WIND-DOWN) EST MKT PRICE AS OF 11/30/18 (.0570 FRACTIONAL SHARE)									
SKYBRIDGE MULTIHADISER	55	65,999.30	1,232.7030	67,798.65	1,799.35	49,493	18,304		
Initial Purchase: 09/01/12 HEDGE FUND PORTFOLIOS LLC EST MKT PRICE AS OF 11/30/18 (.2940 FRACTIONAL SHARE)									
<b>TOTAL</b>		331.07	1,232.7030	362.41	31.34				
		110,689.82		100,436.83	(10,252.99)			6,220	

Alt Investments

Account Number:

24-Hour Assistance: (800) MERRILL

## YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2018 - December 31, 2018

LONG PORTFOLIO	Adjusted/Total	Estimated	Unrealized	Estimated	Estimated	Current
	Cost Basis	Market Value	Gain/(Loss)	Accrued Interest	Annual Income	Yield%
TOTAL	175,856.42	165,603.43	(10,252.99)		391	.24

**Total Client Investment:** Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.

**Cumulative Investment Return:** Estimated Market Value minus Total Client Investment.

**Cumulative Investment Return:** is the dollar value of the capital appreciation (depreciation) of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed.

**Market Timing:** Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

**Sales Charge Discounts or Waivers:** Many funds offer various sales charge discounts or waivers depending on the terms of the prospectus and/or statement of additional information. You should consult a fund's prospectus and/or statement of additional information to determine whether you may qualify for a discount or waiver. Notify your Financial Advisor, Financial Solutions Advisor or Investment Center representative if you believe you qualify for any of these or any other discounts or waivers. Please contact your Financial Advisor, Financial Solutions Advisor or Investment Center representative for further information on available sales charge discounts and waivers.

## YOUR RETIREMENT ACCOUNT TRANSACTIONS

DIVIDENDS/INTEREST INCOME TRANSACTIONS				Income	
Date	Description	Transaction Type	Quantity	Income	Year To Date
12/31	BANK OF AMERICA, NA RASP	Interest	34.1700		
0.17000 DIV/INT REINVEST PAY DATE 12/31/2018 FROM 11:30 THRU 12:31 CUSIP NUM: 55499U915					
	BANK OF AMERICA, NA RASP	Income Total		34.17	
Subtotal (Tax-Exempt Interest)				34.17	140.42
Tax-Exempt Dividends					
Subtotal (Tax-Exempt Dividends)					2,355.10
NET TOTAL				34.17	2,495.52

Alt Investments

Account Number:

## YOUR RETIREMENT ACCOUNT TRANSACTIONS

December 01, 2018 - December 31, 2018

### REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/(Losses)	Year to Date
Subtotal (Long-Term)							7,625.00
<b>TOTAL</b>							<b>7,625.00</b>

### Excludes transactions for which we have insufficient data

The capital gains and losses shown above may not reflect all transactions which must be reported on your 2018 tax return. These reportable transactions will appear on your January statement.

## YOUR RETIREMENT ACCOUNT CONTRIBUTIONS AND DISTRIBUTIONS

Year-End Plan Value as of December 31, 2018: \$165,603.43

Contributions after December 31, 2017 for 2017: \$.00

Distributions	Tax Year 2018	Tax Year 2017	RMD Calculated Amount 2018
			\$6,520.15

Our records indicate that for 2019 you may be required to take a Required Minimum Distribution (RMD) from this account. You are required to take annual RMDs from your IRA account (s) for the year you turn age 70 1/2 and each subsequent year. The deadline for taking your 2019 RMD is December 31, 2019 or April 1, 2020 if 2019 is your first Required Minimum Distribution year. Contact us after January 15th in order to obtain your RMD calculation (if applicable), otherwise the calculation will be provided to you on your next statement.

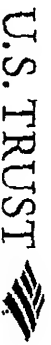
The IRS requires all IRA custodians, including Merrill Lynch, to notify it through IRS Form 5498 when an RMD is required from the IRA of a living account owner for the calendar year. An RMD that is required for the current year will be reported to the IRS on the applicable IRS Form 5498.

For IRA, IRRA, SEP/IRA, SIMPLE/IRA ROTH IRA and ESA accounts, the Year-End Plan Value represents the valuation we must furnish to you and the Internal Revenue Service as part of the IRS Form 5498 reporting requirements.

Activity Status	Trade/Transa ction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	1/26/2018	1/26/2018	Security Transactions	Reinvestment Share(s) SKYBRIDGE MULTI-ADVISER HEDGE FUND PORTFOLIOS LLC AGENT REINV AMOUNT \$2355.10 REINV PRICE \$1171.13900 QUANTITY BOT 2.0110 AS OF 12/31	'8EJPS'	'8EJPS9895'		3	'684'		2.011		0
Settled	1/31/2018	1/31/2018	Security Transactions	Interest BANK OF AMERICA, NA RASP PAY DATE 01/30/2018 FROM 01-02 THRU 01-30	ILAXX	'977G3'	'55489U915'	1	'000'		1		0
Settled	2/28/2018	2/28/2018	Security Transactions	Interest BANK OF AMERICA, NA RASP 0.88000 DIV/INT REINVEST PAY DATE 02/27/2018 FROM 01-31 THRU 02-27	ILAXX	'977G3'	'55489U915'	1	'000'		0.88		0
Settled	3/8/2018	3/8/2018	Security Transactions	Sale PIMF TEI FUND, LP (ENDOWMENT - LONG TERM WIND-DOWN) TRADE AS OF 12/31/17 REDEMPTION FRAC SHR QUANTITY .056 UNSOLICITED ORDER ML ACTED AS AGENT.	'71CR1'	'71CR18991'	1	'U			-11.056	112.7944	1,247.05
Settled	4/2/2018	4/2/2018	Security Transactions	Interest BANK OF AMERICA, NA RASP 0.20000 DIV/INT REINVEST PAY DATE 03/29/2018 FROM 02-28 THRU 03-29	ILAXX	'977G3'	'55489U915'	1	'000'		1.2		0
Settled	4/30/2018	4/30/2018	Security Transactions	Interest BANK OF AMERICA, NA RASP 0.58000 DIV/INT REINVEST PAY DATE 04/27/2018 FROM 03-30 THRU 04-27	ILAXX	'977G3'	'55489U915'	1	'000'		1.58		0
Settled	5/2/2018	5/2/2018	Security Transactions	Sale PIMF TEI FUND, LP (ENDOWMENT - LONG TERM WIND-DOWN) TRADE AS OF 03/31/18 REDEMPTION FRAC SHR QUANTITY .064 UNSOLICITED ORDER ML ACTED AS AGENT.	'71CR1'	'71CR19991'	1	'U			-8.064	113.0824	911.9
Settled	5/31/2018	5/31/2018	Security Transactions	Interest BANK OF AMERICA, NA RASP 0.26000 DIV/INT REINVEST PAY DATE 05/30/2018 FROM 04-30 THRU 05-30	ILAXX	'977G3'	'55489U915'	1	'000'		2.26		0
Settled	6/29/2018	6/29/2018	Security Transactions	Interest BANK OF AMERICA, NA RASP 0.25000 DIV/INT REINVEST PAY DATE 06/28/2018 FROM 05-31 THRU 06-28	ILAXX	'977G3'	'55489U915'	1	'000'		2.25		0
Settled	7/31/2018	7/31/2018	Security Transactions	Interest BANK OF AMERICA, NA RASP 0.72000 DIV/INT REINVEST PAY DATE 07/30/2018 FROM 06-29 THRU 07-30	ILAXX	'977G3'	'55489U915'	1	'000'		2.72		0
Settled	8/2/2018	8/2/2018	Security Transactions	Sale PIMF TEI FUND, LP (ENDOWMENT - LONG TERM WIND-DOWN) TRADE AS OF 06/30/18 REDEMPTION FRAC SHR QUANTITY .855 UNSOLICITED ORDER ML ACTED AS AGENT.	'71CR1'	'71CR18991'	1	'U			-10.855	110.4808	1,199.27
Settled	8/31/2018	8/31/2018	Security Transactions	Redemption GEB CLRN ISS CS CAP 15.25% SV 100.00 DUE 08/31/18 BUF 10% PAY DATE 08/31/2018	MLTZA	'30SN1'	'22547V725'	2			-5,000.00		57,625.00
Settled	8/31/2018	8/31/2018	Security Transactions	Interest BANK OF AMERICA, NA RASP 0.16000 DIV/INT REINVEST PAY DATE 08/30/2018 FROM 07-31 THRU 08-30	ILAXX	'977G3'	'55489U915'	1	'000'		3.16		0
Settled	9/28/2018	9/28/2018	Security Transactions	Interest BANK OF AMERICA, NA RASP 0.66000 DIV/INT REINVEST PAY DATE 09/27/2018 FROM 08-31 THRU 09-27	ILAXX	'977G3'	'55489U915'	1	'000'		24.66		0
Settled	10/31/2018	10/31/2018	Security Transactions	Interest BANK OF AMERICA, NA RASP 0.64000 DIV/INT REINVEST PAY DATE 10/30/2018 FROM 09-28 THRU 10-30	ILAXX	'977G3'	'55489U915'	1	'000'		34.64		0

Activity	Trade/Transa	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	11/5/2018	11/5/2018	Security Transactions	Sale PMF TEI FUND, LP (ENDOWMENT - LONG TERM WIND-DOWN) TRADE AS OF 08/30/18 REDEMPTION FRAC SHR QUANTITY 229 UNSOLICITED ORDER ML ACTED AS AGENT.		71CR1	71CR19991	1		U	-9.229	111.913	1,032.85
Settled	11/30/2018	11/30/2018	Security Transactions	Interest BANK OF AMERICA, NA RASP 0.90000 DIV/INT REINVEST PAY DATE 11/29/2018 FROM 10-31 THRU 11-29	ILAXX	'977G3'	'55499U915'	1	'000'		31.9		0
Settled	12/31/2018	12/31/2018	Security Transactions	Interest BANK OF AMERICA, NA RASP 0.17000 DIV/INT REINVEST PAY DATE 12/31/2018 FROM 11-30 THRU 12-31	ILAXX	'977G3'	'55499U915'	1	'000'		34.17		0

## **SCHEDULE 4**



Bank of America Private Wealth Management

Your account(s) is serviced by both U.S. Trust, a division of Bank of America, N.A. ("BANA"), and MLPF&S. U.S. Trust provides trust and fiduciary services. MLPF&S acts as broker-dealer and custodian for investments held in the account(s) reflected on this statement(s), unless otherwise provided.



Bank of America Corporation

Account Number:

Bank of America, N.A.

TRUSTEE OF THE

DR DAVID PHILLIP ROE TRUSTEED IRA

TUA DATED 8/24/2018

NEW JERSEY TRUST

**Net Portfolio Value:**

**\$1,712,605.39**

Your Financial Advisor:

SMITH CONKIN DIXON

206 PRINCETON RD SUITE NO 1

JOHNSON CITY TN 37601

1-423-282-5191

Trust Officer:

BRANDAN MERCHANT

609-274-2915

## Phil's Advisory TIRA

This account is enrolled in the Merrill Lynch Investment Advisory Program

December 01, 2018 - December 31, 2018

	This Statement	Year to Date
Opening Value (12/31/17)	\$1,863,176.34	
Total Credits	26,765.86	137,018.95
Total Debits	(75,223.07)	(79,488.64)
Securities You Transferred In/Out	-	1,849,802.06
Market Gains/(Losses)	(102,113.74)	(194,726.98)
Closing Value (12/31/18)	\$1,712,605.39	

### ASSETS

	December 31	November 30
Cash/Money Accounts	75,610.51	61,852.43
Fixed Income	339,860.50	359,395.30
Equities	-	-
Mutual Funds	1,294,305.41	1,439,596.66
Options	-	-
Other	-	-
Subtotal (Long Portfolio)	1,709,776.42	1,860,844.39
Estimated Accrued Interest	2,828.97	2,331.95
<b>TOTAL ASSETS</b>	<b>\$1,712,605.39</b>	<b>\$1,863,176.34</b>

### LIABILITIES

Debit Balance	-	-
Short Market Value	-	-
<b>TOTAL LIABILITIES</b>	<b>-</b>	<b>-</b>
<b>NET PORTFOLIO VALUE</b>	<b>\$1,712,605.39</b>	<b>\$1,863,176.34</b>

Merrill Lynch makes available products and services offered by Merrill Lynch, Pierce, Fenner & Smith Incorporated ("MLPF&S"), a registered broker-dealer, Member Securities Investor Protection Corporation ("SIPC"), and other subsidiaries of Bank of America Corporation. MLPF&S and BANA are wholly owned subsidiaries of Bank of America Corporation.

Investment products: ☐ Are Not FDIC Insured ☐ Are Not Bank Guaranteed ☐ May Lose Value

Phil's Advisory TIRA

Account Number:

# Phil's Advisory TIRA

December 01, 2018 - December 31, 2018

## CASH FLOW

This Statement

Year to Date

### Opening Cash/Money Accounts

\$1.43

### CREDITS

Funds Received

Electronic Transfers

Other Credits

Subtotal

1,162.59  
1,162.59

100,493.84  
100,493.84

### DEBITS

Electronic Transfers

Other Debits

Bill Payment

U.S. Trust (TMA) Fees

Style Manager Fees

Subtotal

(73,565.26)  
(1,463.65)  
(194.16)  
(75,223.07)

(74,065.26)  
(4,805.55)  
(617.83)  
(79,488.64)

Net Cash Flow

(\$74,060.48)

\$21,005.20

## OTHER TRANSACTIONS

Dividends/Interest Income

Dividend Reinvestments

Security Purchases/Debits

Security Sales/Credits

Closing Cash/Money Accounts

25,603.27  
(76.00)  
(50,207.00)  
102,983.29  
\$4,244.51

36,525.11  
(180.00)  
(311,349.92)  
258,244.12

### Fees Included in Transactions Above

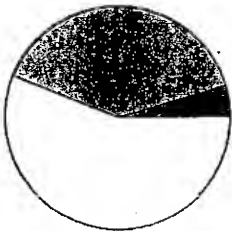
Commissions/Trading Fees

(0.14)

(0.80)

## ASSET ALLOCATION \*

\* Estimated Accrued Interest not included; may not reflect all holdings; does not include asset categories less than 1%; includes the categorical values for the underlying portfolio of individual mutual funds, closed end funds, and UITs.



Equities  
Fixed Income  
Cash/Money Accounts  
TOTAL

Allocation  
56.10%  
39.48%  
4.42%  
100%

## INVESTMENT ADVISORY PROGRAM

December 01, 2018 - December 31, 2018

### YOUR INVESTMENT STRATEGY - Custom Managed - Client

WESTERN ASSET MULTI CORE PLUS 38.00% RATE: 0.320%

CIO EQUITY MF/ETF INTL CORE 15.00% RATE: \*

CIO EQUITY ETF US GROWTH 20.40% RATE: \*

CIO EQUITY MF/ETF SCC 6.00% RATE: \*

CIO EQUITY MF/ETF LCV 18.60% RATE: \*

Cash Allocation 2.00% RATE: \*

The "Rate" above is the current expense rate for your Style Manager as of the end of the statement period. The section "Style Manager Expense Rate" in the Investment Advisory Program Form ADV Brochure and the section "Your Program Fees" in your Investment Advisory Program Client Agreement, or similar sections, provide more information on the expense rate payable to all available Style Managers and the Merrill Lynch Fee Rate respectively. For participating Trust Management Accounts (TMA), please refer to your Investment Services or Agency Agreement, Fee Schedule and TMA Brochure in addition to the Program Form ADV Brochure for expense and fee information. Changes in the Style Managers may result in a change in the Style Manager Expense Rate. If you are a Retirement Account and have selected a Related Style Manager, as listed above, the Style Manager Expense Rate is 0% rather than the Style Manager Expense Rate indicated above for that Related Style Manager. For a list of Related Style Managers please see the Form ADV Brochure or other disclosure documents provided to you. Please note U.S. Trust is considered a Related Style Manager. For Funds noted with an asterisk (\*) above or for other Funds in your account but not listed above, please see each Fund's prospectus or other disclosure documents for a description of the Fund's fees and expenses. For a "Rate" noted with a double asterisk (\*\*) above, it is the current Overlay Expense Rate for the Overlay Service (as described in the Investment Advisory Program Form ADV Brochure and applicable Profile) as of the end of the statement period. The Overlay Expense Rate will be applied to all assets allocated to the applicable Style Manager Strategy(s) and/or Exchange-Traded Fund(s) within a Custom Managed Strategy for which the Overlay Service has been selected, and which will be identified in the Investment Advisory Program Portfolio Summary rather than this statement; the Overlay Expense Rate will not be applied to the percentage of the assets allocated to the Overlay Service for MMA options strategies. For additional information relating to the Overlay Expense Rate, see the Investment Advisory Program Form ADV Brochure, Investment Advisory Program Client Agreement and the applicable Overlay Service Profile(s). The percentage allocations listed above are based, as applicable, on target allocations for the Strategy selected or the allocations as of a particular point in time. Allocations for any particular account may be different from the allocations indicated above. For additional information, see the Investment Advisory Program Form ADV Brochure, Style Manager Profiles and Style Manager Disclosures as well as your Investment Advisory Program Client Agreement or for participating TMAs your Investment Services or Agency Agreement, Fee Schedule and TMA Brochure.

We encourage you to contact your Financial Advisor(s) if there have been any changes in your financial situation or investment objectives, or if you wish to impose any reasonable restrictions on the management of your account(s) or reasonably modify existing restrictions.

Upon your request, and at no charge, we will provide to you more detailed information regarding the Program Fees that you pay. Please contact your Financial Advisor(s) if you would like to request this detailed Account fee information.

If you would like to receive a free copy of the current Form ADV Brochure(s) for the Investment Advisory Program, please send a written request with your account number(s) to: Managed Accounts Processing and Services, 4800 Deer Lake Drive West, Building 1, 3rd Fl., Jacksonville, FL 32246. You may also obtain a copy of the Investment Advisory Program Form ADV Brochure(s) by accessing the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

As part of the Investment Advisory Program, you will be provided with periodic performance measurement reports to help you monitor and assess the performance of your account(s). Should you have any questions regarding these reports or would like performance measurement reports on a more frequent basis, please contact your Financial Advisor(s).

Phil's Advisory TIRA

Account Number:

# YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2018 - December 31, 2018

CASH/MONEY ACCOUNTS		Total	Estimated	Estimated	Estimated	Estimated	Estimated	Est Annual
Description	Quantity	Cost Basis	Market Price	Market Value	Market Value	Annual Income	Yield%	
CASH	3,446.58	3,446.58		3,446.58				
BLF FEEDFUND CASH RESERVE	56,270.00	56,270.00	1.0000	56,270.00				
<b>TOTAL</b>		<b>59,716.58</b>		<b>59,716.58</b>				
<b>GOVERNMENT AND AGENCY SECURITIES <sup>1</sup></b>								
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income Yield%
0 U.S. TREASURY NOTE	10/22/18	27,000	26,865.00	100.1330	27,035.91	170.91	238.86	709 2.62
2.625% AUG 31 2020								
MOODY'S: AAA S&P: *** CUSIP: 9128284Y3								
ORIGINAL UNIT/TOTAL COST: 99,5000/26,865.00								
0 U.S. TREASURY NOTE	08/17/15	3,000	3,050.14	99.0510	2,971.53	(78.61)	16.11	64 2.14
2.125% SEP 30 2021								
MOODY'S: AAA S&P: *** CUSIP: 912828F21								
ORIGINAL UNIT/TOTAL COST: 101.8320/3,054.96								
0 U.S. TREASURY NOTE	09/07/16	1,000	1,042.72	99.0510	990.51	(52.21)	5.37	22 2.14
ORIGINAL UNIT/TOTAL COST: 104.6880/1,046.88								
0 U.S. TREASURY NOTE	11/14/16	1,000	1,018.46	99.0510	990.51	(27.95)	5.37	22 2.14
ORIGINAL UNIT/TOTAL COST: 102.0230/1,020.23								
0 U.S. TREASURY NOTE	01/31/18	1,000	990.51	99.0510	990.51		5.37	22 2.14
ORIGINAL UNIT/TOTAL COST: 99.0510/990.51								
0 U.S. TREASURY NOTE	04/12/18	8,000	7,885.31	99.0510	7,924.08	38.77	42.97	170 2.14
ORIGINAL UNIT/TOTAL COST: 98.5663/7,885.31								
<b>Subtotal</b>		<b>14,000</b>	<b>13,987.14</b>		<b>13,867.14</b>	<b>(120.00)</b>	<b>75.19</b>	<b>300 2.14</b>
U.S. TREASURY NOTE	04/24/18	6,000	5,877.19	100.5160	6,030.96	153.77	61.88	165 2.73
2.750% FEB 15 2028 02.750% FEB 15 2028								
MOODY'S: AAA S&P: *** CUSIP: 9128283W8								
ORIGINAL UNIT/TOTAL COST: 97.9531/5,877.19								

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# YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2018 - December 31, 2018

GOVERNMENT AND AGENCY SECURITIES (continued)									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Yield%
0 U.S. TREASURY NOTE	09/28/18	1,000	976.09	100.5160	1,005.16	29.07	10.31	28	2.73
ORIGINAL UNIT/TOTAL COST:	97.6090/976.09								
Subtotal		7,000	6,853.28		7,036.12	182.84	72.19	193	2.73
A FEDERAL NATL MTG ASSOC	01/08/15	3,000	4,511.22	134.0430	4,021.29	(489.93)	25.40	199	4.94
BONDS 06.625% NOV 15 2030									
MOODY'S: AAA S&P: AA+ CUSIP: 31359MGK3									
ORIGINAL UNIT/TOTAL COST:	151.3860/4,541.58								
A FEDERAL NATL MTG ASSOC	06/01/17	1,000	1,428.45	134.0430	1,340.43	(88.02)	8.47	67	4.94
ORIGINAL UNIT/TOTAL COST:	143.6760/1,436.76								
A FEDERAL NATL MTG ASSOC	01/31/18	1,000	1,366.31	134.0430	1,340.43	(25.88)	8.47	67	4.94
ORIGINAL UNIT/TOTAL COST:	137.3200/1,373.20								
Subtotal		5,000	7,305.98		6,702.15	(603.83)	42.34	333	4.94
FNMA PAC8568 04 50%2040	12/21/16	14,000	1,431.63	104.9213	1,396.27	(35.36)	4.99	60	4.28
AMORTIZED FACTOR 0.095055420									
AMORTIZED VALUE	1,330								
MOODY'S: *** S&P: *** CUSIP: 31417VQW6									
FLHMC A9 1538 04 50%2040	01/24/17	7,000	795.12	104.7053	771.31	(23.81)	2.76	34	4.29
AMORTIZED FACTOR 0.105235120									
AMORTIZED VALUE	736								
MOODY'S: *** S&P: *** CUSIP: 312939V73									
FNMA PAD8529 04 50%2040	01/28/15	52,000	6,505.36	104.7438	6,249.76	(255.60)	22.38	269	4.29
AMORTIZED FACTOR 0.114744520									
AMORTIZED VALUE	5,966								
MOODY'S: *** S&P: *** CUSIP: 31418WPP9									
FNMA PAL6004 04 50%2043	05/11/15	6,000	1,711.58	104.1293	1,638.86	(72.72)	5.90	71	4.32
AMORTIZED FACTOR 0.262311790									
AMORTIZED VALUE	1,573								
MOODY'S: *** S&P: *** CUSIP: 3138ENV64									
FNMA PAS3907 04%2044	01/29/15	27,000	11,193.81	102.1370	10,653.95	(539.86)	34.77	418	3.91
AMORTIZED FACTOR 0.386334650									
AMORTIZED VALUE	10,437								
MOODY'S: *** S&P: *** CUSIP: 3138WDK12									

Phil's Advisory TIRA

Account Number:

# YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2018 - December 31, 2018

GOVERNMENT AND AGENCY SECURITIES <sup>1</sup> (continued)									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
FHLMC GO 8620 03 50%2044	02/24/15	27,000	13,820.44	100.4243	13,231.96	(588.48)	38.43	462	3.48
AMORTIZED FACTOR 0.488001890 AMORTIZED VALUE 13,176									
MOODY'S: *** S&P: *** CUSIP: 3128M1N7									
FNMA PAL6307 04 50%2045	09/21/16	10,000	3,502.52	104.1228	3,325.77	(176.75)	11.98	144	4.32
AMORTIZED FACTOR 0.319408540 AMORTIZED VALUE 3,194									
MOODY'S: *** S&P: *** CUSIP: 3138EPAH7									
FHLMC GO 8641 03 50%2045	06/08/15	8,000	4,881.46	100.3393	4,755.36	(126.10)	13.82	166	3.48
AMORTIZED FACTOR 0.592409530 AMORTIZED VALUE 4,739									
MOODY'S: *** S&P: *** CUSIP: 3128M1WB2									
A U.S. TREASURY BOND	08/17/15	4,000	4,149.59	99.8090	3,992.36	(157.23)	15.25	120	3.00
3.000% MAY 15 2045 03.000% MAY 15 2045									
MOODY'S: AAA S&P: *** CUSIP: 912810RM2									
ORIGINAL UNIT/TOTAL COST: 103,7655/4,150.62									
A U.S. TREASURY BOND	03/21/16	1,000	1,057.41	99.8090	998.09	(59.32)	3.81	30	3.00
ORIGINAL UNIT/TOTAL COST: 105,7810/1,057.81									
A U.S. TREASURY BOND	09/07/16	1,000	1,163.68	99.8090	998.09	(165.59)	3.81	30	3.00
ORIGINAL UNIT/TOTAL COST: 116,4920/1,164.92									
A U.S. TREASURY BOND	11/14/16	1,000	1,001.86	99.8090	998.09	(3.77)	3.81	30	3.00
ORIGINAL UNIT/TOTAL COST: 100,1870/1,001.87									
U.S. TREASURY BOND	11/22/16	1,000	997.93	99.8090	998.09	.16	3.81	30	3.00
ORIGINAL UNIT/TOTAL COST: 99,7930/997.93									
A U.S. TREASURY BOND	05/18/17	1,000	1,017.38	99.8090	998.09	(19.29)	3.81	30	3.00
ORIGINAL UNIT/TOTAL COST: 101,7500/1,017.50									
A U.S. TREASURY BOND	08/03/17	2,000	2,083.48	99.8090	1,996.18	(87.30)	7.62	60	3.00
ORIGINAL UNIT/TOTAL COST: 104,2030/2,084.06									
A U.S. TREASURY BOND	01/31/18	2,000	2,026.06	99.8090	1,996.18	(29.88)	7.62	60	3.00
ORIGINAL UNIT/TOTAL COST: 101,3120/2,026.24									

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# YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2018 - December 31, 2018

GOVERNMENT AND AGENCY SECURITIES <sup>1</sup> (continued)									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
U.S. TREASURY BOND	02/15/18	7,000	6,812.69	99.8090	6,986.63	173.94	26.69	210	3.00
ORIGINAL UNIT/TOTAL COST:	97.3241/6,812.69								
U.S. TREASURY BOND	09/28/18	1,000	966.09	99.8090	998.09	32.00	3.81	30	3.00
ORIGINAL UNIT/TOTAL COST:	96.6090/966.09								
Subtotal		21,000	21,276.17		20,959.89	(316.28)	80.04	630	3.00
FILMC GO 8677 04%2045	09/08/16	3,000	1,754.50	102.0998	1,669.04	(85.46)	5.45	66	3.91
AMORTIZED FACTOR 0.544904540	AMORTIZED VALUE 1,634								
MOODY'S: *** S&P: *** CUSIP: 3128MXF2									
FILMC GO 0855 04 50%2045	05/09/17	2,000	1,396.61	103.6494	1,346.00	(50.61)	4.87	59	4.34
AMORTIZED FACTOR 0.649303320	AMORTIZED VALUE 1,298								
MOODY'S: *** S&P: *** CUSIP: 31335A5Q7									
FILMC GO 8687 03 50%2046	11/15/16	1,000	670.61	100.3290	649.96	(20.65)	1.89	23	3.48
AMORTIZED FACTOR 0.647831310	AMORTIZED VALUE 647								
MOODY'S: *** S&P: *** CUSIP: 3128MXR6									
FILMC GO 8687 03 50%2046	11/29/16	1,000	667.97	100.3290	649.96	(18.01)	1.89	23	3.48
AMORTIZED VALUE 647									
Subtotal		2,000	1,338.58		1,299.92	(38.66)	3.78	46	3.48
U.S. TREASURY BOND	03/30/17	4,000	3,580.47	90.2810	3,611.24	30.77	37.50	100	2.76
2.500% FEB 15 2046 02.500% FEB 15 2046									
MOODY'S: AAA S&P: *** CUSIP: 912810R03									
ORIGINAL UNIT/TOTAL COST:	89.5177/3,580.47								
U.S. TREASURY BOND	05/04/17	5,000	4,503.10	90.2810	4,514.05	10.95	46.88	125	2.76
ORIGINAL UNIT/TOTAL COST:	90.0620/4,503.10								
U.S. TREASURY BOND	05/18/17	1,000	917.96	90.2810	902.81	(15.15)	9.38	25	2.76
ORIGINAL UNIT/TOTAL COST:	91.7960/917.96								
U.S. TREASURY BOND	08/03/17	2,000	1,882.50	90.2810	1,805.62	(76.88)	18.75	50	2.76
ORIGINAL UNIT/TOTAL COST:	94.1250/1,882.50								

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Phil's Advisory TIRA

Account Number:

# YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2018 - December 31, 2018

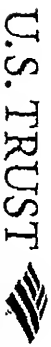
GOVERNMENT AND AGENCY SECURITIES <sup>1</sup> (continued)										
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Yield%	
0 U.S. TREASURY BOND	01/31/18	2,000	1,832.50	90.2810	1,805.62	(26.88)	18.75	50	2.76	
ORIGINAL UNIT/TOTAL COST: 91.6250/1,832.50										
0 U.S. TREASURY BOND	09/28/18	1,000	873.90	90.2810	902.81	28.91	9.38	25	2.76	
ORIGINAL UNIT/TOTAL COST: 87.3900/873.90										
Subtotal		15,000	13,590.43		13,542.15	(48.28)	140.64	375	2.76	
FHLMC GO 8693 03 50%2046	09/09/16	3,000	2,063.12	100.3290	1,964.91	(98.21)	5.71	69	3.48	
AMORTIZED FACTOR 0.652821390 AMORTIZED VALUE 1,958										
MOODY'S: *** S&P: *** CUSIP: 3128MXK3										
FHLMC GO 8702 03 50%2046	11/09/16	1,000	726.68	100.2980	697.05	(29.63)	2.03	25	3.48	
AMORTIZED FACTOR 0.694974120 AMORTIZED VALUE 694										
MOODY'S: *** S&P: *** CUSIP: 3128MXK8										
FHLMC GO 8702 03 50%2046	08/09/17	2,000	1,438.82	100.2980	1,394.09	(44.73)	4.05	49	3.48	
AMORTIZED VALUE 1,389										
FHLMC GO 8702 03 50%2046	10/26/17	1,000	712.67	100.2980	697.05	(15.62)	2.03	25	3.48	
AMORTIZED VALUE 694										
Subtotal		4,000	2,878.17		2,788.19	(89.98)	8.11	99	3.48	
FHLMC GO 8706 03 50%2046	05/08/17	2,000	1,442.32	100.2877	1,408.83	(33.49)	4.10	50	3.48	
AMORTIZED FACTOR 0.702393680 AMORTIZED VALUE 1,404										
MOODY'S: *** S&P: *** CUSIP: 3128MYC8										
FHLMC GO 8706 03 50%2046	05/30/17	1,000	820.49	97.5282	794.62	(25.87)	2.04	25	3.07	
AMORTIZED FACTOR 0.814761110 AMORTIZED VALUE 814										
MOODY'S: *** S&P: *** CUSIP: 31418CAF1										
FHLMC GO 8722 03 50%2046	01/19/17	2,000	1,529.55	100.2464	1,502.80	(26.75)	4.37	53	3.49	
AMORTIZED FACTOR 0.749551160 AMORTIZED VALUE 1,499										
MOODY'S: *** S&P: *** CUSIP: 3128MYU8										

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Bank of America Private Wealth Management

Phil's Advisory TIRA

Account Number:



Bank of America Corporation

## YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2018 - December 31, 2018

GOVERNMENT AND AGENCY SECURITIES * (continued)									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Yield%
FHLMC GO 8737 03%2046	12/08/16	16,000	13,599.77	97.5823	13,314.65	(285.12)	34.11	410	3.07
AMORTIZED FACTOR 0.852783670 AMORTIZED VALUE 13,644									
MOODY'S: *** S&P: *** CUSIP: 3128MIZB9									
FHLMC GO 8737 03%2046	05/05/17	1,000	849.98	97.5823	832.17	(17.81)	2.13	26	3.07
AMORTIZED VALUE 852									
FHLMC GO 8737 03%2046	10/26/17	1,000	851.05	97.5823	832.17	(18.88)	2.13	26	3.07
AMORTIZED VALUE 852									
Subtotal		18,000	15,300.80		14,978.99	(321.81)	38.37	462	3.07
FHLMC GO 8741 03%2047	02/12/18	3,000	2,525.98	97.4952	2,538.46	12.48	6.51	79	3.07
AMORTIZED FACTOR 0.867893750 AMORTIZED VALUE 2,603									
MOODY'S: *** S&P: *** CUSIP: 3128MIZF0									
FHLMC GO 8759 04 50%2047	05/19/17	2,000	1,530.24	103.6120	1,467.85	(62.39)	5.31	64	4.34
AMORTIZED FACTOR 0.708341970 AMORTIZED VALUE 1,416									
MOODY'S: *** S&P: *** CUSIP: 3128MIZZ6									
FHMA PAS9485 03 50%2047	08/21/17	1,000	892.89	100.1511	863.78	(29.11)	2.52	31	3.49
AMORTIZED FACTOR 0.862473430 AMORTIZED VALUE 862									
MOODY'S: *** S&P: *** CUSIP: 3138WKRFA									
FHMA PMA2959 03 50%2047	06/19/17	1,000	877.98	100.1924	851.60	(26.38)	2.48	30	3.49
AMORTIZED FACTOR 0.849962680 AMORTIZED VALUE 849									
MOODY'S: *** S&P: *** CUSIP: 31418CJD7									
FHLMC GO 8761 03 50%2047	05/19/17	2,000	1,759.05	100.1187	1,706.22	(52.83)	4.97	60	3.49
AMORTIZED FACTOR 0.852099120 AMORTIZED VALUE 1,704									
MOODY'S: *** S&P: *** CUSIP: 3128MIZ37									
FHLMC GO 8761 03 50%2047	06/08/17	1,000	881.26	100.1187	853.11	(28.15)	2.49	30	3.49
AMORTIZED VALUE 852									
Subtotal		3,000	2,640.31		2,559.33	(80.98)	7.46	90	3.49

Phil's Advisory TIRA

Account Number:

## YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2018 - December 31, 2018

GOVERNMENT AND AGENCY SECURITIES * (continued)									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Current Yield%
FNMA PMA3008 04 50%2047	06/20/17	1,000	780.22	103.6347	749.11	(31.11)	2.71	33	4.34
AMORTIZED FACTOR 0.722841680 AMORTIZED VALUE 722									
MOODY'S: *** S&P: *** CUSIP: 31418CKW3									
FNMA PMA3008 04 50%2047	10/26/17	1,000	772.87	103.6347	749.11	(23.76)	2.71	33	4.34
AMORTIZED VALUE 722									
Subtotal		2,000	1,553.09		1,498.22	(54.87)	5.42	66	4.34
FNMA PMA3038 04 50%2047	08/09/17	1,000	773.71	103.7451	745.61	(28.10)	2.70	33	4.33
AMORTIZED FACTOR 0.718692600 AMORTIZED VALUE 718									
MOODY'S: *** S&P: *** CUSIP: 31418CLU6									
FNMA PMA3073 04 50%2047	08/21/17	1,000	823.59	103.6320	791.54	(32.05)	2.86	35	4.34
AMORTIZED FACTOR 0.763802090 AMORTIZED VALUE 763									
MOODY'S: *** S&P: *** CUSIP: 31418CMX9									
FLHMC GO 8776 04 50%2047	02/09/18	6,000	4,979.12	103.5813	4,900.18	(78.94)	17.74	213	4.34
AMORTIZED FACTOR 0.788460210 AMORTIZED VALUE 4,730									
MOODY'S: *** S&P: *** CUSIP: 3128MU218									
FNMA PMA3182 03 50%2047	12/07/17	13,000	12,363.93	100.0281	12,014.48	(349.45)	35.03	421	3.49
AMORTIZED FACTOR 0.923930870 AMORTIZED VALUE 12,011									
MOODY'S: *** S&P: *** CUSIP: 31418CRG0									
FNMA PMA3210 03 50%2047	04/20/18	16,000	14,784.89	100.0071	14,864.91	80.02	43.35	521	3.49
AMORTIZED FACTOR 0.928990740 AMORTIZED VALUE 14,863									
MOODY'S: *** S&P: *** CUSIP: 31418CR89									
FNMA PMA3210 03 50%2047	09/11/18	3,000	2,757.36	100.0071	2,787.17	29.81	8.13	98	3.49
AMORTIZED VALUE 2,786									
FNMA PMA3210 03 50%2047	10/09/18	3,000	2,719.47	100.0071	2,787.17	67.70	8.13	98	3.49
AMORTIZED VALUE 2,786									
Subtotal		22,000	20,261.72		20,439.25	177.53	59.61	717	3.49

# YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2018 - December 31, 2018

GOVERNMENT AND AGENCY SECURITIES (continued)									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
FNMA PMA3238 03 50%2048	02/09/18	8,000	7,526.96	100.0075	7,492.40	(34.56)	21.85	263	3.49
AMORTIZED FACTOR 0.936479940 AMORTIZED VALUE 7.491									
MOODY'S: *** S&P: *** CUSIP: 31418C547									
FNMA PMA3239 04%2048	02/09/18	4,000	3,773.22	102.0221	3,733.43	(39.79)	12.20	147	3.92
AMORTIZED FACTOR 0.914857110 AMORTIZED VALUE 3.659									
MOODY'S: *** S&P: *** CUSIP: 31418C554									
FLHMC GO 8817 04%2048	06/07/18	1,000	983.59	101.9760	984.72	1.13	3.22	39	3.92
AMORTIZED FACTOR 0.965638960 AMORTIZED VALUE 965									
MOODY'S: *** S&P: *** CUSIP: 3128M14B3									
FNMA PMA3384 04%2048	09/11/18	1,000	977.03	101.9589	982.35	5.32	3.21	39	3.92
AMORTIZED FACTOR 0.963473230 AMORTIZED VALUE 963									
MOODY'S: *** S&P: *** CUSIP: 31418CXN9									
FNMA PMA3385 04 50%2048	06/08/18	1,000	979.68	103.5935	974.54	(5.14)	3.53	43	4.34
AMORTIZED FACTOR 0.940733850 AMORTIZED VALUE 940									
MOODY'S: *** S&P: *** CUSIP: 31418CXP4									
FNMA PMA3444 04 50%2048	10/09/18	1,000	991.27	103.5628	1,000.18	8.91	3.62	44	4.34
AMORTIZED FACTOR 0.965767450 AMORTIZED VALUE 965									
MOODY'S: *** S&P: *** CUSIP: 31418CZ16									
TOTAL		348,000	226,698.37		222,757.82	(3,940.55)	1,056.36	7,447	3.34
CORPORATE BONDS									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
A JPMORGAN CHASE & CO	01/07/15	6,000	6,491.52	101.8090	6,108.54	(382.98)	116.60	264	4.32
GLB 04.400% JUL 22 2020									
MOODY'S: A2 S&P: A- CUSIP: 46625HHS2									
ORIGINAL UNIT/TOTAL COST: 109,6030/6,576.18									

Phil's Advisory TIRA

Account Number:

# YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2018 - December 31, 2018

CORPORATE BONDS (continued)	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated/Current Annual Income Yield%
Δ JPMORGAN CHASE & CO ORIGINAL UNIT/TOTAL COST: 109.8740/1,098.74	02/12/15	1,000	1,084.22	101.8090	1,018.09	(66.13)	19.43	44 4.32
Δ JPMORGAN CHASE & CO ORIGINAL UNIT/TOTAL COST: 109.2900/1,092.90	05/04/15	1,000	1,079.27	101.8090	1,018.09	(61.18)	19.43	44 4.32
Δ JPMORGAN CHASE & CO ORIGINAL UNIT/TOTAL COST: 109.3290/1,093.29	09/07/16	1,000	1,079.60	101.8090	1,018.09	(61.51)	19.43	44 4.32
Δ JPMORGAN CHASE & CO ORIGINAL UNIT/TOTAL COST: 106.9520/1,069.52	05/18/17	1,000	1,059.40	101.8090	1,018.09	(41.31)	19.43	44 4.32
Δ JPMORGAN CHASE & CO ORIGINAL UNIT/TOTAL COST: 106.6940/1,066.94	08/22/17	1,000	1,057.21	101.8090	1,018.09	(39.12)	19.43	44 4.32
Δ JPMORGAN CHASE & CO ORIGINAL UNIT/TOTAL COST: 104.4520/2,089.04	01/31/18	2,000	2,076.20	101.8090	2,036.18	(40.02)	38.87	88 4.32
Subtotal		13,000	13,927.42		13,235.17	(692.25)	252.62	572 4.32
Δ VERIZON COMMUNICATIONS GLB 05.150% SEP 15 2023 MOODY'S: BAA1 S&P: BBB+ CUSIP: 922343VBR4 ORIGINAL UNIT/TOTAL COST: 111.9060/1,119.06	01/07/15	1,000	1,113.04	106.4360	1,064.36	(48.68)	15.16	52 4.83
Δ VERIZON COMMUNICATIONS ORIGINAL UNIT/TOTAL COST: 113.4840/5,674.20	04/29/15	5,000	5,639.84	106.4360	5,321.80	(318.04)	75.82	258 4.83
Δ VERIZON COMMUNICATIONS ORIGINAL UNIT/TOTAL COST: 112.9000/1,129.00	05/04/15	1,000	1,122.44	106.4360	1,064.36	(58.08)	15.16	52 4.83
Δ VERIZON COMMUNICATIONS ORIGINAL UNIT/TOTAL COST: 111.0060/1,110.06	04/20/17	1,000	1,104.51	106.4360	1,064.36	(40.15)	15.16	52 4.83
Δ VERIZON COMMUNICATIONS ORIGINAL UNIT/TOTAL COST: 112.0080/1,120.08	06/01/17	1,000	1,114.00	106.4360	1,064.36	(49.64)	15.16	52 4.83
Δ VERIZON COMMUNICATIONS ORIGINAL UNIT/TOTAL COST: 112.4530/1,124.53	10/16/17	1,000	1,118.21	106.4360	1,064.36	(53.85)	15.16	52 4.83

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# YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2018 - December 31, 2018

CORPORATE BONDS (continued)									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Accrued Interest	Estimated Annual Income	Current Yield%
Δ VERIZON COMMUNICATIONS ORIGINAL UNIT/TOTAL COST: 109.5810/1,095.81	02/08/18	1,000	1,091.01	106.4360	1,064.36	(26.65)	15.16	52	4.83
Δ VERIZON COMMUNICATIONS ORIGINAL UNIT/TOTAL COST: 107.6500/1,076.50	03/19/18	1,000	1,072.71	106.4360	1,064.36	(8.35)	15.16	52	4.83
Δ VERIZON COMMUNICATIONS ORIGINAL UNIT/TOTAL COST: 107.0410/1,070.41	09/28/18	1,000	1,067.17	106.4360	1,064.36	(2.81)	15.16	52	4.83
<b>Subtotal</b>		<b>13,000</b>	<b>14,442.93</b>		<b>13,836.68</b>	<b>(606.25)</b>	<b>197.10</b>	<b>674</b>	<b>4.83</b>
Δ GOLDMAN SACHS GROUP INC GLB 04.000% MAR 03 2024 MOODY'S: A3 S&P: BBB+ CUSIP: 38141GVM3 ORIGINAL UNIT/TOTAL COST: 105.2050/6,312.30	01/07/15	6,000	6,298.07	98.6770	5,920.62	(377.45)	78.67	240	4.05
Δ GOLDMAN SACHS GROUP INC ORIGINAL UNIT/TOTAL COST: 104.2790/1,042.79	05/04/15	1,000	1,040.85	98.6770	986.77	(54.08)	13.11	40	4.05
Δ GOLDMAN SACHS GROUP INC ORIGINAL UNIT/TOTAL COST: 104.6000/1,046.00	04/20/17	1,000	1,043.91	98.6770	986.77	(57.14)	13.11	40	4.05
Δ GOLDMAN SACHS GROUP INC ORIGINAL UNIT/TOTAL COST: 106.4590/6,387.54	09/07/17	6,000	6,369.78	98.6770	5,920.62	(449.16)	78.67	240	4.05
Δ GOLDMAN SACHS GROUP INC ORIGINAL UNIT/TOTAL COST: 103.3660/3,100.98	01/31/18	3,000	3,096.42	98.6770	2,960.31	(136.11)	39.33	120	4.05
<b>Subtotal</b>		<b>17,000</b>	<b>17,849.03</b>		<b>16,775.09</b>	<b>(1,073.94)</b>	<b>222.89</b>	<b>680</b>	<b>4.05</b>
Δ BP CAPITAL MARKETS PLC COMPANY GUARNT GLB 03.506% MAR 17 2025 MOODY'S: A1 S&P: A- CUSIP: 05565QDA3 ORIGINAL UNIT/TOTAL COST: 101.3550/4,054.20	04/07/17	4,000	4,052.18	98.0350	3,921.40	(130.78)	40.51	141	3.57
Δ BP CAPITAL MARKETS PLC ORIGINAL UNIT/TOTAL COST: 103.4710/1,034.71	06/01/17	1,000	1,033.40	98.0350	980.35	(53.05)	10.13	36	3.57

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Phil's Advisory TIRA

Account Number:

# YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2018 - December 31, 2018

CORPORATE BONDS (continued)		Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated/Current Annual Income Yield%
A BP CAPITAL MARKETS PLC		01/31/18	2,000	2,047.59	98.0350	1,960.70	(86.89)	20.26	71 3.57
ORIGINAL UNIT/TOTAL COST:		102.4720/2,049.44							
Subtotal			7,000	7,133.17		6,862.45	(270.72)	70.90	248 3.57
Ø SHELL INTERNATIONAL FIN		01/18/18	9,000	8,657.64	92.8230	8,354.07	(303.57)	68.12	225 2.69
COMPANY GUARNT GLB 02.500% SEP 12 2026									
MOODY'S: A42 S&P: AA CUSIP: 822582BX9									
ORIGINAL UNIT/TOTAL COST:		96.1960/8,657.64							
Ø SHELL INTERNATIONAL FIN		01/31/18	2,000	1,907.34	92.8230	1,856.46	(50.88)	15.14	50 2.69
ORIGINAL UNIT/TOTAL COST:		95.3670/1,907.34							
Subtotal			11,000	10,564.98		10,210.53	(354.45)	83.26	275 2.69
Δ CITIGROUP INC		09/07/17	8,000	8,320.37	96.4910	7,719.28	(601.09)	147.71	311 4.02
VAR% JAN 10 2028									
MOODY'S: BAA1 S&P: BBB+ CUSIP: 172967LD1									
PAR CALL DATE: 01/10/27 PAR CALL PRICE: 100.00									
ORIGINAL UNIT/TOTAL COST:		104.1060/8,328.48							
Δ CITIGROUP INC		01/31/18	2,000	2,044.39	96.4910	1,929.82	(114.57)	36.93	78 4.02
ORIGINAL UNIT/TOTAL COST:		102.2750/2,045.50							
Subtotal			10,000	10,364.76		9,649.10	(715.66)	184.64	389 4.02
Δ WELLS FARGO & COMPANY		09/06/17	6,000	6,152.18	96.0080	5,760.48	(391.70)	23.30	216 3.73
SER MTN VAR% MAY 22 2028									
MOODY'S: A2 S&P: A CUSIP: 95000U2A0									
PAR CALL DATE: 05/22/27 PAR CALL PRICE: 100.00									
ORIGINAL UNIT/TOTAL COST:		102.5980/6,155.88							
Δ WELLS FARGO & COMPANY		12/19/17	4,000	4,063.14	96.0080	3,840.32	(222.82)	15.53	144 3.73
ORIGINAL UNIT/TOTAL COST:		101.6167/4,064.67							

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# YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2018 - December 31, 2018

CORPORATE BONDS (continued)									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Current Yield%
A WELLS FARGO & COMPANY									
01/31/18		1,000	1,003.96	96.0080	960.08	(43.88)	3.88	36	3.73
ORIGINAL UNIT/TOTAL COST: 100.4050/1,004.05									
Subtotal		11,000	11,219.28		10,560.88	(658.40)	42.71	396	3.73
A MORGAN STANLEY									
08/28/17		5,000	5,065.80	94.5500	4,727.50	(338.30)	79.30	180	3.79
GLB VAR%JUL 22 2028									
MOODY'S: A3 S&P: BBB+ CUSIP: 61744YAK4									
PAR CALL DATE: 07/22/27 PAR CALL PRICE: 100.00									
ORIGINAL UNIT/TOTAL COST: 101.3470/5,067.35									
MORGAN STANLEY									
01/31/18		2,000	1,987.60	94.5500	1,891.00	(96.60)	31.72	72	3.79
ORIGINAL UNIT/TOTAL COST: 99.3800/1,987.60									
Subtotal		7,000	7,053.40		6,618.50	(434.90)	111.02	252	3.79
A GENERAL ELEC CAP CORP									
01/07/15		6,000	7,807.04	95.6090	5,736.54	(2,070.50)	163.52	353	6.14
NOTES 05.875% JAN 14 2038									
MOODY'S: BAAT S&P: BBB+ CUSIP: 36962G3P7									
ORIGINAL UNIT/TOTAL COST: 130.4100/7,824.60									
A GENERAL ELEC CAP CORP									
08/03/17		1,000	1,300.56	95.6090	956.09	(344.47)	27.25	59	6.14
ORIGINAL UNIT/TOTAL COST: 130.3480/1,303.48									
A GENERAL ELEC CAP CORP									
02/23/18		1,000	1,168.58	95.6090	956.09	(212.49)	27.25	59	6.14
ORIGINAL UNIT/TOTAL COST: 117.0070/1,170.07									
A GENERAL ELEC CAP CORP									
08/08/18		1,000	1,143.75	95.6090	956.09	(187.66)	27.25	59	6.14
ORIGINAL UNIT/TOTAL COST: 114.5000/1,145.00									
A GENERAL ELEC CAP CORP									
09/28/18		1,000	1,119.68	95.6090	956.09	(163.59)	27.25	59	6.14
ORIGINAL UNIT/TOTAL COST: 112.0630/1,120.63									
Subtotal		10,000	12,539.61		9,560.90	(2,978.71)	272.52	589	6.14

Phil's Advisory TIRA

Account Number:

# YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2018 - December 31, 2018

CORPORATE BONDS (continued)									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Current Yield%
Δ ENTERPRISE PRODUCTS OPER 01/07/15 4,000 4,953.37 106.3610 4,254.44 (698.93) 86.13 228 5.35 COMPANY GUARNT 05.700% FEB 15 2042 MOODY'S: BAA1 S&P: BBB+ CUSIP: 29379VAV5 ORIGINAL UNIT/TOTAL COST: 124,0000/4,960.00									
Δ ENTERPRISE PRODUCTS OPER 05/04/15 1,000 1,138.30 106.3610 1,063.61 (74.69) 21.53 57 5.35 ORIGINAL UNIT/TOTAL COST: 113.9190/1,139.19									
Δ ENTERPRISE PRODUCTS OPER 02/02/18 1,000 1,203.64 106.3610 1,063.61 (140.03) 21.53 57 5.35 ORIGINAL UNIT/TOTAL COST: 120.5020/1,205.02									
Subtotal		6,000	7,295.31		6,381.66	(913.65)	129.19	342	5.35
Δ MICROSOFT CORP 11/07/17 12,000 12,241.98 95.7980 11,495.76 (746.22) 176.37 444 3.86 GIB 03.700% AUG 08 2046 MOODY'S: AAA S&P: AAA CUSIP: 594918BT0 PAR CALL DATE: 02/08/46 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST: 102.0280/12,243.36									
MICROSOFT CORP 02/12/18 2,000 1,955.14 95.7980 1,915.96 (39.18) 29.39 74 3.86 ORIGINAL UNIT/TOTAL COST: 97.7570/1,955.14									
Subtotal		14,000	14,197.12		13,411.72	(785.40)	205.76	518	3.86
TOTAL		119,000	126,587.01		117,102.68	(9,484.33)	1,772.61	4,935	4.21

PLEASE REFER TO NOTES BELOW FOR INFORMATION REGARDING CREDIT RATINGS.

MUTUAL FUNDS/CLOSED END FUNDS/UIT									
Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Current Yield%
BLACKROCK EQTY DIVIDEND	2,267	53,796.55	18.7000	42,392.90	(11,403.65)	53,796	(11,403)	984	2.32
FUND INSTL SYMBOL: MADVX Initial Purchase: 01/19/18 Equity 100%									

# YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2018 - December 31, 2018

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued)		Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%
CAMBIAR INTERNATIONAL EQUITY FUND INSTL CL		1,303	35,684.83	23.0700	30,060.21	(5,624.62)	35,684	(5,624)	286
SYMBOL: CAMYX Initial Purchase: 07/05/17									
Equity 100%									
.0098 Fractional Share			0.28	23.0700	.23	(0.05)			.94
.0002 Fractional Share			N/A	23.0700	N/A	N/A			.94
CARLTON EAGLE SMALL CAP GROWTH FUND CL I		341	20,692.05	44.8600	15,297.26	(5,394.79)	20,692	(5,394)	
SYMBOL: HSLIX Initial Purchase: 08/26/15									
Equity 100%									
CHARTWELL SMALL CAP VALUE FUND CLASS I		995	18,485.38	15.8500	15,770.75	(2,714.63)	18,485	(2,714)	74
SYMBOL: CWSIX Initial Purchase: 09/12/17									.46
Equity 100%									
COMM SERVICES SELECT SECTOR		954	44,568.40	41.2800	39,381.12	(5,187.28)	44,568	(5,187)	507
SYMBOL: XLC Initial Purchase: 10/18/18									1.28
Equity 100%									
CONSUMER DISCRETIONARY SPDR		461	44,640.95	99.0100	45,643.61	1,002.66	44,640	1,002	614
SYMBOL: XLY Initial Purchase: 05/16/17									1.34
Equity 100%									
DELAWARE EMERGING MARKETS FUND INSTL CL		1,252	23,304.28	16.7100	20,920.92	(2,383.36)	23,304	(2,383)	137
									.65

Phil's Advisory TIRA

Account Number:

# YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2018 - December 31, 2018

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued)		Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%
Description									
SYMBOL: DEMX Initial Purchase: 07/05/17 Equity 100%									
FIRST TRUST DOW JONES INTERNET INDEX FUND		208	26,816.48	116.6600	24,265.28	(2,551.20)	26,816	(2,551)	
SYMBOL: FDN Initial Purchase: 12/05/17 Equity 100%									
FIRST TRUST CLOUD COMPUTING ETF		506	25,739.97	48.4700	24,525.82	(1,214.15)	25,739	(1,214)	91 .36
SYMBOL: SKYY Initial Purchase: 12/05/17 Equity 100%									
HARDING LOEVNER INTL EQUITY PT FD INSTL CL		1,419	31,053.85	19.3100	27,400.89	(3,652.96)	31,053	(3,652)	410 1.49
SYMBOL: HLMIX Initial Purchase: 07/05/17 Equity 100%									
HEALTH CARE SELECT SPDR		387	30,751.62	86.5100	33,479.37	2,727.75	30,751	2,727	528 1.57
SYMBOL: XLV Initial Purchase: 05/16/17 Equity 100%									
ISHARES RUSSELL 1000 VALUE		1,378	177,054.56	111.0500	153,026.90	(24,027.66)	177,054	(24,027)	4,151 2.71
SYMBOL: IWD Initial Purchase: 01/19/18 Equity 100%									
ISHARES NASDAQ BIOTECH ETF		176	19,443.68	96.4300	16,971.68	(2,472.00)	19,443	(2,472)	34 .19
SYMBOL: IB8 Initial Purchase: 09/25/17									

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# YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2018 - December 31, 2018

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued)		Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%
Equity 100%									
ISHARES MSCI EAFE		441	28,165.89	58.7800	25,921.98	(2,243.91)	28,165	(2,243)	879 3.38
SYMBOL: EFA Initial Purchase: 07/26/16									
Equity 100%									
ISHARES RS 2000 GROWTH		53	10,344.69	168.0000	8,904.00	(1,440.69)	10,344	(1,440)	68 .75
SYMBOL: IWO Initial Purchase: 01/19/18									
Equity 100%									
ISHARES RS 2000 VALUE		85	11,039.38	107.5400	9,140.90	(1,898.48)	11,039	(1,898)	182 1.98
SYMBOL: IWN Initial Purchase: 01/19/18									
Equity 100%									
ISHARES MSCI JAPAN ETF		253	13,549.57	50.6900	12,824.57	(725.00)	13,549	(725)	219 1.70
SHS SYMBOL: EWJ Initial Purchase: 07/26/16									
Equity 100%									
ISHARES TR CORE MSCI EAF		771	50,458.91	55.0000	42,405.00	(8,053.91)	50,458	(8,053)	1,468 3.46
ETF SYMBOL: IEFA Initial Purchase: 09/29/17									
Equity 100%									
JOHN HANCOCK DISCIPLINED		1,782	40,971.88	17.9100	31,915.62	(9,056.26)	40,971	(9,056)	508 1.59
VALUE FUND CL I SYMBOL: JVLX Initial Purchase: 01/19/18									
Equity 100%									

Phil's Advisory TIRA

Account Number:

# YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2018 - December 31, 2018

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued)									
Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Current Yield%
MFS VALUE FD CL I	976	41,472.81	35.5100	34,657.76	(6,815.05)	41,472	(6,815)	728	2.10
SYMBOL: MEIX Initial Purchase: 01/19/18									
Equity 100%									
NUVEEN SMALL CAP VALUE	964	26,698.82	20.2600	19,530.64	(7,168.18)	26,698	(7,168)	195	.99
FUND CL I									
SYMBOL: FSCCX Initial Purchase: 01/19/18									
Equity 100%									
OAKMARK INTL FD CL	1,118	30,955.81	20.4300	22,840.74	(8,115.07)	30,955	(8,115)	557	2.43
ADV									
SYMBOL: OAVIX Initial Purchase: 07/05/17									
Equity 100%									
.4936 Fractional Share		12.17	20.4300	10.08	(2.09)			1	2.43
.0004 Fractional Share		N/A	20.4300	.01					2.43
OPPENHEIMER INTERNATL	846	31,343.94	34.6900	29,347.74	(1,996.20)	31,343	(1,996)	423	1.44
GROWTH FD CL Y									
SYMBOL: OIGYX Initial Purchase: 09/14/12									
Equity 100%									
.6190 Fractional Share		18.53	34.6900	21.47	2.94			1	1.44
REAL ESTATE SELECT	318	10,003.79	31.0000	9,858.00	(145.79)	10,003	(145)	373	3.77
SECTOR SPDR FD SHS									
SYMBOL: XLRE Initial Purchase: 11/22/16									
Equity 100%									
SOUND SHORE FUND	863	41,517.02	37.1900	32,094.97	(9,422.05)	41,517	(9,422)	477	1.48
INSTL CL									
SYMBOL: SSHVX Initial Purchase: 01/19/18									

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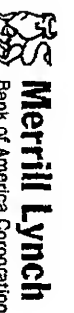
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Bank of America Private Wealth Management

Phil's Advisory TIRA

Account Number:



Bank of America Corporation

# YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2018 - December 31, 2018

MUTUAL FUNDS/CLOSED END FUNDS/UIT		(continued)		Total	Estimated	Estimated	Unrealized	Total Client	Cumulative	Estimated
Description	Quantity	Cost Basis	Market Price	Market Value	Gain/(Loss)	Investment	Return (\$)	Income	Yield%	
Equity 100%										
SPDR US FINANCIAL SECTOR	842	21,124.23	23.8200	20,056.44	(1,067.79)	21,124	(1,067)	417	2.07	
ETF										
SYMBOL: XLF	Initial Purchase: 05/16/17									
Equity 100%										
T ROWE PRICE EMERGING	921	39,383.58	37.4700	34,509.87	(4,873.71)	39,383	(4,873)	166	.48	
MARKETS STOCK FD INV CL										
SYMBOL: PRMSX	Initial Purchase: 09/29/17									
Equity 100%										
VANGUARD CONSUMER	179	25,206.62	131.1700	23,479.43	(1,727.19)	25,206	(1,727)	653	2.78	
STAPLES ETF										
SYMBOL: VDC	Initial Purchase: 05/16/17									
Equity 100%										
VANGUARD MATERIALS ETF	62	7,459.33	110.8300	6,871.46	(587.87)	7,459	(587)	140	2.02	
SYMBOL: VAW	Initial Purchase: 01/25/16									
Equity 100%										
VANGUARD INFORMATION	276	42,272.64	166.8300	46,045.08	3,772.44	42,272	3,772	595	1.29	
TECH ETF										
SYMBOL: VGT	Initial Purchase: 05/16/17									
Equity 100%										
VANGUARD INDUSTRIAL ETF	347	44,878.03	120.4100	41,782.27	(3,095.76)	44,878	(3,095)	796	1.90	
SYMBOL: VIS	Initial Purchase: 05/16/17									
Equity 100%										

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Phil's Advisory TIRA

Account Number:

# YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2018 - December 31, 2018

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued)		Description		Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%
WELLS FARGO SMALL		COMPANY GROWTH FD INSTL		375	21,098.87	47.4600	17,797.50	(3,301.37)	21,098	(3,301)	
SYMBOL: WSCGX		Initial Purchase: 01/19/18									
Equity 100%											
WESTERN ASSET SMASH		SERIES EC FUND		15,736	146,485.77	8.5700	134,857.52	(11,628.25)	146,485	(11,628)	6.263 4.64
SYMBOL: LMECX		Initial Purchase: 01/08/15									
Fixed Income 100%											
WESTERN ASSET SMASH		SERIES C FUND		5,566	53,973.59	8.9700	49,927.02	(4,046.57)	53,973	(4,046)	2.338 4.68
SYMBOL: LMLCX		Initial Purchase: 01/08/15									
Fixed Income 100%											
WESTERN ASSET SMASH		SERIES M FUND		14,040	151,314.72	10.7100	150,368.40	(946.32)	151,314	(946)	3.734 2.48
SYMBOL: LMSMX		Initial Purchase: 01/08/15									
Fixed Income 100%											
Subtotal (Fixed Income)							335,152.94				
Subtotal (Equities)							959,152.47				
TOTAL					1,441,783.47		1,294,305.41	(147,478.07)		(147,466)	28,997 2.24
TOTAL PRINCIPAL INVESTMENTS					1,854,785.43		1,693,882.49	(160,902.95)			41,379 2.44

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# YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2018 - December 31, 2018

**Total Client Investment:** Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.  
**Cumulative Investment Return:** Estimated Market Value minus Total Client Investment.  
**Cumulative Investment Return:** is the dollar value of the capital appreciation (depreciation) of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed.

**Market Timing:** Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

**Sales Charge Discounts or Waivers:** Many funds offer various sales charge discounts or waivers depending on the terms of the prospectus and/or statement of additional information. You should consult a fund's prospectus and/or statement of additional information to determine whether you may qualify for a discount or waiver. Notify your Financial Advisor, Financial Solutions Advisor or Investment Center representative if you believe you qualify for any of these or any other discounts or waivers. Please contact your Financial Advisor, Financial Solutions Advisor or Investment Center representative for further information on available sales charge discounts and waivers.

## Notes

<sup>Δ</sup> Debt Instruments purchased at a premium show amortization      <sup>Θ</sup> Debt Instruments purchased at a discount show accretion

<sup>1</sup> Some agency securities are not backed by the full faith and credit of the United States government

Total values exclude N/A items

For Credit Ratings: S&P and Moody's provide credit ratings on the credit quality of certain bonds and preferred stocks. For a credit enhanced security, Moody's and S&P publish and provide third party vendors the higher of the rating on the credit enhancer (guarantor) or the stand alone rating on the underlying security.

## YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF INCOME INVESTMENTS

CASH/MONEY ACCOUNTS					
Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income
CASH	797.93	797.93		797.93	
BLF FEDFUND CASH RESERVE	15,096.00	15,096.00	1.0000	15,096.00	
<b>TOTAL</b>		15,893.93		15,893.93	
<b>TOTAL INCOME INVESTMENTS</b>		15,893.93		15,893.93	

Phil's Advisory TIRA

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# YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF INCOME INVESTMENTS

December 01, 2018 - December 31, 2018

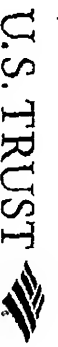
LONG PORTFOLIO	Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
TOTAL PRINCIPAL/INCOME INVESTMENTS	1,870,679.36	1,709,776.42	(160,902.95)	2,828.97	41,379	2.42

## YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

DIVIDENDS/INTEREST INCOME TRANSACTIONS									
Date	Description	Transaction Type	Quantity	Reinvestment	Income Cash	Principal Cash	Year To Date	Income Year To Date	
Tax-Exempt Interest									
Subtotal (Tax-Exempt Interest)									
(138.63)									
Taxable Interest									
12/17	FHLMC GO 8817 04%2048	Interest			3.24				
	AMORTIZED FACTOR 0.965638960	INTEREST CREDIT PAY DATE 12/15/2018	CUSIP NUM: 3128MJ4B3						
12/17	FHLMC A9 1538 04 50%2040	Interest			3.18				
	AMORTIZED FACTOR 0.105235120	INTEREST CREDIT PAY DATE 12/15/2018	CUSIP NUM: 312939V73						
12/17	FHLMC GO 8620 03 50%2044	Interest			38.78				
	AMORTIZED FACTOR 0.488001890	INTEREST CREDIT PAY DATE 12/15/2018	CUSIP NUM: 3128MJVN7						
12/17	FHLMC GO 8641 03 50%2045	Interest			13.94				
	AMORTIZED FACTOR 0.592409530	INTEREST CREDIT PAY DATE 12/15/2018	CUSIP NUM: 3128MJWB2						
12/17	FHLMC GO 8677 04%2045	Interest			5.51				
	AMORTIZED FACTOR 0.544904540	INTEREST CREDIT PAY DATE 12/15/2018	CUSIP NUM: 3128MJXF2						
12/17	FHLMC GO 8687 03 50%2046	Interest			5.72				
	AMORTIZED FACTOR 0.647831310	INTEREST CREDIT PAY DATE 12/15/2018	CUSIP NUM: 3128MJXR6						
12/17	FHLMC GO 8693 03 50%2046	Interest			5.76				
	AMORTIZED FACTOR 0.652821390	INTEREST CREDIT PAY DATE 12/15/2018	CUSIP NUM: 3128MJXX3						
12/17	FHLMC GO 8702 03 50%2046	Interest			8.19				
	AMORTIZED FACTOR 0.694974120	INTEREST CREDIT PAY DATE 12/15/2018	CUSIP NUM: 3128MJX88						
12/17	FHLMC GO 8706 03 50%2046	Interest			4.14				
	AMORTIZED FACTOR 0.702393680	INTEREST CREDIT PAY DATE 12/15/2018	CUSIP NUM: 3128MJYC8						

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Bank of America Private Wealth Management

Phil's Advisory TIRA

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Bank of America Corporation

## YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

December 01, 2018 - December 31, 2018

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)		Transaction Type		Quantity	Reinvestment	Income Cash	Principal Cash	Income Year To Date
Date	Description							
12/17	FHLMC GO 8722 03 50%2046	Interest				4.42		
	INTEREST CREDIT PAY DATE 12/15/2018 CUSIP NUM: 3128MJYU8							
12/17	FHLMC GO 8737 03%2046	Interest				38.65		
	AMORTIZED FACTOR 0.852783670 INTEREST CREDIT PAY DATE 12/15/2018 CUSIP NUM: 3128MJZB9							
12/17	FHLMC GO 8741 03%2047	Interest				8.73		
	AMORTIZED FACTOR 0.867893750 INTEREST CREDIT PAY DATE 12/15/2018 CUSIP NUM: 3128MJZFO							
12/17	FHLMC GO 8759 04 50%2047	Interest				5.37		
	AMORTIZED FACTOR 0.708341970 INTEREST CREDIT PAY DATE 12/15/2018 CUSIP NUM: 3128MJZG6							
12/17	FHLMC GO 0855 04 50%2045	Interest				4.94		
	AMORTIZED FACTOR 0.649303320 INTEREST CREDIT PAY DATE 12/15/2018 CUSIP NUM: 31335A5Q7							
12/17	FHLMC GO 8761 03 50%2047	Interest				7.50		
	AMORTIZED FACTOR 0.852099120 INTEREST CREDIT PAY DATE 12/15/2018 CUSIP NUM: 3128MJZ37							
12/17	FHLMC GO 8776 04 50%2047	Interest				17.95		
	AMORTIZED FACTOR 0.788460210 INTEREST CREDIT PAY DATE 12/15/2018 CUSIP NUM: 3128MJZ18							
12/20	FNMA PMA3182 03 50%2047	Accrued Interest				1.71		
	AMORTIZED FACTOR 0.923930870 INCOME ON SALE 19 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES							
	DETAILS UPN WRITTEN ROST PRICE 99.468750 ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE							
	WWW.SIFMA.ORG/TMPG.CUSIP NUM: 31418CR8C0							
12/20	FNMA PMA3210 03 50%2047	Accrued Interest				6.86		
	AMORTIZED FACTOR 0.928990740 INCOME ON SALE 19 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES							
	DETAILS UPN WRITTEN ROST PRICE 99.468750 ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE							
	WWW.SIFMA.ORG/TMPG.CUSIP NUM: 31418CR89							
12/20	FNMA PAB8529 04 50%2040	Accrued Interest				.27		
	AMORTIZED FACTOR 0.114744520 INCOME ON SALE 19 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES							
	DETAILS UPN WRITTEN ROST PRICE 103.437500 ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE							
	WWW.SIFMA.ORG/TMPG.CUSIP NUM: 31418WPP9							
12/20	FNMA PMA3238 03 50%2048	Accrued Interest				1.73		
	AMORTIZED FACTOR 0.936479940 INCOME ON SALE 19 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES							

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## YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

December 01, 2018 - December 31, 2018

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)								
Date	Description	Transaction Type	Quantity	Reinvestment	Income Cash	Principal Cash	Income Year To Date	
Taxable Interest								
	DETAILS UPN WRITTEN ROST PRICE 99.468750 ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE WWW.SIFMA.ORG/TMPG.CUSIP NUM: 31418CS47							
12/20	FNMA PMA3444 04 50%2048	Accrued Interest			4.59			
	AMORTIZED FACTOR 0.965767450 INCOME ON SALE 19 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST PRICE 103.437500 ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE WWW.SIFMA.ORG/TMPG.CUSIP NUM: 31418CZJ6							
12/20	FHLMC A9 1538 04 50%2040	Accrued Interest			.25			
	AMORTIZED FACTOR 0.105235120 INCOME ON SALE 19 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST PRICE 103.406250 ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE WWW.SIFMA.ORG/TMPG.CUSIP NUM: 312939V73							
12/20	U.S. TREASURY NOTE	Accrued Interest			9.49			
	2.750% FEB 15 2028 02.750% FEB 15 2028 INCOME ON SALE YLD TO MATURITY 2.75% MATURITY DATE 2/15/28. 127 DAYS INTEREST PER ADVISORY AGREEMENT. PRICE 99.968000 ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE WWW.SIFMA.ORG/TMPG.CUSIP NUM: 9128283W8							
12/20	U.S. TREASURY NOTE	Accrued Interest			16.10			
	2.625% AUG 31 2020 INCOME ON SALE FIRST COUPON 02/28/19 YLD TO MATURITY 2.65% MATURITY DATE 8/31/20. 111 DAYS INTEREST PER ADVISORY AGREEMENT. PRICE 99.949219 ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE WWW.SIFMA.ORG/TMPG.CUSIP NUM: 9128284Y3							
12/20	U.S. TREASURY BOND	Accrued Interest			17.26			
	2.500% FEB 15 2046 02.500% FEB 15 2046 INCOME ON SALE YLD TO MATURITY 3.00% MATURITY DATE 2/15/46. 127 DAYS INTEREST PER ADVISORY AGREEMENT. PRICE 90.679000 ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE WWW.SIFMA.ORG/TMPG.CUSIP NUM: 912810RQ3							
12/20	U.S. TREASURY BOND	Accrued Interest			5.80			
	3.000% MAY 15 2045 03.000% MAY 15 2045 INCOME ON SALE YLD TO MATURITY 2.98% MATURITY DATE 5/15/45. 35 DAYS INTEREST PER ADVISORY AGREEMENT. PRICE 100.196000 ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE WWW.SIFMA.ORG/TMPG.CUSIP NUM: 912810RM2							
12/20	U.S. TREASURY NOTE	Accrued Interest			4.73			
	2.125% SEP 30 2021 INCOME ON SALE YLD TO MATURITY 2.62% MATURITY DATE 9/30/21. 81 DAYS INTEREST PER ADVISORY AGREEMENT. PRICE 98.679688 ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE WWW.SIFMA.ORG/TMPG.CUSIP NUM:							

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## YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

December 01, 2018 - December 31, 2018

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)				
Date	Description	Transaction Type	Quantity	Reinvestment
Taxable Interest				
12/20	912828F21			
12/20	FMMA PAL6307 04 50%2045	Accrued Interest		.76
	AMORTIZED FACTOR 0.319408540 INCOME ON SALE 19 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES			
	DETAILS UPN WRITTEN RQST PRICE 103.437500 ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE			
	WWW.SIFMA.ORG/TMPG. CUSIP NUM: 3138EPAH7			
12/20	FMMA GO 8687 03 50%2046	Accrued Interest		1.20
	AMORTIZED FACTOR 0.647831310 INCOME ON SALE 19 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES			
	DETAILS UPN WRITTEN RQST PRICE 99.250000 ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE			
	WWW.SIFMA.ORG/TMPG. CUSIP NUM: 3128MXR6			
12/20	FMMA PMA2806 03%2046	Accrued Interest		1.34
	AMORTIZED FACTOR 0.846554730 INCOME ON SALE 19 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES			
	DETAILS UPN WRITTEN RQST PRICE 97.031250 ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE			
	WWW.SIFMA.ORG/TMPG. CUSIP NUM: 31478CDL5			
12/20	FLMFC GO 8741 03%2047	Accrued Interest		1.37
	AMORTIZED FACTOR 0.867893750 INCOME ON SALE 19 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES			
	DETAILS UPN WRITTEN RQST PRICE 96.937500 ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE			
	WWW.SIFMA.ORG/TMPG. CUSIP NUM: 3128MJZF0			
12/21	CITIGROUP INC	Accrued Interest		17.38
	VAR%JAN 10 2028 INCOME ON SALE RATINGS ARE SUBJ. TO CHG MOODYS BAA1 S&P BBB& CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST 161			
	DAYS INTEREST PER ADVISORY AGREEMENT. PRICE 96.850000 ML ACTED AS AGENT. CUSIP NUM: 172967LD1			
12/21	JPMORGAN CHASE & CO	Accrued Interest		18.21
	GLB 04.400% JUL 22 2020 INCOME ON SALE RATINGS ARE SUBJ. TO CHG MOODYS A2 S&P A-YLD TO MATURITY 3.35% MATURITY DATE			
	7/22/20. 149 DAYS INTEREST PER ADVISORY AGREEMENT. PRICE 101.600000 ML ACTED AS AGENT. CUSIP NUM: 46625HHS2			
12/21	MICROSOFT CORP	Accrued Interest		13.67
	GLB 03.700% AUG 08 2046 INCOME ON SALE RATINGS ARE SUBJ. TO CHG MOODYS AAA S&P AAA CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST			
	YLD TO MATURITY 3.84% MATURITY DATE 8/08/46. YLD TO CALL 3.84% CALL DATE 2/08/46 CALL PRICE \$100.00. 133 DAYS			
	INTEREST PER ADVISORY AGREEMENT. PRICE 97.619000 ML ACTED AS AGENT. CUSIP NUM: 594918BT0			
12/21	MORGAN STANLEY	Accrued Interest		14.76
	GLB VAR%JUL 22 2028 INCOME ON SALE RATINGS ARE SUBJ. TO CHG MOODYS A3 S&P BBB& MAKE-WHOLE CALL. DETAILS UPON REQUEST 148 DAYS			

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# YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

December 01, 2018 - December 31, 2018

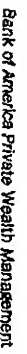
DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)						
Date	Description	Transaction Type	Quantity	Reinvestment	Income Cash	Principal Cash
Taxable Interest						
	INTEREST PER ADVISORY AGREEMENT. PRICE 94.200000 ML ACTED AS AGENT. CUSIP NUM: 61744YAK4					
12/21	SHELL INTERNATIONAL FIN	Accrued Interest			6.88	
	COMPANY GUARNT GLB 02.500% SEP 12 2026 INCOME ON SALE RATINGS ARE SUBJ. TO CHG MOODYS AA2 S&P AA- MAKE-WHOLE CALL. DETAILS UPON REQUEST YLD TO MATURITY 3.60% MATURITY DATE 9/12/26. 99 DAYS INTEREST PER ADVISORY AGREEMENT. PRICE 92.638000 ML ACTED AS AGENT. CUSIP NUM: 822582BX9					
12/21	VERIZON COMMUNICATIONS	Accrued Interest			13.73	
	GLB 05.150% SEP 15 2023 INCOME ON SALE RATINGS ARE SUBJ. TO CHG MOODYS BAA1 S&P BBB& MAKE-WHOLE CALL. DETAILS UPON REQUEST YLD TO MATURITY 3.64% MATURITY DATE 9/15/23. 96 DAYS INTEREST PER ADVISORY AGREEMENT. PRICE 106.492000 ML ACTED AS AGENT. CUSIP NUM: 92343VBR4					
12/21	GOLDMAN SACHS GROUP INC	Accrued Interest			12.00	
	GLB 04.000% MAR 03 2024 INCOME ON SALE YLD TO MATURITY 4.23% MATURITY DATE 3/03/24. 108 DAYS INTEREST PER ADVISORY AGREEMENT. PRICE 98.900000 ML ACTED AS AGENT. CUSIP NUM: 38141GVM3					
12/26	FNMA PMA3182 03 50%2047	Interest			37.94	
	AMORTIZED FACTOR 0.923830870 INTEREST CREDIT PAY DATE 12/25/2018 CUSIP NUM: 31418CRC0					
12/26	FNMA PMA3210 03 50%2047	Interest			70.88	
	AMORTIZED FACTOR 0.928990740 INTEREST CREDIT PAY DATE 12/25/2018 CUSIP NUM: 31418CR89					
12/26	FNMA PAD8529 04 50%2040	Interest			23.05	
	AMORTIZED FACTOR 0.114744520 INTEREST CREDIT PAY DATE 12/25/2018 CUSIP NUM: 31418WPP9					
12/26	FNMA PMA3238 03 50%2048	Interest			24.72	
	AMORTIZED FACTOR 0.936479940 INTEREST CREDIT PAY DATE 12/25/2018 CUSIP NUM: 31418CS47					
12/26	FNMA PMA3239 04%2048	Interest			12.29	
	AMORTIZED FACTOR 0.914857110 INTEREST CREDIT PAY DATE 12/25/2018 CUSIP NUM: 31418CS54					
12/26	FNMA PMA3385 04 50%2048	Interest			3.57	
	AMORTIZED FACTOR 0.940733850 INTEREST CREDIT PAY DATE 12/25/2018 CUSIP NUM: 31418CXP4					
12/26	FNMA PMA3384 04%2048	Interest			3.23	
	AMORTIZED FACTOR 0.963475230 INTEREST CREDIT PAY DATE 12/25/2018 CUSIP NUM: 31418CXN9					
12/26	FNMA PMA3444 04 50%2048	Interest			10.97	
	AMORTIZED FACTOR 0.965767450 INTEREST CREDIT PAY DATE 12/25/2018 CUSIP NUM: 31418CZJ6					

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## December 01, 2018 - December 31, 2018

**DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)**

<b>DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)</b>						
<i>Date</i>	<i>Description</i>	<i>Transaction Type</i>	<i>Quantity</i>	<i>Reinvestment</i>	<i>Income Cash</i>	<i>Principal Cash</i>
12/26	FNMA PAL6004 04 50%2043 AMORTIZED FACTOR 0.262311790 INTEREST CREDIT PAY DATE 12/25/2018 CUSIP NUM: 3138ENU64	Interest			5.98	
12/26	FNMA PAS3907 04%2044 AMORTIZED FACTOR 0.386334650 INTEREST CREDIT PAY DATE 12/25/2018 CUSIP NUM: 3138WDKY2	Interest			35.13	
12/26	FNMA PAC8568 04 50%2040 AMORTIZED FACTOR 0.095055420 INTEREST CREDIT PAY DATE 12/25/2018 CUSIP NUM: 31417VQW6	Interest			5.06	
12/26	FNMA PAL6307 04 50%2045 AMORTIZED FACTOR 0.319408540 INTEREST CREDIT PAY DATE 12/25/2018 CUSIP NUM: 3138EPAH7	Interest			13.35	
12/26	FNMA PMA2705 03%2046 AMORTIZED FACTOR 0.814761110 INTEREST CREDIT PAY DATE 12/25/2018 CUSIP NUM: 3141BCAF1	Interest			2.05	
12/26	FNMA PMA2806 03%2046 AMORTIZED FACTOR 0.846554730 INTEREST CREDIT PAY DATE 12/25/2018 CUSIP NUM: 31418CDL5	Interest			2.13	
12/26	FNMA PMA2959 03 50%2047 AMORTIZED FACTOR 0.849962680 INTEREST CREDIT PAY DATE 12/25/2018 CUSIP NUM: 31418CJD7	Interest			2.49	
12/26	FNMA PMA3008 04 50%2047 AMORTIZED FACTOR 0.722841680 INTEREST CREDIT PAY DATE 12/25/2018 CUSIP NUM: 31418CKW3	Interest			5.48	
12/26	FNMA PAS9485 03 50%2047 AMORTIZED FACTOR 0.862473430 INTEREST CREDIT PAY DATE 12/25/2018 CUSIP NUM: 3138WKRF4	Interest			2.53	
12/26	FNMA PMA3038 04 50%2047 AMORTIZED FACTOR 0.718692600 INTEREST CREDIT PAY DATE 12/25/2018 CUSIP NUM: 31418CLU6	Interest			2.71	
12/26	FNMA PMA3073 04 50%2047 AMORTIZED FACTOR 0.763802090 INTEREST CREDIT PAY DATE 12/25/2018 CUSIP NUM: 31418CMX9	Interest			2.88	
<b>Subtotal (Taxable Interest)</b>					<b>612.55</b>	<b>2,510.84</b>
<b>Taxable Dividends</b>						
12/03	BLF FEDFUND CASH RESERVE DIVIDEND PAY DATE 11/30/2018	Dividend			76.54	
12/03	BLF FEDFUND CASH RESERVE REINVESTMENT	Reinvestment Program		(76.00)		

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# YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

December 01, 2018 - December 31, 2018

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)							
Date	Description	Transaction Type	Quantity	Reinvestment	Income Cash	Principal Cash	Income Year To Date
Taxable Dividends							
12/03	BLF FEDFUND CASH RESERVE	Reinvestment Share(s)	76.0000				
	DIVID REIN AGENT REINV AMOUNT	\$76.00 REINV PRICE \$1.00000 QUANTITY BOT	76.0000 AS OF 12/03				
12/13	OPPENHEIMER INTERNATL	Dividend			424.10		
	GROWTH FD CL Y DIVIDEND PAY DATE 12/12/2018						
12/14	WELLS FARGO SMALL	*Long Term Capital Gain				1,176.94	
	COMPANY GROWTH FD INSTL LONG TERM CAPITAL GAIN PAY DATE 12/13/2018						
12/14	WELLS FARGO SMALL	Short Term Capital Gain				362.31	
	COMPANY GROWTH FD INSTL SHORT TERM CAPITAL GAIN PAY DATE 12/13/2018						
12/14	OAKMARK INTL FD CL	Dividend			644.27		
	ADV DIVIDEND PAY DATE 12/13/2018						
12/14	OAKMARK INTL FD CL	*Long Term Capital Gain				1,147.57	
	ADV LONG TERM CAPITAL GAIN PAY DATE 12/13/2018						
12/18	VANGUARD CONSUMER	Dividend			204.55		
	STAPLES ETF DIVIDEND HOLDING 196.0000 PAY DATE 12/18/2018						
12/18	VANGUARD MATERIALS ETF	Dividend			39.10		
	DIVIDEND HOLDING 62.0000 PAY DATE 12/18/2018						
12/18	VANGUARD INFORMATION	Dividend			176.81		
	TECH ETF DIVIDEND HOLDING 276.0000 PAY DATE 12/18/2018						
12/18	VANGUARD INDUSTRIAL ETF	Dividend			224.68		
	DIVIDEND HOLDING 347.0000 PAY DATE 12/18/2018						
12/18	JOHN HANCOCK DISCIPLINED	Dividend			508.64		
	VALUE FUND CL I DIVIDEND PAY DATE 12/17/2018						
12/18	JOHN HANCOCK DISCIPLINED	*Long Term Capital Gain				2,852.34	
	VALUE FUND CL I LONG TERM CAPITAL GAIN PAY DATE 12/17/2018						
12/18	JOHN HANCOCK DISCIPLINED	Short Term Capital Gain				20.07	
	VALUE FUND CL I SHORT TERM CAPITAL GAIN PAY DATE 12/17/2018						
12/19	NUVEEN SMALL CAP VALUE	*Long Term Capital Gain				541.09	
	FUND CL I LONG TERM CAPITAL GAIN PAY DATE 12/18/2018						

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YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

December 01, 2018 - December 31, 2018

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)		Transaction Type		Quantity		Reinvestment		Income		Principal		Income	
Date	Description							Cash		Cash		Year To Date	
<b>Taxable Dividends</b>													
12/19	NUVEEN SMALL CAP VALUE	Short Term Capital Gain								85.51			
	FUND CL I SHORT TERM CAPITAL GAIN PAY DATE 12/18/2018												
12/19	T ROWE PRICE EMERGING	Dividend						224.48					
	MARKETS STOCK FD INV CL DIVIDEND PAY DATE 12/18/2018												
12/19	WESTERN ASSET SMASH	Dividend						1,156.09					
	SERIES EC FUND DIVIDEND PAY DATE 12/18/2018												
12/19	WESTERN ASSET SMASH	Dividend						426.05					
	SERIES C FUND DIVIDEND PAY DATE 12/18/2018												
12/19	WESTERN ASSET SMASH	*Long Term Capital Gain								214.14			
	SERIES C FUND LONG TERM CAPITAL GAIN PAY DATE 12/18/2018												
12/19	WESTERN ASSET SMASH	Short Term Capital Gain								253.49			
	SERIES C FUND SHORT TERM CAPITAL GAIN PAY DATE 12/18/2018												
12/19	WESTERN ASSET SMASH	Dividend						844.98					
	SERIES M FUND DIVIDEND PAY DATE 12/18/2018												
12/19	WESTERN ASSET SMASH	Short Term Capital Gain								1,144.75			
	SERIES M FUND SHORT TERM CAPITAL GAIN PAY DATE 12/18/2018												
12/20	HARDING LOEVNER INTL	Dividend						411.41					
	EQUITY PT FD INSTL CL DIVIDEND PAY DATE 12/19/2018												
12/21	ISHARES RUSSELL 1000	Dividend						1,154.60					
	VALUE DIVIDEND HOLDING 1397.0000 PAY DATE 12/21/2018												
12/21	ISHARES RS 2000 GROWTH	Dividend						18.98					
	DIVIDEND HOLDING 53.0000 PAY DATE 12/21/2018												
12/21	ISHARES RS 2000 VALUE	Dividend						51.38					
	DIVIDEND HOLDING 85.0000 PAY DATE 12/21/2018												
12/21	MFS VALUE FD CL I	Dividend						237.04					
	DIVIDEND PAY DATE 12/20/2018												
12/21	MFS VALUE FD CL I	*Long Term Capital Gain								504.52			
	LONG TERM CAPITAL GAIN PAY DATE 12/20/2018												

Phil's Advisory TIRA

Account Number:

# YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

December 01, 2018 - December 31, 2018

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)							
Date	Description	Transaction Type	Quantity	Reinvestment	Income Cash	Principal Cash	Income Year To Date
Taxable Dividends							
12/21	MFS VALUE FD CL I	Short Term Capital Gain				21.73	
	SHORT TERM CAPITAL GAIN PAY DATE 12/20/2018						
12/24	ISHARES MSCI EAFE	Dividend			284.54		
	DIVIDEND HOLDING 446.0000 PAY DATE 12/24/2018						
12/24	ISHARES MSCI JAPAN ETF	Dividend			102.58		
	SHS DIVIDEND HOLDING 253.0000 PAY DATE 12/24/2018						
12/24	ISHARES TR CORE MSCI EAF	Dividend			581.29		
	ETF DIVIDEND HOLDING 773.0000 PAY DATE 12/24/2018						
12/24	CARLTON EAGLE SMALL CAP	*Long Term Capital Gain				3,748.35	
	GROWTH FUND CL I LONG TERM CAPITAL GAIN PAY DATE 12/21/2018						
12/24	CAMBIAR INTERNATIONAL	*Long Term Capital Gain				58.64	
	EQUITY FUND INSTL CL LONG TERM CAPITAL GAIN PAY DATE 12/21/2018						
12/26	DELAWARE EMERGING	Dividend			136.47		
	MARKETS FUND INSTL CL DIVIDEND PAY DATE 12/24/2018						
12/27	REAL ESTATE SELECT	Dividend			117.22		
	SECTOR SPDR FD SHS DIVIDEND HOLDING 318.0000 PAY DATE 12/27/2018						
12/27	COMM SERVICES SELECT	Dividend			128.07		
	SECTOR DIVIDEND HOLDING 954.0000 PAY DATE 12/27/2018						
12/27	HEALTH CARE SELECT SPDR	Dividend			146.88		
	DIVIDEND HOLDING 387.0000 PAY DATE 12/27/2018						
12/27	CONSUMER DISCRETIONARY	Dividend			173.24		
	SPDR DIVIDEND HOLDING 461.0000 PAY DATE 12/27/2018						
12/27	SPDR US FINANCIAL SECTOR	Dividend			122.05		
	ETF DIVIDEND HOLDING 842.0000 PAY DATE 12/27/2018						
12/31	FIRST TRUST CLOUD	Dividend			22.45		
	COMPUTING ETF DIVIDEND HOLDING 515.0000 PAY DATE 12/31/2018						
12/31	NUVEEN SMALL CAP VALUE	Dividend			195.02		
	FUND CL I DIVIDEND PAY DATE 12/31/2018						

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YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

December 01, 2018 - December 31, 2018

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Description	Transaction Type	Quantity	Reinvestment	Income Cash	Principal Cash	Income Year To Date
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Taxable Dividends

12/31	CHARTWELL SMALL CAP	Dividend			74.43		
	VALUE FUND CLASS I DIVIDEND PAY DATE 12/31/2018						

12/31	CHARTWELL SMALL CAP	*Long Term Capital Gain				1,115.30	
	VALUE FUND CLASS I LONG TERM CAPITAL GAIN PAY DATE 12/31/2018						

12/31	CHARTWELL SMALL CAP	Short Term Capital Gain				101.19	
	VALUE FUND CLASS I SHORT TERM CAPITAL GAIN PAY DATE 12/31/2018						

12/31	SOUND SHORE FUND	Dividend			218.97		
	INSTL CL DIVIDEND PAY DATE 12/28/2018						

12/31	SOUND SHORE FUND	*Long Term Capital Gain				2,229.73	
	INSTL CL LONG TERM CAPITAL GAIN PAY DATE 12/28/2018						

12/31	CAMBIAR INTERNATIONAL	Dividend			286.14		
	EQUITY FUND INSTL CL DIVIDEND PAY DATE 12/31/2018						

	Subtotal (Taxable Dividends)				9,413.05	15,577.67	34,152.90
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	NET TOTAL				(76.00)	10,025.60	36,525.11
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	* Long Term Capital Gain Distributions					13,588.62	18,109.51
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The long-term capital gain distribution amounts may change due to income reclassification information provided by the issuer. In particular, distributions made by Mutual Funds, REITs and UITs often need to be reclassified as a different type of distribution (including long-term capital gain distributions) after the end of the year in which the distribution was originally paid.

SECURITY TRANSACTIONS  
TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement/ Trade Date	Description	Transaction Type	Quantity	Transaction Amount	Commissions/ Trading Fees	Income Cash	Principal Cash
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Sales

12/19	DELAWARE EMERGING	Sale	-65.0000	1,092.00			1,092.00
12/18	MARKETS FUND INSTL CL REDEEMED PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 245914817 SEC NO 9KTH9 PRINCIPAL 1092.00						
	UNIT PRICE 16.8000						

12/19	MFS VALUE FD CL I	Sale	-20.0000	736.80			736.80
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Phil's Advisory TIRA

Account Number:

## YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

December 01, 2018 - December 31, 2018

SECURITY TRANSACTIONS (continued)  
TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement/ Trade Date	Description	Transaction Type	Quantity	Transaction Amount	Commissions/ Trading Fees	Income Cash	Principal Cash
<b>Sales</b>							
12/18	REDEEMED PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 552983694 SEC NO 9K2M9 PRINCIPAL 736.80 UNIT PRICE						36.8400
12/19	T ROWE PRICE EMERGING	Sale	-55.0000	2,043.25			2,043.25
12/18	MARKETS STOCK FD INV CL REDEEMED PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 77956H864 SEC NO 9K6D2 PRINCIPAL 2043.25						
12/19	UNIT PRICE 37.1500						
12/19	WESTERN ASSET SMASH	Sale	-895.0000	7,535.90			7,535.90
12/18	SERIES EC FUND REDEEMED PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 52470G734 SEC NO 9MEK5 PRINCIPAL 7535.90 UNIT PRICE						
	8.4200						
12/19	WESTERN ASSET SMASH	Sale	-264.0000	2,389.20			2,389.20
12/18	SERIES C FUND REDEEMED PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 52470G742 SEC NO 9MEK8 PRINCIPAL 2389.20 UNIT PRICE						
	9.0500						
12/19	WESTERN ASSET SMASH	Sale	-1,363.0000	14,488.69			14,488.69
12/18	SERIES M FUND REDEEMED PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 52470G759 SEC NO 9MEK9 PRINCIPAL 14488.69 UNIT PRICE						
	10.6300						
12/20	FMMA PMA3182 03 50%2047	Sale	-1,000.0000	920.73			919.02
12/19	AMORTIZED FACTOR 0.923930870 REDEEMED 19 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS						
	UPN WRITTEN RQST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE WWW.SIFMA.ORG/MPG. CUS NO						
	31418CR89 SEC NO GALV5 PRINCIPAL 919.02 UNIT PRICE 99.4687						
12/20	FMMA PMA3210 03 50%2047	Sale	-4,000.0000	3,703.08			3,696.22
12/19	AMORTIZED FACTOR 0.928990740 REDEEMED 19 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS						
	UPN WRITTEN RQST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE WWW.SIFMA.ORG/MPG. CUS NO						
	31418CR89 SEC NO GAZD0 PRINCIPAL 3696.22 UNIT PRICE 99.4687						
12/20	FMMA PAD8529 04 50%2040	Sale	-1,000.0000	118.96			118.96
12/19	AMORTIZED FACTOR 0.114744520 REDEEMED 19 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS						
	UPN WRITTEN RQST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE WWW.SIFMA.ORG/MPG. CUS NO						
	31418WPP9 SEC NO GAZU7 PRINCIPAL 118.69 UNIT PRICE 103.4375						
12/20	FMMA PMA3238 03 50%2048	Sale	-1,000.0000	933.23			931.50

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YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

December 01, 2018 - December 31, 2018

SECURITY TRANSACTIONS (continued)  
TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement/ Trade Date	Description	Transaction Type	Quantity	Transaction Amount	Commissions/ Trading Fees	Income Cash	Principal Cash
<b>Sales</b>							
12/19	AMORTIZED FACTOR 0.936479940 REDEEMED 19 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE WWW.SIFMA.ORG/TMPG. CUS NO 3141BCS47 SEC NO GBP33 PRINCIPAL 931.50 UNIT PRICE 99.4687						
12/20	FNMA PMA3444 04 50%2048 Sale		-2,000.0000	2,002.52			1,997.93
12/19	AMORTIZED FACTOR 0.965767450 REDEEMED 19 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE WWW.SIFMA.ORG/TMPG. CUS NO 3141BCZ16 SEC NO GF115 PRINCIPAL 1997.93 UNIT PRICE 103.4375						
12/20	FILMNC A9 1538 04 50%2040 Sale		-1,000.0000	109.07			108.82
12/19	AMORTIZED FACTOR 0.105235120 REDEEMED 19 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE WWW.SIFMA.ORG/TMPG. CUS NO 312939V73 SEC NO G31H0 PRINCIPAL 108.82 UNIT PRICE 103.4062						
12/20	U.S. TREASURY NOTE Sale		-1,000.0000	1,009.17			999.68
12/19	2.750% FEB 15 2028 02.750% FEB 15 2028 REDEEMED YLD TO MATURITY 2.75% MATURITY DATE 2/15/28. 127 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE WWW.SIFMA.ORG/TMPG. CUS NO 9128283W8 SEC NO H26E8 PRINCIPAL 999.68 UNIT PRICE 99.9680						
12/20	U.S. TREASURY NOTE Sale		-2,000.0000	2,015.08			1,998.98
12/19	2.625% AUG 31 2020 REDEEMED FIRST COUPON 02/28/19 YLD TO MATURITY 2.65% MATURITY DATE 8/31/20. 111 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE WWW.SIFMA.ORG/TMPG. CUS NO 9128284Y3 SEC NO H26N7 PRINCIPAL 1998.98 UNIT PRICE 99.9492						
12/20	U.S. TREASURY NOTE Sale		-2,000.0000	1,830.84			1,813.58
12/19	2.500% FEB 15 2046 02.500% FEB 15 2046 REDEEMED YLD TO MATURITY 3.00% MATURITY DATE 2/15/46. 127 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE WWW.SIFMA.ORG/TMPG. CUS NO 912810RQ3 SEC NO H26R9 PRINCIPAL 1813.58 UNIT PRICE 90.6790						
12/20	U.S. TREASURY BOND Sale		-2,000.0000	2,009.72			2,003.92
12/19	3.000% MAY 15 2045 03.000% MAY 15 2045 REDEEMED YLD TO MATURITY 2.98% MATURITY DATE 5/15/45. 35 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE WWW.SIFMA.ORG/TMPG. CUS NO 912810RM2 SEC NO H26W6 PRINCIPAL 2003.92 UNIT PRICE 100.1960						

Phil's Advisory TIRA

Account Number:

# YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

December 01, 2018 - December 31, 2018

## SECURITY TRANSACTIONS (continued) TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement/ Trade Date	Description	Transaction Type	Quantity	Transaction Amount	Commissions/ Trading Fees	Income Cash	Principal Cash
12/20	U.S. TREASURY NOTE	Sale	-1,000.0000	991.53			986.80
12/19	2.125% SEP 30 2021 REDEEMED YLD TO MATURITY 2.62% MATURITY DATE 9/30/21. 81 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE WWW.SIFMA.ORG/TMPG. CUS NO 912828F21 SEC NO H2682						
	PRINCIPAL 986.80 UNIT PRICE 98.6796						
12/20	FNMA PAL6307 04 50%2045	Sale	-1,000.0000	331.15			330.39
12/19	AMORTIZED FACTOR 0.319408540 REDEEMED 19 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS						
	UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE WWW.SIFMA.ORG/TMPG. CUS NO 3138EPAH7 SEC NO 16M54 PRINCIPAL 330.39 UNIT PRICE 103.4375						
12/20	FLHMC GO 8687 03 50%2046	Sale	-1,000.0000	644.17			642.97
12/19	AMORTIZED FACTOR 0.647831310 REDEEMED 19 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS						
	UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE WWW.SIFMA.ORG/TMPG. CUS NO 3128MJKR6 SEC NO 198E3 PRINCIPAL 642.97 UNIT PRICE 99.2500						
12/20	FNMA PMA2806 03%2046	Sale	-1,000.0000	822.76			821.42
12/19	AMORTIZED FACTOR 0.846554730 REDEEMED 19 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS						
	UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE WWW.SIFMA.ORG/TMPG. CUS NO 31418CDL5 SEC NO YS86 PRINCIPAL 821.42 UNIT PRICE 97.0312						
12/20	FLHMC GO 8741 03%2047	Sale	-1,000.0000	842.68			841.31
12/19	AMORTIZED FACTOR 0.867893750 REDEEMED 19 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS						
	UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE WWW.SIFMA.ORG/TMPG. CUS NO 3128MUZFO SEC NO Y36E6 PRINCIPAL 841.31 UNIT PRICE 96.9375						
12/20	ISHARES RUSSELL 1000	Sale	-19,0000	2,145.85			2,145.82
12/18	VALUE REDEEMED PER ADVISORY AGREEMENT. CUS NO 464287598 SEC NO 31CK1 PRINCIPAL 2145.82 TRN FEE 0.03 UNIT PRICE 112.9396						
12/20	ISHARES MSCI EAFE	Sale	-5,0000	297.52			297.51
12/18	REDEEMED PER ADVISORY AGREEMENT. CUS NO 464287465 SEC NO 31CT9 PRINCIPAL 297.51 TRN FEE 0.01 UNIT PRICE 59.5037						
12/20	VANGUARD CONSUMER	Sale	-17,0000	2,294.12			2,294.09
12/18	STAPLES ETF REDEEMED PER ADVISORY AGREEMENT. CUS NO 92204A207 SEC NO 31FMO PRINCIPAL 2294.09 TRN FEE 0.03 UNIT PRICE 134.9485						

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## YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

December 01, 2018 - December 31, 2018

### SECURITY TRANSACTIONS (continued) TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement/ Trade Date	Description	Transaction Type	Quantity	Transaction Amount	Commissions/ Trading Fees	Income Cash	Principal Cash
12/20	REAL ESTATE SELECT	Sale	-48.0000	1,564.32	(02)		1,564.30
12/18	SECTOR SPDR FD SHS REDEEMED PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. CUS NO 81369Y860 SEC NO 31MV5 PRINCIPAL 1564.30 TRN FEE 0.02						
	UNIT PRICE 32.5900						
12/20	FIRST TRUST CLOUD	Sale	-9.0000	445.30	(01)		445.29
12/18	COMPUTING ETF REDEEMED PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. CUS NO 33734X192 SEC NO 39RY4 PRINCIPAL 445.29 TRN FEE 0.01 UNIT PRICE 49.4778						
12/20	ISHARES TR CORE MSCI EAF	Sale	-2.0000	111.24	(01)		111.23
12/18	ETF REDEEMED PER ADVISORY AGREEMENT. CUS NO 46432F842 SEC NO 39T52 PRINCIPAL 111.23 TRN FEE 0.01 UNIT PRICE 55.6200						
12/20	HEALTH CARE SELECT SPDR	Sale	-17.0000	1,471.77	(02)		1,471.75
12/18	REDEEMED PER ADVISORY AGREEMENT. CUS NO 81369Y209 SEC NO 673F6 PRINCIPAL 1471.75 TRN FEE 0.02 UNIT PRICE 86.5747						
12/20	CONSUMER DISCRETIONARY	Sale	-5.0000	505.25	(01)		505.24
12/18	SPDR REDEEMED PER ADVISORY AGREEMENT. CUS NO 81369Y407 SEC NO 673G1 PRINCIPAL 505.24 TRN FEE 0.01 UNIT PRICE 101.0501						
12/21	CITIGROUP INC	Sale	-1,000.0000	985.88			968.50
12/19	VAR%JAN 10 2028 REDEEMED RATINGS ARE SUBJ. TO CHG MOODYS BAA1 S&P BB& CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST 161 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 172967LD1 SEC NO 82793 PRINCIPAL 968.50 UNIT PRICE 96.8500						
12/21	JPMORGAN CHASE & CO	Sale	-1,000.0000	1,034.21			1,016.00
12/19	GLB 04.400% JUL 22 2020 REDEEMED RATINGS ARE SUBJ. TO CHG MOODYS A2 S&P A-YLD TO MATURITY 3.35% MATURITY DATE 7/22/20. 149 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 46625HHS2 SEC NO C0394 PRINCIPAL 1016.00 UNIT PRICE 101.6000						
12/21	MICROSOFT CORP	Sale	-1,000.0000	989.86			976.19
12/19	GLB 03.700% AUG 08 2046 REDEEMED RATINGS ARE SUBJ. TO CHG MOODYS AAA S&P AAA CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO MATURITY 3.84% MATURITY DATE 8/08/46. YLD TO CALL 3.84% CALL DATE 2/08/46 CALL PRICE \$100.00. 133 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 594918BT0 SEC NO C6C38 PRINCIPAL 976.19 UNIT PRICE 97.6190						
12/21	MORGAN STANLEY	Sale	-1,000.0000	956.76			942.00
12/19	GLB VAR%JUL 22 2028 REDEEMED RATINGS ARE SUBJ. TO CHG MOODYS A3 S&P BB& MAKE-WHOLE CALL. DETAILS UPON REQUEST 148 DAYS						

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# YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

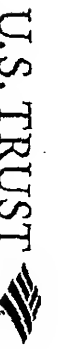
December 01, 2018 - December 31, 2018

## SECURITY TRANSACTIONS (continued) TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement/ Trade Date	Description	Transaction Type	Quantity	Transaction Amount	Commissions/ Trading Fees	Income Cash	Principal Cash
<b>Sales</b>							
12/21	INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 61744YAK4 SEC NO CGDH1 PRINCIPAL 942.00 UNIT PRICE 94.2000						
12/21	SHELL INTERNATIONAL FIN	Sale	-1,000.0000	933.26			926.38
12/19	COMPANY GUARANT GIB 02.500% SEP 12 2026 REDEEMED RATINGS ARE SUBJ. TO CHG MOODYS AA2 S&P AA-MAKE-WHOLE CALL. DETAILS UPON REQUEST YLD TO MATURITY 3.60% MATURITY DATE 9/12/26. 99 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 822582BX9 SEC NO E38W1 PRINCIPAL 926.38 UNIT PRICE 92.6380						
12/21	VERIZON COMMUNICATIONS	Sale	-1,000.0000	1,078.65			1,064.92
12/19	GIB 05.150% SEP 15 2023 REDEEMED RATINGS ARE SUBJ. TO CHG MOODYS BAA1 S&P BBB& MAKE-WHOLE CALL. DETAILS UPON REQUEST YLD TO MATURITY 3.64% MATURITY DATE 9/15/23. 96 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 92343VBR4 SEC NO E73Y0 PRINCIPAL 1064.92 UNIT PRICE 106.4920						
12/21	GOLDMAN SACHS GROUP INC	Sale	-1,000.0000	1,001.00			989.00
12/19	GIB 04.000% MAR 03 2024 REDEEMED YLD TO MATURITY 4.23% MATURITY DATE 3/03/24. 108 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. CUS NO 38141GVM3 SEC NO HIOD0 PRINCIPAL 989.00 UNIT PRICE 98.9000						
<b>Subtotal (Sales)</b>				<b>62,385.52</b>	<b>(.14)</b>		<b>62,215.29</b>
<b>Other Security Transactions</b>							
12/04	BLF FEDFUND CASH RESERVE	Subscription	1.0000			(1.00)	
<b>SUBSCRIPTION</b>							
12/05	BLF FEDFUND CASH RESERVE	Redemption	-2,245.0000				2,245.00
<b>REDEEMED REDEMP AS OF 12/04/2018</b>							
12/06	BLF FEDFUND CASH RESERVE	Subscription	27.0000				(27.00)
<b>SUBSCRIPTION</b>							
12/10	OAKMARK INTL FD CL	Exchange	-1,120.0000				
<b>INVESTOR EXCHANGE SHARE CLASS CONVERSION SHARES AS OF 12/07/2018</b>							
12/10	OAKMARK INTL FD CL	Exchange	1,118.4940				
<b>ADV EXCHANGE FRAC QUANTITY IS .494 SHARE CLASS CONVERSION SHARES AS OF 12/07/2018</b>							
12/11	BLF FEDFUND CASH RESERVE	Subscription	61.0000				(61.00)

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Bank of America Private Wealth Management

Phil's Advisory TIRA

Account Number:



Bank of America Corporation

## YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

December 01, 2018 - December 31, 2018

### SECURITY TRANSACTIONS (continued) TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement/ Trade Date	Description	Transaction Type	Quantity	Transaction Amount	Commissions/ Trading Fees	Income Cash	Principal Cash
Other Security Transactions							
SUBSCRIPTION							
12/14	BLF FEDFUND CASH RESERVE SUBSCRIPTION	Subscription	424.0000			(424.00)	
12/17	BLF FEDFUND CASH RESERVE SUBSCRIPTION	Subscription	557.0000			(557.00)	
12/17	BLF FEDFUND CASH RESERVE SUBSCRIPTION	Subscription	2,686.0000				(2,686.00)
12/18	BLF FEDFUND CASH RESERVE SUBSCRIPTION	Subscription	176.0000			(176.00)	
12/18	BLF FEDFUND CASH RESERVE SUBSCRIPTION	Subscription	518.0000				(518.00)
12/19	BLF FEDFUND CASH RESERVE SUBSCRIPTION	Subscription	1,154.0000			(1,154.00)	
12/19	BLF FEDFUND CASH RESERVE SUBSCRIPTION	Subscription	2,873.0000				(2,873.00)
12/20	BLF FEDFUND CASH RESERVE SUBSCRIPTION	Subscription	2,651.0000			(2,651.00)	
12/20	BLF FEDFUND CASH RESERVE SUBSCRIPTION	Subscription	30,525.0000				(30,525.00)
12/21	BLF FEDFUND CASH RESERVE SUBSCRIPTION	Subscription	485.0000			(485.00)	
12/21	BLF FEDFUND CASH RESERVE REDEEMED	Redemption	-17,039.0000				17,039.00
12/24	BLF FEDFUND CASH RESERVE SUBSCRIPTION	Subscription	1,559.0000			(1,559.00)	

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Phil's Advisory TIRA

Account Number:

# YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

December 01, 2018 - December 31, 2018

## SECURITY TRANSACTIONS (continued) TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement/ Trade Date	Description	Transaction Type	Quantity	Transaction Amount	Commissions/ Trading Fees	Income Cash	Principal Cash
<b>Other Security Transactions</b>							
12/24	BLF FEDFUND CASH RESERVE	Redemption		-21,484.0000			21,484.00
	REDEEMED REDEMPT AS OF 12/21/2018						
12/26	BLF FEDFUND CASH RESERVE	Subscription	968.0000			(968.00)	
	SUBSCRIPTION						
12/26	BLF FEDFUND CASH RESERVE	Subscription	3,807.0000				(3,807.00)
	SUBSCRIPTION						
12/27	BLF FEDFUND CASH RESERVE	Subscription	403.0000			(403.00)	
	SUBSCRIPTION						
12/27	BLF FEDFUND CASH RESERVE	Subscription	645.0000				(645.00)
	SUBSCRIPTION						
12/28	BLF FEDFUND CASH RESERVE	Subscription	687.0000			(687.00)	
	SUBSCRIPTION						
	<b>Subtotal (Other Security Transactions)</b>			<b>62,385.52</b>	<b>(.14)</b>	<b>(9,065.00)</b>	<b>(374.00)</b>
	<b>TOTAL</b>						<b>61,841.29</b>

## REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement Gains/(Losses)	Year to Date
Δ CITIGROUP INC	1000.0000	09/07/17	12/19/18	968.50	1,040.15	(71.65)	
Δ JPMORGAN CHASE & 4.40% 20	1000.0000	01/07/15	12/19/18	1,016.00	1,083.39	(67.39)	
Δ MICROSOFT CORP 3.70% 2046	1000.0000	11/07/17	12/19/18	976.19	1,020.18	(43.99)	
Δ MORGAN STANLEY	1000.0000	08/28/17	12/19/18	942.00	1,013.19	(71.19)	
Δ VERIZON COMMUN 5.15% 2023	1000.0000	01/07/15	12/19/18	1,064.92	1,113.66	(48.74)	
FNMA PMA3182 03 50%2047 COR	1000.0000	12/07/17	12/19/18	919.02	945.91	N/C	
FNMA PMA3210 03 50%2047 COR	4000.0000	04/20/18	12/19/18	3,696.22	3,673.53	N/C	
FNMA PAD8529 04 50%2040 COR	1000.0000	01/28/15	12/19/18	118.69	123.85	N/C	

YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

December 01, 2018 - December 31, 2018

REALIZED GAINS/(LOSSES) (continued)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/(Losses)	Year to Date
FNMA PMA3238 03 50%2048 COR	1000.0000	02/09/18	12/19/18	931.50	935.71	N/C	
FNMA PMA3444 04 50%2048 COR	1000.0000	09/11/18	12/19/18	998.96	990.67	N/C	
FNMA PMA3444 04 50%2048 COR	1000.0000	10/09/18	12/19/18	998.97	981.76	N/C	
FLHMC A9 1538 04 50%2040	1000.0000	01/24/17	12/19/18	108.82	113.58	N/C	
GOLDMAN SACHS GROUP INC	1000.0000	01/07/15	12/19/18	989.00	1,049.93	(60.93)	
US TSY 2.500% FEB 15 2046	2000.0000	03/30/17	12/19/18	1,813.58	1,791.38	22.20	
US TSY 3.000% MAY 15 2045	2000.0000	08/17/15	12/19/18	2,003.92	2,074.86	(70.94)	
US TSY 2.125% SEP 30 2021	1000.0000	08/17/15	12/19/18	986.80	1,016.91	(30.11)	
FNMA PAL6307 04 50%2045 COR	1000.0000	09/21/16	12/19/18	330.39	346.09	N/C	
FLHMC GO 8687 03 50%2046	1000.0000	11/15/16	12/19/18	642.97	670.60	N/C	
FNMA PMA2806 03%2046 COR	1000.0000	10/09/18	12/19/18	821.42	797.56	N/C	
ISHARES MSCI EAFE	5.0000	07/26/16	12/18/18	297.51	286.00	11.51	
VANGUARD CONSUMER	17.0000	05/16/17	12/18/18	2,294.09	2,409.01	(114.92)	
REAL ESTATE SELECT	28.0000	09/23/16	12/18/18	912.50	933.56	(21.06)	
REAL ESTATE SELECT	20.0000	11/22/16	12/18/18	651.80	600.19	51.61	
FIRST TRUST CLOUD	9.0000	12/05/17	12/18/18	445.29	401.40	43.89	
ISHARES TR CORE MSCI EAF	2.0000	09/29/17	12/18/18	111.23	128.00	(16.77)	
HEALTH CARE SELECT SPDR	17.0000	05/16/17	12/18/18	1,471.75	1,280.95	190.80	
CONSUMER DISCRETIONARY	5.0000	05/16/17	12/18/18	505.24	448.35	56.89	
DELAWARE EM MKT INST	65.0000	07/05/17	12/18/18	1,092.00	1,161.55	(69.55)	
TRP EM MKT STOCK INV	55.0000	09/29/17	12/18/18	2,043.25	2,317.70	(274.45)	
WA SMASH SERIES EC FUND	895.0000	01/08/15	12/18/18	7,535.90	8,404.05	(868.15)	
WA SMASH SERIES C FUND	264.0000	01/08/15	12/18/18	2,389.20	2,542.32	(153.12)	
WA SMASH SERIES M FUND	1363.0000	01/08/15	12/18/18	14,488.69	14,679.51	(190.82)	
Subtotal (Long-Term)						(1,796.88)	7,137.70
SHELL INTL FIN 2.50% 2026	1000.0000	01/18/18	12/19/18	926.38	961.96	(35.58)	
US TSY 2.750% FEB 15 2028	1000.0000	04/24/18	12/19/18	999.68	979.53	20.15	
US TSY 2.625% AUG 31 2020	2000.0000	10/22/18	12/19/18	1,998.98	1,990.85	8.13	
FLHMC GO 8741 03%2047	1000.0000	02/12/18	12/19/18	841.31	841.99	(68)	
ISHARES RUSSELL 1000	19.0000	01/19/18	12/18/18	2,145.82	2,447.39	(301.57)	

Phil's Advisory TIRA

Account Number: 1

# YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

December 01, 2018 - December 31, 2018

## REALIZED GAINS/(LOSSES) (continued)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) Year to Date
MFS VALUE FD CL I	20.0000	01/19/18	12/18/18	736.80	852.20	(115.40)	550.31
Subtotal (Short-Term)						(424.95)	
<b>TOTAL</b>				<b>62,215.29</b>	<b>64,449.42</b>	<b>(2,221.83)</b>	<b>7,588.01</b>

## 3 - Excludes transactions for which we have insufficient data

A Debt instruments purchased at a premium show amortization    θ Debt instruments purchased at a discount show accretion  
 N/C - Results may not be calculated for transactions which involve the sale of partnership interests, short term debt instruments, derivative products purchased in the secondary market, or the determination of ordinary income and/or capital items for discount and zero-coupon issues.  
 COR - Reflects a correction entry.

The capital gains and losses shown above may not reflect all transactions which must be reported on your 2018 tax return. These reportable transactions will appear on your January statement.

## CASH/OTHER TRANSACTIONS

Date	Description	Transaction Type	Quantity	Income Cash	Principal Cash
<b>Other Debits/Credits</b>					
12/04	P755CHECK WITHDRAWAL	Required Distribution			(500.00)
12/14	RMD ANNUAL DIST, CASH TAXABLE MUNSEY MEMORIAL UNITED NO TAX 2018 IRA DISTRIBUTION CHARITABLE DONATION				
12/14	OAKMARK INTL FD CL	Foreign Tax Withholding		(87.26)	
12/17	ADV FGN TAX DIV PAY DATE 12/13/2018				
12/17	FHLMC GO 8817 04%2048	■Principal Payment			5.20
12/17	AMORTIZED FACTOR 0.965638960 PRIN PAYMENT RECORD DATE: 11/30/2018 PAY DATE 12/15/2018				
12/17	FHLMC A9 1538 04 50%2040	■Principal Payment			4.90
12/17	AMORTIZED FACTOR 0.105235120 PRIN PAYMENT RECORD DATE: 11/30/2018 PAY DATE 12/15/2018				
12/17	FHLMC GO 8620 03 50%2044	■Principal Payment			118.67
12/17	AMORTIZED FACTOR 0.488001890 PRIN PAYMENT RECORD DATE: 11/30/2018 PAY DATE 12/15/2018				
12/17	FHLMC GO 8641 03 50%2045	■Principal Payment			41.15
12/17	AMORTIZED FACTOR 0.592409530 PRIN PAYMENT RECORD DATE: 11/30/2018 PAY DATE 12/15/2018				
12/17	FHLMC GO 8677 04%2045	■Principal Payment			19.73
12/17	AMORTIZED FACTOR 0.544904540 PRIN PAYMENT RECORD DATE: 11/30/2018 PAY DATE 12/15/2018				

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YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

December 01, 2018 - December 31, 2018

CASH/OTHER TRANSACTIONS (continued)

Date	Description	Transaction Type	Quantity	Income Cash	Principal Cash
<b>Other Debits/Credits</b>					
12/17	FHLMC GO 8687 03 50%2046	■Principal Payment			17.73
	AMORTIZED FACTOR 0.647831310 PRIN PAYMENT RECORD DATE: 11/30/2018 PAY DATE 12/15/2018				
12/17	FHLMC GO 8693 03 50%2046	■Principal Payment			16.60
	AMORTIZED FACTOR 0.652821390 PRIN PAYMENT RECORD DATE: 11/30/2018 PAY DATE 12/15/2018				
12/17	FHLMC GO 8702 03 50%2046	■Principal Payment			26.78
	AMORTIZED FACTOR 0.694974120 PRIN PAYMENT RECORD DATE: 11/30/2018 PAY DATE 12/15/2018				
12/17	FHLMC GO 8706 03 50%2046	■Principal Payment			14.76
	AMORTIZED FACTOR 0.702393680 PRIN PAYMENT RECORD DATE: 11/30/2018 PAY DATE 12/15/2018				
12/17	FHLMC GO 8722 03 50%2046	■Principal Payment			14.77
	PRIN PAYMENT RECORD DATE: 11/30/2018 PAY DATE 12/15/2018				
12/17	FHLMC GO 8737 03%2046	■Principal Payment			109.29
	AMORTIZED FACTOR 0.852783670 PRIN PAYMENT RECORD DATE: 11/30/2018 PAY DATE 12/15/2018				
12/17	FHLMC GO 8741 03%2047	■Principal Payment			21.86
	AMORTIZED FACTOR 0.867893750 PRIN PAYMENT RECORD DATE: 11/30/2018 PAY DATE 12/15/2018				
12/17	FHLMC GO 8759 04 50%2047	■Principal Payment			16.36
	AMORTIZED FACTOR 0.708341970 PRIN PAYMENT RECORD DATE: 11/30/2018 PAY DATE 12/15/2018				
12/17	FHLMC GO 8855 04 50%2045	■Principal Payment			19.17
	AMORTIZED FACTOR 0.649303320 PRIN PAYMENT RECORD DATE: 11/30/2018 PAY DATE 12/15/2018				
12/17	FHLMC GO 8761 03 50%2047	■Principal Payment			14.88
	AMORTIZED FACTOR 0.852099120 PRIN PAYMENT RECORD DATE: 11/30/2018 PAY DATE 12/15/2018				
12/17	FHLMC GO 8776 04 50%2047	■Principal Payment			56.10
	AMORTIZED FACTOR 0.788460210 PRIN PAYMENT RECORD DATE: 11/30/2018 PAY DATE 12/15/2018				
12/21	F751FEEDL IRA TAX WITHHOL	Federal Tax Withholding			(28,893.00)
12/21	F755DISTRIBUTE PRINCIPAL	Required Distribution			(44,085.00)
	RMD ANNUAL DIST. CASH TAXABLE CASH TO XXX-X8849 IRA DIST \$72,978 LESS \$28,893 FED TAX TR TO 72918849 N/O DR DAVID P ROE VS 72918849 UNIT 50A BATCH # = 00037007564				

Phil's Advisory TIRA

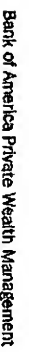
Account Number:

# YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

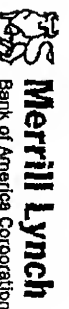
December 01, 2018 - December 31, 2018

## CASH/OTHER TRANSACTIONS (continued)

Date	Description	Transaction Type	Quantity	Income Cash	Principal Cash
<b>Other Debits/Credits</b>					
12/26	FNMA PMA3182 03 50%2047	■Principal Payment			72.18
	AMORTIZED FACTOR 0.923930870 PRIN PAYMENT RECORD DATE: 11/30/2018 PAY DATE 12/25/2018				
12/26	FNMA PMA3210 03 50%2047	■Principal Payment			147.50
	AMORTIZED FACTOR 0.928990740 PRIN PAYMENT RECORD DATE: 11/30/2018 PAY DATE 12/25/2018				
12/26	FNMA PAD8529 04 50%2040	■Principal Payment			66.14
	AMORTIZED FACTOR 0.114744520 PRIN PAYMENT RECORD DATE: 11/30/2018 PAY DATE 12/25/2018				
12/26	FNMA PMA3238 03 50%2048	■Principal Payment			46.32
	AMORTIZED FACTOR 0.936479940 PRIN PAYMENT RECORD DATE: 11/30/2018 PAY DATE 12/25/2018				
12/26	FNMA PMA3239 04%2048	■Principal Payment			28.72
	AMORTIZED FACTOR 0.914857110 PRIN PAYMENT RECORD DATE: 11/30/2018 PAY DATE 12/25/2018				
12/26	FNMA PMA3385 04 50%2048	■Principal Payment			10.22
	AMORTIZED FACTOR 0.940733850 PRIN PAYMENT RECORD DATE: 11/30/2018 PAY DATE 12/25/2018				
12/26	FNMA PMA3384 04%2048	■Principal Payment			5.29
	AMORTIZED FACTOR 0.963475230 PRIN PAYMENT RECORD DATE: 11/30/2018 PAY DATE 12/25/2018				
12/26	FNMA PMA3444 04 50%2048	■Principal Payment			28.50
	AMORTIZED FACTOR 0.965767450 PRIN PAYMENT RECORD DATE: 11/30/2018 PAY DATE 12/25/2018				
12/26	FNMA PAL6004 04 50%2043	■Principal Payment			19.69
	AMORTIZED FACTOR 0.26231790 PRIN PAYMENT RECORD DATE: 11/30/2018 PAY DATE 12/25/2018				
12/26	FNMA PAS3907 04%2044	■Principal Payment			109.39
	AMORTIZED FACTOR 0.386334650 PRIN PAYMENT RECORD DATE: 11/30/2018 PAY DATE 12/25/2018				
12/26	FNMA PAC8568 04 50%2040	■Principal Payment			18.35
	AMORTIZED FACTOR 0.095055420 PRIN PAYMENT RECORD DATE: 11/30/2018 PAY DATE 12/25/2018				
12/26	FNMA PAL6307 04 50%2045	■Principal Payment			45.75
	AMORTIZED FACTOR 0.319408540 PRIN PAYMENT RECORD DATE: 11/30/2018 PAY DATE 12/25/2018				
12/26	FNMA PMA2705 03%2046	■Principal Payment			6.29
	AMORTIZED FACTOR 0.814761110 PRIN PAYMENT RECORD DATE: 11/30/2018 PAY DATE 12/25/2018				
12/26	FNMA PMA2806 03%2046	■Principal Payment			5.80



**Account Number:**



## December 01, 2018 - December 31, 2018

Date	Description	Transaction Type	Quantity	Income Cash	Principal Cash
<b>Other Debits/Credits</b>					
	AMORTIZED FACTOR 0.846554730 PRIN PAYMENT RECORD DATE: 11/30/2018 PAY DATE 12/25/2018				
12/26	FNMA PMA2959 03 50%2047	■Principal Payment			5.25
	AMORTIZED FACTOR 0.849962680 PRIN PAYMENT RECORD DATE: 11/30/2018 PAY DATE 12/25/2018				
12/26	FNMA PMA3008 04 50%2047	■Principal Payment			14.60
	AMORTIZED FACTOR 0.722841680 PRIN PAYMENT RECORD DATE: 11/30/2018 PAY DATE 12/25/2018				
12/26	FNMA PAS9485 03 50%2047	■Principal Payment			4.13
	AMORTIZED FACTOR 0.862473430 PRIN PAYMENT RECORD DATE: 11/30/2018 PAY DATE 12/25/2018				
12/26	FNMA PMA3038 04 50%2047	■Principal Payment			5.04
	AMORTIZED FACTOR 0.718692600 PRIN PAYMENT RECORD DATE: 11/30/2018 PAY DATE 12/25/2018				
12/26	FNMA PMA3073 04 50%2047	■Principal Payment			5.48
	AMORTIZED FACTOR 0.763802090 PRIN PAYMENT RECORD DATE: 11/30/2018 PAY DATE 12/25/2018				
	<b>Subtotal (Other Debits/Credits)</b>				
				(87.26)	(72,315.41)
	<b>NET TOTAL</b>				
				(87.26)	(72,315.41)

Date	Fee Type	Quantity	Description	Income Cash	Principal Cash
12/04	TMA Fee		US TRUST FEE DEC APPLIED TO PRINCIPAL		(1,550.70)
12/05	Service Fee		P501 MF FEE CREDIT US Trust Fee SHARE CLASS 6/1/18 - 10/31/18 MUTUAL FUND REBATE APPLIED TO PRINCIPAL		26.21
12/10	TMA Fee Rebate				60.84
	NET TOTAL				(1,463.65)

Phil's Advisory TIRA

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# YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

December 01, 2018 - December 31, 2018

STYLE MANAGER FEES				
Date	Fee Type	Quantity	Description	Income Cash      Principal Cash
12/04	Style Manager Fee		STYLE MGR. FEE DEC APPLIED TO PRINCIPAL	(194.16)
NET TOTAL				(194.16)

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Activity Status	Trade/Transa ction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment SPDR US FINANCIAL SECTOR ETF P459INITIAL FUNDING MLSEC # 673G3 QTY 818 TRANSFR FROM ASOF 092518 72912217	XLF	'673G3'	'81369Y605'	69	'190'		818		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment WESTERN ASSET SMASH SERIES C FUND P459INITIAL FUNDING MLSEC # 9MEK8 QTY 5729 TRANSFR FROM ASOF 092518 72912217	LMLCX	'9MEK8'	'52470G742'	80	'190'		5,729.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment HARDING LOEVNER INTL EQUITY PT FD INSTL CL P459INITIAL FUNDING MLSEC # 9KY55 QTY 1379 TRANSFR FROM ASOF 092518 72912217	HLMIK	'9KY55'	'412295107'	74	'190'		1,379.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment WESTERN ASSET SMASH SERIES M FUND P459INITIAL FUNDING MLSEC # 9MEK9 QTY 14882 TRANSFR FROM ASOF 092518 72912217	LMSMX	'9MEK9'	'52470G759'	81	'190'		14,882.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment JOHN HANCOCK DISCIPLINED VALUE FUND CL I P459INITIAL FUNDING MLSEC # 9EHV3 QTY 1784 TRANSFR FROM ASOF 092518 72912217	JVLIX	'9EHV3'	'47803U640'	71	'190'		1,784.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment CHARTWEILL SMALL CAP VALUE FUND CLASS I P459INITIAL FUNDING MLSEC # 9MO66 QTY 966 TRANSFR FROM ASOF 092518 72912217	CWSIX	'9MO66'	'16140T509'	82	'190'		966		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment JPMORGAN CHASE & CO GLB 04.400% JUL 22 2020 P459INITIAL FUNDING MLSEC # C0394 QTY 14000 TRANSFR FROM ASOF 092518 72912217	JPM20A	'C0394'	'46625HHS2'	5	'190'		14,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment WELLS FARGO & COMPANY SER MTN VAR% MAY 22 2028 P459INITIAL FUNDING MLSEC # HHN01 QTY 11000 TRANSFR FROM ASOF 092518 72912217	'HHN01'	'95000U2A0'		21	'190'		11,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FIMA PAD8529 04.50% 2040 AMORTIZED FCR .11845 P459INITIAL FUNDING MLSEC # GA2U7 QTY 53000 TRANSFR FROM ASOF 092518 72912217	'GA2U7'	'31418WPP9'		13	'190'		53,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment GOLDMAN SACHS GROUP INC GLB 04.000% MAR 03 2024 P459INITIAL FUNDING MLSEC # H10D0 QTY 18000 TRANSFR FROM ASOF 092518 72912217	GS24	'H10D0'	'38141GVM3'	22	'190'		18,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment VERIZON COMMUNICATIONS GLB 05.150% SEP 15 2023 P459INITIAL FUNDING MLSEC # E73Y0 QTY 13000 TRANSFR FROM ASOF 092518 72912217	VZ23	'E73Y0'	'92343VBR4'	9	'190'		13,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FEDERAL NATL MTG ASSOC BONDS 06.625% NOV 15 2030 P459INITIAL FUNDING MLSEC # HM2G2 QTY 5000 TRANSFR FROM ASOF 092518 72912217	'HM2G2'	'31359MGK3'		23	'190'		5,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FIMA PMA3238 03.50% 2048 AMORTIZED FCR .95370 P459INITIAL FUNDING MLSEC # GBPV3 QTY 9000 TRANSFR FROM ASOF 092518 72912217	'GBPV3'	'31418CS47'		14	'190'		9,000.00		0

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FEDERAL NATL MTG ASSOC BONDS 01.125% OCT 19 2018 P459INITIAL FUNDING MLSEC # HM2U5 QTY 29000 TRANSFR FROM ASOF 092518 72912217		'HM2U5'	'3136G0E56'	24	'190'		29,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment MORGAN STANLEY GLB VAR% JUL 22 2028 P459INITIAL FUNDING MLSEC # C6DH1 QTY 8000 TRANSFR FROM ASOF 092518 72912217	ZZZZ28	'C6DH1'	'81744YAK4'	7	'190'		8,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment U.S. TREASURY NOTE 2.750% FEB 15 2028 02.750% FEB 15 2028 P459INITIAL FUNDING MLSEC # H26E8 QTY 7000 TRANSFR FROM ASOF 092518 72912217		'H26E8'	'9128283W8'	25	'190'		7,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FNMA PMA3239 04%2048 AMORTIZED FCR .93678 P459INITIAL FUNDING MLSEC # G8QR2 QTY 4000 TRANSFR FROM ASOF 092518 72912217		'G8QR2'	'31418CS54'	15	'190'		4,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment BP CAPITAL MARKETS PLC COMPANY GUARNT GLB 03.506% MAR 17 2025 P459INITIAL FUNDING MLSEC # F1H59 QTY 7000 TRANSFR FROM ASOF 092518 72912217	BP25	'F1H59'	'05565QDA3'	10	'190'		7,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FNMA PMA3385 04.50%2048 AMORTIZED FCR .97123 P459INITIAL FUNDING MLSEC # GDBV7 QTY 1000 TRANSFR FROM ASOF 092518 72912217		'GDBV7'	'31418CXP4'	16	'190'		1,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment MICROSOFT CORP GLB 03.700% AUG 08 2046 P459INITIAL FUNDING MLSEC # C6C38 QTY 15000 TRANSFR FROM ASOF 092518 72912217	MSFT46	'C6C38'	'594918BT0'	6	'190'		15,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FILMCO GO 8817 04%2048 AMORTIZED FCR .98104 P459INITIAL FUNDING MLSEC # GEDQ4 QTY 1000 TRANSFR FROM ASOF 092518 72912217		'GEDQ4'	'3128M4B3'	17	'190'		1,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment MFS VALUE FD CL I P459INITIAL FUNDING MLSEC # 9K2M9 QTY 986 TRANSFR FROM ASOF 092518 72912217	MEIX	'9K2M9'	'552983694'	75	'190'		986		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FNMA PMA3182 03.50%2047 AMORTIZED FCR .94002 P459INITIAL FUNDING MLSEC # GALV5 QTY 14000 TRANSFR FROM ASOF 092518 72912217		'GALV5'	'31418CRC0'	11	'190'		14,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FNMA PMA3384 04%2048 AMORTIZED FCR .97805 P459INITIAL FUNDING MLSEC # GEIC3 QTY 1000 TRANSFR FROM ASOF 092518 72912217		'GEIC3'	'31418CXN8'	18	'190'		1,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment SHELL INTERNATIONAL FIN COMPANY GUARNT GLB 02.500% SEP 12 2026 P459INITIAL FUNDING MLSEC # E38W1 QTY 12000 TRANSFR FROM ASOF 092518 72912217	RDS26	'E38W1'	'822682BX9'	8	'190'		12,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FNMA PMA3444 04.50%2048 AMORTIZED FCR .98940 P459INITIAL FUNDING MLSEC # GF15 QTY 1000 TRANSFR FROM ASOF 092518 72912217		'GF15'	'31418CZL8'	19	'190'		1,000.00		0

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SQL	Quantity	Price	Amount
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FNMA PMA3210 03 50%2047 AMORTIZED FCR .94827 P459INITIAL FUNDING MLSEC # GAZD0 QTY 23000 TRANSFR FROM ASOF 092518 72912217	GAZD0		31418CR89	12	190		23,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FHLMC A9 1538 04 50%2040 AMORTIZED FCR .10840 P459INITIAL FUNDING MLSEC # G3JH0 QTY 8000 TRANSFR FROM ASOF 092518 72912217	G3JH0		312938V73	20	190		8,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment WELLS FARGO SMALL COMPANY GROWTH FD INSTL P459INITIAL FUNDING MLSEC # 9PK42 QTY 353 TRANSFR FROM ASOF 092518 72912217	WSCGX	9PK42	940821571	83	190		353		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment CITIGROUP INC - VAR% JAN 10 2028 P459INITIAL FUNDING MLSEC # B2793 QTY 11000 TRANSFR FROM ASOF 092518 72912217	C 28	B2793	172967LD1	2	190		11,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment ENTERPRISE PRODUCTS OPER COMPANY GUARANT 05.700% FEB 15 2042 P459INITIAL FUNDING MLSEC # B5631 QTY 6000 TRANSFR FROM ASOF 092518 72912217	EPD42	B5631	29379VAV5	3	190		6,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment GENERAL ELEC CAP CORP NOTES 05.875% JAN 14 2038 P459INITIAL FUNDING MLSEC # B75M1 QTY 9000 TRANSFR FROM ASOF 092518 72912217	GE38	B75M1	36962G3P7	4	190		9,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment CONSUMER DISCRETIONARY SPDR P459INITIAL FUNDING MLSEC # 873G1 QTY 534 TRANSFR FROM ASOF 092518 72912217	XLY	873G1	81369Y407	68	190		534		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment SOUND SHORE FUND INSTL CL P459INITIAL FUNDING MLSEC # 9PV94 QTY 858 TRANSFR FROM ASOF 092518 72912217	SSHVX	9PV94	836083204	84	190		858		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment T ROWE PRICE EMERGING MARKETS STOCK FD INV CL P459INITIAL FUNDING MLSEC # 9K6D2 QTY 926 TRANSFR FROM ASOF 092518 72912217	PRMSX	9K6D2	77956H864	76	190		926		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FHLMC G0 8761 03 50%2047 AMORTIZED FCR .86866 P459INITIAL FUNDING MLSEC # Y60H1 QTY 3000 TRANSFR FROM ASOF 092518 72912217	Y60H1		3128MLZ37	47	190		3,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment ISHARES RS 2000 VALUE P459INITIAL FUNDING MLSEC # 365P9 QTY 82 TRANSFR FROM ASOF 092518 72912217	IWN	365P9	464287630	63	190		82		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment ISHARES MSCI EAFE P459INITIAL FUNDING MLSEC # 31CT9 QTY 438 TRANSFR FROM ASOF 092518 72912217	EFA	31CT9	464287465	55	190		438		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FIRST TRUST CLOUD COMPUTING ETF P459INITIAL FUNDING MLSEC # 39RY4 QTY 731 TRANSFR FROM ASOF 092518 72912217	SKYY	39RY4	33734X192	64	190		731		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FNMA PMA3073 04 50%2047 AMORTIZED FCR .78965 P459INITIAL FUNDING MLSEC # Y74J0 QTY 1000 TRANSFR FROM ASOF 092518 72912217	Y74J0		31418CMX9	51	190		1,000.00		0

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment ISHARES MSCI JAPAN ETF SHS P459INITIAL FUNDING MLSEC # 39S20 QTY 261 TRANSFR FROM ASOF 092518 72912217	EWJ	39S20	46434G822	65	190		261		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment VANGUARD CONSUMER STAPLES ETF P459INITIAL FUNDING MLSEC # 31FM0 QTY 190 TRANSFR FROM ASOF 092518 72912217	VDC	31FM0	92204A207	56	190		190		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment CAMBIAR INTERNATIONAL EQUITY FUND INSTL CL P459INITIAL FUNDING MLSEC # 97N12 QTY 1297 TRANSFR FROM ASOF 092518 72912217	CAMYX	97N12	00769G543	85	190		1,297.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment DELAWARE EMERGING MARKETS FUND INSTL CL P459INITIAL FUNDING MLSEC # 9KTH9 QTY 1286 TRANSFR FROM ASOF 092518 72912217	DEMIX	9KTH9	245914817	72	190		1,286.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment BLACKROCK EQTY DIVIDEND FUND INSTL P459INITIAL FUNDING MLSEC # 974Y9 QTY 2249 TRANSFR FROM ASOF 092518 72912217	MADVX	974Y9	09251M504	86	190		2,249.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment OPPENHEIMER INTERNATL GROWTH FD CL Y P459INITIAL FUNDING MLSEC # 9LX99 QTY 781 TRANSFR FROM ASOF 092518 72912217	ORGYX	9LX99	68380L407	77	190		791		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment NUVEEN SMALL CAP VALUE FUND CL I P459INITIAL FUNDING MLSEC # 9KW56 QTY 926 TRANSFR FROM ASOF 092518 72912217	FSCCX	9KW56	670676200	73	190		926		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment WESTERN ASSET SMASH SERIES EC FUND P459INITIAL FUNDING MLSEC # 9MEK5 QTY 16494 TRANSFR FROM ASOF 092518 72912217	LMECX	9MEK5	52470G734	79	190		16,494.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment CARILLON EAGLE SMALL CAP GROWTH FUND CL I P459INITIAL FUNDING MLSEC # 9LGB4 QTY 318 TRANSFR FROM ASOF 092518 72912217	HSIIX	9LGB4	14214L486	78	190		318		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment THE OAKMARK INTL FUND INV CL P459INITIAL FUNDING MLSEC # 9EHP3 QTY 1095 TRANSFR FROM ASOF 092518 72912217	OAKIX	9EHP3	413838202	70	190		1,095.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment ISHARES TR CORE MSCI EAF ETF P459INITIAL FUNDING MLSEC # 39T52 QTY 757 TRANSFR FROM ASOF 092518 72912217	IEFA	39T52	46432F842	66	190		757		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FNMMA PAS9485 03 50%2047 AMORTIZED FCR .87584 P459INITIAL FUNDING MLSEC # Y64N4 QTY 1000 TRANSFR FROM ASOF 092518 72912217	Y64N4	3138WKRF4		49	190		1,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment HEALTH CARE SELECT SPDR P459INITIAL FUNDING MLSEC # 673F6 QTY 408 TRANSFR FROM ASOF 092518 72912217	XLV	673F6	81369V208	67	190		408		0

Activity Status	Trade/Transa ction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment VANGUARD MATERIALS ETF P459INITIAL FUNDING MLSEC # 31FM8 QTY 58 TRANSFR FROM ASOF 092518 72912217	VAW	31FM6	'92204A801'	57	'190'		58		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FHLMC GO 8776 04 50%2047 AMORTIZED FCR .81430 P459INITIAL FUNDING MLSEC # Y68B2 QTY 6000 TRANSFR FROM ASOF 092518 72912217		Y68B2	'3128MJ28'	52	'190'		6,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment VANGUARD INFORMATION TECH ETF P459INITIAL FUNDING MLSEC # 31FM7 QTY 331 TRANSFR FROM ASOF 092518 72912217	VG	31FM7	'92204A702'	58	'190'		331		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment U.S. TREASURY BOND 2.500% FEB 15 2046 02.500% FEB 15 2046 P459INITIAL FUNDING MLSEC # H26R9 QTY 16000 TRANSFR FROM ASOF 092518 72912217		H26R9	'912810RQ3'	26	'190'		16,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FHLMC GO 8737 03%2046 AMORTIZED FCR .87183 P459INITIAL FUNDING MLSEC # Y75H8 QTY 18000 TRANSFR FROM ASOF 092518 72912217		Y75H8	'3128MJZB8'	42	'190'		18,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FHLMC GO 8641 03 50%2045 AMORTIZED FCR .61038 P459INITIAL FUNDING MLSEC # 16YC6 QTY 8000 TRANSFR FROM ASOF 092518 72912217		16YC6	'3128MJWB2'	34	'190'		8,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FHLMC GO 8741 03%2047 AMORTIZED FCR .88652 P459INITIAL FUNDING MLSEC # Y36E6 QTY 4000 TRANSFR FROM ASOF 092518 72912217		Y36E6	'3128MJZF0'	43	'190'		4,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FNMA PAS3907 04%2044 AMORTIZED FCR .40046 P459INITIAL FUNDING MLSEC # 144G6 QTY 27000 TRANSFR FROM ASOF 092518 72912217		144G6	'3138WDKV2'	30	'190'		27,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FNMA PMA2959 03 50%2047 AMORTIZED FCR .86958 P459INITIAL FUNDING MLSEC # Y5484 QTY 1000 TRANSFR FROM ASOF 092518 72912217		Y5484	'31418CJD7'	44	'190'		1,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FHLMC GO 8877 04%2045 AMORTIZED FCR .56808 P459INITIAL FUNDING MLSEC # 19M93 QTY 3000 TRANSFR FROM ASOF 092518 72912217		19M93	'3128MJXF2'	35	'190'		3,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FHLMC GO 8759 04 50%2047 AMORTIZED FCR .74151 P459INITIAL FUNDING MLSEC # Y56X5 QTY 2000 TRANSFR FROM ASOF 092518 72912217		Y56X5	'3128MJZ6'	45	'190'		2,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment U.S. TREASURY NOTE 2.125% SEP 30 2021 P459INITIAL FUNDING MLSEC # H2682 QTY 15000 TRANSFR FROM ASOF 092518 72912217		H2682	'912828F21'	28	'190'		15,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FHLMC GO 0855 04 50%2045 AMORTIZED FCR .67507 P459INITIAL FUNDING MLSEC # Y57J5 QTY 2000 TRANSFR FROM ASOF 092518 72912217		Y57J5	'31335ASQ7'	46	'190'		2,000.00		0

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FHLMC GO 8687 03 50%2046 AMORTIZED FCR .68838 P459INITIAL FUNDING MLSEC # 198E3 QTY 3000 TRANSFR FROM ASOF 092518 72912217	'198E3'		'3128MJXR6'	36	'190'		3,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FHLMC GO 8620 03 50%2044 AMORTIZED FCR .50373 P459INITIAL FUNDING MLSEC # 14953 QTY 27000 TRANSFR FROM ASOF 092518 72912217	'14953'		'3128MJVN7'	31	'190'		27,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FHLMC GO 8693 03 50%2046 AMORTIZED FCR .67105 P459INITIAL FUNDING MLSEC # YBEN3 QTY 3000 TRANSFR FROM ASOF 092518 72912217	'YBEN3'		'3128MJXX3'	37	'190'		3,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment U.S. TREASURY BOND 3.000% MAY 15 2045 03.000% MAY 15 2045 P459INITIAL FUNDING MLSEC # H26W6 QTY 22000 TRANSFR FROM ASOF 092518 72912217	'H26W6'		'912810RM2'	27	'190'		22,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FHLMC GO 8702 03 50%2046 AMORTIZED FCR .71886 P459INITIAL FUNDING MLSEC # YP3C7 QTY 4000 TRANSFR FROM ASOF 092518 72912217	'YP3C7'		'3128MJX88'	36	'190'		4,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FNMMA PMA3008 04 50%2047 AMORTIZED FCR .75438 P459INITIAL FUNDING MLSEC # Y61F8 QTY 2000 TRANSFR FROM ASOF 092518 72912217	'Y61F8'		'31418CRV3'	48	'190'		2,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment VANGUARD INDUSTRIAL ETF P459INITIAL FUNDING MLSEC # 31FX1 QTY 340 TRANSFR FROM ASOF 092518 72912217	'31FX1'		'92204A603'	59	'190'		340		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment ISHARES RUSSELL 1000 VALUE P459INITIAL FUNDING MLSEC # 31CK1 QTY 1375 TRANSFR FROM ASOF 092518 72912217	'31CK1'		'464287598'	53	'190'		1,375.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FIRST TR EXCHANGE TRADED FD DOW JONES IN P459INITIAL FUNDING MLSEC # 31KW2 QTY 288 TRANSFR FROM ASOF 092518 72912217	'31KW2'		'33733E302'	60	'190'		288		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FNMMA PMA3038 04 50%2047 AMORTIZED FCR .74385 P459INITIAL FUNDING MLSEC # Y7FH4 QTY 1000 TRANSFR FROM ASOF 092518 72912217	'Y7FH4'		'31418CLU6'	50	'190'		1,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment REAL ESTATE SELECT SECTOR SPDR FD SHS P459INITIAL FUNDING MLSEC # 31MV5 QTY 345 TRANSFR FROM ASOF 092518 72912217	'31MV5'		'81389Y860'	61	'190'		345		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment ISHARES NASDAQ BIOTECH ETF P459INITIAL FUNDING MLSEC # 31CM7 QTY 173 TRANSFR FROM ASOF 092518 72912217	'31CM7'		'464287556'	54	'190'		173		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FNMMA PAC8568 04 50%2040 AMORTIZED FCR .09909 P459INITIAL FUNDING MLSEC # 19B40 QTY 14000 TRANSFR FROM ASOF 092518 72912217	'19B40'		'3141TVQW6'	32	'190'		14,000.00		0

Activity Status	Trade/Transa ction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FHLMO GO 8706 03 50%2046 AMORTIZED FCR .72529 P459INITIAL FUNDING MLSEC # YWH37 QTY 2000 TRANSFR FROM ASOF 082518 72912217			YWH37	3128MJYC8	39	190		2,000.00	0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FNLMA PAL6004 04 50%2043 AMORTIZED FCR .27457 P459INITIAL FUNDING MLSEC # 140C1 QTY 6000 TRANSFR FROM ASOF 082518 72912217			140C1	3138ENUE4	29	190		6,000.00	0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FNLMA PMA2705 03%2046 AMORTIZED FCR .83517 P459INITIAL FUNDING MLSEC # YXA69 QTY 1000 TRANSFR FROM ASOF 082518 72912217			YXA69	31418CAF1	40	190		1,000.00	0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment ISHARES RS 2000 GROWTH P459INITIAL FUNDING MLSEC # 385M6 QTY 49 TRANSFR FROM ASOF 082518 72912217	IWO	385M6	464287648	62	180		49		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FNLMA PAL6307 04 50%2045 AMORTIZED FCR .33116 P459INITIAL FUNDING MLSEC # 16M54 QTY 11000 TRANSFR FROM ASOF 082518 72912217		16M54	3138EPAH7	33	190		11,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FHLMO GO 8722 03 50%2046 AMORTIZED FCR .77421 P459INITIAL FUNDING MLSEC # YX1F1 QTY 2000 TRANSFR FROM ASOF 082518 72912217		YX1F1	3128MJU8	41	180		2,000.00		0
Settled	9/26/2018	9/26/2018	Security Transactions	Subscription BLF FEDFUND CASH RESERVE	BFRXX	7GX3	08248U437	3	AMF		95,215.00		-95,215.00
Settled	9/26/2018	9/26/2018	Security Transactions	Transfer / Adjustment CAMBIAR INTERNATIONAL EQUITY FUND INSTL CL TRANSFER FROM 72912217 AS OF 09/25/2018 FRAC QUANTITY IS .010	CAMVX	97N12	00769G643	2	684		0.01		0
Settled	9/26/2018	9/26/2018	Security Transactions	Transfer / Adjustment OPENHEIMER INTERNATL GROWTH FD CL Y TRANSFER FROM 72912217 AS OF 09/25/2018 FRAC QUANTITY IS .619	ONGYX	9LX99	08380L407	1	684		0.619		0
Settled	9/27/2018	10/1/2018	Security Transactions	Sale ISHARES NASDAQ BIOTECH ETF PER ADVISORY AGREEMENT.	IBB	31CM7	464287556	9		0	-3	122.0796	366.23
Settled	9/27/2018	10/1/2018	Security Transactions	Sale ISHARES MSCI EAFE PER ADVISORY AGREEMENT.	EFA	31CT9	464287465	10		0	-5	68.8834	343.41
Settled	9/27/2018	10/1/2018	Security Transactions	Purchase ISHARES RUSSELL 1000 VALUE PRODUCT DESCRIPTION ENCL PER ADVISORY AGREEMENT.	IWD	31CK1	464287598	8	000		22	126.8399	-2,790.48
Settled	9/27/2018	10/1/2018	Security Transactions	Purchase ISHARES RS 2000 VALUE PRODUCT DESCRIPTION ENCL PER ADVISORY AGREEMENT.	IWN	385P9	464287630	17	000		3	132.7503	-398.25
Settled	9/27/2018	10/1/2018	Security Transactions	Sale ISHARES MSCI JAPAN ETF SHS ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	EWJ	39S20	46434G822	19		0	-10	80.4948	604.94
Settled	9/27/2018	10/1/2018	Security Transactions	Purchase FIRST TR EXCHANGE TRADED FD DOW JONES IN ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	FDN	31KW2	33733E302	14	000		0	142.7399	-142.74

Activity Status	Trade/Transa ction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	9/27/2018	10/1/2018	Security Transactions	Purchase SPDR US FINANCIAL SECTOR ETF PER ADVISORY AGREEMENT.	XLFF	'673G3'	'81369Y605'	23	'000'	0	24	27.99	-671.76
Settled	9/27/2018	10/1/2018	Security Transactions	Purchase CONSUMER DISCRETIONARY SPDR PER ADVISORY AGREEMENT.	XLV	'673G1'	'81369Y407'	22	'000'	0	2	117.51	-235.02
Settled	9/27/2018	10/1/2018	Security Transactions	Purchase ISHARES RS 2000 GROWTH PRODUCT DESCRIPTION ENCL PER ADVISORY AGREEMENT.	IWO	'385M6'	'464287648'	16	'000'	0	2	214.7614	-429.52
Settled	9/27/2018	10/1/2018	Security Transactions	Sale FIRST TRUST CLOUD COMPUTING ETF PER ADVISORY AGREEMENT.	SKYY	'39RY4'	'33734X192'	18		0	-6	56.8801	341.27
Settled	9/27/2018	10/1/2018	Security Transactions	Purchase REAL ESTATE SELECT SECTOR SPDR FD SHS PER ADVISORY AGREEMENT.	XLRE	'31MV5'	'81369Y860'	15	'000'	0	21	32.2782	-677.84
Settled	9/27/2018	10/1/2018	Security Transactions	Sale ISHARES TR CORE MSCI EAF ETF PER ADVISORY AGREEMENT.	IEFA	'39T52'	'46432F842'	20		0	-6	64.76	388.55
Settled	9/27/2018	10/1/2018	Security Transactions	Purchase VANGUARD CONSUMER STAPLES ETF PER ADVISORY AGREEMENT.	VDC	'31FM0'	'92204A207'	11	'000'	0	6	140.2685	-841.61
Settled	9/27/2018	10/1/2018	Security Transactions	Purchase VANGUARD INDUSTRIAL ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	VIS	'31FX1'	'92204A603'	13	'000'	0	5	148.0094	-740.05
Settled	9/27/2018	10/1/2018	Security Transactions	Sale HEALTH CARE SELECT SPDR PER ADVISORY AGREEMENT.	XLV	'673F6'	'81369Y208'	21		0	-4	94.9101	379.63
Settled	9/27/2018	10/1/2018	Security Transactions	Purchase VANGUARD MATERIALS ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	VAW	'31FM6'	'92204A801'	12	'000'	0	1	132.0679	-132.07
Settled	9/27/2018	9/28/2018	Security Transactions	Purchase BLACKROCK EQTY DIVIDEND FUND INSTL FUND SUBJECT TO RED FEE, PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MADVX	'974Y9'	'09251M504'	13	'000'	0	18	23.96	-431.28
Settled	9/27/2018	9/28/2018	Security Transactions	Purchase T ROWE PRICE EMERGING MARKETS STOCK FD INV CL FUND SUBJECT TO RED FEE, PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	PRMSX	'9K6D2'	'77956H864'	6	'000'	0	29	40.8	-1,183.20
Settled	9/27/2018	9/28/2018	Security Transactions	Purchase DELAWARE EMERGING MARKETS FUND INSTL CL FUND SUBJECT TO RED FEE, PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	DEMIX	'9KTH9'	'245914817'	3	'000'	0	11	18.43	-202.73
Settled	9/27/2018	9/28/2018	Security Transactions	Purchase NUVEEN SMALL CAP VALUE FUND CL I FUND SUBJECT TO RED FEE, PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	FSCCX	'9KW58'	'670678200'	4	'000'	0	38	26.46	-1,005.48

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	9/27/2018	9/28/2018	Security Transactions	Purchase CARILLON EAGLE SMALL CAP GROWTH FUND CL I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	HSIIX	'9L6B4'	'14214L486'	8	'000'	0	5	71.67	-356.35
Settled	9/27/2018	9/28/2018	Security Transactions	Purchase OPPENHEIMER INTERNATL GROWTH FD CL Y FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	OIGYX	'9LX99'	'68380L407'	7	'000'	0	15	41.65	-624.75
Settled	9/27/2018	9/28/2018	Security Transactions	Purchase CHARTWELL SMALL CAP VALUE FUND CLASS I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CWSIX	'9M066'	'16140T509'	9	'000'	0	36	20.61	-741.96
Settled	9/27/2018	9/28/2018	Security Transactions	Purchase MFS VALUE FD CL I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MEIIX	'9K2M9'	'552933694'	5	'000'	0	10	41.12	-411.2
Settled	9/27/2018	9/28/2018	Security Transactions	Purchase WELLS FARGO SMALL COMPANY GROWTH FD INSTL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	WSCGX	'9PK42'	'949921571'	10	'000'	0	6	64.5	-387
Settled	9/27/2018	9/28/2018	Security Transactions	Purchase SOUND SHORE FUND INSTL CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	SSHVX	'9PV94'	'836083204'	11	'000'	0	5	47.59	-237.95
Settled	9/27/2018	9/28/2018	Security Transactions	Sale OAKMARK INTL FD CL INVESTOR PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	OAKIX	'9EHP3'	'413838202'	1	'000'	0	-14	26.56	371.84
Settled	9/27/2018	9/28/2018	Security Transactions	Sale JOHN HANCOCK DISCIPLINED VALUE FUND CL I PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	JVLIX	'9EHV3'	'47803U640'	2	'000'	0	-2	23.03	46.06
Settled	9/27/2018	9/28/2018	Security Transactions	Purchase CAMBIAR INTERNATIONAL EQUITY FUND INSTL CL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CAMYX	'97NI2'	'00769G543'	12	'000'	0	-21	27.67	581.07
Settled	9/28/2018	10/2/2018	Security Transactions	Purchase GENERAL ELEC CAP CORP NOTES 05.875% JAN 14 2038 RATINGS ARE SUBJ. TO CHG MOODY'S A2 S&P A YLD TO MATURITY 4.90% MATURITY DATE 1/4/38. 78 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	GE38	'B7SM1'	'36962G3P7'	5	'000'	0	1,000.00	112.063	-1,133.36
Settled	9/28/2018	10/2/2018	Security Transactions	Purchase VERIZON COMMUNICATIONS GLB 05.150% SEP 15 2023 RATINGS ARE SUBJ. TO CHG MOODY'S BAA1 S&P B8B4 MAKE-WHOLE CALL DETAILS UPON REQUEST YLD TO MATURITY 3.58% MATURITY DATE 9/15/23. 17 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	VZ23	'E73V0'	'92343VBR4'	6	'000'	0	1,000.00	107.041	-1,072.84

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	9/28/2018	10/1/2018	Security Transactions	Purchase WESTERN ASSET SMASH SERIES EC FUND FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	LMECX	'9MEK5'	'52470G734'	24	'000'	0	137	6.65	-1,185.05
Settled	9/28/2018	10/1/2018	Security Transactions	Purchase WESTERN ASSET SMASH SERIES M FUND FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	LMSMX	'9MEK9'	'52470G759'	26	'000'	0	521	10.58	-5,512.18
Settled	9/28/2018	10/1/2018	Security Transactions	Purchase WESTERN ASSET SMASH SERIES C FUND FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	LMLCX	'9MEK8'	'52470G742'	25	'000'	0	101	9.52	-961.52
Settled	9/28/2018	10/1/2018	Security Transactions	Purchase U.S. TREASURY NOTE 2.750% FEB 15 2028 02.750% FEB 15 2028 YLD TO MATURITY 3.04% MATURITY DATE 2/15/28. 47 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	'H26E8'	'9128283W8'	5	'000'	0	1,000.00	97.609	-979.6	
Settled	9/28/2018	10/1/2018	Security Transactions	Purchase U.S. TREASURY BOND 3.000% MAY 15 2045 03.000% MAY 15 2045 YLD TO MATURITY 3.19% MATURITY DATE 5/15/45. 139 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	'H26W6'	'912810RM2'	7	'000'	0	1,000.00	96.609	-977.42	
Settled	9/28/2018	10/1/2018	Security Transactions	Purchase U.S. TREASURY BOND 2.500% FEB 15 2046 02.500% FEB 15 2046 YLD TO MATURITY 3.19% MATURITY DATE 2/15/46. 47 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	'H26R9'	'912810R03'	6	'000'	0	1,000.00	87.38	-877.09	
Settled	9/28/2018	9/28/2018	Security Transactions	Redemption BLF FEDFUND CASH RESERVE	BFRXX	'7GIX3'	'09248U437'	15	'AMF'		-4,585.00	4,585.00	
Settled	9/28/2018	9/28/2018	Security Transactions	Subscription BLF FEDFUND CASH RESERVE	BFRXX	'7GIX3'	'09248U437'	14	'AMF'		749	-749	
Settled	10/1/2018	10/1/2018	Security Transactions	Redemption BLF FEDFUND CASH RESERVE Reinvestment Share(s)	BFRXX	'7GIX3'	'09248U437'	27	'AMF'		-15,128.00	15,128.00	
Settled	10/1/2018	10/1/2018	Security Transactions	Redemption BLF FEDFUND CASH RESERVE AGENT REINV AMOUNT \$20.00 REINV PRICE \$1.00000 QUANTITY BOT 20,0000 AS OF 10/01	BFRXX	'7GIX3'	'09248U437'	4	'684'		20	0	
Settled	10/2/2018	10/2/2018	Security Transactions	Redemption BLF FEDFUND CASH RESERVE	BFRXX	'7GIX3'	'09248U437'	12	'AMF'		-2,188.00	2,188.00	
Settled	10/2/2018	10/2/2018	Security Transactions	Subscription BLF FEDFUND CASH RESERVE	BFRXX	'7GIX3'	'09248U437'	11	'AMF'		142	-142	
Settled	10/3/2018	10/3/2018	Security Transactions	Redemption BLF FEDFUND CASH RESERVE REDEMP AS OF 10/02/2018	BFRXX	'7GIX3'	'09248U437'	2	'AMF'		-2,104.00	2,104.00	
Settled	10/3/2018	10/3/2018	Security Transactions	Subscription BLF FEDFUND CASH RESERVE	BFRXX	'7GIX3'	'09248U437'	1	'AMF'		1,131.00	-1,131.00	
Settled	10/9/2018	10/10/2018	Security Transactions	Purchase FNMA PMA3444 04 50%2048 AMORTIZED FCR .98289 9 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INFO OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT.	'GFH15'	'31418CZ66'	2	'000'	0	2,000.00	102.640625	-2,019.91	

Activity Status	Trade/Transaction Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	10/9/2018	Security Transactions	Purchase FNUA PMA2806 03%2046 AMORTIZED FCR .85844 9 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT.	YYS86	'31418CDL5		3 '000'	0	1,000.00	94.898437		-815.28
Settled	10/9/2018	Security Transactions	Purchase FNUA PMA3210 03.50%2047 AMORTIZED FCR .94051 9 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT.	'GAZD0'	'31418CR89'		1 '000'	0	3,000.00	97.578125		-2,755.69
Settled	10/9/2018	Security Transactions	Subscription BLF FEDFUND CASH RESERVE	BFR0X	'7GIX3'	'09248UJ37'	1 'AMF'			23		-23
Settled	10/10/2018	Security Transactions	Purchase FIRST TRUST DOW JONES INTERNET INDEX FUND PER ADVISORY AGREEMENT.	FDN	'31KW2'	'33733E302'	6 '000'	0	20	128.3026		-2,568.05
Settled	10/10/2018	Security Transactions	Purchase ISHARES RS 2000 GROWTH PRODUCT DESCRIPTION ENCL PER ADVISORY AGREEMENT.	IWO	'385M6'	'464287648'	7 '000'	0	2	197.546		-395.09
Settled	10/10/2018	Security Transactions	Purchase ISHARES MSCI JAPAN ETF SHS ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	EWJ	'39S20'	'46434G822'	9 '000'	0	2	58.3482		-116.7
Settled	10/10/2018	Security Transactions	Purchase VANGUARD MATERIALS ETF PER ADVISORY AGREEMENT.	VAW	'31FM6'	'92204A601'	3 '000'	0	3	123.6689		-370.71
Settled	10/10/2018	Security Transactions	Purchase FIRST TRUST CLOUD COMPUTING ETF PER ADVISORY AGREEMENT.	SKYY	'38RY4'	'33734X182'	8 '000'	0	32	52.5641		-1,682.05
Settled	10/10/2018	Security Transactions	Purchase ISHARES TR CORE MSCI EAF ETF ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	IEFA	'39T52'	'46432F842'	10 '000'	0	22	61.29		-1,348.38
Settled	10/10/2018	Security Transactions	Purchase VANGUARD INDUSTRIAL ETF PER ADVISORY AGREEMENT.	VIS	'31FX1'	'92204A603'	5 '000'	0	2	142.1952		-284.39
Settled	10/10/2018	Security Transactions	Purchase ISHARES NASDAQ BIOTECH ETF PRODUCT DESCRIPTION ENCL PER ADVISORY AGREEMENT.	IBB	'31CM7'	'464287556'	1 '000'	0	6	113.8399		-683.04
Settled	10/10/2018	Security Transactions	Purchase VANGUARD INFORMATION TECH ETF PER ADVISORY AGREEMENT.	VGT	'31FM7'	'92204A702'	4 '000'	0	8	180.1099		-1,520.88
Settled	10/10/2018	Security Transactions	Purchase ISHARES MSCI EAF PER ADVISORY AGREEMENT.	EFA	'31CT9'	'464287465'	2 '000'	0	13	65.1169		-846.52
Settled	10/10/2018	Security Transactions	Purchase CONSUMER DISCRETIONARY SPDR PER ADVISORY AGREEMENT.	XLY	'673G1'	'81369Y407'	11 '000'	0	16	110.5789		-1,768.28
Settled	10/10/2018	Security Transactions	Purchase HARDING LOEVNER INTL EQUITY PT FD INSTL CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	HLMIK	'9KY55'	'412295107'	3 '000'	0	40	21.47		-858.8

Activity Status	Trade/Transa ction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	10/10/2018	10/11/2018	Security Transactions	Purchase CAMBIAR INTERNATIONAL EQUITY FUND INSTL CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CAMXX	'97N12'	'00769G543'	9	'000'	0	27	26.23	-708.21
Settled	10/10/2018	10/11/2018	Security Transactions	Purchase OAKMARK INTL FD CL INVESTOR FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	OAKIX	'9EHP3'	'413638202'	1	'000'	0	39	24.62	-960.18
Settled	10/10/2018	10/11/2018	Security Transactions	Purchase T ROWE PRICE EMERGING MARKETS STOCK FD INV CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	PRMSX	'9K6D2'	'77956H864'	4	'000'	0	21	37.75	-792.75
Settled	10/10/2018	10/11/2018	Security Transactions	Purchase WELLS FARGO SMALL COMPANY GROWTH FD INSTL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	WSCGX	'9PK42'	'949921571'	8	'000'	0	16	58.49	-935.84
Settled	10/10/2018	10/11/2018	Security Transactions	Purchase OPENHEIMER INTERNATL GROWTH FD CL Y FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	OIGYX	'9LX99'	'68360L407'	5	'000'	0	40	37.92	-1,516.80
Settled	10/10/2018	10/11/2018	Security Transactions	Purchase CARILLON EAGLE SMALL CAP GROWTH FUND CL I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	HSIIX	'9L6B4'	'14214L486'	6	'000'	0	18	64.09	-1,153.62
Settled	10/10/2018	10/11/2018	Security Transactions	Purchase CHARTWELL SMALL CAP VALUE FUND CLASS I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CWSIX	'9MO66'	'16740T509'	7	'000'	0	3	19.65	-58.95
Settled	10/10/2018	10/11/2018	Security Transactions	Purchase DELAWARE EMERGING MARKETS FUND INSTL CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	DEMIX	'9KTH9'	'245914817'	2	'000'	0	20	17.23	-344.6
Settled	10/10/2018	10/11/2018	Security Transactions	Redemption BLF FEDFUND CASH RESERVE	BFRXX	'7GIX3'	'09248U437'	4	'AMF'		-4,045.00		4,045.00
Settled	10/11/2018	10/11/2018	Security Transactions	Redemption BLF FEDFUND CASH RESERVE	BFRXX	'7GIX3'	'09248U437'	11	'AMF'		-7,325.00		7,325.00
Settled	10/11/2018	10/11/2018	Security Transactions	Redemption BLF FEDFUND CASH RESERVE REDEMP AS OF 10/10/2018	BFRXX	'7GIX3'	'09248U437'	10	'AMF'		-6		6
Settled	10/12/2018	10/12/2018	Security Transactions	Redemption BLF FEDFUND CASH RESERVE	BFRXX	'7GIX3'	'09248U437'	12	'AMF'		-11,583.00		11,583.00
Settled	10/15/2018	10/15/2018	Security Transactions	Subscription BLF FEDFUND CASH RESERVE	BFRXX	'7GIX3'	'09248U437'	34	'AMF'		1		-1
Settled	10/16/2018	10/16/2018	Security Transactions	Subscription BLF FEDFUND CASH RESERVE	BFRXX	'7GIX3'	'09248U437'	2	'AMF'		613		-613

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	10/16/2018	10/16/2018	Security Transactions	Subscription BLF FEDFUND CASH RESERVE	BFRXX	7GIX3'	09248U437	1	YAMF		481		-481
Settled	10/18/2018	10/22/2018	Security Transactions	Sale FIRST TRUST DOW JONES INTERNET INDEX FUND PER ADVISORY AGREEMENT.	FDN	31KW2'	33733E302	2		0	-101	128.4466	12,972.94
Settled	10/18/2018	10/22/2018	Security Transactions	Sale VANGUARD INFORMATION TECH ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	VGT	31FM7'	9220AA702	1		0	-63	187.8513	11,834.48
Settled	10/18/2018	10/22/2018	Security Transactions	Sale FIRST TRUST CLOUD COMPUTING ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	SKYY	39RY4'	33734X192	4		0	-242	52.1171	12,612.18
Settled	10/18/2018	10/22/2018	Security Transactions	Sale CONSUMER DISCRETIONARY SPDR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	XLV	673G1'	81369Y407	5		0	-86	107.5971	9,253.23
Settled	10/18/2018	10/22/2018	Security Transactions	Purchase COMM SERVICES SELECT SECTOR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	XLG	31OX9'	81369Y852	3	000'	0	954	46.7174	-44,568.40
Settled	10/18/2018	10/18/2018	Security Transactions	Subscription BLF FEDFUND CASH RESERVE	BFRXX	7GIX3'	09248U437	1	YAMF		1,049.00		-1,049.00
Settled	10/19/2018	10/19/2018	Security Transactions	Redemption FEDERAL NATL MTG ASSOC BONDS 01.125% OCT '19 2018 PAY DATE 10/19/2018	HM2U5'	3135G0E58'		2			-28,000.00		28,000.00
Settled	10/22/2018	10/23/2018	Security Transactions	Purchase U.S. TREASURY NOTE 2.625% AUG 31 2020 FIRST COUPON 02/28/19 YLD TO MATURITY 2.90% MATURITY DATE 8/31/20. 53 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	YH2BNT'	9128284Y3'		1	000'	0	28,000.00	89.5	-28,966.45
Settled	10/22/2018	10/22/2018	Security Transactions	Subscription BLF FEDFUND CASH RESERVE	BFRXX	7GIX3'	09248U437	7	YAMF		29,000.00		-29,000.00
Settled	10/22/2018	10/22/2018	Security Transactions	Subscription BLF FEDFUND CASH RESERVE	BFRXX	7GIX3'	09248U437	6	YAMF		164		-164
Settled	10/22/2018	10/23/2018	Security Transactions	Redemption BLF FEDFUND CASH RESERVE	BFRXX	7GIX3'	09248U437	2	YAMF		-26,862.00		26,862.00
Settled	10/24/2018	10/24/2018	Security Transactions	Subscription BLF FEDFUND CASH RESERVE	BFRXX	7GIX3'	09248U437	2	YAMF		112		-112
Settled	10/24/2018	10/24/2018	Security Transactions	Redemption BLF FEDFUND CASH RESERVE REDEMPT AS OF 10/23/2018	BFRXX	7GIX3'	09248U437	1	YAMF		-112		112
Settled	10/26/2018	10/26/2018	Security Transactions	Subscription BLF FEDFUND CASH RESERVE	BFRXX	7GIX3'	09248U437	2	YAMF		635		-635
Settled	10/26/2018	10/26/2018	Security Transactions	Subscription BLF FEDFUND CASH RESERVE	BFRXX	7GIX3'	09248U437	1	YAMF		253		-253
Settled	11/1/2018	11/1/2018	Security Transactions	Reinvestment Share(s) BLF FEDFUND CASH RESERVE AGENT REINV AMOUNT \$84.00 REINV PRICE \$1.00000 QUANTITY BOT 84.0000 AS OF 11/01	BFRXX	7GIX3'	09248U437	3	684'		84		0

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	11/2/2018	11/2/2018	Security Transactions	Redemption BLF FEDFUND CASH RESERVE	BFRXX	7GIX3	'09248U437	3	'AMF		1		-1
Settled	11/5/2018	11/5/2018	Security Transactions	Redemption BLF FEDFUND CASH RESERVE REDEMT AS OF 11/02/2018	BFRXX	7GIX3	'09248U437	2	'AMF		-2,227.00		2,227.00
Settled	11/6/2018	11/6/2018	Security Transactions	Subscription BLF FEDFUND CASH RESERVE	BFRXX	7GIX3	'09248U437	1	'AMF		57		-57
Settled	11/16/2018	11/16/2018	Security Transactions	Subscription BLF FEDFUND CASH RESERVE	BFRXX	7GIX3	'09248U437	2	'AMF		580		-580
Settled	11/16/2018	11/16/2018	Security Transactions	Subscription BLF FEDFUND CASH RESERVE	BFRXX	7GIX3	'09248U437	1	'AMF		688		-688
Settled	11/20/2018	11/20/2018	Security Transactions	Subscription BLF FEDFUND CASH RESERVE	BFRXX	7GIX3	'09248U437	1	'AMF		593		-593
Settled	11/26/2018	11/26/2018	Security Transactions	Subscription BLF FEDFUND CASH RESERVE	BFRXX	7GIX3	'09248U437	39	'AMF		197		-197
Settled	11/27/2018	11/27/2018	Security Transactions	Subscription BLF FEDFUND CASH RESERVE	BFRXX	7GIX3	'09248U437	2	'AMF		741		-741
Settled	11/27/2018	11/27/2018	Security Transactions	Subscription BLF FEDFUND CASH RESERVE	BFRXX	7GIX3	'09248U437	1	'AMF		269		-269
Settled	11/30/2018	11/30/2018	Security Transactions	Subscription BLF FEDFUND CASH RESERVE	BFRXX	7GIX3	'09248U437	2	'AMF		4,966.00		-4,966.00
Settled	11/30/2018	11/30/2018	Security Transactions	Subscription BLF FEDFUND CASH RESERVE	BFRXX	7GIX3	'09248U437	1	'AMF		252		-252
Settled	12/3/2018	12/3/2018	Security Transactions	Reinvestment Share(s) BLF FEDFUND CASH RESERVE AGENT REINV AMOUNT \$76.00 REINV PRICE \$1.00000 QUANTITY BOT 76.0000 AS OF 12/03	BFRXX	7GIX3	'09248U437	3	'884		76		0
Settled	12/4/2018	12/4/2018	Security Transactions	Subscription BLF FEDFUND CASH RESERVE	BFRXX	7GIX3	'09248U437	3	'AMF		1		-1
Settled	12/5/2018	12/5/2018	Security Transactions	Redemption BLF FEDFUND CASH RESERVE REDEMT AS OF 12/04/2018	BFRXX	7GIX3	'09248U437	1	'AMF		-2,245.00		2,245.00
Settled	12/6/2018	12/6/2018	Security Transactions	Subscription BLF FEDFUND CASH RESERVE	BFRXX	7GIX3	'09248U437	1	'AMF		27		-27
Settled	12/10/2018	12/10/2018	Security Transactions	Exchange OAKMARK INTL FD CL INVESTOR SHARE CLASS CONVERSION SHARES-AS OF 12/07/2018	OAKIX	9EHP3	'413838202	1	'TLF		-1,120.00		0
Settled	12/10/2018	12/10/2018	Security Transactions	Exchange OAKMARK INTL FD CL ADV FRAC QUANTITY IS .494 SHARE CLASS CONVERSION SHARES-AS OF 12/07/2018	OAYIX	9UOY3	'413838731	2	'TLF		1,118.49		0
Settled	12/11/2018	12/11/2018	Security Transactions	Subscription BLF FEDFUND CASH RESERVE	BFRXX	7GIX3	'09248U437	1	'AMF		61		-61
Settled	12/14/2018	12/14/2018	Security Transactions	Subscription BLF FEDFUND CASH RESERVE	BFRXX	7GIX3	'09248U437	6	'AMF		424		-424
Settled	12/17/2018	12/17/2018	Security Transactions	Subscription BLF FEDFUND CASH RESERVE	BFRXX	7GIX3	'09248U437	34	'AMF		2,886.00		-2,886.00
Settled	12/17/2018	12/17/2018	Security Transactions	Subscription BLF FEDFUND CASH RESERVE	BFRXX	7GIX3	'09248U437	33	'AMF		557		-557
Settled	12/18/2018	12/20/2018	Security Transactions	Sale VANGUARD CONSUMER STAPLES ETF PER ADVISORY AGREEMENT.	VDC	31FMO	'92204A207	18		0	-17	134.9485	2,294.09
Settled	12/18/2018	12/20/2018	Security Transactions	Sale CONSUMER DISCRETIONARY SPDR PER ADVISORY AGREEMENT.	XLV	673G1	'81369Y407	24		0	-5	101.0501	505.24

Activity Status	Trade/Transa ction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	12/18/2018	12/20/2018	Security Transactions	Sale ISHARES MSCI EAFE PER ADVISORY AGREEMENT.	EFA	'31CT9'	'464287465'	18		0	-5	59.5037	297.51
Settled	12/18/2018	12/20/2018	Security Transactions	Sale HEALTH CARE SELECT SPDR PER ADVISORY AGREEMENT.	XLV	'673F6'	'81369Y209'	23		0	-17	86.5747	1,471.75
Settled	12/18/2018	12/20/2018	Security Transactions	Sale ISHARES RUSSELL 1000 VALUE PER ADVISORY AGREEMENT.	IWD	'31CK1'	'464287598'	17		0	-19	112.9396	2,145.82
Settled	12/18/2018	12/20/2018	Security Transactions	Sale ISHARES TR CORE MSCI EAF ETF PER ADVISORY AGREEMENT.	IEFA	'39T52'	'46432F842'	22		0	-2	55.62	111.23
Settled	12/18/2018	12/20/2018	Security Transactions	Sale FIRST TRUST CLOUD COMPUTING ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	SKYY	'39RY4'	'33734X192'	21		0	-9	49.4778	445.29
Settled	12/18/2018	12/20/2018	Security Transactions	Sale REAL ESTATE SELECT SECTOR SPDR FD SHS ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	XLRE	'31MW5'	'81369Y860'	20		0	-48	32.59	1,564.30
Settled	12/18/2018	12/19/2018	Security Transactions	Sale WESTERN ASSET SMASH SERIES M FUND PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	LMSMX	'9MEK9'	'52470G759'	15		0	-1,363.00	10.63	14,488.69
Settled	12/18/2018	12/19/2018	Security Transactions	Sale WESTERN ASSET SMASH SERIES C FUND PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	LMLCX	'9MEK8'	'52470G742'	14		0	-264	9.05	2,389.20
Settled	12/18/2018	12/19/2018	Security Transactions	Sale MFS VALUE FD CL I PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MEIIX	'9K2M9'	'552983694'	11		0	-20	36.84	736.8
Settled	12/18/2018	12/19/2018	Security Transactions	Sale DELAWARE EMERGING MARKETS FUND INSTL CL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	DEMIX	'9KTH9'	'246914817'	10		0	-65	16.8	1,092.00
Settled	12/18/2018	12/19/2018	Security Transactions	Sale WESTERN ASSET SMASH SERIES EC FUND PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	LMECX	'9MEK5'	'52470G734'	13		0	-895	8.42	7,535.90
Settled	12/18/2018	12/19/2018	Security Transactions	Sale T ROWE PRICE EMERGING MARKETS STOCK FD INV CL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	PRMSX	'9K6D2'	'77956H864'	12		0	-55	37.15	2,043.25
Settled	12/18/2018	12/18/2018	Security Transactions	Subscription BLF FEDFUND CASH RESERVE	BFRXX	'7GIX3'	'09248U437'	9	'AMF'		518	-518	
Settled	12/18/2018	12/18/2018	Security Transactions	Subscription BLF FEDFUND CASH RESERVE	BFRXX	'7GIX3'	'09248U437'	8	'AMF'		178	-178	
Settled	12/19/2018	12/21/2018	Security Transactions	Sale JPMORGAN CHASE & CO GLB 04.400% JUL 22 2020 RATINGS ARE SUBJ. TO CHG MOODYS A2 S&P A- YLD TO MATURITY 3.35% MATURITY DATE 7/22/20. 149 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	JPM20A	'C0394'	'46625HHS2'	8		0	-1,000.00	101.6	1,034.21

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	12/18/2018	12/21/2018	Security Transactions	Sale MICROSOFT CORP GLB 03.700% AUG 08 2046 RATINGS ARE SUBJ. TO CHG MOODYS AAA S&P AAA CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO MATURITY 3.84% MATURITY DATE 8/08/46. YLD TO CALL 3.84% CALL DATE 2/08/46 CALL PRICE \$100.00. 133 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MSFT46	'C6C38'	'594918BT0'	9	0	-1,000.00	97.619	989.86	
Settled	12/18/2018	12/21/2018	Security Transactions	Sale GOLDMAN SACHS GROUP INC GLB 04.000% MAR 03 2024 YLD TO MATURITY 4.23% MATURITY DATE 3/03/24. 108 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	GS24	'H10D0'	'36141GVM3'	13	0	-1,000.00	98.9	1,001.00	
Settled	12/19/2018	12/21/2018	Security Transactions	Sale CITIGROUP INC - VAR%JAN 10 2028 RATINGS ARE SUBJ. TO CHG MOODYS BAA1 S&P BBB& CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST 161 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	C 28	'B2793'	'172967LD1'	7	0	-1,000.00	96.85	985.88	
Settled	12/19/2018	12/21/2018	Security Transactions	Sale MORGAN STANLEY GLB VAR%JUL 22 2028 RATINGS ARE SUBJ. TO CHG MOODYS A3 S&P BBB& MAKE-WHOLE CALL. DETAILS UPON REQUEST 148 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	ZZZZ28	'C6DH1'	'61744YAK4'	10	0	-1,000.00	94.2	956.76	
Settled	12/19/2018	12/21/2018	Security Transactions	Sale SHELL INTERNATIONAL FIN COMPANY GUARNT GLB 02.500% SEP 12 2026 RATINGS ARE SUBJ. TO CHG MOODYS AA2 S&P AA- MAKE-WHOLE CALL. DETAILS UPON REQUEST YLD TO MATURITY 3.60% MATURITY DATE 9/12/26. 99 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	RDS26	'E38W1'	'922582BX9'	11	0	-1,000.00	92.638	933.26	
Settled	12/19/2018	12/21/2018	Security Transactions	Sale VERIZON COMMUNICATIONS GLB 05.150% SEP 15 2023 RATINGS ARE SUBJ. TO CHG MOODYS BAA1 S&P BBB& MAKE-WHOLE CALL. DETAILS UPON REQUEST YLD TO MATURITY 3.64% MATURITY DATE 9/15/23. 96 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	VZ23	'E73Y0'	'92343VBR4'	12	0	-1,000.00	106.492	1,078.65	
Settled	12/19/2018	12/20/2018	Security Transactions	Sale U.S. TREASURY NOTE 2.625% AUG 31 2020 FIRST COUPON 02/28/19 YLD TO MATURITY 2.65% MATURITY DATE 8/31/20. 111 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE WWW.SIFMA.ORG/TFMPG.	'H26N7'	'912828AY3'	9	0	-2,000.00	99.949219	2,015.08		
Settled	12/19/2018	12/20/2018	Security Transactions	Sale U.S. TREASURY NOTE 2.125% SEP 30 2021 YLD TO MATURITY 2.62% MATURITY DATE 9/30/21. 81 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE WWW.SIFMA.ORG/TFMPG.	'H2682'	'912828F21'	12	0	-1,000.00	98.679688	991.53		

Activity Status	Trade/Transa ction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	12/19/2018	12/20/2018	Security Transactions	Sale FNMMA PMA3444 04 50%2048 AMORTIZED FCR .96576 19 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE WWW.SIFMA.ORG/TFMPG.	'GFH5'		'31418C2J6'	6		0	-2,000.00	103.4375	2,002.52
Settled	12/19/2018	12/20/2018	Security Transactions	Sale U.S. TREASURY NOTE 2.750% FEB 15 2028 02.750% FEB 15 2028 YLD TO MATURITY 2.75% MATURITY DATE 2/15/28. 127 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE WWW.SIFMA.ORG/TFMPG.	'H2BE8'		'9128283W8'	8		0	-1,000.00	99.968	1,009.17
Settled	12/19/2018	12/20/2018	Security Transactions	Sale FNMMA PAL6307 04 50%2045 AMORTIZED FCR .31940 19 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE WWW.SIFMA.ORG/TFMPG.	'H6M54'		'3138EPAH7'	13		0	-1,000.00	103.4375	331.15
Settled	12/19/2018	12/20/2018	Security Transactions	Sale FNMMA PMA2806 03%2046 AMORTIZED FCR .84655 19 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE WWW.SIFMA.ORG/TFMPG.	'YYS86'		'31418CDL5'	15		0	-1,000.00	97.03125	822.76
Settled	12/19/2018	12/20/2018	Security Transactions	Sale FILMC GO 8887 03 50%2046 AMORTIZED FCR .64783 19 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE WWW.SIFMA.ORG/TFMPG.	'H9BE3'		'3128MLXP8'	14		0	-1,000.00	99.25	644.17
Settled	12/19/2018	12/20/2018	Security Transactions	Sale FILMC A9 1538 04 50%2040 AMORTIZED FCR .10523 19 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE WWW.SIFMA.ORG/TFMPG.	'G3JH0'		'312939V73'	7		0	-1,000.00	103.40525	108.07
Settled	12/19/2018	12/20/2018	Security Transactions	Sale FNMMA PAD8529 04 50%2040 AMORTIZED FCR .11474 19 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE WWW.SIFMA.ORG/TFMPG.	'GA2U7'		'31418WPP8'	4		0	-1,000.00	103.4375	118.96

Activity Status	Trade/Transa ction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	12/19/2018	12/20/2018	Security Transactions	Sale U.S. TREASURY BOND 2.500% FEB 15 2046 02.500% FEB 15 2046 YLD TO MATURITY 3.00% MATURITY DATE 2/15/46. 127 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE WWW.SIFMA.ORG/TFMPG.	'H26R9'		'912810RQ3'	10		0	-2,000.00	90.679	1,830.84
Settled	12/19/2018	12/20/2018	Security Transactions	Sale U.S. TREASURY BOND 3.000% MAY 15 2045 03.000% MAY 15 2045 YLD TO MATURITY 2.98% MATURITY DATE 5/15/45. 35 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE WWW.SIFMA.ORG/TFMPG.	'H26W6'		'912810RM2'	11		0	-2,000.00	100.196	2,009.72
Settled	12/19/2018	12/20/2018	Security Transactions	Sale FHLMC G0 8741 03%2047 AMORTIZED FCR .86789 18 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE WWW.SIFMA.ORG/TFMPG.	'Y36E6'		'3128MJZF0'	16		0	-1,000.00	96.9375	942.68
Settled	12/19/2018	12/20/2018	Security Transactions	Sale FNMA PMA3238 03 50%2048 AMORTIZED FCR .93647 19 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE WWW.SIFMA.ORG/TFMPG.	'G8PV3'		'31418CS47'	5		0	-1,000.00	99.46875	933.23
Settled	12/19/2018	12/20/2018	Security Transactions	Sale FNMA PMA3182 03 50%2047 AMORTIZED FCR .92383 19 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE WWW.SIFMA.ORG/TFMPG.	'GALV5'		'31418CR00'	2		0	-1,000.00	99.46875	920.73
Settled	12/19/2018	12/20/2018	Security Transactions	Sale FNMA PMA3210 03 50%2047 AMORTIZED FCR .92899 19 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE WWW.SIFMA.ORG/TFMPG.	'GAZD0'		'31418CR89'	3		0	-4,000.00	99.46875	3,703.08
Settled	12/19/2018	12/19/2018	Security Subscription	BLF FEDFUND CASH RESERVE	'BFRXX'	'7GIX3'	'09248U437'	17	'AMF'		2,873.00		-2,873.00
Settled	12/19/2018	12/19/2018	Security Subscription	BLF FEDFUND CASH RESERVE	'BFRXX'	'7GIX3'	'09248U437'	16	'AMF'		1,154.00		-1,154.00
Settled	12/20/2018	12/20/2018	Security Subscription	BLF FEDFUND CASH RESERVE	'BFRXX'	'7GIX3'	'09248U437'	26	'AMF'		30,525.00		-30,525.00
Settled	12/20/2018	12/20/2018	Security Subscription	BLF FEDFUND CASH RESERVE	'BFRXX'	'7GIX3'	'09248U437'	25	'AMF'		2,651.00		-2,651.00
Settled	12/21/2018	12/21/2018	Security Subscription	BLF FEDFUND CASH RESERVE	'BFRXX'	'7GIX3'	'09248U437'	15	'AMF'		-17,039.00		17,039.00
Settled	12/21/2018	12/21/2018	Security Subscription	BLF FEDFUND CASH RESERVE	'BFRXX'	'7GIX3'	'09248U437'	14	'AMF'		485		-485

Activity	Trade/Transa	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	12/24/2018	12/24/2018	Security Transactions	Redemption BLF FEDFUND CASH RESERVE REDEMPT AS OF 12/21/2018	BFRXX	'7GIX3'	'09248U437'	7	'AMF'		-21,484.00		21,484.00
Settled	12/24/2018	12/24/2018	Security Transactions	Subscription BLF FEDFUND CASH RESERVE	BFRXX	'7GIX3'	'09248U437'	6	'AMF'		1,559.00		-1,559.00
Settled	12/26/2018	12/26/2018	Security Transactions	Subscription BLF FEDFUND CASH RESERVE	BFRXX	'7GIX3'	'09248U437'	41	'AMF'		3,807.00		-3,807.00
Settled	12/26/2018	12/26/2018	Security Transactions	Subscription BLF FEDFUND CASH RESERVE	BFRXX	'7GIX3'	'09248U437'	40	'AMF'		968		-968
Settled	12/27/2018	12/27/2018	Security Transactions	Subscription BLF FEDFUND CASH RESERVE	BFRXX	'7GIX3'	'09248U437'	7	'AMF'		645		-645
Settled	12/27/2018	12/27/2018	Security Transactions	Subscription BLF FEDFUND CASH RESERVE	BFRXX	'7GIX3'	'09248U437'	6	'AMF'		403		-403
Settled	12/28/2018	12/28/2018	Security Transactions	Subscription BLF FEDFUND CASH RESERVE	BFRXX	'7GIX3'	'09248U437'	1	'AMF'		687		-687

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	12/29/2017	1/2/2018	Security Transactions	Purchase WESTERN ASSET SMASH SERIES EC FUND FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	LMECX	'9MEK5'	'52470G734'	2	'000'	0	602	9.22	-5,550.44
Settled	1/18/2018	1/22/2018	Security Transactions	Purchase SHELL INTERNATIONAL FIN COMPANY GUARNT GLB 02.500% SEP 12 2026 RATINGS ARE SUBJ. TO CHG MOODY'S A&S&P A& MAKE-WHOLE CALL. DETAILS UPON REQUEST YLD TO MATURITY 3.00% MATURITY DATE 9/12/26. 130 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	RDS26	'E38W1'	'822582BX9'	4	'000'	0	10,000.00	96.196	-9,709.88
Settled	1/19/2018	1/23/2018	Security Transactions	Sale VANGUARD INDUSTRIAL ETF PER ADVISORY AGREEMENT.	VIS	'31FX1'	'92204A603'	10	0	0	-295	149.0601	43,971.71
Settled	1/19/2018	1/23/2018	Security Transactions	Sale ISHARES NASDAQ BIOTECH ETF ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	IBB	'31CM7'	'464287556'	4	0	0	-137	111.9757	15,340.32
Settled	1/19/2018	1/23/2018	Security Transactions	Purchase ISHARES RS 2000 GROWTH PRODUCT DESCRIPTION ENCL PER ADVISORY AGREEMENT.	IWO	'385M6'	'464287646'	14	'000'	0	57	194.7077	-11,098.34
Settled	1/19/2018	1/23/2018	Security Transactions	Sale ISHARES CORE S&P MID-CAP ETF PER ADVISORY AGREEMENT.	IJH	'31CJ8'	'464287507'	2	0	0	-185	197.21	36,483.01
Settled	1/19/2018	1/23/2018	Security Transactions	Sale FIRST TR EXCHANGE TRADED FID DOW JONES IN PER ADVISORY AGREEMENT.	FDN	'31KW2'	'33733E302'	12	0	0	-135	116.9001	15,761.15
Settled	1/19/2018	1/23/2018	Security Transactions	Purchase ISHARES MSCI JAPAN ETF SHS ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	EWJ	'38S20'	'46434G822'	17	'000'	0	63	63.7899	-4,019.39
Settled	1/19/2018	1/23/2018	Security Transactions	Purchase ISHARES RS 2000 VALUE PRODUCT DESCRIPTION ENCL PER ADVISORY AGREEMENT.	IWN	'38SP9'	'464287630'	15	'000'	0	87	130.147	-11,322.79
Settled	1/19/2018	1/23/2018	Security Transactions	Sale VANGUARD MATERIALS ETF PER ADVISORY AGREEMENT.	VAW	'31FM6'	'92204A801'	8	0	0	-40	142.7462	5,709.72
Settled	1/19/2018	1/23/2018	Security Transactions	Sale VANGUARD CONSUMER STAPLES ETF PER ADVISORY AGREEMENT.	VDC	'31FM0'	'92204A207'	7	0	0	-147	148.8178	21,875.71
Settled	1/19/2018	1/23/2018	Security Transactions	Sale VANGUARD INFORMATION TECH ETF PER ADVISORY AGREEMENT.	VGIT	'31FM7'	'92204A702'	9	0	0	-372	175.8284	65,406.65
Settled	1/19/2018	1/23/2018	Security Transactions	Purchase ISHARES MSCI EAFE ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	EFA	'31CT9'	'464287466'	5	'000'	0	123	74.08	-9,111.84

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SQL	Quantity	Price	Amount
Settled	1/19/2018	1/23/2018	Security Transactions	Sale CONSUMER DISCRETIONARY SPDR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	XLV	'673G1'	'81369Y407'	20	0		-345	105.5205	36,403.73
Settled	1/19/2018	1/23/2018	Security Transactions	Sale SPDR US FINANCIAL SECTOR ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	XLV	'673G3'	'81369Y605'	21	0		-809	29.51	23,873.04
Settled	1/19/2018	1/23/2018	Security Transactions	Purchase ISHARES RUSSEL 1000 VALUE PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	IWD	'31CK1'	'464287598'	3	'000'	0	1,385.00	128.81	-178,401.85
Settled	1/19/2018	1/23/2018	Security Transactions	Sale HEALTH CARE SELECT SPDR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	XLV	'673F6'	'81369Y208'	19	0		-328	88.1701	28,919.12
Settled	1/19/2018	1/23/2018	Security Transactions	Purchase ISHARES TR CORE MSCI EAF ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	IEFA	'39T52'	'46432F842'	18	'000'	0	200	69.66	-13,932.00
Settled	1/19/2018	1/23/2018	Security Transactions	Sale REAL ESTATE SELECT SECTOR SPDR FD SHS PER ADVISORY AGREEMENT.	XLRE	'31MV5'	'81369Y860'	13	0		-170	31.3521	5,329.74
Settled	1/19/2018	1/23/2018	Security Transactions	Sale FIRST TRUST CLOUD COMPUTING ETF PER ADVISORY AGREEMENT.	SKYY	'39RY4'	'33734X192'	16	0		-317	47.911	15,187.44
Settled	1/19/2018	1/23/2018	Security Transactions	Sale VANGUARD DIVIDEND APPRECIATION ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	VIG	'31KM9'	'921808844'	11	0		-1,149.00	106.65	122,538.02
Settled	1/19/2018	1/23/2018	Security Transactions	Sale VANGUARD MID-CAP ETF PER ADVISORY AGREEMENT.	VO	'31FL8'	'922908629'	6	0		-374	161.105	60,251.88
Settled	1/19/2018	1/22/2018	Security Transactions	Purchase HARDING LOEVNER INTL EQUITY PT FD INSTL CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	HLMIX	'9KCY5'	'412295107'	9	'000'	0	363	24.01	-9,195.83
Settled	1/19/2018	1/22/2018	Security Transactions	Purchase CAMBIAR INTERNATIONAL EQUITY FUND INVESTOR CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CAMIX	'9MP25'	'00758M139'	16	'000'	0	354	29.88	-10,577.52
Settled	1/19/2018	1/22/2018	Security Transactions	Purchase DELAWARE EMERGING MARKETS FUND INSTL CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	DEMIX	'9KTH9'	'245914817'	7	'000'	0	168	21.57	-3,623.76

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	1/19/2018	1/22/2018	Security Transactions	Purchase JOHN HANCOCK DISCIPLINED VALUE FUND CL I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	JVLIX	'9EHV3'	'47803UB40'	6	'000'	0	1,788.00	23.06	-41,231.28
Settled	1/19/2018	1/22/2018	Security Transactions	Purchase NIVEEN SMALL CAP VALUE FUND CL I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	FSCCX	'9KW56'	'870678200'	8	'000'	0	920	27.87	-25,640.40
Settled	1/19/2018	1/22/2018	Security Transactions	Purchase BLACKROCK EQTY DIVIDEND FUND INSTL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MADVX	'974Y9'	'09251M504'	19	'000'	0	2,282.00	23.78	-54,503.78
Settled	1/19/2018	1/22/2018	Security Transactions	Purchase CARILLON EAGLE SMALL CAP GROWTH FUND CL I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	HSIIX	'9L8B4'	'14214L488'	13	'000'	0	5	66.02	-330.1
Settled	1/19/2018	1/22/2018	Security Transactions	Purchase THE OAKMARK INTL FUND INV CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	OAKIX	'9EHP3'	'413838202'	5	'000'	0	282	30.55	-8,615.10
Settled	1/19/2018	1/22/2018	Security Transactions	Purchase OPPENHEIMER INTERNATL GROWTH FD CL Y FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	OIGYX	'9LX98'	'88380L407'	12	'000'	0	212	45.91	-9,732.92
Settled	1/19/2018	1/22/2018	Security Transactions	Purchase WELLS FARGO SMALL COMPANY GROWTH FD INSTL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	WSCGX	'9PK42'	'949921571'	17	'000'	0	415	56.04	-23,258.60
Settled	1/19/2018	1/22/2018	Security Transactions	Purchase SOUND SHORE FUND INSTL CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	SSHIX	'9PV94'	'836083204'	18	'000'	0	854	48.26	-41,214.04
Settled	1/19/2018	1/22/2018	Security Transactions	Purchase T ROWE PRICE EMERGING MARKETS STOCK FD RETAIL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	PRMSX	'9KBD2'	'77958H864'	11	'000'	0	97	47.75	-4,631.75
Settled	1/19/2018	1/22/2018	Security Transactions	Purchase MFS VALUE FD CL I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MEIIX	'9KCM9'	'552983694'	10	'000'	0	988	42.61	-41,246.48
Settled	1/19/2018	1/22/2018	Security Transactions	Sale CHARTWELL SMALL CAP VALUE FUND CLASS I PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CWSIX	'9M066'	'18140T509'	15	'000'	0	-712	20.42	14,539.04

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	1/19/2018	1/22/2018	Security Transactions	Sale CARILLON SCOUT MID CAP FUND CL 1 PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	UMBMX	'9MHP0'	'14214M872'	14	0	-2,307.00	20.03	46,208.21	
Settled	1/30/2018	2/1/2018	Security Transactions	Sale ISHARES NASDAQ BIOTECH ETF PER ADVISORY AGREEMENT.	IBB	'31CM7'	'464287556'	10	0	-9	116.2438	1,046.17	
Settled	1/30/2018	2/1/2018	Security Transactions	Sale ISHARES RS 2000 GROWTH PER ADVISORY AGREEMENT.	IWO	'385M6'	'464287648'	17	0	-1	194.838	194.83	
Settled	1/30/2018	2/1/2018	Security Transactions	Purchase VANGUARD INDUSTRIAL ETF PER ADVISORY AGREEMENT.	VIS	'31FX1'	'92204A603'	14	000	0	1	148.6132	-148.61
Settled	1/30/2018	2/1/2018	Security Transactions	Purchase VANGUARD MATERIALS ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	VAV	'31FM6'	'92204A801'	12	000	0	1	141.8777	-141.88
Settled	1/30/2018	2/1/2018	Security Transactions	Sale ISHARES TR CORE MSCI EAF ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	IEFA	'39T52'	'46432F842'	21	0	-1	69.66	69.65	
Settled	1/30/2018	2/1/2018	Security Transactions	Sale ISHARES RUSSELL 1000 VALUE PER ADVISORY AGREEMENT.	IWD	'31CK1'	'464287598'	9	0	-6	129.2634	775.56	
Settled	1/30/2018	2/1/2018	Security Transactions	Purchase ISHARES RS 2000 VALUE PRODUCT DESCRIPTION ENCL PER ADVISORY AGREEMENT.	IWN	'385P9'	'464287630'	18	000	0	1	127.79	-127.79
Settled	1/30/2018	2/1/2018	Security Transactions	Sale VANGUARD INFORMATION TECH ETF PER ADVISORY AGREEMENT.	VGT	'31FM7'	'92204A702'	13	0	-3	175.9045	527.7	
Settled	1/30/2018	2/1/2018	Security Transactions	Sale CONSUMER DISCRETIONARY SPDR PER ADVISORY AGREEMENT.	XLY	'673G1'	'81369Y407'	23	0	-12	108.0165	1,296.17	
Settled	1/30/2018	2/1/2018	Security Transactions	Sale HEALTH CARE SELECT SPDR PER ADVISORY AGREEMENT.	XLV	'673F6'	'81369Y209'	22	0	-6	89.435	536.6	
Settled	1/30/2018	2/1/2018	Security Transactions	Sale VANGUARD CONSUMER STAPLES ETF PER ADVISORY AGREEMENT.	VDC	'31FM0'	'92204A207'	11	0	-1	149.1022	149.09	
Settled	1/30/2018	2/1/2018	Security Transactions	Purchase SPDR US FINANCIAL SECTOR ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	XLF	'673G3'	'81369Y605'	24	0	-12	29.76	357.11	
Settled	1/30/2018	2/1/2018	Security Transactions	Purchase ISHARES MSCI JAPAN ETF SHS PER ADVISORY AGREEMENT.	EWJ	'39S20'	'46434G822'	20	000	0	2	63.305	-126.61
Settled	1/30/2018	2/1/2018	Security Transactions	Sale FIRST TR EXCHANGE TRADED FD DOW JONES IN ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	FDN	'31KW2'	'33733E302'	15	0	-8	121.5419	972.32	

Activity Status	Trade/Transa ction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	1/30/2018	2/1/2018	Security Transactions	Sale FIRST TRUST CLOUD COMPUTING ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	SKYY	'39RY4'	'33734X192'	19		0	-10	48.8264	488.25
Settled	1/30/2018	2/1/2018	Security Transactions	Sale REAL ESTATE SELECT SECTOR SPDR FD SHS PER ADVISORY AGREEMENT.	XLRE	'31MV5'	'81369Y860'	16		0	-4	31.6625	126.64
Settled	1/30/2018	1/31/2018	Security Transactions	Sale HARDING LOEVNER INTL EQUITY PT FD INSTL CL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	HLMX	'9KY55'	'412295107'	6		0	-10	23.93	239.3
Settled	1/30/2018	1/31/2018	Security Transactions	Purchase NUEVEN SMALL CAP VALUE FUND CL I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	FSCCX	'9KW56'	'670678200'	5	'000'	0	19	27.15	-515.85
Settled	1/30/2018	1/31/2018	Security Transactions	Sale THE OAKMARK INTL FUND INV CL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	OAKIX	'9EHP3'	'413838202'	2		0	-11	30.69	337.59
Settled	1/30/2018	1/31/2018	Security Transactions	Sale OPPENHEIMER INTERNATL GROWTH FD CL Y PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	OLGYX	'9LX99'	'68380L407'	9		0	-4	45.82	183.28
Settled	1/30/2018	1/31/2018	Security Transactions	Sale MFS VALUE FD CL I PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MEIIX	'9K2M9'	'552983694'	7		0	-12	42.76	513.12
Settled	1/30/2018	1/31/2018	Security Transactions	Sale WELLS FARGO SMALL COMPANY GROWTH FD INSTL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	WSCGX	'9PK42'	'949921571'	13		0	-5	56.42	282.1
Settled	1/30/2018	1/31/2018	Security Transactions	Sale SOUND SHORE FUND INSTL CL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	SSHVX	'9PV94'	'836083204'	14		0	-12	48.34	580.08
Settled	1/30/2018	1/31/2018	Security Transactions	Sale T ROWE PRICE EMERGING MARKETS STOCK FD RETAIL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	PRMSX	'9K6D2'	'77956H864'	8		0	-15	48.03	720.45
Settled	1/30/2018	1/31/2018	Security Transactions	Sale BLACKROCK EQTY DIVIDEND FUND INSTL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MADVX	'974Y9'	'09231M504'	15		0	-37	23.9	884.3
Settled	1/30/2018	1/31/2018	Security Transactions	Sale CAMBAR INTERNATIONAL EQUITY FUND INVESTOR CL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CAMIX	'9MP25'	'00758M139'	12		0	-12	29.88	358.56
Settled	1/30/2018	1/31/2018	Security Transactions	Sale CARILLON EAGLE SMALL CAP GROWTH FUND CL I PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	HSIIX	'9LBB4'	'14214L486'	10		0	-3	65.64	198.92
Settled	1/30/2018	1/31/2018	Security Transactions	Sale DELAWARE EMERGING MARKETS FUND INSTL CL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	DEMIX	'9KTH9'	'245914817'	4		0	-21	21.64	454.44
Settled	1/30/2018	1/31/2018	Security Transactions	Sale JOHN HANCOCK DISCIPLINED VALUE FUND CL I PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	JVLIX	'9EHV3'	'47803U640'	3		0	-20	23.08	461.6
Settled	1/30/2018	1/31/2018	Security Transactions	Sale CHARTWELL SMALL CAP VALUE FUND CLASS I PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CWSIX	'9MO66'	'16140T509'	11		0	-2	20.03	40.06

Activity Status	Trade/Transa ction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	1/31/2018	2/2/2018	Security Transactions	Purchase GOLDMAN SACHS GROUP INC GLB 04.000% MAR 03 2024 YLD TO MATURITY 3.38% MATURITY DATE 3/03/24. 149 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	GS24	'H10D'	'38141GVM3'	8 '000'	0	3,000.00	103.366	-3,150.65	
Settled	1/31/2018	2/2/2018	Security Transactions	Purchase WELLS FARGO & COMPANY SER MTN VAR%MAY 22 2028 CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST 70 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	'HHN01'	'95000U2A0'		7 '000'	0	1,000.00	100.405	-1,011.02	
Settled	1/31/2018	2/2/2018	Security Transactions	Purchase MORGAN STANLEY GLB VAR%JUL 22 2028 RATINGS ARE SUBJ. TO CHG MOODYS A3 S&P BB& MAKE-WHOLE CALL. DETAILS UPON REQUEST 10 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	ZZZZ28	'C6DH1'	'61744YAK4'	4 '000'	0	2,000.00	99.38	-1,989.60	
Settled	1/31/2018	2/2/2018	Security Transactions	Purchase SHELL INTERNATIONAL FIN COMPANY GUARNT GLB 02.500% SEP 12 2026 RATINGS ARE SUBJ. TO CHG MOODYS AA2 S&P AA MAKE-WHOLE CALL DETAILS UPON REQUEST YLD TO MATURITY 3.11% MATURITY DATE 9/12/26. 140 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	RDS26	'E38W1'	'822582BX9'	5 '000'	0	2,000.00	95.367	-1,926.78	
Settled	1/31/2018	2/2/2018	Security Transactions	Purchase CITIGROUP INC - VAR%JAN 10 2028 RATINGS ARE SUBJ. TO CHG MOODYS BAA1 S&P BB& CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST 22 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	C 28	'B2793'	'172967LD1'	2 '000'	0	2,000.00	102.275	-2,050.25	
Settled	1/31/2018	2/2/2018	Security Transactions	Purchase BP CAPITAL MARKETS PLC COMPANY GUARNT GLB 03.506% MAR 17 2025 MAKE-WHOLE CALL. DETAILS UPON REQUEST YLD TO MATURITY 3.11% MATURITY DATE 3/17/25. 135 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	BP25	'F1H59'	'05565QDA3'	6 '000'	0	2,000.00	102.472	-2,076.74	
Settled	1/31/2018	2/2/2018	Security Transactions	Purchase JPMORGAN CHASE & CO GLB 04.400% JUL 22 2020 RATINGS ARE SUBJ. TO CHG MOODYS A3 S&P A- YLD TO MATURITY 2.53% MATURITY DATE 7/22/20. 10 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	JPM20A	'C0394'	'46625HHS2'	3 '000'	0	2,000.00	104.462	-2,091.48	
Settled	1/31/2018	2/1/2018	Security Transactions	Purchase WESTERN ASSET SMASH SERIES EC FUND FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	LMECX	'9MEK5'	'52470G734'	25 '000'	0	1,805.00	9.35	-16,876.75	
Settled	1/31/2018	2/1/2018	Security Transactions	Purchase WESTERN ASSET SMASH SERIES C FUND FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	LMLCX	'9MEK8'	'52470G742'	26 '000'	0	672	9.93	-6,672.96	
Settled	1/31/2018	2/1/2018	Security Transactions	Purchase WESTERN ASSET SMASH SERIES M FUND FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	LMSMX	'9MEK9'	'52470G759'	27 '000'	0	2,193.00	10.72	-23,608.96	



Activity	Trade/Transa	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Status	ction Date												
Settled	2/8/2018	2/12/2018	Security Transactions	Purchase VERIZON COMMUNICATIONS GLB 05.150% SEP 15 2023 RATINGS ARE SUBJ. TO CHG MOODYS BAA1 S&P BBB4 MAKE-WHOLE CALL. DETAILS UPON REQUEST YLD TO MATURITY 3.26% MATURITY DATE 8/15/23. 147 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	VZ23	'E73Y0'	'92343VBR4'	2 '000'	0	1,000.00	109.581	-1,116.84	
Settled	2/9/2018	2/12/2018	Security Transactions	Purchase FILMCO GO 8776 04 50%2047 AMORTIZED FCR .90909 11 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT.		'Y86B2'	'3128MLJ28'	5 '000'	0	6,000.00	105.25	-5,748.43	
Settled	2/9/2018	2/12/2018	Security Transactions	Purchase FIMA PMA3238 03 50%2048 AMORTIZED FCR .99260 11 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT.		'GBPVS'	'31418CS47'	3 '000'	0	9,000.00	100.46875	-8,984.84	
Settled	2/9/2018	2/12/2018	Security Transactions	Purchase FIMA PMA3239 04%2048 AMORTIZED FCR .99048 11 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT.		'GBOR2'	'31418CS54'	4 '000'	0	4,000.00	103.109375	-4,090.02	
Settled	2/12/2018	2/14/2018	Security Transactions	Purchase MICROSOFT CORP GLB 03.700% AUG 08 2046 RATINGS ARE SUBJ. TO CHG MOODYS AAA S&P AAA CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO MATURITY 3.83% MATURITY DATE 8/08/46. YLD TO CALL 3.83% CALL DATE 2/08/46 CALL PRICE \$100.00. 6 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MSFT46	'C6C38'	'594918BT0'	2 '000'	0	2,000.00	97.757	-1,956.37	
Settled	2/12/2018	2/13/2018	Security Transactions	Purchase FILMCO GO 8741 03%2047 AMORTIZED FCR .93227 12 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT.		'Y36E6'	'3128MLJF0'	2 '000'	0	4,000.00	97.015625	-3,821.55	
Settled	2/13/2018	2/15/2018	Security Transactions	Purchase SPDR US FINANCIAL SECTOR ETF PER ADVISORY AGREEMENT.	XLF	'673G3'	'81369Y605'	45 '000'	0	22	27.9581	-615.08	
Settled	2/13/2018	2/15/2018	Security Transactions	Purchase VANGUARD CONSUMER STAPLES ETF PER ADVISORY AGREEMENT.	VDC	'31FMD'	'9220AA207'	33 '000'	0	7	139.597	-977.18	
Settled	2/13/2018	2/15/2018	Security Transactions	Purchase CONSUMER DISCRETIONARY SPDR PER ADVISORY AGREEMENT.	XLY	'673G1'	'81369Y407'	44 '000'	0	8	102.3565	-616.85	
Settled	2/13/2018	2/15/2018	Security Transactions	Purchase ISHARES RS 2000 VALUE PRODUCT DESCRIPTION ENCL PER ADVISORY AGREEMENT.	IWN	'385P8'	'464287630'	39 '000'	0	2	120.41	-240.82	
Settled	2/13/2018	2/15/2018	Security Transactions	Purchase ISHARES NASDAQ BIOTECH ETF PRODUCT DESCRIPTION ENCL PER ADVISORY AGREEMENT.	IBB	'31CM7'	'464287556'	31 '000'	0	9	106.6161	-958.64	
Settled	2/13/2018	2/15/2018	Security Transactions	Purchase ISHARES MSCI JAPAN ETF SHS PER ADVISORY AGREEMENT.	EWJ	'39SZ0'	'46434G822'	41 '000'	0	3	69.1267	-177.38	

Activity Status	Trade/Trans action Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	2/13/2018	2/15/2018	Security Transactions	Purchase ISHARES RUSSELL 1000 VALUE PRODUCT DESCRIPTION ENCL PER ADVISORY AGREEMENT.	IWD	'31CK1'	'464287598'	30	'000'	0	36	120.707	-4,345.45
Settled	2/13/2018	2/15/2018	Security Transactions	Purchase VANGUARD INFORMATION TECH ETF PER ADVISORY AGREEMENT.	VGT	'31FM7'	'92204A702'	35	'000'	0	7	166.9199	-1,169.44
Settled	2/13/2018	2/15/2018	Security Transactions	Purchase ISHARES RS 2000 GROWTH PRODUCT DESCRIPTION ENCL PER ADVISORY AGREEMENT.	IWO	'365M6'	'464287648'	38	'000'	0	2	182.9125	-365.83
Settled	2/13/2018	2/15/2018	Security Transactions	Purchase VANGUARD MATERIALS ETF PER ADVISORY AGREEMENT.	VAW	'31FM6'	'92204A801'	34	'000'	0	1	133.3853	-133.39
Settled	2/13/2018	2/15/2018	Security Transactions	Purchase HEALTH CARE SELECT SPDR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	XLV	'873F8'	'81369Y209'	43	'000'	0	18	82.27	-1,480.86
Settled	2/13/2018	2/15/2018	Security Transactions	Purchase VANGUARD INDUSTRIAL ETF PER ADVISORY AGREEMENT.	VIS	'31FX1'	'92204A603'	36	'000'	0	9	139.619	-1,266.57
Settled	2/13/2018	2/15/2018	Security Transactions	Purchase FIRST TRUST CLOUD COMPUTING ETF PER ADVISORY AGREEMENT.	SKYY	'99RY4'	'33734X192'	40	'000'	0	6	46.6132	-279.68
Settled	2/13/2018	2/15/2018	Security Transactions	Purchase REAL ESTATE SELECT SECTOR SPDR FD SHS PER ADVISORY AGREEMENT.	XLRE	'31MV5'	'81369Y860'	37	'000'	0	3	30.1499	-90.45
Settled	2/13/2018	2/15/2018	Security Transactions	Purchase ISHARES TR CORE MSCI EAF ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	IEFA	'39T52'	'46432F942'	42	'000'	0	15	65.16	-977.4
Settled	2/13/2018	2/15/2018	Security Transactions	Purchase ISHARES MSCI EAF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	EFA	'31CT9'	'464287465'	32	'000'	0	9	69.2093	-622.88
Settled	2/13/2018	2/14/2018	Security Transactions	Purchase HARDING LOEVNER INTL EQUITY PT FD INSTL CL FUND SUBJECT TO RED FEE, PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	HLMIX	'9KCY55'	'412295107'	7	'000'	0	37	22.65	-838.05
Settled	2/13/2018	2/14/2018	Security Transactions	Purchase CAMBIAR INTERNATIONAL EQUITY FUND INVESTOR CL FUND SUBJECT TO RED FEE, PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CAMIX	'9MP25'	'00758M139'	13	'000'	0	42	27.94	-1,173.48
Settled	2/13/2018	2/14/2018	Security Transactions	Purchase CHARTWELL SMALL CAP VALUE FUND CLASS I FUND SUBJECT TO RED FEE, PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CWSIX	'9MO86'	'16140T309'	12	'000'	0	18	18.08	-343.44

Activity Status	Trade/Transa ction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	2/13/2018	2/14/2018	Security Transactions	Purchase DELAWARE EMERGING MARKETS FUND INSTL CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	DEMIX	'8KTH8'	'245014817'	5	'000'	0	54	20.27	-1,094.58
Settled	2/13/2018	2/14/2018	Security Transactions	Purchase WELLS FARGO SMALL COMPANY GROWTH FD INSTL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	WSCGX	'9PK42'	'949921571'	14	'000'	0	6	54.03	-324.18
Settled	2/13/2018	2/14/2018	Security Transactions	Purchase BLACKROCK EQTY DIVIDEND FUND INSTL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MADVX	'974Y8'	'09251M504'	16	'000'	0	75	22.59	-1,694.25
Settled	2/13/2018	2/14/2018	Security Transactions	Purchase CARILLON EAGLE SMALL CAP GROWTH FUND CL I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	HSIIX	'9L6B4'	'14214L486'	11	'000'	0	11	61.87	-680.57
Settled	2/13/2018	2/14/2018	Security Transactions	Purchase T ROWE PRICE EMERGING MARKETS STOCK FD RETAIL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	PRMSX	'9K6D2'	'77956H864'	9	'000'	0	28	45.64	-1,277.92
Settled	2/13/2018	2/14/2018	Security Transactions	Purchase JOHN HANCOCK DISCIPLINED VALUE FUND CL I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	JVLIX	'9EHV3'	'47803J640'	4	'000'	0	68	21.68	-1,474.24
Settled	2/13/2018	2/14/2018	Security Transactions	Purchase OPENHEIMER INTERNATL GROWTH FD CL Y FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	ONGYX	'9LX99'	'68380L407'	10	'000'	0	15	43.36	-650.4
Settled	2/13/2018	2/14/2018	Security Transactions	Purchase MFS VALUE FD CL I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MEIIX	'9K2M9'	'552983694'	8	'000'	0	28	40.41	-1,131.48
Settled	2/13/2018	2/14/2018	Security Transactions	Purchase SOUND SHORE FUND INSTL CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	SSHVX	'9PV94'	'836083204'	15	'000'	0	37	45.13	-1,668.81
Settled	2/13/2018	2/14/2018	Security Transactions	Purchase THE OAKMARK INTL FUND INV CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	DAKIX	'9EHP3'	'413838202'	3	'000'	0	31	28.83	-893.73
Settled	2/13/2018	2/14/2018	Security Transactions	Purchase NUVEEN SMALL CAP VALUE FUND CL I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	FSCCX	'9KW56'	'670678200'	6	'000'	0	34	25.37	-862.58

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	2/15/2018	2/20/2018	Security Transactions	Sale BP CAPITAL MARKETS PLC COMPANY GUARANT GLOB 03.506% MAR 17 2025 MAKE-WHOLE CALL. DETAILS UPON REQUEST YLD TO MATURITY 3.54% MATURITY DATE 3/17/25. 153 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	BP25	'1F1H59'	'05565QDA3'	4	0	-7,000.00	99.756	7,087.22	
Settled	2/15/2018	2/20/2018	Security Transactions	Purchase U.S. TREASURY BOND 3.000% MAY 15 2045 03.000% MAY 15 2045 YLD TO MATURITY 3.14% MATURITY DATE 5/15/45. 97 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.		'142BWB'	'91281DFM2'	5	000'	0	7,000.00	97.32421	-6,868.96
Settled	2/22/2018	2/23/2018	Security Transactions	Sale CARILLON SCOUT MID CAP FUND CL I FRAC SHR QUANTITY .715 UNSOLICITED ORDER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	UMBMX	'9MHPO'	'14214M872'	1	U	-0.715	19.01	13.59	
Settled	2/23/2018	2/27/2018	Security Transactions	Purchase GENERAL ELEC CAP CORP NOTES 05.875% JAN 14 2038 RATINGS ARE SUBJ. TO CHG MOODYS A2 S&P A YLD TO MATURITY 4.56% MATURITY DATE 1/14/38. 43 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	GE38	'875MT'	'36962G3P7'	2	000'	0	1,000.00	117.007	-1,177.09
Settled	2/28/2018	2/28/2018	Security Transactions	Interest BANK OF AMERICA, NA RASP 0.17000 DIVINT REINVEST PAY DATE 02/27/2018 FROM 01-31 THRU 02-27	ILXX	'977G3'	'55499J815'	1	000'		32.17	0	
Settled	3/6/2018	3/8/2018	Security Transactions	Sale SPDR US FINANCIAL SECTOR ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	XLF	'673G3'	'81369Y605'	9	0	0	-280	28.88	8,086.21
Settled	3/6/2018	3/8/2018	Security Transactions	Purchase CONSUMER DISCRETIONARY SPDR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	XLY	'673G1'	'81369Y407'	8	000'	0	73	104.63	-7,637.99
Settled	3/6/2018	3/8/2018	Security Transactions	Purchase FIRST TR EXCHANGE TRADED FD DOW JONES IN ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	FDN	'31KW2'	'33733E302'	5	000'	0	112	127.5137	-14,281.53
Settled	3/6/2018	3/8/2018	Security Transactions	Sale HEALTH CARE SELECT SPDR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	XLV	'673F6'	'81369Y209'	7	0	0	-30	83.9701	-2,519.04
Settled	3/6/2018	3/8/2018	Security Transactions	Purchase VANGUARD INFORMATION TECH ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	VGIT	'31FM7'	'92204A702'	3	0	0	-153	179.2	27,416.97
Settled	3/6/2018	3/8/2018	Security Transactions	Purchase FIRST TRUST CLOUD COMPUTING ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	SKYY	'38RY4'	'33734X192'	6	000'	0	285	50.3	-14,335.50

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	3/6/2018	3/8/2018	Security Transactions	Sale VANGUARD INDUSTRIAL ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	VIS	'31FX1'	'92204A603'	4	0	0	-26	142.4306	3,703.11
Settled	3/6/2018	3/8/2018	Security Transactions	Sale ISHARES NASDAQ BIOTECH ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	IBB	'31CM7'	'464287556'	1	0	0	-15	110.5106	1,657.62
Settled	3/6/2018	3/8/2018	Security Transactions	Purchase VANGUARD CONSUMER STAPLES ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	VDC	'31FM0'	'92204A207'	2	000	0	4	139.2698	-557.08
Settled	3/12/2018	3/14/2018	Security Transactions	Sale VANGUARD INDUSTRIAL ETF PER ADVISORY AGREEMENT.	VIS	'31FX1'	'92204A603'	7	0	0	-10	145.4	1,453.97
Settled	3/12/2018	3/14/2018	Security Transactions	Sale VANGUARD INFORMATION TECH ETF PER ADVISORY AGREEMENT.	VGIT	'31FM7'	'92204A702'	6	0	0	-12	184.8752	2,218.45
Settled	3/12/2018	3/14/2018	Security Transactions	Sale HEALTH CARE SELECT SPDR PER ADVISORY AGREEMENT.	XLV	'673F6'	'81369Y209'	13	0	0	-9	86.3438	777.07
Settled	3/12/2018	3/14/2018	Security Transactions	Sale VANGUARD CONSUMER STAPLES ETF PER ADVISORY AGREEMENT.	VDC	'31FM0'	'92204A207'	4	0	0	-1	140.785	140.78
Settled	3/12/2018	3/14/2018	Security Transactions	Sale ISHARES RS 2000 VALUE PER ADVISORY AGREEMENT.	IWN	'38SP9'	'464287630'	11	0	0	-3	126.85	380.54
Settled	3/12/2018	3/14/2018	Security Transactions	Sale ISHARES NASDAQ BIOTECH ETF PER ADVISORY AGREEMENT.	IBB	'31CM7'	'464287556'	3	0	0	-5	114.3501	571.74
Settled	3/12/2018	3/14/2018	Security Transactions	Sale VANGUARD MATERIALS ETF PER ADVISORY AGREEMENT.	VAW	'31FM6'	'92204A801'	5	0	0	-1	138.3745	138.36
Settled	3/12/2018	3/14/2018	Security Transactions	Sale CONSUMER DISCRETIONARY SPDR PER ADVISORY AGREEMENT.	XLV	'673G1'	'81369Y407'	14	0	0	-10	106.6446	1,066.43
Settled	3/12/2018	3/14/2018	Security Transactions	Sale SPDR US FINANCIAL SECTOR ETF PER ADVISORY AGREEMENT.	XLFF	'673G3'	'81369Y606'	15	0	0	-20	29.653	593.05
Settled	3/12/2018	3/14/2018	Security Transactions	Sale FIRST TR EXCHANGE TRADED FD DOW JONES IN PER ADVISORY AGREEMENT.	FDN	'31KW2'	'33733E302'	8	0	0	-10	131.2728	1,312.70
Settled	3/12/2018	3/14/2018	Security Transactions	Sale ISHARES RUSSELL 1000 VALUE PER ADVISORY AGREEMENT.	IWD	'31CK1'	'464287598'	2	0	0	-26	125.7993	3,270.70
Settled	3/12/2018	3/14/2018	Security Transactions	Sale ISHARES RS 2000 GROWTH PER ADVISORY AGREEMENT.	IWO	'38SM6'	'464287648'	10	0	0	-4	200.18	800.7
Settled	3/12/2018	3/14/2018	Security Transactions	Sale REAL ESTATE SELECT SECTOR SPDR FD SHS PER ADVISORY AGREEMENT.	XLRE	'31MW5'	'81369Y860'	9	0	0	-3	31.0735	93.21

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	3/12/2018	3/14/2018	Security Transactions	Sale FIRST TRUST CLOUD COMPUTING ETF PER ADVISORY AGREEMENT.	SKYY	'39RY4'	'33734X192'	12	0	-22	51.7633	1,138.76	
Settled	3/12/2018	3/13/2018	Security Transactions	Sale HARDING LOEWNER INTL EQUITY PT FD INSTL CL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	HLMX	'9KY55'	'412295107'	5	0	-24	23.56	565.44	
Settled	3/12/2018	3/13/2018	Security Transactions	Sale BLACKROCK EQTY DIVIDEND FUND INSTL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MADVX	'874Y9'	'09251M504'	14	0	-12	23.12	277.44	
Settled	3/12/2018	3/13/2018	Security Transactions	Sale DELAWARE EMERGING MARKETS FUND INSTL CL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	DEMIX	'9KTH9'	'245914817'	3	0	-31	21.25	658.75	
Settled	3/12/2018	3/13/2018	Security Transactions	Sale JOHN HANCOCK DISCIPLINED VALUE FUND CL I PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	JVLX	'9EHV3'	'47803UB40'	2	0	-44	22.55	992.2	
Settled	3/12/2018	3/13/2018	Security Transactions	Sale CARILLON EAGLE SMALL CAP GROWTH FUND CL I PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	HSIX	'9L6B4'	'14214L486'	9	0	-23	67.48	1,552.27	
Settled	3/12/2018	3/13/2018	Security Transactions	Sale NUVEEN SMALL CAP VALUE FUND CL I PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	FSCCX	'9KW56'	'670678200'	4	0	-33	26.84	885.72	
Settled	3/12/2018	3/13/2018	Security Transactions	Sale OPPENHEIMER INTERNATL GROWTH FD CL Y PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	OIGYX	'9LX99'	'68380L407'	8	0	-7	44.9	314.3	
Settled	3/12/2018	3/13/2018	Security Transactions	Sale MFS VALUE FD CL I PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MEIIX	'9K2M9'	'552883694'	6	0	-10	41.5	415	
Settled	3/12/2018	3/13/2018	Security Transactions	Sale CHARTWELL SMALL CAP VALUE FUND CLASS I PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CWSIX	'9MO66'	'16140T509'	10	0	-26	20.02	520.52	
Settled	3/12/2018	3/13/2018	Security Transactions	Sale CAMBIAR INTERNATIONAL EQUITY FUND INVESTOR CL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CAMIX	'9MP25'	'00758M139'	11	0	-4	28.76	115.04	
Settled	3/12/2018	3/13/2018	Security Transactions	Sale WELLS FARGO SMALL COMPANY GROWTH FD INSTL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	WSCGX	'9PK42'	'949921571'	12	0	-24	58.59	1,406.16	
Settled	3/12/2018	3/13/2018	Security Transactions	Sale SOUND SHORE FUND INSTL CL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	SSHVX	'9PV94'	'836083204'	13	0	-24	47.49	1,138.76	
Settled	3/12/2018	3/13/2018	Security Transactions	Sale T ROWE PRICE EMERGING MARKETS STOCK FD INV CL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	PRMSX	'9K6D2'	'77956H864'	7	0	-31	48	1,488.00	
Settled	3/16/2018	3/20/2018	Security Transactions	Purchase ISHARES RUSSELL 1000 VALUE PRODUCT DESCRIPTION ENCL PER ADVISORY AGREEMENT.	IWD	'31CK1'	'464287598'	2	0	25	124.3873	-3,108.68	
Settled	3/16/2018	3/20/2018	Security Transactions	Purchase ISHARES RS 2000 GROWTH PRODUCT DESCRIPTION ENCL PER ADVISORY AGREEMENT.	IWO	'385M6'	'464287648'	10	0	1	197.7003	-197.7	
Settled	3/16/2018	3/20/2018	Security Transactions	Purchase ISHARES MSCI EAFE ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	EFA	'31CT9'	'464287465'	4	0	4	70.5299	-282.12	

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	3/16/2018	3/20/2018	Security Transactions	Purchase FIRST TR EXCHANGE TRADED FD DOW JONES IN PER ADVISORY AGREEMENT.	FDN	'31KW2'	'33733E302'	9 '000'	0	2	130.444	-260.89	
Settled	3/16/2018	3/20/2018	Security Transactions	Purchase HEALTH CARE SELECT SPDR PER ADVISORY AGREEMENT.	XLV	'673F6'	'81369Y209'	15 '000'	0	4	85.5767	-342.31	
Settled	3/16/2018	3/20/2018	Security Transactions	Purchase SPDR US FINANCIAL SECTOR ETF PER ADVISORY AGREEMENT.	XLFF	'673G3'	'81369Y605'	17 '000'	0	21	28.9864	-608.82	
Settled	3/16/2018	3/20/2018	Security Transactions	Purchase VANGUARD CONSUMER STAPLES ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	VDC	'31FM0'	'92204A207'	5 '000'	0	5	137.7414	-688.71	
Settled	3/16/2018	3/20/2018	Security Transactions	Purchase VANGUARD INFORMATION TECH ETF PER ADVISORY AGREEMENT.	VGT	'31FM7'	'92204A702'	7 '000'	0	4	182.878	-731.52	
Settled	3/16/2018	3/20/2018	Security Transactions	Purchase VANGUARD INDUSTRIAL ETF PER ADVISORY AGREEMENT.	VIS	'31FX1'	'92204A603'	8 '000'	0	8	143.0058	-1,144.05	
Settled	3/16/2018	3/20/2018	Security Transactions	Purchase ISHARES TR CORE MSCI EAF ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	IEFA	'39752'	'46432F842'	14 '000'	0	4	66.57	-266.28	
Settled	3/16/2018	3/20/2018	Security Transactions	Purchase FIRST TRUST CLOUD COMPUTING ETF PER ADVISORY AGREEMENT.	SKYY	'39RY4'	'33734X192'	12 '000'	0	4	51.7186	-206.88	
Settled	3/16/2018	3/20/2018	Security Transactions	Purchase ISHARES RS 2000 VALUE PRODUCT DESCRIPTION ENCL PER ADVISORY AGREEMENT.	IWN	'385P9'	'464287630'	11 '000'	0	2	125.599	-251.2	
Settled	3/16/2018	3/20/2018	Security Transactions	Purchase ISHARES NASDAQ BIOTECH ETF PRODUCT DESCRIPTION ENCL PER ADVISORY AGREEMENT.	IBB	'31CM7'	'464287556'	3 '000'	0	3	113.241	-339.72	
Settled	3/16/2018	3/20/2018	Security Transactions	Purchase CONSUMER DISCRETIONARY SPDR PER ADVISORY AGREEMENT.	XLTY	'673G1'	'81369Y407'	16 '000'	0	6	105.5365	-633.22	
Settled	3/16/2018	3/20/2018	Security Transactions	Purchase VANGUARD MATERIALS ETF PER ADVISORY AGREEMENT.	VAW	'31FM6'	'92204A801'	6 '000'	0	2	133.8558	-267.71	
Settled	3/16/2018	3/20/2018	Security Transactions	Purchase ISHARES MSCI JAPAN ETF SHS ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	EWJ	'39S20'	'46434G822'	13 '000'	0	2	60.6389	-121.28	
Settled	3/16/2018	3/19/2018	Security Transactions	Purchase HARDING LOEVNER INTL EQUITY PT FD INSTL CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	HLMIX	'9KY65'	'412265107'	10 '000'	0	6	23.33	-139.98	

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	3/16/2018	3/19/2018	Security Transactions	Purchase WESTERN ASSET SMASH SERIES C FUND FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	LMECX	'9MEK5'	'52470G734'	15	'000'	0	80	9.12	-729.6
Settled	3/16/2018	3/19/2018	Security Transactions	Purchase WESTERN ASSET SMASH SERIES C FUND FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	LMLCX	'9MEK8'	'52470G742'	16	'000'	0	58	9.67	-560.86
Settled	3/16/2018	3/19/2018	Security Transactions	Purchase MFS VALUE FD CL I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MEILX	'9K2M9'	'552883694'	11	'000'	0	17	40.94	-695.98
Settled	3/16/2018	3/19/2018	Security Transactions	Purchase CAMBIAR INTERNATIONAL EQUITY FUND INVESTOR CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CAMIX	'9MP25'	'00758M139'	18	'000'	0	2	28.59	-57.18
Settled	3/16/2018	3/19/2018	Security Transactions	Purchase JOHN HANCOCK DISCIPLINED VALUE FUND CL I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	JVLIX	'9EHV3'	'47803U640'	8	'000'	0	34	22.26	-756.84
Settled	3/16/2018	3/19/2018	Security Transactions	Purchase BLACKROCK EQTY DIVIDEND FUND INSTL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MADVX	'974Y9'	'09251M504'	21	'000'	0	30	22.89	-686.7
Settled	3/16/2018	3/19/2018	Security Transactions	Purchase CHARTWELL SMALL CAP VALUE FUND CLASS I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CWSIX	'9MO66'	'16140T508'	17	'000'	0	11	19.84	-218.34
Settled	3/16/2018	3/19/2018	Security Transactions	Purchase OPENHEIMER INTERMNTL GROWTH FD CL Y FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	OIGYX	'9LX99'	'68380L407'	13	'000'	0	1	44.57	-44.57
Settled	3/16/2018	3/19/2018	Security Transactions	Purchase THE OAKMARK INTL FUND INV CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	OAKIX	'9EHP3'	'413838202'	7	'000'	0	27	28.63	-773.01
Settled	3/16/2018	3/19/2018	Security Transactions	Purchase CARILLON EAGLE SMALL CAP GROWTH FUND CL I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	HSIIX	'9L6B4'	'14214L486'	14	'000'	0	4	66.34	-265.36
Settled	3/16/2018	3/19/2018	Security Transactions	Purchase WELLS FARGO SMALL COMPANY GROWTH FD INSTL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	WSCGX	'9PK42'	'948821571'	19	'000'	0	3	58.03	-174.09

Activity Status	Trade/Transa ction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	3/16/2018	3/19/2018	Security Transactions	Purchase SOUND SHORE FUND INSTL CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	SSHVX	'9PV94'	'836083204'	20	'000'	0	10	47.04	-470.4
Settled	3/16/2018	3/19/2018	Security Transactions	Purchase T ROWE PRICE EMERGING MARKETS STOCK FD INV CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	PRMSX	'9K6D2'	'77956H464'	12	'000'	0	5	47.51	-237.55
Settled	3/16/2018	3/19/2018	Security Transactions	Purchase NUVEEN SMALL CAP VALUE FUND CL I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	FSCCX	'9KW56'	'670678200'	9	'000'	0	13	26.67	-346.71
Settled	3/16/2018	3/19/2018	Security Transactions	Purchase VERIZON COMMUNICATIONS GLB 05.150% SEP 15 2023 RATINGS ARE SUBJ. TO CHG MOODY'S BAA1 S&P BBBA MAKE-WHOLE CALL DETAILS UPON REQUEST YLD TO MATURITY 3.60% MATURITY DATE 9/15/23. 6 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	VZ23	'E73V0'	'92343VBR4'	10	'000'	0	1,000.00	107.650037	-1,077.36
Settled	3/19/2018	3/19/2018	Security Transactions	Exchange CAMBIAR INTERNATIONAL EQUITY FUND INVESTOR CL SHARE CLASS CONVERSION SHARES-AS OF 03/16/2018	CAMIX	'9MP25'	'00758M139'	4	TLP		-1,224.00		0
Settled	3/19/2018	3/19/2018	Security Transactions	Exchange CAMBIAR INTERNATIONAL EQUITY FUND INSTL CL FRAC QUANTITY IS .010 SHARE CLASS CONVERSION SHARES-AS OF 03/16/2018	CANYX	'97NI2'	'00769G543'	5	TLP		1,221.01		0
Settled	4/2/2018	4/2/2018	Security Transactions	Interest BANK OF AMERICA, NA RASP 0.10000 DIV/INT REINVEST PAY DATE 03/29/2018 FROM 02-28 THRU 03-29	ILAXX	'977G3'	'55499U915'	2	'000'		26.1		0
Settled	4/12/2018	4/13/2018	Security Transactions	Sale U.S. TRSY INFLATION NTE 0.125% JAN 15 2023 INFL ADJ PRIN FCR 1.0757 YLD TO MATURITY 0.51% MATURITY DATE 1/15/23. 88 DAYS INTEREST PER ADVISORY AGREEMENT. REF CPI @ PUR: 248.31660 REF CPI @ ISS: 230.82203 INDEX RATIO: 1.07579 INFL ADJ PRIN: 7530.53 ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FALLS CHARGE SEE	'H26C1'	'912828UH1'	3	0	-7,000.00	98.171875	7,395.15		
Settled	4/12/2018	4/13/2018	Security Transactions	Purchase U.S. TREASURY NOTE 2.125% SEP 30 2021 YLD TO MATURITY 2.56% MATURITY DATE 9/30/21. 13 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	'H2682'	'912828F21'	4	'000'	0	8,000.00	98.566406	-7,891.35	
Settled	4/20/2018	4/23/2018	Security Transactions	Sale FEDERAL HOME LN MTG CORP NOTES 03.750% MAR 27 2019 YLD TO MATURITY 2.26% MATURITY DATE 3/27/19. 26 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FALLS CHARGE SEE WWW.SIFMA.ORG/TMFG.	'H42Z8'	'3137EACAS'	3	0	-18,000.00	101.3591	18,293.38		

Activity Status	Trade/Transa ction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	4/20/2018	4/23/2018	Security Transactions	Purchase FNMMA PMA3210 03 50%2047 AMORTIZED FCR .97843 22 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT.	'GAZD0'	'31418CR89'		2 '000'	0	20,000.00	98.46875	-19,506.52	
Settled	4/24/2018	4/25/2018	Security Transactions	Purchase U.S. TREASURY NOTE 2.750% FEB 15 2028 02.750% FEB 15 2028 FIRST COUPON 08/15/18 YLD TO MATURITY 2.99% MATURITY DATE 2/15/28. 69 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	'H26E8'	'9128283W8'		29 '000'	0	7,000.00	97.953125	-6,893.41	
Settled	4/24/2018	4/25/2018	Security Transactions	Sale U.S. TRSY INFLATION BOND 1.000% FEB 15 2046 INFL ADJ PRIN FCR 1.0498 YLD TO MATURITY 1.01% MATURITY DATE 2/15/46. 69 DAYS INTEREST PER ADVISORY AGREEMENT. REF CPI @ PUR: 248.76620 REF CPI @ ISS: 236.94448 INDEX RATIO: 1.04989 INFL ADJ PRIN: 7349.23 ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FALLS CHARGE SEE	'H26T1'	'912810RR1'		30	0	-7,000.00	99.578125	7,332.24	
Settled	4/30/2018	4/30/2018	Security Transactions	Interest BANK OF AMERICA, NA RASP 0.88000 DIV/INT REINVEST PAY DATE 04/27/2018 FROM 03-30 THRU 04-27	'HAXX'	'977G3'	'55499U915'	1 '000'			34.68	0	
Settled	5/31/2018	5/31/2018	Security Transactions	Interest BANK OF AMERICA, NA RASP 0.79000 DIV/INT REINVEST PAY DATE 05/30/2018 FROM 04-30 THRU 05-30	'HAXX'	'977G3'	'55499U915'	1 '000'			42.79	0	
Settled	6/1/2018	6/4/2018	Security Transactions	Purchase WESTERN ASSET SMASH SERIES EC FUND FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	'LMECX'	'9MEK5'	'52470G734'	3 '000'	0		500	8.76	-4,380.00
Settled	6/7/2018	6/8/2018	Security Transactions	Purchase FHLMO GO 8617 04%2048 AMORTIZED FCR .99887 7 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT.	'GEDQ4'	'3128M4B3'		2 '000'	0	1,000.00	101.869375	-1,016.19	
Settled	6/8/2018	6/11/2018	Security Transactions	Purchase FNMMA PMA3385 04 50%2048 AMORTIZED FCR .99378 10 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT.	'GD9V7'	'31418CXP4'		2 '000'	0	1,000.00	104.140625	-1,036.17	
Settled	6/21/2018	6/25/2018	Security Transactions	Sale VANGUARD INFORMATION TECH ETF ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	'VGT'	'31FM7'	'9220A4702'	39	0		-4	167.140025	748.55
Settled	6/21/2018	6/25/2018	Security Transactions	Sale CONSUMER DISCRETIONARY SPDR ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	'XLY'	'673G1'	'81369Y407'	45	0		-4	111.6701	446.67
Settled	6/21/2018	6/25/2018	Security Transactions	Sale REAL ESTATE SELECT SECTOR SPDR FD SHS ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	'XLRE'	'31MV5'	'81369Y860'	42	0		-2	31.87	63.93

Activity	Trade/Transa	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	6/21/2018	6/25/2018	Security Transactions	Purchase ISHARES NASDAQ BIOTECH ETF PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	IBB	'31CM7'	'464287556'	36	'000'	0	1	112.8098	-112.81
Settled	6/21/2018	6/25/2018	Security Transactions	Purchase VANGUARD MATERIALS ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	VAW	'31FMO'	'92204A801'	38	'000'	0	1	132.089727	-132.09
Settled	6/21/2018	6/25/2018	Security Transactions	Sale FIRST TRUST CLOUD COMPUTING ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	SKYY	'39RY4'	'33734X192'	43	0	0	-53	54.8201	2,905.43
Settled	6/21/2018	6/25/2018	Security Transactions	Purchase VANGUARD CONSUMER STAPLES ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	VDC	'31FMO'	'92204A207'	37	'000'	0	11	134.7884	-1,482.68
Settled	6/22/2018	6/26/2018	Security Transactions	Sale ISHARES TR CORE MSCI EAF ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	IEFA	'39T52'	'46432F842'	4	0	0	-5	64.3124	321.55
Settled	6/22/2018	6/26/2018	Security Transactions	Sale ISHARES MSCI JAPAN ETF SHS ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	EWJ	'39S20'	'46434G822'	3	0	0	-4	59.104552	236.41
Settled	6/22/2018	6/26/2018	Security Transactions	Sale ISHARES MSCI EAFE ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	EFA	'31CT9'	'464287465'	2	0	0	-27	68	1,835.98
Settled	6/22/2018	6/25/2018	Security Transactions	Sale HARDING LOEWNER INTL EQUITY PT FD INSTL CL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	HLMX	'9KV55'	'412295107'	49	0	0	-36	22.99	827.64
Settled	6/22/2018	6/25/2018	Security Transactions	Purchase THE OAKMARK INTL FUND INV CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	OAKIX	'9EHP3'	'413838202'	47	'000'	0	7	27.03	-199.21
Settled	6/22/2018	6/25/2018	Security Transactions	Purchase DELAWARE EMERGING MARKETS FUND INSTL CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	DEMIX	'9KTH9'	'245914817'	48	'000'	0	89	19.13	-1,702.67
Settled	6/22/2018	6/25/2018	Security Transactions	Purchase T ROWE PRICE EMERGING MARKETS STOCK FD INV CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	PRMSX	'9K6D2'	'77956H864'	50	'000'	0	67	43.03	-2,883.01

Activity Status	Trade/Transa ction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	6/22/2018	6/25/2018	Security Transactions	Purchase VANGUARD INDUSTRIAL ETF TRADE AS OF 06/21/18 ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	VIS	'31FX1'	'92204A603'	40	'000'	0	17	138.678308	-2,357.55
Settled	6/22/2018	6/25/2018	Security Transactions	Purchase SPDR US FINANCIAL SECTOR ETF TRADE AS OF 06/21/18 ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	XLF	'873G3'	'81368Y805'	48	'000'	0	65	27.309537	-1,775.12
Settled	6/22/2018	6/25/2018	Security Transactions	Sale CAMBIAR INTERNATIONAL EQUITY FUND INSTL CL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CAMXX	'97NI2'	'00768G543'	52	'000'	0	-17	27.82	472.94
Settled	6/22/2018	6/25/2018	Security Transactions	Sale OPENHEIMER INTERNATL GROWTH FD CL Y PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	OIGYX	'9LX99'	'68380L407'	51	'000'	0	-17	43.59	741.03
Settled	6/22/2018	6/25/2018	Security Transactions	Sale FIRST TR EXCHANGE TRADED FD DOW JONES IN TRADE AS OF 06/21/18 ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	FDN	'31KW2'	'33733E302'	41	'000'	0	-31	143.7705	4,458.83
Settled	6/22/2018	6/25/2018	Security Transactions	Purchase HEALTH CARE SELECT SPDR TRADE AS OF 06/21/18 ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	XLV	'673F6'	'81368Y209'	44	'000'	0	11	84.8397	-931.04
Settled	6/22/2018	6/25/2018	Security Transactions	Interest BANK OF AMERICA, NA RASP 0.44000 DIV/INT REINVEST PAY DATE 06/28/2018 FROM 05-31 THRU 06-28	ILAXX	'977G3'	'55498U915'	2	'000'	0	38.44		0
Settled	7/31/2018	7/31/2018	Security Transactions	Interest BANK OF AMERICA, NA RASP 0.47000 DIV/INT REINVEST PAY DATE 07/30/2018 FROM 06-29 THRU 07-30	ILAXX	'977G3'	'55498U915'	1	'000'	0	46.47		0
Settled	8/8/2018	8/10/2018	Security Transactions	Purchase GENERAL ELEC CAP CORP NOTES 05.875% JAN 14 2038 RATINGS ARE SUBJ. TO CHG MOODYS A2 S&P A YLD TO MATURITY 4.72% MATURITY DATE 1/14/38. 26 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	GE38	'B75M1'	'36962G3P7'	2	'000'	0	1,000.00	114.5	-1,149.24
Settled	8/8/2018	8/9/2018	Security Transactions	Purchase WESTERN ASSET SMASH SERIES C FUND FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	LMLCX	'9MEK8'	'52470G742'	2	'000'	0	47	9.53	-447.91
Settled	8/13/2018	8/14/2018	Security Transactions	Purchase WESTERN ASSET SMASH SERIES C FUND FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	LMLCX	'9MEK8'	'52470G742'	3	'000'	0	44	9.47	-416.68
Settled	8/13/2018	8/14/2018	Security Transactions	Purchase WESTERN ASSET SMASH SERIES EC FUND FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	LMECX	'9MEK5'	'52470G734'	2	'000'	0	134	8.69	-1,164.46

Activity	Trade/Transa	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SQL	Quantity	Price	Amount
Settled	8/28/2018	8/30/2018	Security Transactions	Purchase ISHARES TR CORE MSCI EAF ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT.	IEFA	'39T52'	'46432F842'	4 '000'	0		40	64.38	-2,575.20
Settled	8/28/2018	8/30/2018	Security Transactions	Purchase ISHARES MSCI EAFE PER ADVISORY AGREEMENT.	EFA	'31CT9'	'464287465'	2 '000'	0		23	68.195	-1,568.49
Settled	8/28/2018	8/30/2018	Security Transactions	Purchase ISHARES MSCI JAPAN ETF SHS PER ADVISORY AGREEMENT.	EWJ	'39S20'	'46434G822'	3 '000'	0		19	\$8.3369	-1,108.40
Settled	8/28/2018	8/29/2018	Security Transactions	Purchase HARDING LOEVNER INTL EQUITY PT FD INSTL CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	HLMIX	'9KY55'	'412295107'	4 '000'	0		60	23.36	-1,401.60
Settled	8/28/2018	8/29/2018	Security Transactions	Purchase DELAWARE EMERGING MARKETS FUND INSTL CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	DEMIX	'9KTH9'	'245914817'	3 '000'	0		118	18.5	-2,183.00
Settled	8/28/2018	8/29/2018	Security Transactions	Purchase MFS VALUE FD CL I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MEIIX	'9KZM9'	'552883694'	5 '000'	0		7	41.23	-288.61
Settled	8/28/2018	8/29/2018	Security Transactions	Purchase CAMBIAR INTERNATIONAL EQUITY FUND INSTL CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CAMYX	'97N12'	'00769G543'	10 '000'	0		93	27.43	-2,550.99
Settled	8/28/2018	8/29/2018	Security Transactions	Purchase T ROWE PRICE EMERGING MARKETS STOCK FD INV CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	PRMSX	'9K6D2'	'77956H864'	6 '000'	0		78	41.82	-3,261.96
Settled	8/28/2018	8/29/2018	Security Transactions	Purchase OPENHEIMER INTERNATL GROWTH FD CL Y FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	OIGYX	'9LX99'	'68380L407'	7 '000'	0		62	42.81	-2,654.22
Settled	8/28/2018	8/29/2018	Security Transactions	Purchase THE OAKMARK INTL FUND INV CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	OKUX	'9EHP3'	'413838202'	2 '000'	0		96	26.36	-2,530.56
Settled	8/28/2018	8/29/2018	Security Transactions	Purchase WESTERN ASSET SMASH SERIES C FUND FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	LMLCX	'9MEK6'	'52470G742'	9 '000'	0		38	9.49	-360.62
Settled	8/28/2018	8/29/2018	Security Transactions	Purchase WESTERN ASSET SMASH SERIES EC FUND FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	LMECX	'9MEK5'	'52470G734'	8 '000'	0		222	8.62	-1,913.64

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	8/29/2018	8/31/2018	Security Transactions	Purchase FIRST TR EXCHANGE TRADED FD DOW JONES IN PER ADVISORY AGREEMENT.	FDN	'31KMZ'	'33733E302'	5 '000'	0	45	146.0897	-6,574.04	
Settled	8/29/2018	8/31/2018	Security Transactions	Purchase CONSUMER DISCRETIONARY SPDR PER ADVISORY AGREEMENT.	XLV	'673G1'	'81369Y407'	7 '000'	0	56	116.907193	-6,546.80	
Settled	8/29/2018	8/31/2018	Security Transactions	Purchase FIRST TRUST CLOUD COMPUTING ETF PER ADVISORY AGREEMENT.	SKYY	'39RY4'	'33734X192'	6 '000'	0	102	56.8792	-5,801.68	
Settled	8/29/2018	8/31/2018	Security Transactions	Sale VANGUARD INDUSTRIAL ETF PER ADVISORY AGREEMENT.	VIS	'31FX1'	'92204A603'	4	0	-60	147.01	8,820.49	
Settled	8/29/2018	8/31/2018	Security Transactions	Sale VANGUARD CONSUMER STAPLES ETF PER ADVISORY AGREEMENT.	VDC	'31FM0'	'92204A207'	3	0	-59	140.5001	8,288.40	
Settled	8/31/2018	8/31/2018	Security Transactions	Interest BANK OF AMERICA, NA RASP 0.24000 DIV/INT REINVEST PAY DATE 08/30/2018 FROM 07-31 THRU 08-30	ILXX	'977G3'	'55499U915'	1 '000'		44.24		0	
Settled	9/10/2018	9/12/2018	Security Transactions	Sale ISHARES RUSSELL 1000 VALUE PER ADVISORY AGREEMENT.	IWD	'31CK1'	'464287598'	6	0	-39	126.878	4,948.18	
Settled	9/10/2018	9/12/2018	Security Transactions	Sale VANGUARD INFORMATION TECH ETF PER ADVISORY AGREEMENT.	VGT	'31FM7'	'92204A702'	10	0	-26	198.754	5,187.53	
Settled	9/10/2018	9/12/2018	Security Transactions	Sale CONSUMER DISCRETIONARY SPDR PER ADVISORY AGREEMENT.	XLV	'673G1'	'81369Y407'	18	0	-33	115.603	3,824.75	
Settled	9/10/2018	9/12/2018	Security Transactions	Sale VANGUARD CONSUMER STAPLES ETF PER ADVISORY AGREEMENT.	VDC	'31FM0'	'92204A207'	8	0	-15	141.9701	2,129.52	
Settled	9/10/2018	9/12/2018	Security Transactions	Sale VANGUARD MATERIALS ETF PER ADVISORY AGREEMENT.	VAW	'31FM6'	'92204A801'	9	0	-1	133.4698	133.46	
Settled	9/10/2018	9/12/2018	Security Transactions	Sale ISHARES RS 2000 GROWTH PER ADVISORY AGREEMENT.	IWO	'385M6'	'464287648'	14	0	-6	217.4221	1,304.51	
Settled	9/10/2018	9/12/2018	Security Transactions	Sale ISHARES NASDAQ BIOTECH ETF PER ADVISORY AGREEMENT.	IBB	'31CM7'	'464287556'	7	0	-9	117.5981	1,058.38	
Settled	9/10/2018	9/12/2018	Security Transactions	Sale VANGUARD INDUSTRIAL ETF PER ADVISORY AGREEMENT.	VIS	'31FX1'	'92204A603'	11	0	-24	147.801	3,547.17	
Settled	9/10/2018	9/12/2018	Security Transactions	Sale SPDR US FINANCIAL SECTOR ETF PER ADVISORY AGREEMENT.	XLV	'673G3'	'81369Y605'	19	0	-52	28.274	1,470.23	
Settled	9/10/2018	9/12/2018	Security Transactions	Sale HEALTH CARE SELECT SPDR PER ADVISORY AGREEMENT.	XLV	'673F6'	'81369Y209'	17	0	-45	92.334	4,154.98	
Settled	9/10/2018	9/12/2018	Security Transactions	Sale FIRST TRUST CLOUD COMPUTING ETF PER ADVISORY AGREEMENT.	SKYY	'39RY4'	'33734X192'	16	0	-34	55.603	1,890.48	
Settled	9/10/2018	9/12/2018	Security Transactions	Sale REAL ESTATE SELECT SECTOR SPDR FD SHS PER ADVISORY AGREEMENT.	XLRE	'31MV5'	'81369Y860'	13	0	-28	33.7207	944.17	

Activity Status	Trade/Trans action Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	9/10/2018	9/12/2018	Security Transactions	Sale FIRST TR EXCHANGE TRADED FD DOW JONES IN PER ADVISORY AGREEMENT.	FDN	'31KW2'	'33733E302'	12	0	0	-9	141.0831	1,269.82
Settled	9/10/2018	9/12/2018	Security Transactions	Sale ISHARES RS 2000 VALUE PER ADVISORY AGREEMENT.	IWN	'385P9'	'464287630'	15	0	0	-7	134.9415	944.58
Settled	9/10/2018	9/11/2018	Security Transactions	Sale BLACKROCK EQTY DIVIDEND FUND INSTL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MADVX	'974Y9'	'09251M504'	11	0	0	-99	23.75	2,351.25
Settled	9/10/2018	9/11/2018	Security Transactions	Sale WESTERN ASSET SMASH SERIES C FUND PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	LMLCX	'9MEK6'	'52470G742'	6	0	0	-92	9.44	888.48
Settled	9/10/2018	9/11/2018	Security Transactions	Sale WESTERN ASSET SMASH SERIES M FUND PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	LMSMX	'9MEK9'	'52470G759'	7	0	0	-425	10.66	4,530.50
Settled	9/10/2018	9/11/2018	Security Transactions	Sale CARILLON EAGLE SMALL CAP GROWTH FUND CL I PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	HSIIX	'9L6B4'	'14214L486'	5	0	0	-26	72.11	1,874.86
Settled	9/10/2018	9/11/2018	Security Transactions	Sale CHARTWELL SMALL CAP VALUE FUND CLASS I PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CWSIX	'9MO66'	'16140T509'	8	0	0	-84	21.05	1,347.20
Settled	9/10/2018	9/11/2018	Security Transactions	Sale JOHN HANCOCK DISCIPLINED VALUE FUND CL I PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	JVLIX	'9EHV3'	'47803U640'	2	0	0	-42	22.63	950.46
Settled	9/10/2018	9/11/2018	Security Transactions	Sale NUVEEN SMALL CAP VALUE FUND CL I PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	FSCCX	'9KW56'	'870678200'	3	0	0	-27	27.16	733.32
Settled	9/10/2018	9/11/2018	Security Transactions	Sale MFS VALUE FD CL I PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MEIIX	'9K2M9'	'552983694'	4	0	0	-12	41.05	492.6
Settled	9/10/2018	9/11/2018	Security Transactions	Sale WELLS FARGO SMALL COMPANY GROWTH FD INSTL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	WSCGX	'9PK42'	'949921571'	9	0	0	-42	85.08	2,732.52
Settled	9/10/2018	9/11/2018	Security Transactions	Sale SOUND SHORE FUND INSTL CL PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	SSHVX	'9PV94'	'836083204'	10	0	0	-7	47.15	330.05
Settled	9/11/2018	9/13/2018	Security Transactions	Sale VERIZON COMMUNICATIONS GLB 05.150% SEP 15 2023 RATINGS ARE SUBJ. TO CHG MOODY'S BAA1 S&P BB&A MAKE-WHOLE CALL. DETAILS UPON REQUEST YLD TO MATURITY 3.64% MATURITY DATE 9/15/23. 178 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	VZ23	'E73Y0'	'92343VB84'	3	0	0	-1,000.00	106.848	1,083.94
Settled	9/11/2018	9/13/2018	Security Transactions	Sale GENERAL ELEC CAP CORP NOTES 05.875% JAN 14 2038 RATINGS ARE SUBJ. TO CHG MOODY'S A2 S&P A YLD TO MATURITY 4.76% MATURITY DATE 1/14/38. 59 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	GE38	'876M1'	'36962G3P7'	2	0	0	-1,000.00	113.91	1,148.73

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	9/11/2018	9/12/2018	Security Transactions	Sale FEDERAL NATL MTG ASSOC BONDS 01.125% OCT 19 2018 YLD TO MATURITY 2.21% MATURITY DATE 10/19/18. 143 DAYS INTEREST PER ADVISORY AGREEMENT. ML ACTED AS AGENT. SUBJECT TO US TREASURY OR AGENCY DEBT & AGENCY MBS FAILS CHARGE SEE WWW.SIFMA.ORG/TMFG.									
Settled	9/11/2018	9/12/2018	Security Transactions	Purchase FNMA PMA3394 04%2048 AMORTIZED FCR .97905 11 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT.	'HM2U6'	'3135G0E58'		5		0	-1,000.00	99.8871	1,003.34
Settled	9/11/2018	9/12/2018	Security Transactions	Purchase FNMA PMA3210 03 50%2047 AMORTIZED FCR .94627 11 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT.	'GEIC3'	'31418CXN8'		3 000'		0	1,000.00	101.40525	-994.02
Settled	9/11/2018	9/12/2018	Security Transactions	Purchase FNMA PMA3444 04 50%2048 AMORTIZED FCR .98940 11 DAYS INTEREST PER ADVISORY AGREEMENT. NOT RATED BASED ON INQ OF SELECTED SOURCES DETAILS UPN WRITTEN ROST ML ACTED AS AGENT.	'GAZD0'	'31418CR89'		2 000'		0	3,000.00	98.9375	-2,811.69
Settled	9/11/2018	9/12/2018	Security Transactions	Transfer / Adjustment FILMC GO 8722 03 50%2046 AMORTIZED FCR .77421 P459INITIAL FUNDING MLSEC # YX1F1 QTY 2000 TRANSFR TO ASOF 092518 72974071	'GF115'	'31418CZ16'		4 000'		0	1,000.00	103.5625	-1,026.01
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FNMA PAL6307 04 50%2045 AMORTIZED FCR .33116 P459INITIAL FUNDING MLSEC # 16M54 QTY 11000 TRANSFR TO ASOF 092518 72974071	'YX1F1'	'3128MJYU8'		73 190'			-2,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FNMA PMA2705 03%2046 AMORTIZED FCR .83517 P459INITIAL FUNDING MLSEC # YXA69 QTY 1000 TRANSFR TO ASOF 092518 72974071	'16M54'	'3138EPAH7'		65 190'			-11,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FNMA PAL6004 04 50%2043 AMORTIZED FCR .27457 P459INITIAL FUNDING MLSEC # M001 QTY 6000 TRANSFR TO ASOF 092518 72974071	'YXA69'	'31418CACT'		72 190'			-1,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FILMC GO 8706 03 50%2046 AMORTIZED FCR .72528 P459INITIAL FUNDING MLSEC # YWH67 QTY 2000 TRANSFR TO ASOF 092518 72974071	'140CT'	'3138EUB64'		61 190'			-6,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FNMA PAC8568 04 50%2040 AMORTIZED FCR .09909 P459INITIAL FUNDING MLSEC # 15B40 QTY 14000 TRANSFR TO ASOF 092518 72974071	'YWH67'	'3128MJYC8'		71 190'			-2,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FILMC GO 8702 03 50%2046 AMORTIZED FCR .71666 P459INITIAL FUNDING MLSEC # YP3C7 QTY 4000 TRANSFR TO ASOF 092518 72974071	'15B40'	'31417VQW6'		64 190'			-14,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment U.S. TREASURY BOND 3.000% MAY 15 2045 03.000% MAY 15 2045 P459INITIAL FUNDING MLSEC # H26W6 QTY 22000 TRANSFR TO ASOF 092518 72974071	'YP3C7'	'3128MJXB8'		70 190'			-4,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment U.S. TREASURY BOND 3.000% MAY 15 2045 03.000% MAY 15 2045 P459INITIAL FUNDING MLSEC # H26W6 QTY 22000 TRANSFR TO ASOF 092518 72974071	'H26W6'	'312810RM2'		59 190'			-22,000.00		0

Activity Status	Trade/Transa ction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FHLMC G0 8693 03 50%2046 AMORTIZED FCR .67105 P459INITIAL FUNDING MLSEC # YBEN3 QTY 3000 TRANSFR TO ASOF 092518 72974071	'YBEN3'	'3128MJXX3'		69	'190'		-3,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FHLMC G0 8620 03 50%2044 AMORTIZED FCR .50373 P459INITIAL FUNDING MLSEC # 14953 QTY 27000 TRANSFR TO ASOF 092518 72974071	'14953'	'3128MJVN7'		63	'190'		-27,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FHLMC G0 8687 03 50%2046 AMORTIZED FCR .68838 P459INITIAL FUNDING MLSEC # 198E3 QTY 3000 TRANSFR TO ASOF 092518 72974071	'198E3'	'3128MJXR6'		68	'190'		-3,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FHLMC G6 0855 04 50%2045 AMORTIZED FCR .67507 P459INITIAL FUNDING MLSEC # Y57J5 QTY 2000 TRANSFR TO ASOF 092518 72974071	'Y57J5'	'31335A5Q7'		78	'190'		-2,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment U.S. TREASURY NOTE 2.125% SEP 30 2021 P459INITIAL FUNDING MLSEC # H2682 QTY 15000 TRANSFR TO ASOF 092518 72974071	'H2682'	'912828F21'		60	'190'		-15,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FHLMC G0 8759 04 50%2047 AMORTIZED FCR .74151 P459INITIAL FUNDING MLSEC # Y56X5 QTY 2000 TRANSFR TO ASOF 092518 72974071	'Y56X5'	'3128MJZ6'		77	'190'		-2,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FHLMC G0 8677 04%2045 AMORTIZED FCR .56808 P459INITIAL FUNDING MLSEC # 19M93 QTY 3000 TRANSFR TO ASOF 092518 72974071	'19M93'	'3128MJXF2'		67	'190'		-3,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FNMA PMA2959 03 50%2047 AMORTIZED FCR .86958 P459INITIAL FUNDING MLSEC # Y5464 QTY 1000 TRANSFR TO ASOF 092518 72974071	'Y5464'	'31418CJD7'		76	'190'		-1,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FNMA PAS3807 04%2044 AMORTIZED FCR .40046 P459INITIAL FUNDING MLSEC # 144G6 QTY 27000 TRANSFR TO ASOF 092518 72974071	'144G6'	'3138WDKV2'		62	'190'		-27,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FHLMC G0 8741 03%2047 AMORTIZED FCR .86552 P459INITIAL FUNDING MLSEC # Y36E6 QTY 4000 TRANSFR TO ASOF 092518 72974071	'Y36E6'	'3128MJZF0'		75	'190'		-4,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FHLMC G0 8641 03 50%2045 AMORTIZED FCR .61038 P459INITIAL FUNDING MLSEC # 18Y06 QTY 8000 TRANSFR TO ASOF 092518 72974071	'18Y06'	'3128MJWB2'		66	'190'		-8,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FHLMC G0 8737 03%2046 AMORTIZED FCR .87183 P459INITIAL FUNDING MLSEC # Y56H8 QTY 18000 TRANSFR TO ASOF 092518 72974071	'Y56H8'	'3128MJZB9'		74	'190'		-18,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment ISHARES RS 2000 GROWTH P459INITIAL FUNDING MLSEC # 385M6 QTY 49 TRANSFR TO ASOF 092518 72974071	'385M6'	'464287648'	IWO	94	'190'		-49		0

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SQL	Quantity	Price	Amount
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment ISHARES NASDAQ BIOTECH ETF P459INITIAL FUNDING MLSEC # 31CM7 QTY 173 TRANSFR TO ASOF 092518 72974071	IBB	'31CM7'	'464287556'	86	'190'		-173		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment REAL ESTATE SELECT SECTOR SPDR FD SHS P459INITIAL FUNDING MLSEC # 31MV5 QTY 345 TRANSFR TO ASOF 092518 72974071	XLRE	'31MV5'	'813697860'	93	'190'		-345		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FNMMA PMA3038 04 50%2047 AMORTIZED FCR .74385 P459INITIAL FUNDING MLSEC # Y7FH4 QTY 1000 TRANSFR TO ASOF 092518 72974071		'Y7FH4'	'31418CLU6'	82	'190'		-1,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FIRST TR EXCHANGE TRADED FD DOW JONES IN P459INITIAL FUNDING MLSEC # 31KW2 QTY 288 TRANSFR TO ASOF 092518 72974071	FDN	'31KW2'	'33733E302'	92	'190'		-288		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment ISHARES RUSSELL 1000 VALUE P459INITIAL FUNDING MLSEC # 31CK1 QTY 1375 TRANSFR TO ASOF 092518 72974071	IWD	'31CK1'	'464287596'	85	'190'		-1,375.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment VANGUARD INDUSTRIAL ETF P459INITIAL FUNDING MLSEC # 31FX1 QTY 340 TRANSFR TO ASOF 092518 72974071	VIS	'31FX1'	'92204A603'	91	'190'		-340		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FNMMA PMA3008 04 50%2047 AMORTIZED FCR .75438 P459INITIAL FUNDING MLSEC # Y61F6 QTY 2000 TRANSFR TO ASOF 092518 72974071		'Y61F6'	'31418CKW3'	80	'190'		-2,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment VANGUARD INFORMATION TECH ETF P459INITIAL FUNDING MLSEC # 31FM7 QTY 331 TRANSFR TO ASOF 092518 72974071	VGT	'31FM7'	'92204A702'	90	'190'		-331		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment U.S. TREASURY BOND 2.500% FEB 15 2046 02.500% FEB 15 2046 P459INITIAL FUNDING MLSEC # H26R9 QTY 16000 TRANSFR TO ASOF 092518 72974071		'H26R9'	'912810RQ3'	58	'190'		-16,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FHLMC GO 8776 04 50%2047 AMORTIZED FCR .81430 P459INITIAL FUNDING MLSEC # Y86B2 QTY 6000 TRANSFR TO ASOF 092518 72974071		'Y86B2'	'3128MAJ2J8'	84	'190'		-6,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment VANGUARD MATERIALS ETF P459INITIAL FUNDING MLSEC # 31FM6 QTY 58 TRANSFR TO ASOF 092518 72974071	VAW	'31FM6'	'92204A801'	89	'190'		-58		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment HEALTH CARE SELECT SPDR P459INITIAL FUNDING MLSEC # 873F8 QTY 408 TRANSFR TO ASOF 092518 72974071	XLV	'873F8'	'81369Y209'	89	'190'		-408		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment GENERAL ELEC CAP CORP NOTES 05.875% JAN 14 2038 P459INITIAL FUNDING MLSEC # 875M1 QTY 9000 TRANSFR TO ASOF 092518 72974071	GE38	'875M1'	'36962G3P7'	36	'190'		-9,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment CITIGROUP INC - VAR%JAN 10 2028 P459INITIAL FUNDING MLSEC # B2793 QTY 11000 TRANSFR TO ASOF 092518 72974071	C 28	'B2793'	'172867LD1'	34	'190'		-11,000.00		0

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment ENTERPRISE PRODUCTS OPER COMPANY GUARNT 05.700% FEB 15 2042 P459INITIAL FUNDING MLSEC # 85631 QTY 6000 TRANSFR TO ASOF 092518 72974071	EPD42	'85631'	29379VAV5'	35	'180'		-6,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FIMA PAS9485 03 50%2047 AMORTIZED FCR .87684 P459INITIAL FUNDING MLSEC # Y64N4 QTY 1000 TRANSFR TO ASOF 092518 72974071	'Y64N4'	'3138WKR54'		81	'180'		-1,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment WELLS FARGO SMALL COMPANY GROWTH FD INSTL P459INITIAL FUNDING MLSEC # 9PK42 QTY 353 TRANSFR TO ASOF 092518 72974071	WSCGX	'9PK42'	'949921571'	115	'190'		-353		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment MFS VALUE FD CL I P459INITIAL FUNDING MLSEC # 9K2M9 QTY 986 TRANSFR TO ASOF 092518 72974071	MEIX	'9K2M9'	'552983694'	107	'190'		-986		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment CHARTWELL SMALL CAP VALUE FUND CLASS I P459INITIAL FUNDING MLSEC # 9MO66 QTY 956 TRANSFR TO ASOF 092518 72974071	CWSIX	'9MO66'	'16140T509'	114	'190'		-956		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment JOHN HANCOCK DISCIPLINED VALUE FUND CL I P459INITIAL FUNDING MLSEC # 9EHV3 QTY 1784 TRANSFR TO ASOF 092518 72974071	JVLIX	'9EHV3'	'47803U640'	103	'190'		-1,784.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment WESTERN ASSET SMASH SERIES M FUND P459INITIAL FUNDING MLSEC # 9MEK9 QTY 14882 TRANSFR TO ASOF 092518 72974071	LMSMX	'9MEK9'	'52470G759'	113	'190'		-14,882.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment HARDING LOEVNER INTL EQUITY PT FD INSTL CL P459INITIAL FUNDING MLSEC # 9KY55 QTY 1378 TRANSFR TO ASOF 092518 72974071	HLMIX	'9KY55'	'412295107'	106	'190'		-1,379.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment ISHARES TR CORE MSCI EAF ETF P459INITIAL FUNDING MLSEC # 39T52 QTY 757 TRANSFR TO ASOF 092518 72974071	IEFA	'39T52'	'46432F842'	98	'190'		-757		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment VANGUARD CONSUMER STAPLES ETF P459INITIAL FUNDING MLSEC # 31FM0 QTY 190 TRANSFR TO ASOF 092518 72974071	VDC	'31FM0'	'92204A207'	88	'180'		-180		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment ISHARES MSCI JAPAN ETF SHS P459INITIAL FUNDING MLSEC # 39S20 QTY 261 TRANSFR TO ASOF 092518 72974071	EWJ	'39S20'	'46434G822'	97	'190'		-261		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FIMA PMA3073 04 50%2047 AMORTIZED FCR .78965 P459INITIAL FUNDING MLSEC # Y74J0 QTY 1000 TRANSFR TO ASOF 092518 72974071	'Y74J0'	'31418CMX9'		83	'190'		-1,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FIRST TRUST CLOUD COMPUTING ETF P459INITIAL FUNDING MLSEC # 39RY4 QTY 731 TRANSFR TO ASOF 092518 72974071	SKYY	'39RY4'	'33734X192'	96	'190'		-731		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment ISHARES MSCI EAFE P459INITIAL FUNDING MLSEC # 31CT9 QTY 438 TRANSFR TO ASOF 092518 72974071	EFA	'31CT9'	'464287465'	87	'190'		-438		0

Activity Status	Trade/Transa ction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment ISHARES RS 2000 VALUE P459INITIAL FUNDING MLSEC # 385P9 QTY 82 TRANSFR TO ASOF 092518 72974071	IMVN	385P9	464287630	95	190		-82		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FILMC GO 8761 03 50%2047 AMORTIZED FCR .86866 P459INITIAL FUNDING MLSEC # Y60H1 QTY 3000 TRANSFR TO ASOF 092518 72974071	Y60H1	3128MLJ37		79	190		-3,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment WESTERN ASSET SMASH SERIES C FUND P459INITIAL FUNDING MLSEC # 9MEK8 QTY 5729 TRANSFR TO ASOF 092518 72974071	LMLCX	9MEK8	524706742	112	190		-5,729.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment SPDR US FINANCIAL SECTOR ETF P459INITIAL FUNDING MLSEC # 673G3 QTY 818 TRANSFR TO ASOF 092518 72974071	XLF	673G3	81369Y605	101	190		-818		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FILMC A9 1538 04 50%2040 AMORTIZED FCR .10840 P459INITIAL FUNDING MLSEC # G3JH0 QTY 8000 TRANSFR TO ASOF 092518 72974071	G3JH0	312939V73		52	190		-8,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FNMA PMA3210 03 50%2047 AMORTIZED FCR .94627 P459INITIAL FUNDING MLSEC # GAZD0 QTY 23000 TRANSFR TO ASOF 092518 72974071	GAZD0	31418CR89		44	190		-23,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FNMA PMA3444 04 50%2048 AMORTIZED FCR .98940 P459INITIAL FUNDING MLSEC # GF115 QTY 1000 TRANSFR TO ASOF 092518 72974071	GF115	31418CZL6		51	190		-1,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment SHELL INTERNATIONAL FIN COMPANY GUARNT GLB 02 500% SEP 12 2028 P459INITIAL FUNDING MLSEC # E38W1 QTY 12000 TRANSFR TO ASOF 092518 72974071	RDS26	E38W1	822582BX9	40	190		-12,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FNMA PMA3394 04 50%2048 AMORTIZED FCR .97905 P459INITIAL FUNDING MLSEC # GEIC3 QTY 1000 TRANSFR TO ASOF 092518 72974071	GEIC3	31418CXN9		50	190		-1,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FNMA PMA3182 03 50%2047 AMORTIZED FCR .94002 P459INITIAL FUNDING MLSEC # GALV5 QTY 14000 TRANSFR TO ASOF 092518 72974071	GALV5	31418CRC0		43	190		-14,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FILMC GO 8817 04 50%2048 AMORTIZED FCR .98104 P459INITIAL FUNDING MLSEC # GED04 QTY 1000 TRANSFR TO ASOF 092518 72974071	GED04	3128MLJ37		49	190		-1,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment MICROSOFT CORP GLB 03 700% AUG 08 2046 P459INITIAL FUNDING MLSEC # C8C38 QTY 15000 TRANSFR TO ASOF 092518 72974071	MSFT46	C8C38	594918B70	38	190		-15,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FNMA PMA3385 04 50%2046 AMORTIZED FCR .87123 P459INITIAL FUNDING MLSEC # GD9V7 QTY 1000 TRANSFR TO ASOF 092518 72974071	GD9V7	31418CXP4		48	190		-1,000.00		0

Activity Status	Trade/Transac tion Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment BP CAPITAL MARKETS PLC COMPANY GUARNT GLB 03.506% MAR 17 2025 P459INITIAL FUNDING MLSEC # F1H59 QTY 7000 TRANSFR TO ASOF 092518 72974071	BP25	F1H59	05565QDA3	42	190		-7,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FNMA PMA3239 04%2048 AMORTIZED FCR .93678 P459INITIAL FUNDING MLSEC # GBQR2 QTY 4000 TRANSFR TO ASOF 092518 72974071		GBQR2	31418C554	47	190		-4,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment OPPENHEIMER INTERNATL GROWTH FD CL Y P459INITIAL FUNDING MLSEC # 9LX99 QTY 791 TRANSFR TO ASOF 092518 72974071	OIGYX	9LX99	06360L407	108	190		-791		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment BLACKROCK EQTY DIVIDEND FUND INSTL P459INITIAL FUNDING MLSEC # 974Y9 QTY 2249 TRANSFR TO ASOF 092518 72974071	MADVX	974Y9	09251M504	118	190		-2,249.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment DELAWARE EMERGING MARKETS FUND INSTL CL P459INITIAL FUNDING MLSEC # 9KTH9 QTY 1286 TRANSFR TO ASOF 092518 72974071	DEMIX	9KTH9	245914817	104	190		-1,286.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment CAMBIA INTERNATIONAL EQUITY FUND INSTL CL P459INITIAL FUNDING MLSEC # 97N12 QTY 1297 TRANSFR TO ASOF 092518 72974071	CAMTX	97N12	00769G543	117	190		-1,297.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment T ROWE PRICE EMERGING MARKETS STOCK FD INV CL P459INITIAL FUNDING MLSEC # 9K6D2 QTY 926 TRANSFR TO ASOF 092518 72974071	PRMSX	9K6D2	77956H864	108	190		-926		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment SOUND SHORE FUND INSTL CL P459INITIAL FUNDING MLSEC # 9PV94 QTY 858 TRANSFR TO ASOF 092518 72974071	SSHVX	9PV94	036083204	116	190		-858		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment CONSUMER DISCRETIONARY SPDR P459INITIAL FUNDING MLSEC # 673G1 QTY 534 TRANSFR TO ASOF 092518 72974071	XLTY	673G1	01369Y407	100	190		-534		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment WESTERN ASSET SMASH SERIES EC FUND P459INITIAL FUNDING MLSEC # 9MEK5 QTY 16494 TRANSFR TO ASOF 092518 72974071	LMECX	9MEK5	52470G734	111	190		-16,494.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment NUVEEN SMALL CAP VALUE FUND CL I P459INITIAL FUNDING MLSEC # 9KW56 QTY 926 TRANSFR TO ASOF 092518 72974071	FSCCX	9KW56	070676200	105	190		-926		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment CARILLON EAGLE SMALL CAP GROWTH FUND CL I P459INITIAL FUNDING MLSEC # 9L6B4 QTY 318 TRANSFR TO ASOF 092518 72974071	HSIUX	9L6B4	14214L486	110	190		-318		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment U.S. TREASURY NOTE 2.750% FEB 15 2028 02.750% FEB 15 2028 P459INITIAL FUNDING MLSEC # H26E8 QTY 7000 TRANSFR TO ASOF 092518 72974071	H26E8	9128283W8		57	190		-7,000.00		0

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment MORGAN STANLEY GLB VAR% JUL 22 2028 P459INITIAL FUNDING MLSEC # C6DH1 QTY 8000 TRANSFR TO ASOF 092518 72974071	ZZZZ28	'C6DH1'	'61744YAK4'	39	'190'		-8,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FEDERAL NATL MTG ASSOC BONDS 01.125% OCT 19 2018 P459INITIAL FUNDING MLSEC # HM2U5 QTY 29000 TRANSFR TO ASOF 092518 72974071		'HM2U5'	'3136G0E58'	56	'190'		-29,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FNMA PMA3238 03 50%2048 AMORTIZED FCR .95370 P459INITIAL FUNDING MLSEC # GBPV3 QTY 9000 TRANSFR TO ASOF 092518 72974071		'GBPV3'	'31418CS47'	46	'190'		-9,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FEDERAL NATL MTG ASSOC BONDS 06.625% NOV 15 2030 P459INITIAL FUNDING MLSEC # HM2G2 QTY 5000 TRANSFR TO ASOF 092518 72974071		'HM2G2'	'31359MGK3'	55	'190'		-5,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment VERIZON COMMUNICATIONS GLB 05.150% SEP 15 2023 P459INITIAL FUNDING MLSEC # E73Y0 QTY 13000 TRANSFR TO ASOF 092518 72974071	VZ23	'E73Y0'	'92343YBR4'	41	'190'		-13,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment GOLDMAN SACHS GROUP INC GLB 04.000% MAR 03 2024 P459INITIAL FUNDING MLSEC # H10D0 QTY 18000 TRANSFR TO ASOF 092518 72974071	GS24	'H10D0'	'38141GVM3'	54	'190'		-18,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment FNMA PAD8529 04 50%2040 AMORTIZED FCR .11945 P459INITIAL FUNDING MLSEC # GA2U7 QTY 53000 TRANSFR TO ASOF 092518 72974071		'GA2U7'	'31418WPP9'	45	'190'		-53,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment WELLS FARGO & COMPANY SER MTN VAR% MAY 22 2028 P459INITIAL FUNDING MLSEC # H1N01 QTY 11000 TRANSFR TO ASOF 092518 72974071		'H1N01'	'95000U2A0'	53	'190'		-11,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment JPMORGAN CHASE & CO GLB 04.400% JUL 22 2020 P459INITIAL FUNDING MLSEC # C0394 QTY 14000 TRANSFR TO ASOF 092518 72974071	JPM20A	'C0394'	'48625HHS2'	37	'190'		-14,000.00		0
Settled	9/25/2018	9/25/2018	Security Transactions	Transfer / Adjustment THE OAKMARK INTL FUND INV CL P459INITIAL FUNDING MLSEC # 9EHP3 QTY 1095 TRANSFR TO ASOF 092518 72974071	OAKIX	'9EHP3'	'413838202'	102	'190'		-1,095.00		0
Settled	9/26/2018	9/26/2018	Security Transactions	Transfer / Adjustment CAMBIAR INTERNATIONAL EQUITY FUND INSTL CL TRANSFR TO 72974071 AS OF 09/25/2018 FRAC QUANTITY IS .010	CAMIX	'97N12'	'00769G543'	6	'684'		-0.01		0
Settled	9/26/2018	9/26/2018	Security Transactions	Transfer / Adjustment OPENHEIMER INTERNATIONAL GROWTH FUND CL Y TRANSFR TO 72974071 AS OF 09/25/2018 FRAC QUANTITY IS .619	OIGIX	'9LX99'	'88380L407'	5	'884'		-0.619		0
Settled	9/28/2018	9/28/2018	Security Transactions	Interest BANK OF AMERICA, NA RASP 0.59000 DIVINT REINVEST PAY DATE 09/27/2018 FROM 08-31 THRU 09-27	ILAXX	'977G3'	'55499U915'	2	'000'		38.59		0

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	1/31/2018	1/31/2018	Security Transactions	Interest BANK OF AMERICA, NA RASP 0.66000 DIV/INT REINVEST PAY DATE 01/30/2018 FROM 01-02 THRU 01-30	ILAXX	'977G3'	'55499U915'	1	'000'		1.66		0
Settled	2/28/2018	2/28/2018	Security Transactions	Interest BANK OF AMERICA, NA RASP 0.39000 DIV/INT REINVEST PAY DATE 02/27/2018 FROM 01-31 THRU 02-27	ILAXX	'977G3'	'55499U915'	1	'000'		1.39		0
Settled	4/2/2018	4/2/2018	Security Transactions	Interest BANK OF AMERICA, NA RASP 0.01000 DIV/INT REINVEST PAY DATE 03/29/2018 FROM 02-28 THRU 03-29	ILAXX	'977G3'	'55499U915'	5	'000'		1.01		0
Settled	4/26/2018	4/30/2018	Security Transactions	Purchase SPDR BLMBRG BRCLY HIGH YIELD BOND ETF EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	JNK	'31M47'	'78464A417'	5	'000'	0	37	35.8967	-1,328.18
Settled	4/26/2018	4/30/2018	Security Transactions	Sale ISHARES TR RUSSELL 2000 EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	IWM	'388E9'	'464287655'	6		0	-20	154.97	3,098.33
Settled	4/26/2018	4/30/2018	Security Transactions	Purchase SPDR US DVND ARISTOCRAT ETF EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	SDY	'31M47'	'78464A763'	4		0	-38	91.435	3,474.45
Settled	4/26/2018	4/30/2018	Security Transactions	Purchase VANGUARD SHORT-TERM TREASURY ETF EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	VGSH	'39M05'	'92206C102'	8	'000'	0	33	69.8468	-1,974.94
Settled	4/26/2018	4/30/2018	Security Transactions	Purchase VANGUARD TOTAL INTERNATL BOND ETF EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	BNDX	'39UW2'	'92203J407'	11	'000'	0	12	54.5371	-654.45
Settled	4/26/2018	4/30/2018	Security Transactions	Purchase ISHARES RUSSELL 1000 VALUE EXECUTED 100% AGENCY PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	IWD	'31CK1'	'464287598'	3	'000'	0	54	121.416846	-6,556.50

Activity Status	Trade/Trans action Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	4/26/2018	4/30/2018	Security Transactions	Purchase ISHARES TR CORE MSCI EAF ETF EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	IEFA	'39T52'	'46432F642'	10	'000'	0	53	66.859	-3,543.53
Settled	4/26/2018	4/30/2018	Security Transactions	Purchase ISHARES US TREASURY BOND ETF EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	GOVT	'39S32'	'46429B267'	9	'000'	0	189	24.41	-4,613.49
Settled	4/26/2018	4/30/2018	Security Transactions	Purchase VANGUARD INTERMEDIATE-TERM CORPORATE BOND EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	VCIT	'39M02'	'92206C870'	7	'000'	0	118	83.55	-9,858.90
Settled	4/26/2018	4/27/2018	Security Transactions	Sale PRUDENTIAL SHORT TERM CORPORATE BOND FD INC Z THIS SALE CONSTITUTES A REDEMPTION. PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	PIFZX	'9H522'	'74441R508'	4		0	-278	10.78	2,996.84
Settled	4/26/2018	4/27/2018	Security Transactions	Sale WESTERN ASSET CORE PLUS BOND FD CL I THIS SALE CONSTITUTES A REDEMPTION. PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	WACPX	'9LP02'	'957663503'	9		0	-1,077.00	11.41	12,286.57
Settled	4/26/2018	4/27/2018	Security Transactions	Sale EATON VANCE FLOATING RATE FUND CL I THIS SALE CONSTITUTES A REDEMPTION. PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	ELBLX	'9LME1'	'277911491'	8		0	-349	9.06	3,161.94
Settled	4/26/2018	4/27/2018	Security Transactions	Sale PIONEER STRATEGIC INCOME FD CL Y THIS SALE CONSTITUTES A REDEMPTION. PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	STRYX	'9LL11'	'723884409'	7		0	-639	10.53	6,728.67
Settled	4/26/2018	4/27/2018	Security Transactions	Sale MFS VALUE FD CL I THIS SALE CONSTITUTES A REDEMPTION. PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MEILX	'9K2M6'	'552883694'	5		0	-71	39.41	2,796.11
Settled	4/26/2018	4/27/2018	Security Transactions	Sale THE OAKMARK SELECT FUND INV CL I THIS SALE CONSTITUTES A REDEMPTION. PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	OAKLX	'9EHP6'	'413838608'	2		0	-136	45.97	6,251.92
Settled	4/26/2018	4/27/2018	Security Transactions	Sale MAINSTAY LARGE CAP GROWTH FUND CL I THIS SALE CONSTITUTES A REDEMPTION. PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	MLAIX	'9LRN2'	'56062X641'	10		0	-475	10.49	4,982.75

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	4/26/2018	4/27/2018	Security Transactions	Purchase TCW TOTAL RETURN BOND FD CL I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS ML ACTED AS AGENT.	TGLMX	'9E5H7	'87234N880	3	'000	0	615	9.65	-6,934.75
Settled	4/26/2018	4/27/2018	Security Transactions	Purchase OPPENHEIMER INTERNATL GROWTH FD CL Y FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS ML ACTED AS AGENT.	OIGYX	'9LX99	'88380L407	12	'000	0	9	43.73	-393.57
Settled	4/26/2018	4/27/2018	Security Transactions	Purchase BLACKROCK EQTY DIVIDEND FUND INSTL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS ML ACTED AS AGENT.	MADVX	'974Y9	'09251M504	14	'000	0	145	22.62	-3,279.90
Settled	4/26/2018	4/27/2018	Security Transactions	Purchase DELAWARE SMALL CAP CORE FUND INSTL CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS ML ACTED AS AGENT.	DCCIX	'9LS30	'24610B859	11	'000	0	107	24.49	-2,620.43
Settled	4/26/2018	4/27/2018	Security Transactions	Purchase EDGEWOOD GROWTH FUND CL INST FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS ML ACTED AS AGENT.	EGFIX	'9L8K9	'0075W0759	13	'000	0	133	32.17	-4,278.61
Settled	4/26/2018	4/27/2018	Security Transactions	Purchase T ROWE PRICE EMERGING MARKETS STOCK FD INV CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS ML ACTED AS AGENT.	PRMSX	'9K8D2	'77958H864	6	'000	0	89	44.5	-3,960.50
Settled	4/26/2018	4/27/2018	Security Transactions	Purchase THE OAKMARK INTL FUND INV CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS ML ACTED AS AGENT.	OAKIX	'9EHP3	'413838202	1	'000	0	100	28.37	-2,837.00

Activity Status	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	4/30/2018	4/30/2018	Security Transactions	Interest BANK OF AMERICA, NA RASP 0.99000 DIV/INT REINVEST PAY DATE 04/27/2018 FROM 03-30 THRU 04-27	ILAXX	'977G3'	'55499U915'	1	'000'		0.99		0
Settled	5/31/2018	5/31/2018	Security Transactions	Interest BANK OF AMERICA, NA RASP 0.65000 DIV/INT REINVEST PAY DATE 05/30/2018 FROM 04-30 THRU 05-30	ILAXX	'977G3'	'55499U915'	1	'000'		1.65		0
Settled	6/21/2018	6/25/2018	Security Transactions	Purchase ISHARES US TREASURY BOND ETF EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	GOVT	'39S32'	'46429B267'	3	'000'	0	2	24.53	-49.06
Settled	6/21/2018	6/25/2018	Security Transactions	Sale ISHARES TR CORE MSCI EAF ETF EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	IEFA	'39T52'	'46432F842'	4		0	-4	63.6	254.39
Settled	6/21/2018	6/25/2018	Security Transactions	Purchase VANGUARD INTRMEDIATE-TERM CORPORATE BOND EXECUTED 100% AGENCY PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE PER ADVISORY AGREEMENT. ML ACTED AS AGENT	VCIT	'39M02'	'92206C870'	2	'000'	0	2	83.19	-166.38
Settled	6/21/2018	6/22/2018	Security Transactions	Purchase BLACKROCK EQTY DIVIDEND FUND INSTL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS ML ACTED AS AGENT.	MADVX	'974Y9'	'09251M504'	7	'000'	0	2	22.69	-45.38
Settled	6/21/2018	6/22/2018	Security Transactions	Purchase TCW TOTAL RETURN BOND FD CL I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS ML ACTED AS AGENT.	TGLMX	'9E5H7'	'87234N880'	2	'000'	0	7	9.68	-87.76
Settled	6/21/2018	6/22/2018	Security Transactions	Purchase T ROWE PRICE EMERGING MARKETS STOCK FD INV CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS ML ACTED AS AGENT.	PRMSX	'9K6D2'	'77956H864'	3	'000'	0	4	42.52	-170.08

Activity	Trade/Transa	Settle Date	Type	Description	Symbol	Security#	CUSIP	Entry #	JE ID	SOL	Quantity	Price	Amount
Settled	6/21/2018	6/22/2018	Security Transactions	Purchase DELAWARE SMALL CAP CORE FUND INSTL CL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS ML ACTED AS AGENT.	DCCIX	'9LS30'	'24610B859'	4	'000'	0	17	26.57	-451.69
Settled	6/21/2018	6/22/2018	Security Transactions	Sale OPENHEIMER INTERNATL GROWTH FD CL Y THIS SALE CONSTITUTES A REDEMPTION, PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	OIGYX	'9LX98'	'68380L407'	5	'000'	0	-13	43.24	562.12
Settled	6/21/2018	6/22/2018	Security Transactions	Purchase EDGEWOOD GROWTH FUND CL INST FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER PER ADVISORY AGREEMENT. ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS ML ACTED AS AGENT.	EGFIX	'9L8K9'	'0075W0758'	6	'000'	0	2	34.41	-68.82
Settled	6/29/2018	6/29/2018	Security Transactions	Interest BANK OF AMERICA, NA RASP 0.59000 DIV/INT REINVEST PAY DATE 06/28/2018 FROM 05-31 THRU 06-28	IIAXX	'977G3'	'55499U915'	1	'000'	0	1.59		0
Settled	7/31/2018	7/31/2018	Security Transactions	Interest BANK OF AMERICA, NA RASP 0.87000 DIV/INT REINVEST PAY DATE 07/30/2018 FROM 06-29 THRU 07-30	IIAXX	'977G3'	'55499U915'	1	'000'	0	1.87		0
Settled	8/31/2018	8/31/2018	Security Transactions	Interest BANK OF AMERICA, NA RASP 0.94000 DIV/INT REINVEST PAY DATE 08/30/2018 FROM 07-31 THRU 08-30	IIAXX	'977G3'	'55499U915'	1	'000'	0	1.84		0
Settled	9/28/2018	9/28/2018	Security Transactions	Interest BANK OF AMERICA, NA RASP 0.67000 DIV/INT REINVEST PAY DATE 09/27/2018 FROM 08-31 THRU 09-27	IIAXX	'977G3'	'55499U915'	1	'000'	0	1.67		0
Settled	10/31/2018	10/31/2018	Security Transactions	Interest BANK OF AMERICA, NA RASP 0.03000 DIV/INT REINVEST PAY DATE 10/30/2018 FROM 09-28 THRU 10-30	IIAXX	'977G3'	'55499U915'	1	'000'	0	2.03		0
Settled	11/30/2018	11/30/2018	Security Transactions	Interest BANK OF AMERICA, NA RASP 0.87000 DIV/INT REINVEST PAY DATE 11/29/2018 FROM 10-31 THRU 11-29	IIAXX	'977G3'	'55499U915'	1	'000'	0	1.87		0
Settled	12/10/2018	12/10/2018	Security Transactions	Exchange OAKMARK INTL FD CL INVESTOR SHARE CLASS CONVERSION SHARES-AS OF 12/07/2018	OAKIX	'9EHF3'	'413838202'	1	'TLF'		-100		0
Settled	12/10/2018	12/10/2018	Security Transactions	Exchange OAKMARK INTL FD CL ADV FRAC QUANTITY IS .865 SHARE CLASS CONVERSION SHARES-AS OF 12/07/2018	OAYIX	'9UOY3'	'413838731'	2	'TLF'		99.865		0
Settled	12/31/2018	12/31/2018	Security Transactions	Interest BANK OF AMERICA, NA RASP 0.35000 DIV/INT REINVEST PAY DATE 12/31/2018 FROM 11-30 THRU 12-31	IIAXX	'977G3'	'55499U915'	2	'000'	0	2.35		0

## **SCHEDULE 5**



UBS Financial Services Inc.  
214 E Mountcastle Drive  
Suite 1-A  
Johnson City TN 37601-2509

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## Resource Management Account

December 2018

**Your Financial Advisor:**  
WLP FINANCIAL GROUP  
Phone: 423-928-7144/800-729-4848

**Questions about your statement?**  
Call your Financial Advisor or the  
RMA ResourceLine at 800-RMA-1000,  
account 353001954.

**Visit our website:**  
[www.ubs.com/financialservices](http://www.ubs.com/financialservices)

**Your investment objectives:**  
You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

**Your return objective:**  
Current income & capital appreciation  
**Your risk profile:**  
Primary - Moderate  
Investment eligibility consideration - None selected

**Account name:** CLARINDA P JEANES LIVING TRUST  
**Friendly account name:** Clarinda Acct  
**Account number:**

### Value of your account

	on November 30 (\$)	on December 31 (\$)
Your assets	1,497,711.61	1,455,121.62
Your liabilities	0.00	0.00
<b>Value of your account</b>	<b>\$1,497,711.61</b>	<b>\$1,455,121.62</b>

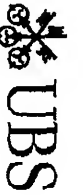
As a service to you, your portfolio value of \$1,455,121.62 includes the following assets that are not held by UBS:  
Insurance Products \$1,210,320.05

*Information about these assets not held by UBS, including their value, was provided by an external source for which UBS is not responsible. These assets are not covered by SIPC. See the section "Your assets" for details.*

**Your account instructions**  
• Your account cost basis default closing method is FIFO, First In, First Out.

### Change in the value of your account

	December 2018 (\$)	Year to date (\$)
<b>Opening account value</b>	<b>\$1,497,711.61</b>	<b>\$1,449,654.87</b>
Deposits, including investments transferred in	39,110.86	859,480.81
Withdrawals and fees, including investments transferred out	-15,495.00	-723,630.78
Dividend and interest income	118.46	827.65
Change in value of outside assets	-66,324.31	-131,534.86
Change in market value	0.00	323.93
<b>Closing account value</b>	<b>\$1,455,121.62</b>	<b>\$1,455,121.62</b>



Resource Management Account  
December 2018

Account name: CLARINDA P JEANES LIVING TRUST  
Friendly account name: Clarinda Act  
Account number:

Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

## Cash activity summary

See Account activity this month for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies to deposits at UBS Bank USA and all banks participating in the UBS FDIC Insured Deposit Program. It does not apply to deposits at UBS AG, Stamford Branch, SFC protection applies to money market sweep fund holdings but not bank deposits. See important information about your statement on the last two pages of this document for details.

	December 2018 (\$)	Year to date (\$)
<b>Opening balances</b>	<b>\$221,067.25</b>	<b>\$107,799.96</b>
<b>Additions</b>		
Deposits and other funds credited	39,110.86	758,964.79
Dividend and interest income	118.46	827.65
<b>Total additions</b>	<b>\$39,229.32</b>	<b>\$759,792.44</b>
<b>Subtractions</b>		
Checks and bill payments	0.00	-40,535.65
Card payments	-495.00	-39,994.72
Other funds debited	-15,000.00	-542,260.46
<b>Total subtractions</b>	<b>-\$15,495.00</b>	<b>-\$622,790.83</b>
<b>Net cash flow</b>	<b>\$23,734.32</b>	<b>\$137,001.61</b>
<b>Closing balances</b>	<b>\$244,801.57</b>	<b>\$244,801.57</b>

## Dividend and interest income earned

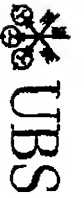
For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	December 2018 (\$)	Year to date (\$)
<b>Taxable interest</b>	<b>118.46</b>	<b>809.44</b>
<b>Total current year</b>	<b>\$118.46</b>	<b>\$809.44</b>
Prior year adjustment	0.00	18.21
<b>Total dividend &amp; interest</b>	<b>\$118.46</b>	<b>\$827.65</b>

## UBS Bank USA Business Account APY

Interest period Nov 7 - Dec 6

Opening UBS Bank USA Business balance Nov 7	\$209,455.36
Closing UBS Bank USA Business balance Dec 6	\$221,189.95
Number of days in interest period	30
Average daily balance	\$397,369.37
Interest earned	\$122.70
Annual percentage yield earned	0.38%



Resource Management Account  
December 2018

Account name: CLARINDA P JEANES LIVING TRUST  
Friendly account name: Clarinda Acct  
Account number:

Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

## My Choice Rewards Points from UBS

Rewards points are reflected as of the 26th of the month or the prior business day if the 26th falls on a weekend/holiday. Rewards point activity details can be found on [www.ubs.com/online/services](http://www.ubs.com/online/services)

	December 2018	Year to date
<b>Opening balance</b>	<b>138,951</b>	<b>107,808</b>
Points earned	3,371	34,427
Bonus points	350	437
<b>Closing balance</b>	<b>142,672</b>	<b>142,672</b>



Resource Management Account  
December 2018

Account name: CLARINDA P JEANES LIVING TRUST  
Friendly account name: Clarinda Acct  
Account number: [REDACTED]

Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

## Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

### Cash

#### Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS FDIC Insured Program Bank Balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA and all UBS FDIC Insured Program Bank deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC.

See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/interest period	Days in period	Cap amount (\$)
Cash	18,321.65	26,110.86					
UBS BANK USA BUS ACCT	202,745.60	218,690.71					
<b>Total</b>	<b>\$221,067.25</b>	<b>\$244,801.57</b>					<b>250,000.00</b>

### Other

#### Assets held outside UBS Financial Services Inc.

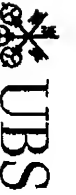
These assets are held outside UBS Financial Services Inc. and are included in your statement as a service to you. Information about these assets, including their value, is provided by the issuer and UBS Financial Services Inc. does not guarantee the accuracy of the information and is not responsible for it. The value

shown is not necessarily the value you would receive from the issuer if you sold the assets. These assets are not covered by SIPC.

### Annuities

Holding	Death benefit (\$)	Living benefit (\$)	Surrender value (\$)	Surrender charge Expiration date	Total premium (\$)	Total withdrawal (\$)	Value (\$)
PRUCO LIFE INSURANCE COMPANY PREMIER RET VAR ANNUITY L SHAR AS OF 12/28/2018 CONTRACT NBR: E1444354 RATE - VARIABLE ISSUE DATE: 05/14/2012 PORTFOLIO: AST BALANCED ASSET ALLOCATION PORTFOLIO - 100.00%	753,122.71	817,749.35	655,077.82	Jul 3 2018	550,000.00	0.00	656,011.75

continued next page



Resource Management Account  
December 2018

Account name: CLARINDA P JEANES LIVING TRUST  
Friendly account name: Clarinda Act  
Account number:

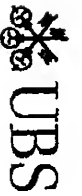
Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

Your assets, Other, Annuities (continued)

Holding	Death benefit (\$)	Living benefit (\$)	Surrender value (\$)	Surrender charge Expiration date	Total premium (\$)	Total withdrawal (\$)	Value (\$)
TRANSAMERICA LIFE INS CO LANDMARK AS OF 12/28/2018 CONTRACT NBR: 109284E98 RATE - VARIABLE ISSUE DATE: 08/02/1999	85,112.38		37,613.52	Aug 2 2006	33,000.00	0.00	37,648.52
PORTFOLIOS:TA WMC US GROWTH - 100.00%							
LINCOLN NATIONAL CHOICE PLUS ASSUR L SHARE AS OF 12/28/2018 CONTRACT NBR: 922102177 RATE - VARIABLE ISSUE DATE: 06/30/2011	258,388.77		258,388.77	Jun 30 2015	250,000.00	81,105.42	258,388.77
PORTFOLIOS:LVP VG INT EQ - 24.20% / LVP AM GROWTH - 23.40% / DEL DVRSFD INC - 22.10% / LVP AM GR INC - 19.20% / LVP PIMCO LDB - 11.10%							
LINCOLN NATIONAL CHOICE PLUS ASSUR L SHARE AS OF 12/28/2018 CONTRACT NBR: 922102178 RATE - VARIABLE ISSUE DATE: 06/30/2011	258,271.01		258,271.01	Jun 30 2015	250,000.00	81,209.22	258,271.01
PORTFOLIOS:LVP VG INT EQ - 24.20% / LVP AM GROWTH - 23.40% / DEL DVRSFD INC - 22.10% / LVP AM GR INC - 19.20% / LVP PIMCO LDB - 11.10%							
Total			\$1,083,000.00				\$1,210,320.05

Your total assets

	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	244,801.57	16.82%	244,801.57		
Other	1,210,320.05	83.18%			
Total	\$1,455,121.62	100.00%	\$244,801.57		



Resource Management Account  
December 2018

Account name: CLARINDA P JEANES LIVING TRUST  
Friendly account name: Clarinda Act  
Account number:

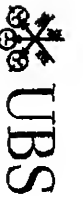
Your Financial Advisor:  
WFP FINANCIAL GROUP  
423-928-7144/800-729-4848

## Account activity this month

You have qualified for a \$175.00 Annual account fee waiver.

Date	Activity	Description	Amount (\$)
<b>Deposits and other funds credited</b>			
Dec 26	Transfer	JOURNAL FROM JY 05948 DONALD R JEANES	13,000.00
Dec 31	Transfer	EARNINGS DISBURSEMENT FROM JY 05242 CLARINDA P JEANES LIVING TRUST	1,500.00
Dec 31	Transfer	JOURNAL FROM JY 05936 CLARINDA P JEANES IRREVOCABLE	12,853.50
Dec 31	Transfer	EARNINGS DISBURSEMENT FROM JY 05947 DONALD R JEANES MARITAL	11,757.36
<b>Total deposits and other funds credited</b>			<b>\$39,110.86</b>
<b>Dividend and interest income</b>			
<b>Taxable interest</b>			
Dec 7	Interest	UBS BANK USA BUSINESS ACCOUNT AS OF 12/06/18	122.70
Dec 26	Interest	UBS BANK USA BUSINESS ACCOUNT	-4.24
<b>Total taxable interest</b>			<b>\$118.46</b>
<b>Total dividend and interest income</b>			<b>\$118.46</b>
<b>Card payments</b>			
Dec 14	CREDIT CARD PAYMENT		-495.00
<b>Total card payments</b>			<b>-\$495.00</b>
<b>UBS Visa credit card(s) payment information for January 23, 2019</b>			
\$67.00	Jan 23, 2019	\$30,000.00	\$26,628.85
			\$3,371.15
<p>This credit card is enrolled in UBS Pay Credit Card feature and will automatically be paid from your account. Your payment is scheduled for January 15, 2019.</p> <p>We provide this information on your UBS Visa credit cards solely as a courtesy to you. The UBS Visa credit card and account are issued by UBS Bank USA. UBS Bank USA sends you the official statement for this account and you should make your payment according to their instructions.</p> <p>We do not include your outstanding credit card balance in the value of your account with UBS Financial Services Inc.</p>			
<b>Other funds debited</b>			
Dec 24	Withdrawal	BANK OF TENNESSEE ELECTRONIC FUNDS TRANSFER	-15,000.00
<b>Total other funds debited</b>			<b>-\$15,000.00</b>

EPZ20002002991651 EPZ000116067 00007 1218 028839246 JY01954FW0 110000



Resource Management Account  
December 2018

Account name: CLARINDA P JEANES LIVING TRUST  
Friendly account name: Clarinda Act  
Account number:

Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

### Account activity this month (continued)

Money balance activities	Date	Activity	Description	Amount (\$)
	<b>Nov 30</b>	<b>Balance forward</b>		<b>\$202,745.60</b>
	Dec 3	Deposit	UBS BANK USA BUSINESS ACCOUNT	18,321.65
	Dec 7	Deposit	UBS BANK USA BUSINESS ACCOUNT AS OF 12/06/18	122.70
	Dec 17	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 12/14/18	-495.00
	Dec 26	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 12/24/18	-15,000.00
	Dec 27	Deposit	UBS BANK USA BUSINESS ACCOUNT	12,995.76
	<b>Dec 31</b>	<b>Closing UBS Bank USA Business Account</b>		<b>\$218,690.71</b>

The UBS Bank USA Business Account is your primary sweep option.

## Summary of approved payees and recurring payments

Based on your instructions, the following payees and recurring payments are considered "approved payees". As a result, (1) you may authorize additional transfers to these payees verbally and (2) you are no longer receiving individual confirmations for payments to these payees.

The payees and recurring payments listed below do not include Bill Payments, Electronic Funds Transfers and certain other transfers between accounts at UBS. If you have additional payees and/or recurring instructions on file, you will receive separate confirmations from your Financial Advisor.

To update or cancel any of these instructions, please contact your Financial Advisor.

Payee description	Amount (\$)	Disbursement method	Type/Event	Frequency	Start date	End date	Last used date
Blackburn, Childers & Steagall, PLC Client ID 49668	varied	Check	Payee on file	Upon request	n/a	n/a	Apr 09, 18
Erie Insurance Policy Q040515248	varied	Check	Payee on file	Upon request	n/a	n/a	Apr 23, 18
Thebeau and Associates, P.A. FIRST TENNESSEE BANK NATL ASSN	varied	Federal Funds	Payee on file	Upon request	n/a	n/a	Jul 30, 18

FPZ20002002991652 PZ2000116067 00007 1218 02889246 R01954FWO 110000

End of statement for account number JY 01954 FW

## **SCHEDULE 6**



UBS Financial Services Inc.  
214 E Mounicastle Drive  
Suite 1-A  
Johnson City TN 37601-2509

CPZ2002990537 1218 X12 JV 0

## Retirement Account Portfolio Management Program December 2018

**Your Financial Advisor:**  
WLP FINANCIAL GROUP  
Phone: 423-928-7144/800-729-4848

**Visit our website:**  
[www.ubs.com/financialservices](http://www.ubs.com/financialservices)

**Account name:** CLARINDA P ROE  
**Friendly account name:** Clarinda IRA  
**Account type:** Individual Retirement Account  
**Account number:**

### Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

### Your return objective:

Current income & capital appreciation

### Your risk profile:

Primary - Moderate  
Investment eligibility consideration - None selected

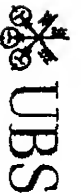
### Value of your account

	on November 30 (\$)	on December 31 (\$)
Your assets	288,588.37	270,217.86
Your liabilities	0.00	0.00
<b>Value of your account</b>	<b>\$288,588.37</b>	<b>\$270,217.86</b>

- Your account instructions**
- Your account is managed by your Financial Advisor under the Portfolio Management Program.
  - UBS Financial Services Inc. is your IRA custodian.
  - Your account cost basis default closing method is FIFO, First In, First Out.

### Change in the value of your account

	December 2018 (\$)	Year to date (\$)
<b>Opening account value</b>	<b>\$288,588.37</b>	<b>\$292,075.98</b>
Withdrawals and fees, including investments transferred out	0.00	-1,917.65
Dividend and interest income	5,070.61	9,845.19
Change in market value	-23,441.12	-29,785.66
<b>Closing account value</b>	<b>\$270,217.86</b>	<b>\$270,217.86</b>



Portfolio Management Program  
December 2018

Account name: CLARINDA P RDE  
Friendly account name: Clarinda RFA  
Account type: Individual Retirement Account  
Account number:

Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

## Cash activity summary

See Account activity this month for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC Insurance applies to deposits at UBS Bank USA and all banks participating in the UBS FDIC Insured Deposit Program. It does not apply to deposits at UBS AG, Stamford Branch, SFC. Protection applies to money market sweep fund holdings but not bank deposits. See Important information about your statement on the last two pages of this document for details.

	December 2018 (\$)	Year to date (\$)
<b>Opening balances</b>	<b>\$3,813.27</b>	<b>\$3,164.92</b>
<b>Additions</b>		
Dividend and interest income	5,070.61	9,845.19
Proceeds from investment transactions	0.00	32,999.48
<b>Total additions</b>	<b>\$5,070.61</b>	<b>\$42,844.67</b>
<b>Subtractions</b>		
Professional management fees and related services	0.00	-1,917.65
Funds withdrawn for investments bought	-4,157.06	-39,365.12
<b>Total subtractions</b>	<b>-\$4,157.06</b>	<b>-\$41,282.77</b>
<b>Net cash flow</b>	<b>\$913.55</b>	<b>\$1,561.90</b>
<b>Closing balances</b>	<b>\$4,726.82</b>	<b>\$4,726.82</b>

## Dividend and interest income earned

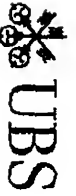
For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	December 2018 (\$)	Year to date (\$)
<b>Dividends</b>	<b>5,068.44</b>	<b>9,716.60</b>
<b>Interest</b>	<b>2.17</b>	<b>17.29</b>
<b>Total current year</b>	<b>\$5,070.61</b>	<b>\$9,733.89</b>
Prior year adjustment	0.00	111.30
<b>Total dividend &amp; interest</b>	<b>\$5,070.61</b>	<b>\$9,845.19</b>

## Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified.

	Realized gains and losses		Unrealized gains and losses (\$)	
	December 2018 (\$)	Year to date (\$)	December 2018 (\$)	Year to date (\$)
Short term	0.00	0.00	-5,295.45	-5,295.45
Long term	0.00	1,965.51	11,980.99	11,980.99
<b>Total</b>	<b>\$0.00</b>	<b>\$1,965.51</b>	<b>\$6,685.54</b>	<b>\$6,685.54</b>



Portfolio Management Program  
December 2018

Account name: CLARINDA P ROE  
Friendly account name: Clarinda IRA  
Account type: Individual Retirement Account  
Account number:

Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

## UBS Bank USA Deposit Account APY

Interest period Nov 7 - Dec 6

Opening UBS Bank USA Deposit balance Nov 7	\$3,756.47
Closing UBS Bank USA Deposit balance Dec 6	\$3,815.44
Number of days in interest period	30
Average daily balance	\$7,116.21
Interest earned	\$2.17
Annual percentage yield earned	0.37%

## Retirement services information

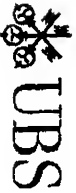
### 2018 Year-End valuation statement - Form 5498

Retain this statement as your year-end valuation. If your Asset portfolio includes insurance products, unpriced Private Investments or if you own Outside assets that do not appear on this statement, we will automatically provide you with an updated year-end valuation in January 2019. This 2018 year-end value of your IRA (or the updated value, if applicable) will be furnished to the Internal Revenue Service.

December 31 value \$270,217.86

### Beneficiaries you've named for this account

Our records indicate that a Custom beneficiary document is on file for this account. Please contact your Financial Advisor for further information.



# Portfolio Management Program

December 2018

Account name: CLARINDA P ROE  
 Friendly account name: Clarinda IRA  
 Account type: Individual Retirement Account  
 Account number:

Your Financial Advisor:  
 WLP FINANCIAL GROUP  
 423-928-7144/800-729-4848

## Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See Important information about your statement at the end of this document for more information.

### Cash

#### Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS FDIC Insured Program Bank Balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

See the Important information about your statement at the end of this document for details about those balances.

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/interest period	Days in period	Cap amount (\$)
UBS BANK USA DEP ACCT	3,813.27	4,726.82					250,000.00

UBS Bank USA and all UBS FDIC Insured Program Bank deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC.

### Equities

#### Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
ENERGY SELECT SECTOR SPDR FUND ETF									

Symbol: XLE

Trade date: May 16, 17

EA: \$406 Current yield: 3.54%

FINANCIAL SELECT SECTOR SPDR

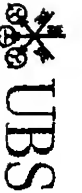
FUND ETF

Symbol: XLF

Trade date: Oct 21, 16

552,000	19,530	10,780.56	10,780.56	23,820	13,148.64	2,368.08			LT
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# Portfolio Management Program

December 2018

Account name: CLARINDA P ROE  
 Friendly account name: Clarinda RA  
 Account type: Individual Retirement Account  
 Account number:

Your Financial Advisor:  
 WLP FINANCIAL GROUP  
 423-928-7144/800-729-4848

## Your assets, Equities, Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Investment return (\$)	Holding period
Trade date: May 16, 17	17,000	23.787	404.38	404.38	23.820	404.94	0.56		LT
EAT: \$282 Current yield: 2.08%									
Security total	569,000	19.657	11,184.94	11,184.94		13,553.58	2,368.64	2,368.64	
INVECO QQQ TRUST ETF									
Symbol: QQQ									
Trade date: Nov 18, 15	92,000	112.350	10,336.20	10,336.20	154.260	14,191.92	3,855.72		LT
Trade date: May 27, 16	3,000	109.940	329.82	329.82	154.260	462.78	132.96		LT
Trade date: Jul 5, 16	2,000	107.250	214.50	214.50	154.260	308.52	94.02		LT
EAT: \$136 Current yield: 0.91%									
Security total	97,000	112.170	10,880.52	10,880.52		14,963.22	4,082.70	4,082.70	
ISHARES EDGE MSCI MULTIFACTOR INTL ETF									
Symbol: INTF									
Trade date: Jun 6, 18	1,000	29.590	29.59	29.59	23.710	23.71	-5.88		ST
Trade date: Jun 7, 18	855,000	29.555	25,269.94	25,269.94	23.710	20,272.05	-4,997.89		ST
EAT: \$532 Current yield: 2.62%									
Security total	856,000	29.556	25,299.53	25,299.53		20,295.76	-5,003.77	-5,003.77	
SPDR PORTFOLIO DEVELOPED WORLD EX-US ETF									
Symbol: SPDW									
Trade date: Oct 16, 14	563,000	26.381	14,852.95	14,852.95	26.450	14,891.35	38.40		LT
Trade date: Nov 18, 15	88,000	26.536	2,335.20	2,335.20	26.450	2,327.60	-7.60		LT
Trade date: May 27, 16	19,000	25.926	492.61	492.61	26.450	502.55	9.94		LT
Trade date: Jul 5, 16	39,000	24.627	960.49	960.49	26.450	1,031.55	71.06		LT
Trade date: Jan 23, 17	16,000	26.470	423.52	423.52	26.450	423.20	-0.32		LT
Trade date: May 16, 17	110,000	29.229	3,215.29	3,215.29	26.450	2,909.50	-305.79		LT
EAT: \$679 Current yield: 3.07%									
Security total	835,000	26.683	22,280.06	22,280.06		22,085.75	-194.31	-194.31	
SPDR S&P MIDCAP 400 ETF TR									
Symbol: MDY									
Trade date: Oct 16, 14	33,000	236.344	7,799.38	7,799.38	302.670	9,988.11	2,188.73		LT

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# Portfolio Management Program

December 2018

Account name: CLARINDA P ROE  
Friendly account name: Clarinda RPA  
Account type: Individual Retirement Account  
Account number:

Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

## Your assets, Equities, Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
Trade date: Nov 18, 15	16,000	260.010	4,160.16	4,160.16	302.670	4,842.72	682.56		LT
Trade date: Jul 5, 16	1,000	268.920	268.92	268.92	302.670	302.67	33.75		LT
Trade date: May 16, 17	2,000	314.485	628.97	628.97	302.670	605.34	-23.63		LT
EAI: \$218 Current yield: 1.39%									
Security total	52,000	247.258	12,857.43	12,857.43		15,738.84	2,881.41	2,881.41	
VANGUARD GROWTH ETF									
Symbol: VUG									
Trade date: Oct 16, 14	87,000	93.716	8,153.33	8,153.33	134.330	11,686.71	3,533.38		LT
Trade date: Aug 26, 15	69,000	99.678	6,877.84	6,877.84	134.330	9,268.77	2,390.93		LT
Trade date: Jul 5, 16	68,000	106.953	7,272.87	7,272.87	134.330	9,134.44	1,861.57		LT
Trade date: Jan 23, 17	1,000	114.540	114.54	114.54	134.330	134.33	19.79		LT
EAI: \$399 Current yield: 1.32%									
Security total	225,000	99.638	22,418.58	22,418.58		30,224.25	7,805.67	7,805.67	
Total			\$118,503.04	\$118,503.04		\$128,331.40	\$9,828.36	\$9,828.36	
Total estimated annual income: \$2,652									

## Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

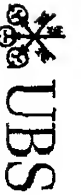
Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
MFS VALUE FUND CLASS I									
Symbol: MEIX									
Trade date: Feb 8, 12	62,973	24.078	1,516.28	1,516.28	35.510	2,236.18	719.90		LT
Trade date: Nov 6, 13	258,871	32.509	8,415.79	8,415.79	35.510	9,192.50	776.71		LT
Trade date: Oct 16, 14	9,558	32.389	309.58	309.58	35.510	339.40	29.82		LT
Trade date: Jul 5, 16	98,485	34.589	3,406.58	3,406.58	35.510	3,497.20	90.62		LT
Trade date: May 16, 17	4,656	38.307	178.36	178.36	35.510	165.33	-13.03		LT

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# Portfolio Management Program

December 2018

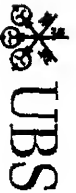
Account name: CLARINDA P ROE  
 Friendly account name: Clarinda IRA  
 Account type: Individual Retirement Account  
 Account number:

Your Financial Advisor:  
 WLP FINANCIAL GROUP  
 423-928-7144/800-729-4848

## Your assets, Equities, Mutual funds (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment Holding return (\$)	Holding period
Total reinvested	176,256	34.073		6,005.58	35.510	6,258.85	253.27		
EAL: \$457 Current yield: 2.11%									
Security total	610,799	32.469	13,826.59	19,832.17		21,689.47	1,857.29	7,862.87	
<b>NEUBERGER BERMAN GENESIS INSTITUTIONAL</b>									
Symbol: NBGIX									
Trade date: Mar 10, 11	14,017	50.946	714.09	714.09	47.850	670.69	-43.40		LT
Trade date: Jun 1, 11	10,494	53.188	558.14	558.14	47.850	502.12	-56.02		LT
Trade date: Mar 27, 13	67,920	56.040	3,806.26	3,806.26	47.850	3,249.98	-556.28		LT
Trade date: Jul 5, 16	18,666	53.430	997.34	997.34	47.850	893.17	-104.17		LT
Trade date: Oct 21, 16	46,173	55.199	2,548.74	2,548.74	47.850	2,209.38	-339.36		LT
Trade date: May 16, 17	3,150	59.146	186.31	186.31	47.850	150.73	-35.58		LT
Total reinvested	192,363	53.841		10,357.11		9,204.55	-1,152.56		
EAL: \$46 Current yield: 0.27%									
Security total	352,782	54.334	8,810.88	19,167.99		16,880.61	-2,287.37	8,069.74	
<b>VIRTUS VONTORREL EMERGING MARKETS OPPORTUNITIES FUND CLASS I</b>									
Symbol: HIEMX									
Trade date: Aug 15, 14	66,076	10.679	705.69	705.69	9.960	658.12	-47.57		LT
Trade date: Oct 16, 14	369,119	9.939	3,669.04	3,669.04	9.960	3,676.42	7.38		LT
Trade date: May 27, 16	113,487	9.389	1,065.64	1,065.64	9.960	1,130.33	64.69		LT
Trade date: Jan 23, 17	170,073	9.280	1,578.28	1,578.28	9.960	1,693.93	115.65		LT
Total reinvested	59,008	9.728		574.05	9.960	587.72	13.67		
EAL: \$83 Current yield: 1.07%									
Security total	777,763	9.762	7,018.65	7,592.70		7,746.51	153.82	727.87	
Total			\$29,656.12	\$46,592.86		\$46,316.59	-\$276.26	\$16,660.47	
Total estimated annual income: \$586									

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# Portfolio Management Program

December 2018

Account name: CLARINDA P ROE  
 Friendly account name: Clarinda IRA  
 Account type: Individual Retirement Account  
 Account number:

Your Financial Advisor:  
 WLP FINANCIAL GROUP  
 423-928-7144/800-729-4848

## Your assets (continued)

### Fixed income

#### Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

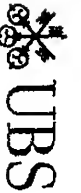
Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
<b>ISHARES 1-3 YR TREAS BOND ETF</b>									
Symbol: SHY									
Trade date: Jan 23, 17	64,000	84.520	5,409.28	5,409.28	83.620	5,351.68	-57.60		LT
Trade date: May 16, 17	3,000	84.523	253.57	253.57	83.620	250.86	-2.71		LT
Trade date: Oct 24, 17	109,000	84.289	9,187.58	9,187.58	83.620	9,114.58	-73.00		LT
Trade date: Apr 11, 18	36,000	83.440	3,003.84	3,003.84	83.620	3,010.32	6.48		ST
Trade date: Apr 11, 18	28,000	83.440	2,336.32	2,336.32	83.620	2,341.36	5.04		ST
EAI: \$345 Current yield: 1.72%									
Security total	240,000	84.127	20,190.59	20,190.59		20,068.80	-121.79	-121.79	
<b>ISHARES 3-7 YEAR TREAS BOND ETF</b>									
Symbol: IEI									
Trade date: Apr 18, 17	1,000	124.190	124.19	124.19	121.400	121.40	-2.79		LT
Trade date: May 16, 17	2,000	123.525	247.05	247.05	121.400	242.80	-4.25		LT
Trade date: Oct 24, 17	21,000	122.980	2,582.58	2,582.58	121.400	2,549.40	-33.18		LT
EAI: \$57 Current yield: 1.96%									
Security total	24,000	123.076	2,953.82	2,953.82		2,913.60	-40.22	-40.22	
<b>ISHARES FLOATING RATE BOND ETF</b>									
Symbol: FLOT									
Trade date: Apr 18, 17	137,000	50.842	6,965.39	6,965.39	50.360	6,899.32	-66.07		LT
Trade date: May 16, 17	9,000	50.840	457.56	457.56	50.360	453.24	-4.32		LT
EAI: \$177 Current yield: 2.41%									
Security total	146,000	50.842	7,422.95	7,422.95		7,352.56	-70.39	-70.39	

continued next page



# Portfolio Management Program

December 2018

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## Your assets, Fixed income, Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
Total			\$30,567.36	\$30,567.36		\$30,334.96	-\$232.40	-\$232.40	
Total estimated annual income:		\$579							

### Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

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Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
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### BLACKROCK FLOATING RATE INCOME

#### PORTFOLIONSTITUTIONAL

Symbol: BFRIX

Trade date: Jul 5, 16

Trade date: Oct 21, 16

Trade date: Jan 23, 17

Trade date: May 16, 17

Total reinvested

EAL: \$664 Current yield: 4.80%

Security total

### BLACKROCK HIGH YIELD BOND

#### PORTFOLIONSTITUTIONAL

Symbol: BHYIX

Trade date: Nov 9, 16

Trade date: Nov 9, 16

Trade date: Jan 23, 17

Trade date: May 16, 17

Trade date: Jul 10, 18

297,069	7,539	2,239.90	2,239.90	7,140	2,121.07	-118.83		LT
181,414	7,539	1,367.86	1,367.86	7,140	1,295.30	-72.56		LT
745,870	7,679	5,728.28	5,728.28	7,140	5,325.51	-402.77		LT
39,391	7,800	307.25	307.25	7,140	281.25	-26.00		LT
156,841	7,640	1,198.27	1,198.27	7,140	1,119.84	-78.43		ST
1,428,884	10,055	12,991.08	14,367.90		13,831.59	-536.31		840.51

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# Portfolio Management Program

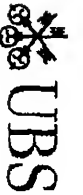
December 2018

Account name: CLARINDA P ROE  
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 WLP FINANCIAL GROUP  
 423-928-7144/800-729-4848

## Your assets , Fixed income , Mutual funds (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
Trade date: Sep 18, 18	124.151	7.670	952.24	952.24	7.140	886.44	-65.80		ST
Total reinvested	186.034	7.701		1,432.70	7.140	1,328.28	-104.42		
EAL: \$763 Current yield: 6.17%									
Security total	1,730.770	7.642	11,793.80	13,226.50		12,357.69	-868.81	563.89	
TEMPLETON GLOBAL BOND ADV									
Symbol: TGBAX									
Trade date: Oct 13, 09	7.849	12.641	99.23	99.23	11.250	88.30	-10.93		LT
Trade date: Mar 10, 11	111.281	13.549	1,507.78	1,507.78	11.250	1,251.91	-255.87		LT
Trade date: Jun 1, 11	78.770	13.898	1,094.77	1,094.77	11.250	886.16	-208.61		LT
Trade date: Jul 11, 11	4.994	13.898	69.41	69.41	11.250	56.18	-13.23		LT
Trade date: Jul 11, 11	2.109	13.895	29.31	29.31	11.250	23.73	-5.58		LT
Trade date: Mar 27, 13	319.525	13.419	4,287.95	4,287.95	11.250	3,594.66	-693.29		LT
Trade date: Nov 6, 13	24.490	13.080	320.35	320.35	11.250	275.51	-44.84		LT
Trade date: Aug 15, 14	184.488	13.270	2,448.16	2,448.16	11.250	2,075.49	-372.67		LT
Trade date: Nov 18, 15	77.653	11.899	924.07	924.07	11.250	873.60	-50.47		LT
Trade date: May 27, 16	36.900	11.310	417.34	417.34	11.250	415.12	-2.22		LT
Trade date: Jan 23, 17	17.042	11.789	200.92	200.92	11.250	191.72	-9.20		LT
Total reinvested	563.858	12.513		7,055.78	11.250	6,343.40	-712.38		LT
EAL: \$1,096 Current yield: 6.82%									
Security total	1,428.959	12.915	11,399.29	18,455.07		16,075.78	-2,379.29	4,676.49	
Total			\$36,184.17	\$46,049.47		\$42,265.06	-\$3,784.41	\$6,080.89	
Total estimated annual income: \$2,523									



# Portfolio Management Program

December 2018

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 WFP FINANCIAL GROUP  
 423-928-7144/800-729-4848

## Your assets (continued)

### Other

### Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

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Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
JANUS HENDERSON BALANCED FUND CLASS I SHARES									
Symbol: JBALX									
Trade date: Jul 5, 16	494,665	28.579	14,137.52	14,137.52	30.980	15,324.72	1,187.20		LT
Trade date: Oct 21, 16	6,947	28.999	201.46	201.46	30.980	215.22	13.76		LT
Trade date: May 16, 17	7,183	31.048	223.02	223.02	30.980	222.53	-0.49		LT
Total reinvested	80,070	31.607		2,530.79	30.980	2,480.57	-50.22		
EAI: \$364 Current yield: 2.00%									
Security total	588,865	29.027	14,562.00	17,092.79		18,243.03	1,150.25	3,681.04	

## Your total assets

	Cash and money balances	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash		4,726.82	1.75%	4,726.82		
Equities	Closed end funds & Exchange traded products	128,331.40		118,503.04	2,652.00	9,828.36
	Mutual funds	46,316.59		46,592.86	586.00	-276.26
	Total equities	174,647.99	64.63%	165,095.90	3,238.00	9,552.10
Fixed income	Closed end funds & Exchange traded products	30,334.96		30,567.36	579.00	-232.40
	Mutual funds	42,265.06		46,049.47	2,523.00	-3,784.41
	Total fixed income	72,600.02	26.87%	76,616.83	3,102.00	-4,016.81
Other	Mutual funds	18,243.03	6.75%	17,092.79	364.00	1,150.25
Total		\$270,217.86	100.00%	\$263,532.34	\$6,704.00	\$6,685.54



Portfolio Management Program  
December 2018

Account name: CLARINDA P ROE  
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423-928-7144/800-729-4848

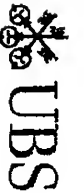
## Account activity this month

Dividend and interest income

Dividends

Date	Activity	Description	Amount (\$)
Dec 3	Dividend	BLACKROCK FLOATING RATE INCOME PORTFOLIO INSTITUTIONAL AS OF 11/30/18 SYMBOL: BFRIX	58.19
Dec 3	Dividend	BLACKROCK HIGH YIELD BOND PORTFOLIO INSTITUTIONAL AS OF 11/30/18 SYMBOL: BHYIX	65.71
Dec 7	Dividend	ISHARES 1-3 YR TREAS BOND ETF PAID ON 240 SYMBOL: SHY	34.15
Dec 7	Dividend	ISHARES 3-7 YEAR TREAS BOND ETF PAID ON 24 SYMBOL: IEI	5.08
Dec 7	Dividend	ISHARES FLOATING RATE BOND ETF PAID ON 146 SYMBOL: FLOT	16.89
Dec 18	Dividend	NEUBERGER BERMAN GENESIS INSTITUTIONAL SYMBOL: NBGIX	41.30
Dec 18	Lt Cap Gain	NEUBERGER BERMAN GENESIS INSTITUTIONAL LONG TERM CAPITAL GAIN SYMBOL: NBGIX	1,835.51
Dec 18	Dividend	VIRTUS VONTOBEL EMERGING MARKETS OPPORTUNITIES FUND CLASS I AS OF 12/17/18 SYMBOL: HIEMX	80.18
Dec 18	Lt Cap Gain	VIRTUS VONTOBEL EMERGING MARKETS OPPORTUNITIES FUND CLASS I LONG TERM CAPITAL GAIN AS OF 12/17/18 SYMBOL: HIEMX	205.74
Dec 19	Dividend	JANUS HENDERSON BALANCED FUND CLASS I SHARES AS OF 12/18/18 SYMBOL: JBALX	125.17
Dec 19	Lt Cap Gain	JANUS HENDERSON BALANCED FUND CLASS I SHARES LONG TERM CAPITAL GAIN AS OF 12/18/18 SYMBOL: JBALX	886.81
Dec 19	Dividend	TEMPLETON GLOBAL BOND ADV SYMBOL: TGBAX	368.63
Dec 21	St Cap Gain	MFS VALUE FUND CLASS I SHORT TERM CAPITAL GAIN AS OF 12/20/18 SYMBOL: MEIX	13.30
Dec 21	Dividend	MFS VALUE FUND CLASS I AS OF 12/20/18 SYMBOL: MEIX	145.11
Dec 21	Lt Cap Gain	MFS VALUE FUND CLASS I LONG TERM CAPITAL GAIN AS OF 12/20/18 SYMBOL: MEIX	308.86

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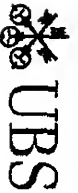
Portfolio Management Program  
December 2018

Account name: CLARINDA P ROE  
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WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

Account activity this month (continued)

Date	Activity	Description	Amount (\$)
<b>Dividend and interest income (continued)</b>			
<i>Dividends (continued)</i>			
Dec 24	Dividend	ISHARES 1-3 YR TREAS BOND ETF PAID ON SYMBOL: SHY	240 28.50
Dec 24	Dividend	ISHARES 3-7 YEAR TREAS BOND ETF PAID ON SYMBOL: IEI	24 5.18
Dec 24	Dividend	ISHARES FLOATING RATE BOND ETF PAID ON SYMBOL: FLOT	146 18.05
Dec 24	St Cap Gain	BLACKROCK FLOATING RATE INCOME PORTFOLIO INSTITUTIONAL SHORT TERM CAPITAL GAIN AS OF 12/21/18 SYMBOL: BFRUX	4.02
Dec 24	St Cap Gain	BLACKROCK HIGH YIELD BOND PORTFOLIO INSTITUTIONAL SHORT TERM CAPITAL GAIN AS OF 12/21/18 SYMBOL: BHYIX	18.53
Dec 24	Dividend	ISHARES EDGE MSCI MULTIFACTOR INTL ETF PAID ON SYMBOL: INTF	856 221.32
Dec 27	Dividend	FINANCIAL SELECT SECTOR SPDR FUND ETF PAID ON SYMBOL: XLF	569 82.47
Dec 27	Dividend	ENERGY SELECT SECTOR SPDR FUND ETF PAID ON SYMBOL: XLE	200 106.01
Dec 28	Dividend	INVESTCO QQQ TRUST ETF PAID ON SYMBOL: QQQ	97 40.80
Dec 28	Dividend	VANGUARD GROWTH ETF PAID ON SYMBOL: VUG	225 118.67
Dec 28	Dividend	SPDR PORTFOLIO DEVELOPED WORLD EX-US ETF PAID ON SYMBOL: SPDW	835 234.26
<b>Total dividends</b>			<b>\$5,068.44</b>
Dec 7	Interest	UBS BANK USA DEPOSIT ACCOUNT AS OF 12/06/18	2.17
<b>Total interest</b>			<b>\$2.17</b>
<b>Total dividend and interest income</b>			<b>\$5,070.61</b>



Portfolio Management Program  
December 2018

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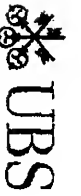
Account activity this month (continued)

Investment transactions

For more information about the price/value shown for restricted securities, see Important Information about your statement at the end of this document.

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from Investment transactions (\$)	Funds withdrawn for Investments bought (\$)	Accrued interest (\$)
Dec 3	Reinvestment	BLACKROCK FLOATING RATE INCOME PORTFOLIO INSTITUTIONAL DIVIDEND REINVESTED AT 9.99 NAV ON 11/30/18 AS OF 11/30/18 SYMBOL: BRFX	5.825				-58.19	
Dec 3	Reinvestment	BLACKROCK HIGH YIELD BOND PORTFOLIO INSTITUTIONAL DIVIDEND REINVESTED AT 7.37 NAV ON 11/30/18 AS OF 11/30/18 SYMBOL: BHYX	8.916				-65.71	
Dec 18	Reinvestment	NEUBERGER BERMAN GENESIS INSTITUTIONAL LT CAP GAINS REINVESTED AT 48.46 NAV ON 12/17/18 SYMBOL: NBGX	37.877				-1,835.51	
Dec 18	Reinvestment	VIRTUS VONTobel EMERGING MARKETS OPPORTUNITIES FUND CLASS I DIVIDEND REINVESTED AT 9.80 NAV ON 12/17/18 AS OF 12/17/18 SYMBOL: HIEMX	8.182				-80.18	
Dec 18	Reinvestment	VIRTUS VONTobel EMERGING MARKETS OPPORTUNITIES FUND CLASS I LT CAP GAINS REINVESTED AT 9.80 NAV ON 12/17/18 AS OF 12/17/18 SYMBOL: HIEMX	20.994				-205.74	
Dec 18	Reinvestment	NEUBERGER BERMAN GENESIS INSTITUTIONAL DIVIDEND REINVESTED AT 48.46 NAV ON 12/17/18 SYMBOL: NBGX	0.852				-41.30	
Dec 19	Reinvestment	JANUS HENDERSON BALANCED FUND CLASS I SHARES DIVIDEND REINVESTED AT 31.11 NAV ON 12/18/18 AS OF 12/18/18 SYMBOL: JBALX	4.023				-125.17	

continued next page



# Portfolio Management Program

December 2018

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## Account activity this month (continued)

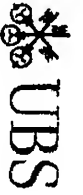
### Investment transactions (continued)

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from Investment transactions (\$)	Funds withdrawn for Investments bought (\$)	Accrued interest (\$)
Dec 19	Reinvestment	JANUS HENDERSON BALANCED FUND CLASS I SHARES LT CAP GAINS REINVESTED AT 31.11 NAV ON 12/18/18 AS OF 12/18/18 SYMBOL: JBALX	28.506				-886.81	
Dec 19	Reinvestment	TEMPLETON GLOBAL BOND ADV DIVIDEND REINVESTED AT 11.29 NAV ON 12/17/18 SYMBOL: TGBAX	32.651				-368.63	
Dec 21	Reinvestment	MFS VALUE FUND CLASS I DIVIDEND REINVESTED AT 35.12 NAV ON 12/20/18 AS OF 12/20/18 SYMBOL: MEIIX	4.132				-145.11	
Dec 21	Reinvestment	MFS VALUE FUND CLASS I LT CAP GAINS REINVESTED AT 35.12 NAV ON 12/20/18 AS OF 12/20/18 SYMBOL: MEIIX	8.794				-308.86	
Dec 21	Reinvestment	MFS VALUE FUND CLASS I ST CAP GAINS REINVESTED AT 35.12 NAV ON 12/20/18 AS OF 12/20/18 SYMBOL: MEIIX	0.379				-13.30	
Dec 24	Reinvestment	BLACKROCK FLOATING RATE INCOME PORTFOLIO'S ST CAP GAINS REINVESTED AT 9.71 NAV ON 12/21/18 AS OF 12/21/18 SYMBOL: BRROX	0.414				-4.02	
Dec 24	Reinvestment	BLACKROCK HIGH YIELD BOND PORTFOLIO'S INSTITUTIONAL ST CAP GAINS REINVESTED AT 7.13 NAV ON 12/21/18 AS OF 12/21/18 SYMBOL: BHYIX	2.599				-18.53	
Total							-\$4,157.06	

Date	Activity	Description	Amount (\$)
Nov 30	Balance forward		\$3,813.27
Dec 7	Deposit	UBS BANK USA DEPOSIT ACCOUNT AS OF 12/06/18	2.17
Dec 10	Deposit	UBS BANK USA DEPOSIT ACCOUNT	56.12
Dec 26	Deposit	UBS BANK USA DEPOSIT ACCOUNT	273.05
Dec 28	Deposit	UBS BANK USA DEPOSIT ACCOUNT	188.48

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Portfolio Management Program  
December 2018

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Account activity this month (continued)

Money balance activities (continued)	Date	Activity	Description	Amount (\$)
	Dec 31	Deposit	UBS BANK USA DEPOSIT ACCOUNT	393.73
	Dec 31	Closing UBS Bank USA Deposit Account		\$4,726.82

The UBS Bank USA Deposit Account is your primary sweep option.



Filtered by: Entry Date 01/01/2018-12/31/2018, Bought

Entry Date	Settle Date	Activity	Description	Trade#	Blot	Symbol/Security#	Type	Quantity	Price/Detail	Amount
43291	7/11/2018	BOUGHT	BLACKROCK HIGH YIELD BOND I	Trade#	64263	Blot	37	156,841	\$7,640	-1198.27
43258	6/11/2018	BOUGHT	ISHARES EDGE MSCI MULTIFACTOR INTL ETF	Trade#	10693	Blot	85	855,000	\$29,555	-25269.94
43201	4/13/2018	BOUGHT	ISHARES 1-3 YR TREAS BOND ETF	Trade#	20320	Blot	85	36,000	\$83,440	-3003.84
43201	4/13/2018	BOUGHT	ISHARES 1-3 YR TREAS BOND ETF	Trade#	21654	Blot	85	28,000	\$83,440	-2336.32

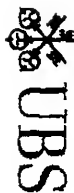
Filtered by: Entry Date 01/01/2018-12/31/2018, Exchange

Entry Date	Settle Date	Activity	Description	Trade#	Blot	Symbol/Security#	Type	Quantity	Price/Detail	Amount
43252	6/1/2018	EXCHANGE	INVESCO QQQ TRUST ETF			QQQ-226H66	1	97,000	EXCHANGE	0
43252	6/1/2018	EXCHANGE	POWERSHARES QQQ TRUST ETF SER 1			383C41	1	-97,000	EXCHANGE	0

Filtered by: Entry Date 01/01/2018-12/31/2018, Sold

Entry Date	Settle Date	Activity	Description	Trade#	Blot	Symbol/Security#	Type	Quantity	Price/Detail	Amount
43291	7/12/2018	SOLD	ISHARES 3-7 YEAR TREAS BOND ETF	Trade#	62700	Blot	85	-10,000	\$119,662	1196.6
43257	6/8/2018	SOLD	XTRACKERS MSCI EAFE HEDGED EQUITY ETF	Trade#	89962	Blot	85	-788,000	\$32,363	25501.86
43201	4/12/2018	SOLD	BLACKROCK HIGH YIELD BOND I	Trade#	68172	Blot	37	-304,338	\$7,690	2340.36
43201	4/13/2018	SOLD	ISHARES 3-7 YEAR TREAS BOND ETF	Trade#	20319	Blot	85	-25,000	\$120,340	3008.43

## **SCHEDULE 7**



UBS Financial Services Inc.  
214 E Mountcastle Drive  
Suite 1-A  
Johnson City TN 37601-2509

EPZ2002991525 1218 X12 JV 0

# Managed Accounts Consulting December 2018

**Your Financial Advisor:**  
WLP FINANCIAL GROUP  
Phone: 423-928-7144/800-723-4848

**Questions about your statement?**  
Call your financial advisor or the  
RMA ResourceLine at 800-RMA-1000,  
account 353005242.

**Visit our website:**  
[www.ubs.com/financialservices](http://www.ubs.com/financialservices)

**Your investment objectives:**  
You have identified the following  
investment objectives for this account. If  
you have questions about these  
objectives, disagree with them, or wish to  
change them, please contact your  
Financial Advisor or Branch Manager. You  
can find a full description of the  
alternative investment objectives in  
important information about your  
statement at the end of this document.

**Your return objective:**  
Current Income  
**Your risk profile:**  
Primary - Conservative  
Investment eligibility consideration -  
Aggressive

**Account name:** CLARINDA P JEANES LIVING TRUST  
**Friendly account name:** BlackRock Muri  
**Account number:**

## Value of your account

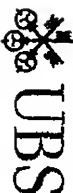
	on November 30 (\$)	on December 31 (\$)
Your assets	573,288.17	577,990.51
Your liabilities	0.00	0.00
<b>Value of your account</b>	<b>\$573,288.17</b>	<b>\$577,990.51</b>
Accrued interest in value above	\$5,524.27	\$6,155.51

As a service to you, your portfolio value of  
\$577,990.51 includes accrued interest.

- Your account instructions**
- Your account is managed by BlackRock.
  - Your account cost basis default closing method is FIFO, First In, First Out.

## Change in the value of your account

	December 2018 (\$)	Year to date (\$)
<b>Opening account value</b>	<b>\$573,288.17</b>	<b>\$867,394.03</b>
Withdrawals and fees, including investments transferred out	-1,500.00	-293,495.19
Dividend and interest income	1,501.85	30,329.59
Change in value of accrued interest	631.24	-1,234.72
Change in market value	4,069.25	-25,003.20
<b>Closing account value</b>	<b>\$577,990.51</b>	<b>\$577,990.51</b>



Managed Accounts Consulting  
December 2018

Account name: CLARINDA P JEANES LIVING TRUST  
Friendly account name: BlackRock Muri  
Account number:

Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

## Cash activity summary

See Account activity this month for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies to deposits at UBS Bank USA and all banks participating in the UBS FDIC Insured Deposit Program. It does not apply to deposits at UBS AG, Stamford Branch, SIPC protection applies to money market sweep fund holdings but not bank deposits. See Important Information about your statement on the last two pages of this document for details.

	December 2018 (\$)	Year to date (\$)
<b>Opening balances</b>	<b>\$958.70</b>	<b>\$102,868.55</b>
<b>Additions</b>		
Dividend and interest income	1,501.85	30,329.59
Proceeds from investment transactions	0.00	279,819.60
<b>Total additions</b>	<b>\$1,501.85</b>	<b>\$310,149.19</b>
<b>Subtractions</b>		
Professional management fees and related services	0.00	-4,493.95
Other funds debited	-1,500.00	-289,001.24
Funds withdrawn for investments bought	0.00	-118,562.00
<b>Total subtractions</b>	<b>-\$1,500.00</b>	<b>-\$412,057.19</b>
<b>Net cash flow</b>	<b>\$1.85</b>	<b>-\$101,908.00</b>
<b>Closing balances</b>	<b>\$960.55</b>	<b>\$960.55</b>

## Dividend and interest income earned

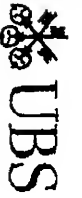
For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	December 2018 (\$)	Year to date (\$)
<b>Taxable interest</b>	<b>1.85</b>	<b>56.39</b>
<b>Tax-exempt interest</b>	<b>1,500.00</b>	<b>26,938.89</b>
<b>Tax-exempt accrued interest paid</b>	<b>0.00</b>	<b>-838.89</b>
<b>Tax-exempt accrued interest received</b>	<b>0.00</b>	<b>4,159.73</b>
<b>Total current year</b>	<b>\$1,501.85</b>	<b>\$30,316.12</b>
<b>Prior year adjustment</b>	<b>0.00</b>	<b>13.47</b>
<b>Total dividend &amp; interest</b>	<b>\$1,501.85</b>	<b>\$30,329.59</b>

## Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified.

	Realized gains and losses		Unrealized
	December 2018 (\$)	Year to date (\$)	gains and losses (\$)
<b>Short term</b>	<b>0.00</b>	<b>-2,026.80</b>	<b>-230.44</b>
<b>Long term</b>	<b>0.00</b>	<b>-1,896.01</b>	<b>838.89</b>
<b>Total</b>	<b>\$0.00</b>	<b>-\$3,922.81</b>	<b>\$608.45</b>



Managed Accounts Consulting  
December 2018

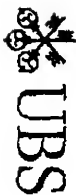
Account name: CLARINDA P JEANES LIVING TRUST  
Friendly account name: BlackRock Muni  
Account number:

Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

## UBS Bank USA Business Account APY

Interest period Nov 7 - Dec 6

Opening UBS Bank USA Business balance Nov 7	\$2,833.70
Closing UBS Bank USA Business balance Dec 6	\$2,460.55
Number of days in interest period	30
Average daily balance	\$5,260.41
Interest earned	\$1.85
Annual percentage yield earned	0.43%



# Managed Accounts Consulting

December 2018

Account name: CLARINDA P JEANES LIVING TRUST  
Friendly account name: BlackRock Muni  
Account number:

Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

## Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See Important Information about your statement at the end of this document for more information.

### Cash

#### Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS FDIC Insured Program Bank Balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA and all UBS FDIC Insured Program Bank deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC.

See the Important Information about your statement at the end of this document for details about those balances.

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/interest period	Days in period	Cap amount (\$)
Cash	-3,125.00	-1,500.00					
UBS BANK USA BUS ACCT	4,083.70	2,460.55					250,000.00
<b>Total</b>	<b>\$958.70</b>	<b>\$960.55</b>					

### Fixed income

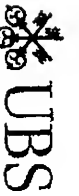
#### Municipal securities

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected. Cost basis has been automatically adjusted for mandatory amortization of bond premium on coupon tax-exempt municipal securities using the constant yield method and for accreted original issue

discount for securities issued at a discount. When original cost basis is displayed, amortization has been done using the constant yield method, otherwise amortization has been done using the straight line method.

Holding	Trade date	Total face value at maturity (\$)	Purchase price(\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
KNOXVILLE TN GAS SR 5 RV BE/R/ RATE 04.000% MATURES 03/01/19 ACCRUED INTEREST \$333.33 CUSIP 499764RG5 Moody: Aa2 S&P: AA EAT: \$500 Current yield: 3.99% Original cost basis: \$28,948.00	Apr 02, 13	25,000.000	100.459	25,114.97	100.371	25,092.75	-22.22	LT

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Managed Accounts Consulting  
December 2018

Account name: CLARINDA P JEANES LIVING TRUST  
Friendly account name: BlackRock Muni  
Account number:

Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

Your assets - Fixed income - Municipal securities (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price(\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
TENNESSEE ST								
SR A	R/							
RATE 05.000% MATURES 08/01/20								
ACCRUED INTEREST \$1,041.66								
CUSIP 8805415M0								
Moody: Aaa S&P: AAA								
EAI: \$2,500 Current yield: 4.76%								
Original cost basis: \$60,047.50								
	Apr 15, 14	50,000.000	105.248	52,624.09	104.970	52,485.00	-139.09	LT
SHELBY CNTY TN								
SR A	BE/R							
RATE 04.000% MATURES 03/01/21								
ACCRUED INTEREST \$666.66								
CUSIP 821686160								
Moody: Aa1 S&P: AA+								
EAI: \$2,000 Current yield: 3.82%								
Original cost basis: \$58,041.50								
	Mar 26, 13	50,000.000	104.623	52,311.89	104.684	52,342.00	30.11	LT
WEST KNOX UTIL DIST KNOX								
RV	BE/R							
RATE 05.000% MATURES 06/01/22								
ACCRUED INTEREST \$83.33								
CUSIP 953564G5								
Moody: Not rated S&P: AA+								
EAI: \$1,000 Current yield: 4.56%								
Original cost basis: \$22,890.00								
	Dec 08, 16	20,000.000	109.268	21,853.71	109.669	21,933.80	80.09	LT
TENNESSEE ST SCH BD AUTH								
SR B	BE/R							
RATE 05.000% MATURES 11/01/22								
ACCRUED INTEREST \$208.33								
CUSIP 880558HF1								
Moody: Aa1 S&P: AA+								
EAI: \$1,250 Current yield: 4.49%								
Original cost basis: \$28,929.50								
	Dec 20, 16	25,000.000	110.492	27,623.18	111.390	27,847.50	224.32	LT

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Managed Accounts Consulting  
December 2018

Account name: CLARINDA P JEANES LIVING TRUST  
Friendly account name: BlackRock Muni  
Account number:

Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

Your assets , Fixed Income , Municipal securities (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price(\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
JACKSON TN ENERGY AUTH E RV BE/R/ RATE 05.000% MATURES 05/01/23 ACCRUED INTEREST \$416.66 CUSIP 468730CA5 Moody: Aa2 S&P: Not rated EAI: \$2,500 Current yield: 4.45% Original cost basis: \$60,375.50	Nov 20, 14	50,000.000	111.187	55,593.70	112.287	56,143.50	549.80	LT
FRANKLIN CNTY OH HOSP FA RV BE/R/ RATE 05.000% MATURES 05/15/23 ACCRUED INTEREST \$319.44 CUSIP 353187BE6 Moody: Aa2 S&P: AA+ EAI: \$2,500 Current yield: 4.45% Original cost basis: \$61,285.50	Mar 27, 13	50,000.000	110.510	55,255.11	112.438	56,219.00	963.89	LT
WILLIAMSON CNTY TN SR B BE/R/ RATE 05.000% MATURES 04/01/24 ACCRUED INTEREST \$625.00 CUSIP 969872E0 Moody: Aaa S&P: Not rated EAI: \$2,500 Current yield: 4.35% Original cost basis: \$61,711.00	Nov 04, 15	50,000.000	115.070	57,535.07	114.970	57,485.00	-50.07	LT
RUTHERFORD CNTY TENN GO BE/R/ RATE 05.000% MATURES 04/01/24 DATED DATE 08/30/18 ACCRUED INTEREST \$336.11 CUSIP 783244HM1 Moody: Aa1 S&P: AA+ EAI: \$1,000 Current yield: 4.36% Original cost basis: \$23,064.40	Aug 15, 18	20,000.000	114.447	22,889.55	114.809	22,961.80	72.25	ST

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Managed Accounts Consulting  
December 2018

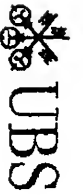
Account name: CLARINDA P JEANES LIVING TRUST  
Friendly account name: BlackRock Muni  
Account number:

Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

Your assets, Fixed income, Municipal securities (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price(\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>MAURY CNTY TN</b>								
BE/R/								
RATE 05.000% MATURES 04/01/25								
ACCRUED INTEREST \$625.00								
CUSIP 577625XH4								
Moody: Aa2 S&P: Not rated								
EAI: \$2,500 Current yield: 4.30%								
Original cost basis: \$60,930.50	May 28, 15	50,000.000	114.498	57,249.30	116.377	58,188.50	939.20	LT
<b>JOHNSON CITY TN</b>								
SR A BE/R/								
RATE 05.000% MATURES 06/01/26								
ACCRUED INTEREST \$166.66								
CUSIP 478251N14								
Moody: Aa2 S&P: Not rated								
EAI: \$2,000 Current yield: 4.21%								
Original cost basis: \$49,286.40	Dec 15, 17	40,000.000	120.556	48,222.49	118.749	47,499.60	-722.89	LT
<b>LAFAYETTE PARISH LA SCH</b>								
RV BE/R/								
RATE 05.000% MATURES 04/01/27								
ACCRUED INTEREST \$500.00								
CUSIP 506624QF5								
S&P: AA								
EAI: \$2,000 Current yield: 4.20%								
Original cost basis: \$48,569.60	Jan 19, 18	40,000.000	119.848	47,939.49	119.092	47,636.80	-302.69	ST
<b>CLARKSVILLE TN WTR SWR</b>								
RV BE/R/								
RATE 05.000% MATURES 02/01/41								
CALLABLE 02/01/26 @ 100.00								
ACCRUED INTEREST \$833.33								
CUSIP 182618LU0								
Moody: Aa2 S&P: Not rated								
EAI: \$2,000 Current yield: 4.44%								
Original cost basis: \$46,828.00	Dec 28, 17	40,000.000	115.133	46,053.45	112.598	45,039.20	-1,014.25	LT
<b>Total</b>		<b>\$510,000.000</b>		<b>\$570,266.00</b>		<b>\$570,874.45</b>	<b>\$608.45</b>	
<b>Total accrued interest: \$6,155.51</b>								
<b>Total estimated annual income: \$24,250</b>								

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# Managed Accounts Consulting

December 2018

Account name: CLARINDA P JEANES LIVING TRUST  
 Friendly account name: BlackRock Muni  
 Account number:

Your Financial Advisor:  
 WLP FINANCIAL GROUP  
 423-928-7144/800-729-4848

## Your assets (continued)

### Your total assets

	Cash and money balances	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash		960.55	0.17%	960.55		
Fixed Income	Municipal securities	570,874.45		570,266.00	24,250.00	608.45
	Total accrued interest	6,155.51				
	Total fixed income	577,029.96	99.83%	570,266.00	24,250.00	608.45
Total		\$577,990.51	100.00%	\$571,226.55	\$24,250.00	\$608.45

## Account activity this month

### Dividend and Interest Income

#### Taxable interest

#### Tax-exempt interest

Date	Activity	Description	Amount (\$)
Dec 7	Interest	UBS BANK USA BUSINESS ACCOUNT AS OF 12/06/18	1.85
Total taxable interest			\$1.85
Dec 3	Interest	WEST KNOX UTIL DIST KNOX RV PAID ON 20000AS OF 12/01/18 CUSIP: 953564GES	500.00
Dec 3	Interest	JOHNSON CITY TN SR A 40000 AS OF 12/01/18 CUSIP: 478251NT4	1,000.00
Total tax-exempt interest			\$1,500.00
Total dividend and interest income			\$1,501.85

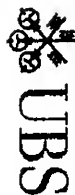
### Other funds debited

Date	Activity	Description	Amount (\$)
Dec 31	Transfer	EARNINGS DISBURSEMENT TO JY 01954 CLARINDA P JEANES LIVING TRUST	-1,500.00
Total other funds debited			-\$1,500.00

### Money balance activities

Date	Activity	Description	Amount (\$)
Nov 30	Balance forward		\$4,083.70
Dec 3	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 11/30/18	-3,125.00
Dec 4	Deposit	UBS BANK USA BUSINESS ACCOUNT	1,500.00
Dec 7	Deposit	UBS BANK USA BUSINESS ACCOUNT AS OF 12/06/18	1.85
Dec 31	Closing	UBS Bank USA Business Account	\$2,460.55

The UBS Bank USA Business Account is your primary sweep option.



Managed Accounts Consulting  
December 2018

Account name: CLARINDA P JEANES LIVING TRUST  
Friendly account name: BlackRock Muni  
Account number:

Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

## Summary of approved payees and recurring payments

Based on your instructions, the following payees and recurring payments are considered "approved payees". As a result, (1) you may authorize additional transfers to these payees verbally and (2) you are no longer receiving individual confirmations for payments to these payees.

The payees and recurring payments listed below do not include Bill Payments, Electronic Funds Transfers and certain other transfers between accounts at UBS. If you have additional payees and/or recurring instructions on file, you will receive separate confirmations from your Financial Advisor.

To update or cancel any of these instructions, please contact your Financial Advisor.

Payee description	Amount (\$)	Disbursement method	Type/Event	Frequency	Start date	End date	Last used date
Y01954	varied	Transfer	Payee on file	Upon request	n/a	n/a	Dec 29, 18



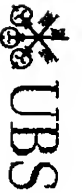
Filtered by: Entry Date 01/01/2018-12/31/2018, Bought

Entry Date	Settlement Date	Activity	Description	Symbol/Security	Type	Quantity	Unit Cost	Amount
08/15/18	08/30/18	BOUGHT	RUTHERFORD CNTY TENN GO BE/R/	EJ2BW5	1	20,000.000	\$115.322	-23,064.40
01/19/18	02/27/18	BOUGHT	LAFAYETTE PARISH LA SCH RV BE/R/	CL1NP1	1	40,000.000	\$121.674	-48,669.60

Filtered by: Entry Date 01/01/2018-12/31/2018, Sold

Entry Date	Settlement Date	Activity	Description	Symbol/Security	Type	Quantity	Unit Cost	Amount
07/25/18	07/27/18	SOLD	KNOXVILLE TN GAS SR S RV BE/R/	BL7078	1	-25,000.000	\$101.338	25740.06
05/18/18	05/22/18	SOLD	WASHINGTON CNTY TENN SR B. BE/R/	EH4L47	1	-40,000.000	\$117.066	47776.4
05/18/18	05/22/18	SOLD	MONTGOMERY CO TN BE/R/	BJ6556	1	-50,000.000	\$107.877	54292.67
05/18/18	05/22/18	SOLD	DISTRICT COLUMBIA INCOME SR C BE/R/	BL11J8	1	-50,000.000	\$111.176	56775.5
05/18/18	05/22/18	SOLD	MET GOVT NASHVILLE&DAVID SR A BE/R/	BL8P08	1	-50,000.000	\$104.710	53334.17
05/18/18	05/22/18	SOLD	FRANKLIN TN BE/R/	CH6WP7	1	-40,000.000	\$114.443	46060.53

## **SCHEDULE 8**



UBS Financial Services Inc.  
214 E Mountcastle Drive  
Suite 1-A  
Johnson City TN 37601-2509

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# Portfolio Management Program December 2018

**Your Financial Advisor:**  
WLP FINANCIAL GROUP  
Phone: 423-928-7144/800-729-4848

**Questions about your statement?**  
Call your Financial Advisor or the  
ResourceLine at 800-762-1000,  
account 353005931.

**Visit our website:**  
[www.ubs.com/financialservices](http://www.ubs.com/financialservices)

**Your investment objectives:**  
You have identified the following  
investment objectives for this account. If  
you have questions about these  
objectives, disagree with them, or wish to  
change them, please contact your  
Financial Advisor or Branch Manager. You  
can find a full description of the  
alternative investment objectives in  
*Important information about your  
statement* at the end of this document.

**Your return objective:**  
Current income & capital appreciation

**Your risk profile:**  
Primary - Moderate  
Investment eligibility consideration - None  
selected

**Account name:** JEANES INVESTMENTS LLC  
**Friendly account name:** Jeanes Inv LLC  
**Account number:**

## Value of your account

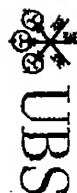
	on November 30 (\$)	on December 31 (\$)
Your assets	3,680,592.27	3,461,148.25
Your liabilities	0.00	0.00
<b>Value of your account</b>	<b>\$3,680,592.27</b>	<b>\$3,461,148.25</b>
Accrued interest in value above	\$596.74	\$893.60

As a service to you, your portfolio value of  
\$3,461,148.25 includes accrued interest.

- Your account instructions**
- Your account is managed by your  
Financial Advisor under the Portfolio  
Management Program.
  - Your account cost basis default closing  
method is FIFO, First In, First Out.

## Change in the value of your account

	December 2018 (\$)	Year to date (\$)
<b>Opening account value</b>	<b>\$3,680,592.27</b>	<b>\$3,798,075.34</b>
Withdrawals and fees, including investments transferred out	-12,858.13	-183,176.75
<b>Dividend and interest income</b>	<b>63,171.41</b>	<b>144,477.02</b>
Change in value of accrued interest	296.86	-34.62
Change in market value	-270,054.16	-298,192.74
<b>Closing account value</b>	<b>\$3,461,148.25</b>	<b>\$3,461,148.25</b>



# Portfolio Management Program

December 2018

Account name: JEANES INVESTMENTS LLC  
 Friendly account name: Jeanes Inv LLC  
 Account number:

Your Financial Advisor:  
 WLP FINANCIAL GROUP  
 423-928-7144/800-729-4848

## Cash activity summary

See Account activity this month for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC Insurance applies to deposits at UBS Bank USA and all banks participating in the UBS FDIC Insured Deposit Program. It does not apply to deposits at UBS AG, Stamford Branch, SIPC protection applies to money market sweep fund holdings but not bank deposits. See Important information about your statement on the last two pages of this document for details.

	December 2018 (\$)	Year to date (\$)
<b>Opening balances</b>	<b>\$188,785.28</b>	<b>\$460,762.97</b>
<b>Additions</b>		
Dividend and interest income	63,171.41	144,477.02
Proceeds from investment transactions	17,756.58	479,141.35
<b>Total additions</b>	<b>\$80,927.99</b>	<b>\$623,618.37</b>
<b>Subtractions</b>		
Professional management fees and related services	0.00	-28,130.76
Other fees	0.00	-8.34
Other funds debited	-12,858.13	-155,037.65
Funds withdrawn for investments bought	-68,882.10	-713,231.55
<b>Total subtractions</b>	<b>-\$81,740.23</b>	<b>-\$896,408.30</b>
<b>Net cash flow</b>	<b>-\$812.24</b>	<b>-\$272,789.93</b>
<b>Closing balances</b>	<b>\$187,973.04</b>	<b>\$187,973.04</b>

## Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	December 2018 (\$)	Year to date (\$)
<b>Taxable dividends</b>	<b>13,841.33</b>	<b>78,096.15</b>
<b>Long-term capital gains</b>	<b>48,810.03</b>	<b>55,098.13</b>
<b>Tax-exempt dividends</b>	<b>407.10</b>	<b>4,667.93</b>
<b>Taxable interest</b>	<b>112.95</b>	<b>4,801.83</b>
<b>Total current year</b>	<b>\$63,171.41</b>	<b>\$142,664.04</b>
Prior year adjustment	0.00	1,812.98
<b>Total dividend &amp; interest</b>	<b>\$63,171.41</b>	<b>\$144,477.02</b>

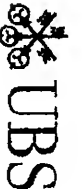
## Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified.

	Realized gains and losses	Unrealized
	December 2018 (\$)	Year to date (\$)
Short term	427.96	-33,577.21
Long term	7,475.13	49,884.09
<b>Total</b>	<b>\$7,903.09</b>	<b>\$16,306.88</b>

## Withholdings and tax summary

	December 2018 (\$)	Year to date (\$)
Foreign taxes paid	-4.63	-495.65



Portfolio Management Program  
December 2018

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## UBS Bank USA Business Account APY

Interest period Nov 7 - Dec 6

Opening UBS Bank USA Business balance Nov 7	\$199,123.80
Closing UBS Bank USA Business balance Dec 6	\$189,628.17
Number of days in interest period	30
Average daily balance	\$360,472.62
Interest earned	\$116.59
Annual percentage yield earned	0.39%



# Portfolio Management Program

December 2018

Account name: JEANES INVESTMENTS LLC  
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## Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See important information about your statement at the end of this document for more information.

### Cash

#### Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS FDIC Insured Program Bank Balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

See the important information about your statement at the end of this document for details about those balances.

UBS Bank USA and all UBS FDIC Insured Program Bank deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC.

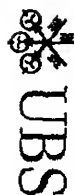
Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
Cash	513.46	0.00					
UBS BANK USA BUS ACCT	188,271.82	187,973.04					250,000.00
<b>Total</b>	<b>\$188,785.28</b>	<b>\$187,973.04</b>					

### Equities

#### Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
ACCENTURE PLC IRELAND CL A								
Symbol: ACN Exchange: NYSE								
EAI: \$55 Current yield: 2.05%								
	Oct 17, 18	19,000	159.040	3,021.76	141.010	2,679.19	-342.57	ST
BLACKROCK INC								
Symbol: BLK Exchange: NYSE								
EAI: \$50 Current yield: 3.18%								
	Oct 17, 18	4,000	411.390	1,645.56	392.820	1,571.28	-74.28	ST
CHEVRON CORP								
Symbol: CVX Exchange: NYSE								
EAI: \$184 Current yield: 4.13%								
	Sep 12, 17	5,000	112.890	564.45	108.790	543.95	-20.50	LT
	Oct 15, 18	11,000	117.580	1,293.38	108.790	1,196.69	-96.69	ST
	Oct 17, 18	25,000	116.810	2,920.25	108.790	2,719.75	-200.50	ST
Security total		41,000	116.539	4,778.08		4,460.39	-317.69	

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# Portfolio Management Program

December 2018

Account name: JEANES INVESTMENTS LLC  
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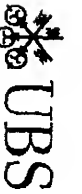
Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

## Your assets, Equities, Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>CHUBB LTD CHF</b>								
Symbol: CB Exchange: NYSE								
EAI: \$745 Current yield: 2.26%								
CHF Exchange rate: 0.98580								
	Apr 6, 16	243.000	118.982	28,912.77	129.180	31,390.74	2,477.97	LT
	Oct 17, 18	12.000	127.389	1,528.67	129.180	1,550.16	21.49	ST
Security total		255.000	119.378	30,441.44		32,940.90	2,499.46	
<b>COCA COLA CO COM</b>								
Symbol: KO Exchange: NYSE								
EAI: \$2,190 Current yield: 3.29%								
	Dec 14, 15	816.000	42.185	34,423.04	47.350	38,637.60	4,214.56	LT
	Jul 23, 18	3.000	44.890	134.67	47.350	142.05	7.38	ST
	Oct 17, 18	43.000	45.810	1,969.83	47.350	2,036.05	66.22	ST
	Nov 5, 18	542.000	48.328	26,193.83	47.350	25,663.70	-530.13	ST
Security total		1,404.000	44.673	62,721.37		66,479.40	3,758.03	
<b>COMCAST CORP NEW CL A</b>								
Symbol: CMCSA Exchange: OTC								
EAI: \$1,318 Current yield: 2.23%								
	Mar 5, 18	483.000	36.974	17,858.64	34.050	16,446.15	-1,412.49	ST
	Mar 5, 18	472.000	36.937	17,434.41	34.050	16,071.60	-1,362.81	ST
	Oct 17, 18	53.000	36.439	1,931.31	34.050	1,804.65	-126.66	ST
	Nov 5, 18	726.000	38.197	27,731.60	34.050	24,720.30	-3,011.30	ST
Security total		1,734.000	37.460	64,955.96		59,042.70	-5,913.26	
<b>CRANE CO</b>								
Symbol: CR Exchange: NYSE								
EAI: \$27 Current yield: 1.97%								
	Oct 17, 18	19.000	90.190	1,713.61	72.180	1,371.42	-342.19	ST
<b>DIAGEO PLC NEW G8 SPON ADR</b>								
Symbol: DEO Exchange: NYSE								
EAI: \$1,225 Current yield: 2.45%								
	Sep 13, 11	75.000	76.020	5,701.50	141.800	10,635.00	4,933.50	LT
	Jan 9, 12	155.000	87.330	13,536.15	141.800	21,979.00	8,442.85	LT
	Jul 8, 13	78.000	119.002	9,282.20	141.800	11,060.40	1,778.20	LT
	Mar 3, 14	27.000	123.860	3,344.22	141.800	3,828.60	484.38	LT
	Sep 12, 17	4.000	137.410	549.64	141.800	567.20	17.56	LT
	Oct 17, 18	13.000	135.389	1,760.06	141.800	1,843.40	83.34	ST
Security total		352.000	97.085	34,173.77		49,913.60	15,739.83	

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# Portfolio Management Program

December 2018

Account name: JEANES INVESTMENTS LLC  
Friendly account name: Jeanes Inv LLC  
Account number:

Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

## Your assets , Equities , Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>HOME DEPOT INC</b>								
Symbol: HD Exchange: NYSE								
EAI: \$1,421 Current yield: 2.40%								
	Aug 19, 15	328,000	123.030	40,353.84	171.820	56,356.96	16,003.12	LT
	Oct 17, 18	17,000	185.410	3,151.97	171.820	2,920.94	-231.03	ST
Security total		345,000	126.104	43,505.81		59,277.90	15,772.09	
<b>INTEL CORP</b>								
Symbol: INTC Exchange: OTC								
EAI: \$1,277 Current yield: 2.56%								
	Jul 5, 11	278,000	22.629	6,290.92	46.930	13,046.54	6,755.62	LT
	Jul 8, 11	114,000	23.117	2,635.41	46.930	5,350.02	2,714.61	LT
	Jul 8, 13	397,000	23.336	9,264.63	46.930	18,631.21	9,366.58	LT
	Mar 3, 14	139,000	24.519	3,408.27	46.930	6,523.27	3,115.00	LT
	Sep 12, 17	17,000	35.880	609.96	46.930	797.81	187.85	LT
	Oct 15, 18	44,000	44.630	1,963.72	46.930	2,064.92	101.20	ST
	Oct 17, 18	75,000	46.000	3,450.00	46.930	3,519.75	69.75	ST
Security total		1,064,000	25.961	27,622.91		49,933.52	22,310.61	
<b>JOHNSON &amp; JOHNSON COM</b>								
Symbol: JNJ Exchange: NYSE								
EAI: \$97 Current yield: 2.78%								
	Oct 17, 18	27,000	139.720	3,772.44	129.050	3,484.35	-288.09	ST
<b>JPMORGAN CHASE &amp; CO</b>								
Symbol: JPM Exchange: NYSE								
EAI: \$595 Current yield: 3.28%								
	Jan 9, 18	144,000	109.018	15,698.66	97.620	14,057.28	-1,641.38	ST
	Oct 15, 18	12,000	107.390	1,288.68	97.620	1,171.44	-117.24	ST
	Oct 17, 18	30,000	110.307	3,309.22	97.620	2,928.60	-380.62	ST
Security total		186,000	109.121	20,296.56		18,157.32	-2,139.24	
<b>LINDE PLC EUR</b>								
Symbol: LIN Exchange: NYSE								
EAI: \$630 Current yield: 2.11%								
EUR Exchange rate: 0.87477								
	Sep 12, 16	183,000	163.455	29,912.27	156.040	28,555.32	-1,356.95	LT
	Oct 17, 18	8,000	163.455	1,307.64	156.040	1,248.32	-59.32	ST
Security total		191,000	163.455	31,219.91		29,803.64	-1,416.27	
<b>LOCKHEED MARTIN CORP</b>								
Symbol: LMT Exchange: NYSE								
EAI: \$194 Current yield: 3.37%								
	Oct 17, 18	4,000	334.945	1,339.78	261.840	1,047.36	-292.42	ST

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# Portfolio Management Program

December 2018

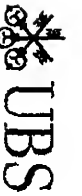
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## Your assets, Equities, Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>MARSH &amp; MCLENNAN COS INC</b>								
Symbol: MMC Exchange: NYSE								
EAI: \$933 Current yield: 2.08%								
Nov 5, 18		17,000	302.888	5,149.11	261.840	4,451.28	-697.83	ST
Nov 5, 18		1,000	303.100	303.10	261.840	261.84	-41.26	ST
Nov 5, 18		22,000	308.727	6,791.99		5,760.48	-1,031.51	
<b>Security total</b>								
<b>MCDONALDS CORP</b>								
Symbol: MCD Exchange: NYSE								
EAI: \$1,392 Current yield: 2.61%								
Sep 8, 15		538,000	53.379	28,718.23	79.750	42,905.50	14,187.27	LT
Oct 17, 18		24,000	80.920	1,942.08	79.750	1,914.00	-28.08	ST
Oct 17, 18		562,000	54.556	30,660.31		44,819.50	14,159.19	
<b>Security total</b>								
<b>MCDONALDS CORP</b>								
Symbol: MCD Exchange: NYSE								
EAI: \$1,392 Current yield: 2.61%								
Nov 10, 15		176,000	112.448	19,790.85	177.570	31,252.32	11,461.47	LT
Nov 6, 17		110,000	169.425	18,636.84	177.570	19,532.70	895.86	LT
Oct 17, 18		14,000	167.394	2,343.52	177.570	2,485.98	142.46	ST
Oct 17, 18		300,000	135.904	40,771.21		53,271.00	12,499.79	
<b>Security total</b>								
<b>MEDTRONIC PLC</b>								
Symbol: MDT Exchange: NYSE								
EAI: \$964 Current yield: 2.20%								
Jan 27, 15		397,000	75.668	30,040.37	90.960	36,111.12	6,070.75	LT
Jan 27, 15		58,000	75.668	4,388.77	90.960	5,275.68	886.91	LT
Oct 17, 18		27,000	96.860	2,615.22	90.960	2,455.92	-159.30	ST
Oct 17, 18		482,000	76.856	37,044.36		43,842.72	6,798.36	
<b>Security total</b>								
<b>MICROSOFT CORP</b>								
Symbol: MSFT Exchange: OTC								
EAI: \$1,811 Current yield: 1.81%								
Dec 9, 13		846,000	38.559	32,621.68	101.570	85,928.22	53,306.54	LT
Mar 3, 14		90,000	37.980	3,418.20	101.570	9,141.30	5,723.10	LT
Oct 17, 18		48,000	110.590	5,308.32	101.570	4,875.36	-432.96	ST
Oct 17, 18		984,000	42.021	41,348.20		99,944.88	58,596.68	
<b>Security total</b>								
<b>NEXTERA ENERGY INC COM</b>								
Symbol: NEE Exchange: NYSE								
EAI: \$670 Current yield: 2.55%								
Jul 8, 13		101,000	80.487	8,129.21	173.820	17,555.82	9,426.61	LT
Mar 3, 14		38,000	91.080	3,461.04	173.820	6,605.16	3,144.12	LT
Oct 17, 18		12,000	170.780	2,049.36	173.820	2,085.84	36.48	ST
Oct 17, 18		151,000	90.329	13,639.61		26,246.82	12,607.21	
<b>Security total</b>								

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# Portfolio Management Program

December 2018

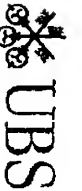
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Account number:

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423-928-7144/800-729-4848

## Your assets , Equities , Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>NOVARTIS AG SPON ADR</b>								
Symbol: NVS Exchange: NYSE								
EAI: \$1,232 Current yield: 2.90%								
	Jan 9, 12	303,000	56.865	17,230.39	85.810	26,000.43	8,770.04	LT
	Jul 8, 13	130,000	71.214	9,257.94	85.810	11,155.30	1,897.36	LT
	Mar 3, 14	41,000	82.130	3,367.33	85.810	3,518.21	150.88	LT
	Oct 17, 18	21,000	85.390	1,793.19	85.810	1,802.01	8.82	ST
Security total		495,000	63.937	31,648.85		42,475.95	10,827.10	
<b>REPUBLIC SERVICES INC</b>								
Symbol: RSG Exchange: NYSE								
EAI: \$522 Current yield: 2.08%								
	Jun 19, 18	121,000	68.799	8,324.69	72.090	8,722.89	398.20	ST
	Jul 23, 18	205,000	68.100	13,960.50	72.090	14,778.45	817.95	ST
	Oct 17, 18	22,000	71.800	1,579.60	72.090	1,585.98	6.38	ST
Security total		348,000	68.577	23,864.79		25,087.32	1,222.53	
<b>ROCKWELL AUTOMATION INC NEW</b>								
Symbol: ROK Exchange: NYSE								
EAI: \$31 Current yield: 2.58%								
	Oct 17, 18	8,000	171.720	1,373.76	150.480	1,203.84	-169.92	ST
<b>SUNCOR ENERGY INC NEW CAD</b>								
Symbol: SU Exchange: NYSE								
EAI: \$465 Current yield: 3.78%								
CAD Exchange rate: 1.36580								
	Sep 12, 17	16,000	33.080	529.28	27.970	447.52	-81.76	LT
	Oct 17, 18	54,000	36.240	1,956.96	27.970	1,510.38	-446.58	ST
	Dec 10, 18	370,000	31.038	11,484.36	27.970	10,348.90	-1,135.46	ST
Security total		440,000	31.751	13,970.60		12,306.80	-1,663.80	
<b>SUNTRUST BANKS INC</b>								
Symbol: STI Exchange: NYSE								
EAI: \$782 Current yield: 3.97%								
	Apr 9, 18	236,000	68.145	16,082.26	50.440	11,903.84	-4,178.42	ST
	Oct 15, 18	10,000	62.250	622.50	50.440	504.40	-118.10	ST
	Oct 17, 18	33,000	62.630	2,066.79	50.440	1,664.52	-402.27	ST
	Dec 10, 18	112,000	55.694	6,237.80	50.440	5,649.28	-588.52	ST
Security total		391,000	63.963	25,009.35		19,722.04	-5,287.31	
<b>TEXAS INSTRUMENTS</b>								
Symbol: TXN Exchange: OTC								
EAI: \$65 Current yield: 3.28%								
	Oct 17, 18	21,000	102.240	2,147.04	94.500	1,984.50	-162.54	ST

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# Portfolio Management Program

December 2018

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423-928-7144/800-729-4848

## Your assets, Equities, Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>UNION PACIFIC CORP</b>								
Symbol: UNP Exchange: NYSE								
EAI: \$38 Current yield: 2.29%	Oct 17, 18	12,000	149.370	1,792.44	138.230	1,658.76	-133.68	ST
<b>UNITED TECHNOLOGIES CORP</b>								
Symbol: UTX Exchange: NYSE								
EAI: \$1,100 Current yield: 2.76%	Jan 9, 12	235,000	73.849	17,354.73	106.480	25,022.80	7,668.07	LT
	Jul 8, 13	95,000	97.267	9,240.41	106.480	10,115.60	875.19	LT
	Mar 3, 14	29,000	116.290	3,372.41	106.480	3,087.92	-284.49	LT
	Oct 17, 18	15,000	131.388	1,970.82	106.480	1,597.20	-373.62	ST
Security total		374,000	85.397	31,938.37		39,823.52	7,885.15	
<b>VERIZON COMMUNICATIONS INC</b>								
Symbol: VZ Exchange: NYSE								
EAI: \$328 Current yield: 4.29%	Feb 24, 14	136,000	48.115	6,543.64	56.220	7,645.92	1,102.28	LT
<b>VF CORP</b>								
Symbol: VFC Exchange: NYSE								
EAI: \$1,136 Current yield: 2.86%	Nov 16, 12	294,000	38.798	11,406.69	71.340	20,973.96	9,567.27	LT
	Jul 8, 13	188,000	49.747	9,352.53	71.340	13,411.92	4,059.39	LT
	Mar 3, 14	59,000	58.190	3,433.21	71.340	4,209.06	775.85	LT
	Oct 17, 18	16,000	89.290	1,428.64	71.340	1,141.44	-287.20	ST
Security total		557,000	45.998	25,621.07		39,736.38	14,115.31	
Total				\$664,034.77		\$844,646.04	\$180,611.27	
Total estimated annual income: \$21,477								

## Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

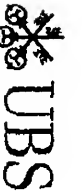
Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
ALPS INTL SECTOR DIVID DOGS									
ETF									

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# Portfolio Management Program

December 2018

Account name: JEANES INVESTMENTS LLC  
Friendly account name: Jeanes Inv LLC  
Account number:

Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

## Your assets , Equities , Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
<b>Symbol: IDOG</b>									
Trade date: Oct 29, 18	5,750,000	25.010	143,812.79	143,812.79	23.820	136,965.00	-6,847.79	-6,847.79	ST
EAI: \$6,158 Current yield: 4.50%									
<b>ENERGY SELECT SECTOR SPDR FUND</b>									
<b>ETF</b>									
<b>Symbol: XLE</b>									
Trade date: May 17, 17	2,564,000	67.489	173,044.10	173,044.10	57.350	147,045.40	-25,998.70	-25,998.70	LT
EAI: \$5,205 Current yield: 3.54%									
<b>SPDR S&amp;P MIDCAP 400 ETF TR</b>									
<b>Symbol: MDY</b>									
Trade date: Jun 24, 16	200,000	265.030	53,006.06	53,006.06	302.670	60,534.00	7,527.94	7,527.94	LT
EAI: \$839 Current yield: 1.39%									
<b>SPDR S&amp;P 500 ETF TR</b>									
<b>Symbol: SPY</b>									
Trade date: Dec 15, 14	1,732,000	200.104	346,580.99	346,580.99	249.920	432,861.44	86,280.45	86,280.45	LT
EAI: \$8,833 Current yield: 2.04%									
<b>VANGUARD GROWTH ETF</b>									
<b>Symbol: VUG</b>									
Trade date: Jun 24, 16	500,000	104.434	52,217.05	52,217.05	134.330	67,165.00	14,947.95	14,947.95	LT
EAI: \$887 Current yield: 1.32%									
<b>Total</b>			<b>\$768,660.99</b>	<b>\$768,660.99</b>		<b>\$844,570.84</b>	<b>\$75,909.85</b>	<b>\$75,909.85</b>	
<b>Total estimated annual income: \$21,922</b>									



# Portfolio Management Program

December 2018

Account name: JEANES INVESTMENTS LLC  
Friendly account name: Jeanes Inv LLC  
Account number:

Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

## Your assets, Equities (continued)

### Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
<b>AMER FUNDS EURO-PACIFIC GROWTH FUND CLASS F2</b>									
Symbol: AEPFX									
Trade date: Jan 17, 06	1,179,669	42.384	50,000.00	50,000.00	44.910	52,978.95	2,978.95		LT
Total reinvested	568,184	43.913		24,951.00	44.910	25,517.12	566.12		
EAI: \$1,313 Current yield: 1.67%									
Security total	1,747,853	42.882	50,000.00	74,951.00		78,496.07	3,545.07	28,496.07	
<b>CULLEN HIGH DIVIDEND EQUITY 1</b>									
Symbol: CHDVX									
Trade date: Aug 17, 11	7,532,415	12.116	91,269.37	91,269.37	15.280	115,095.30	23,825.93		LT
Total reinvested	2,562,451	16.530		42,359.38	15.280	39,154.25	-3,205.13		
EAI: \$4,219 Current yield: 2.74%									
Security total	10,094,866	13.237	91,269.37	133,628.75		154,249.55	20,620.80	62,980.18	
<b>THE INVESTMENT COMPANY OF AMERICA FUND CLASS F2</b>									
Symbol: ICAFX									
Trade date: Mar 9, 92	281,604	17.762	5,002.00	5,002.00	33.900	9,546.36	4,544.36		LT
Trade date: Apr 8, 92	287,595	17.392	5,002.00	5,002.00	33.900	9,749.48	4,747.48		LT
Trade date: Jun 12, 92	279,870	17.872	5,002.00	5,002.00	33.900	9,487.60	4,485.60		LT
Trade date: Dec 20, 95	40,368	21.504	868.11	868.11	33.900	1,368.49	500.38		LT
Trade date: Dec 20, 95	6,603	21.503	141.98	141.98	33.900	223.83	81.85		LT
Trade date: Dec 20, 95	2,547	21.503	54.76	54.76	33.900	86.33	31.57		LT
Trade date: Mar 5, 96	5,666	22.525	127.64	127.64	33.900	192.09	64.45		LT

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# Portfolio Management Program

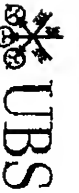
December 2018

Account name: JEANES INVESTMENTS LLC  
 Friendly account name: Jeanes Inv LLC  
 Account number:

Your Financial Advisor:  
 WLP FINANCIAL GROUP  
 423-928-7144/800-729-4848

## Your assets, Equities, Mutual funds (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost base (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
Trade date: Jun 12, 96	5,532	23.194	128.32	128.32	33.900	187.55	59.23		LT
Trade date: Sep 10, 96	5,684	22.693	128.98	128.98	33.900	192.67	63.69		LT
Trade date: Dec 27, 96	44,610	24.463	1,091.33	1,091.33	33.900	1,512.29	420.96		LT
Trade date: Dec 27, 96	6,184	24.463	151.27	151.27	33.900	209.62	58.35		LT
Trade date: Dec 27, 96	0,883	24.467	21.61	21.61	33.900	29.94	8.33		LT
Trade date: Mar 11, 97	5,192	26.165	135.86	135.86	33.900	176.02	40.16		LT
Trade date: Jun 10, 97	4,941	27.622	136.49	136.49	33.900	167.51	31.02		LT
Trade date: Sep 9, 97	4,587	29.883	137.08	137.08	33.900	155.50	18.42		LT
Trade date: Dec 23, 97	105,471	27.653	2,916.59	2,916.59	33.900	3,575.46	658.87		LT
Trade date: Dec 23, 97	5,806	27.653	160.57	160.57	33.900	196.84	36.27		LT
Trade date: Dec 23, 97	2,364	27.656	65.37	65.37	33.900	80.13	14.76		LT
Trade date: Sep 22, 99	908,771	33.016	30,004.00	30,004.00	33.900	30,807.34	803.34		LT
Trade date: Aug 11, 00	165,334	31.851	5,266.20	5,266.20	33.900	5,604.83	338.63		LT
Trade date: Oct 8, 07	132,730	37.710	5,005.25	5,005.25	33.900	4,499.54	-505.71		LT
Total reinvested	4,666,431	32.987		153,935.31	33.900	158,192.02	4,256.71		
EAI: \$4,809 Current yield: 2.04%									
Security total	6,968,774	30.921	61,547.41	215,482.72		236,241.43	20,758.72	174,694.03	
Total			\$202,816.78	\$424,062.47		\$468,987.05	\$44,924.59	\$266,170.27	
Total estimated annual income: \$10,341									



# Portfolio Management Program

December 2018

Account name: JEANES INVESTMENTS LLC  
Friendly account name: Jeanes Inv LLC  
Account number:

Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

## Your assets (continued)

### Fixed income

#### Corporate bonds and notes

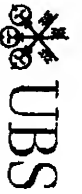
Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected. Cost basis has been adjusted for accreted original issue discount (OID). Cost basis has been

automatically adjusted for amortization of bond premium using the constant yield method. If you have made a tax election to deduct the premium amortization on taxable debt securities, you may request UBS adjust cost basis for the bond premium amortization.

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>UNIT UTILITIES PLC</b>								
CALL@MMW+15BP								
RATE 05.375% MATURES 02/01/19								
ACCRUED INTEREST \$223.95								
CUSIP 913111QAES								
Moody: Baa1 S&P: BBB								
EAI: \$269 Current yield: 5.37%								
Original cost basis: \$10,646.70								
	Nov 28, 11	10,000,000	100.086	10,008.63	100.139	10,013.90	5.27	LT
<b>NATL RURAL UTIL COOP FIN</b>								
NTS B/E								
RATE 04.050% MATURES 04/15/20								
ACCRUED INTEREST \$171.00								
CUSIP 63743FMMMS								
Moody: A2 S&P: A								
EAI: \$810 Current yield: 4.03%								
Original cost basis: \$20,625.20								
	Sep 12, 11	20,000,000	100.532	20,106.42	100.414	20,082.80	-23.62	LT
<b>CORN PRODUCTS INTL INC</b>								
OBP NTS B/E								
RATE 04.625% MATURES 11/01/20								
ACCRUED INTEREST \$77.08								
CUSIP 219023AE5								
Moody: Baa1 S&P: BBB								
EAI: \$463 Current yield: 4.54%								
Original cost basis: \$10,644.50								
	Aug 31, 11	10,000,000	101.470	10,147.08	101.789	10,178.90	31.82	LT

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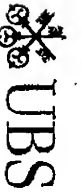
Portfolio Management Program  
December 2018

Account name: JEANES INVESTMENTS LLC  
Friendly account name: Jeanes Inv LLC  
Account number:

Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

Your assets , Fixed income , Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
LABORATORY CORP OF AMER								
ORP								
RATE 04.625% MATURES 11/15/20								
ACCRUED INTEREST \$59.09								
CUSIP 50540RAJ1								
Moody: Baa2 S&P: BBB								
EAI: \$463 Current yield: 4.53%								
Original cost basis: \$10,770.50								
	Aug 12, 11	10,000.000	101.771	10,177.11	102.086	10,208.60	31.49	LT
JOHNSON CONTROLS INC B/E								
CALL @MW+15BP								
RATE 04.250% MATURES 03/01/21								
ACCRUED INTEREST \$141.66								
CUSIP 478366AX5								
Moody: WR S&P: Not rated								
EAI: \$425 Current yield: 4.17%								
Original cost basis: \$10,506.20								
	Nov 14, 11	10,000.000	101.333	10,133.34	101.918	10,191.80	58.46	LT
LOCKHEED MARTIN CORP								
CALL @MAKE								
RATE 03.350% MATURES 09/15/21								
ACCRUED INTEREST \$98.63								
CUSIP 539830AY5								
Moody: Baa1 S&P: BBB+								
EAI: \$335 Current yield: 3.33%								
	Oct 07, 11	10,000.000	98.853	9,885.30	100.664	10,066.40	181.10	LT
PSEG PWR LLC								
CALL @MW+30BP								
RATE 04.150% MATURES 09/15/21								
CALLABLE								
ACCRUED INTEREST \$122.19								
CUSIP 693628AY8								
Moody: Baa1 S&P: BBB+								
EAI: \$415 Current yield: 4.11%								
Original cost basis: \$10,180.20								
	Sep 21, 11	10,000.000	100.559	10,055.92	101.096	10,109.60	53.68	LT
Total		\$80,000.000		\$80,513.80		\$80,852.00	\$338.20	
Total accrued interest: \$893.60								
Total estimated annual income: \$3,180								



Portfolio Management Program  
December 2018

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423-928-7144/800-729-4848

Your assets, Fixed Income (continued)

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

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Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
<b>ISHARES 1-3 YR TREAS BOND ETF</b>									
Symbol: SHY									
Trade date: Aug 15, 14	94,000	84.590	7,951.46	7,951.46	83.620	7,860.28	-91.18		LT
Trade date: Oct 21, 14	228,000	84.840	19,343.52	19,343.52	83.620	19,065.36	-278.16		LT
Trade date: Jan 24, 17	391,000	84.530	33,051.23	33,051.23	83.620	32,695.42	-355.81		LT
Trade date: Oct 24, 17	1,179,000	84.289	99,377.61	99,377.61	83.620	98,587.98	-789.63		LT
Trade date: Apr 11, 18	390,000	83.440	32,541.60	32,541.60	83.620	32,611.80	70.20		ST
Trade date: Apr 11, 18	306,000	83.440	25,532.64	25,532.64	83.620	25,587.72	55.08		ST
EAI: \$3,724 Current yield: 1.72%									
Security total	2,588,000	84.157	217,798.06	217,798.06		216,408.56	-1,389.50	-1,389.50	
<b>ISHARES 3-7 YEAR TREAS BOND ETF</b>									
Symbol: IEI									
Trade date: Apr 18, 17	29,000	124.188	3,601.46	3,601.46	121.400	3,520.60	-80.86		LT
Trade date: Oct 24, 17	221,000	122.980	27,178.58	27,178.58	121.400	26,829.40	-349.18		LT
EAI: \$592 Current yield: 1.95%									
Security total	250,000	123.120	30,780.04	30,780.04		30,350.00	-430.04	-430.04	
<b>ISHARES FLOATING RATE BOND ETF</b>									
Symbol: FLOT									
Trade date: Apr 18, 17	1,543,000	50.842	78,449.62	78,449.62	50.360	77,705.48	-744.14	-744.14	LT
EAI: \$1,870 Current yield: 2.41%									
Total			\$327,027.72	\$327,027.72		\$324,464.04	-\$2,563.68	-\$2,563.68	
Total estimated annual income: \$6,186									

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# Portfolio Management Program

December 2018

Account name: JEANES INVESTMENTS LLC  
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 WLP FINANCIAL GROUP  
 423-928-7144/800-729-4848

## Your assets, Fixed income (continued)

### Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

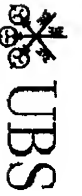
Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
<b>BLACKROCK FLOATING RATE INCOME</b>									
<b>PORTFOLIONSTITUTIONAL</b>									
Symbol: BFRIX									
Trade date: Jan 26, 16	10,366,205	9.819	101,796.13	101,796.13	9.680	100,344.86	-1,451.27		LT
Trade date: Oct 21, 16	2,556,539	10.169	26,000.00	26,000.00	9.680	24,747.30	-1,252.70		LT
Trade date: Jan 24, 17	745,210	10.249	7,638.40	7,638.40	9.680	7,213.63	-424.77		LT
Total reinvested	1,653,992	10.153		16,793.56	9.680	16,010.64	-782.92		
EAI: \$7,124 Current yield: 4.80%									
Security total	15,321,946	9.935	135,434.53	152,228.09		148,316.43	-3,911.66	12,881.90	
<b>BLACKROCK HIGH YIELD BOND</b>									
<b>PORTFOLIONSTITUTIONAL</b>									
Symbol: BHYX									
Trade date: Jan 26, 16	2,461,486	6.959	17,131.94	17,131.94	7.140	17,575.01	443.07		LT
Trade date: Nov 9, 16	1,730,415	7.540	13,047.33	13,047.33	7.140	12,355.16	-692.17		LT
Trade date: Nov 9, 16	141,782	7.540	1,069.04	1,069.04	7.140	1,012.32	-56.72		LT
Trade date: Jan 24, 17	9,223,199	7.689	70,926.40	70,926.40	7.140	65,853.64	-5,072.76		LT
Trade date: Jul 10, 18	1,740,939	7.639	13,300.77	13,300.77	7.140	12,430.30	-870.47		ST
Trade date: Sep 18, 18	1,288,069	7.670	9,879.49	9,879.49	7.140	9,196.81	-682.68		ST
Total reinvested	2,295,130	7.666		17,595.02	7.140	16,387.23	-1,207.79		
EAI: \$8,327 Current yield: 6.18%									
Security total	18,881,020	7.571	125,354.97	142,949.99		134,810.48	-8,139.52	9,455.50	
<b>NINEVEN</b>									

continued next page



# Portfolio Management Program

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## Your assets, Fixed income, Mutual funds (continued)

Holding	Number of shares	Purchase price Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
<b>TENNESSEE MB FUND I</b>									
Symbol: FTNRIX									
Trade date: Sep 30, 11	4,332.753	11.540	49,999.97	49,999.97	11.460	49,653.35	-346.62		LT
Trade date: Oct 24, 11	4,366.812	11.450	50,000.00	50,000.00	11.460	50,043.66	43.66		LT
Trade date: Nov 14, 11	4,351.610	11.490	50,000.00	50,000.00	11.460	49,869.45	-130.55		LT
Total reinvested	518.426	11.975		6,208.34	11.460	5,941.16	-267.18		
EAI: \$5,048 Current yield: 3.25%									
Security total	13,569.601	11.512	149,999.97	156,208.31		155,507.62	-700.69	5,507.65	
Total			\$410,789.47	\$451,386.39		\$438,634.53	-\$12,751.87	\$27,845.06	

Total estimated annual income: \$20,499

\* Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction.

## Non-traditional

### Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

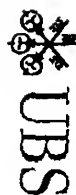
Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
<b>BOSTON PARTNERS GLOBAL LONG/SHORT FUND CLASS INST</b>									
Symbol: BGISX									
Trade date: Nov 25, 14	6,390.597	10.240	65,439.72	65,439.72	10.530	67,292.99	1,853.27		LT
Total reinvested	162.332	10.696		1,736.32	10.530	1,709.36	-26.96		
Security total	6,552.929	10.251	65,439.72	67,176.04		69,002.34	1,826.31	3,562.63	
<b>RIVERPARK LONG/SHORT OPPORTUNITY FUND CLASS</b>									

continued next page



# Portfolio Management Program

December 2018

Account name: JEANES INVESTMENTS LLC  
Friendly account name: Jeanes Inv LLC  
Account number:

Your Financial Advisor:  
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## Your assets, Non-traditional, Mutual funds (continued)

Holding	Number of shares	Purchase price Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
<b>INSTL</b>									
Symbol: RL5IX									
Trade date: Aug 26, 13	5,864,217	9.766	57,270.35	57,270.35	10.950	64,213.17	6,942.82		LT
Trade date: Mar 3, 14	549,938	10.910	5,999.99	5,999.99	10.950	6,021.82	21.83		LT
Total reinvested	835,904	11.217		9,376.51	10.950	9,153.15	-223.36		
EAI: \$768 Current yield: 0.97%									
Security total	7,250,059	10.020	63,270.34	72,646.85		79,388.14	6,741.29	16,117.80	
Total			\$128,710.06	\$139,822.89		\$148,390.48	\$8,567.60	\$19,680.42	
<b>Total estimated annual income: \$768</b>									

## Other

## Mutual funds

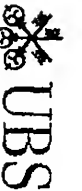
Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
<b>THE INCOME FUND OF AMERICA FUND CLASS F2</b>									
Symbol: AMEFX									
Trade date: Sep 22, 99	3,372,830	17.167	57,902.30	57,902.30	20.610	69,514.02	11,611.72		LT
Trade date: Aug 14, 01	1,182,999	16.906	20,000.00	20,000.00	20.610	24,381.60	4,381.60		LT
Total reinvested	1,350,850	18.919		25,557.72	20.610	27,841.01	2,283.29		
EAI: \$4,128 Current yield: 3.39%									
Security total	5,906,678	17.516	77,902.30	103,460.02		121,736.63	18,276.61	43,834.33	



**Portfolio Management Program**  
December 2018

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**Your assets (continued)**

**Your total assets**

	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
<b>Cash</b>	<b>187,973.04</b>	<b>5.43%</b>	<b>187,973.04</b>		
<b>Equities</b>	<b>844,646.04</b>		<b>664,034.77</b>	<b>21,477.00</b>	<b>180,611.27</b>
Common stock	844,646.04		664,034.77	21,477.00	180,611.27
Closed end funds & Exchange traded products	844,570.84		768,660.99	21,922.00	75,909.85
Mutual funds	468,987.05		424,062.47	10,341.00	44,924.59
<b>Total equities</b>	<b>2,158,203.93</b>	<b>62.36%</b>	<b>1,856,758.23</b>	<b>53,740.00</b>	<b>301,445.71</b>
<b>Fixed income</b>	<b>80,852.00</b>		<b>80,513.80</b>	<b>3,180.00</b>	<b>338.20</b>
Corporate bonds and notes	80,852.00		80,513.80	3,180.00	338.20
Closed end funds & Exchange traded products	324,464.04		327,027.72	6,186.00	-2,563.68
Mutual funds	438,634.53		451,386.39	20,499.00	-12,751.87
Total accrued interest	893.60				
<b>Total fixed income</b>	<b>844,844.17</b>	<b>24.41%</b>	<b>858,927.91</b>	<b>29,865.00</b>	<b>-14,977.35</b>
<b>Non-traditional</b>	<b>148,390.48</b>	<b>4.28%</b>	<b>139,822.89</b>	<b>768.00</b>	<b>8,567.60</b>
Mutual funds	121,736.63	3.52%	103,460.02	4,128.00	18,276.61
<b>Total</b>	<b>\$3,461,148.25</b>	<b>100.00%</b>	<b>\$3,146,942.09</b>	<b>\$88,501.00</b>	<b>\$313,312.57</b>

**Account activity this month**

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
<b>Nov 30</b>		<b>Cash and money balance</b>					<b>\$188,785.28</b>
Dec 3	Dividend	BLACKROCK FLOATING RATE INCOME PORTFOLIO INSTITUTIONAL AS OF 11/30/18 SYMBOL: BFRIX				623.94	
Dec 3	Reinvestment	BLACKROCK FLOATING RATE INCOME PORTFOLIO INSTITUTIONAL DIVIDEND REINVESTED AT 9.99 NAV ON 11/30/18 AS OF 11/30/18 SYMBOL: BFRIX		62.456		-623.94	
Dec 3	Dividend	BLACKROCK HIGH YIELD BOND PORTFOLIO INSTITUTIONAL AS OF 11/30/18 SYMBOL: BHYX				716.75	

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Portfolio Management Program  
December 2018

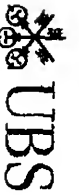
Account name: JEANES INVESTMENTS LLC  
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423-928-7144/800-729-4848

Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Dec 3	Reinvestment	BLACKROCK HIGH YIELD BOND PORTFOLIO/INSTITUTIONAL DIVIDEND REINVESTED AT 7.37 NAV ON 11/30/18 AS OF 11/30/18 SYMBOL: BHYX		97.252		-716.75	
Dec 3	Dividend	NUVEEN TENNESSEE MB FUND 1 AS OF 11/30/18 SYMBOL: FINRX				407.10	
Dec 3	Dividend	INTEL CORP PAID ON 1064 AS OF 12/01/18 SYMBOL: INTC				319.20	189,511.58
Dec 7	Dividend	ISHARES 1-3 YR TREAS BOND ETF PAID ON SYMBOL: SHY				368.29	
Dec 7	Dividend	ISHARES 3-7 YEAR TREAS BOND ETF PAID ON 250 SYMBOL: IEI				52.91	
Dec 7	Interest	UBS BANK USA BUSINESS ACCOUNT AS OF 12/06/18				116.59	
Dec 7	Dividend	ISHARES FLOATING RATE BOND ETF PAID ON 1543 SYMBOL: FLOT				178.45	190,227.82
Dec 10	Dividend	CHEVRON CORP PAID ON 41 SYMBOL: CVX				45.92	
Dec 10	Dividend	CRANE CO PAID ON 19 SYMBOL: CR				6.65	
Dec 10	Dividend	ROCKWELL AUTOMATION INC NEW PAID ON 8 SYMBOL: ROK				7.76	
Dec 10	Dividend	UNITD TECHNOLOGIES CORP PAID ON 374 SYMBOL: UTX				274.89	190,563.04
Dec 11	Dividend	JOHNSON & JOHNSON COM PAID ON 27 SYMBOL: JNJ				24.30	190,587.34
Dec 12	Sold	NEXTERA ENERGY INC COM DE SYMBOL: NEE		-63.000	182.235690	11,480.70	
Dec 12	Sold	REPUBLIC SERVICES INC DE SYMBOL: RSG		-85.000	73.834800	6,275.88	
Dec 12	Bought	SUNTRUST BANKS INC DE SYMBOL: STI		112.000	55.694600	-6,237.80	

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Portfolio Management Program  
December 2018

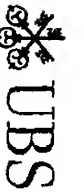
Account name: JEANES INVESTMENTS LLC  
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Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face Value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Dec 12	Bought	SUNCOR ENERGY INC NEW CAD DE SYMBOL: SU		370.000	31.038800	-11,484.36	190,621.76
Dec 13	Dividend	HOME DEPOT INC PAID ON SYMBOL: HD				355.35	
Dec 13	Dividend	MICROSOFT CORP PAID ON SYMBOL: MSFT				452.64	191,429.75
Dec 14	Lt Cap Gain	BOSTON PARTNERS GLOBAL LONG/SHORT FUND CLASS INST LONG TERM CAPITAL GAIN AS OF 12/13/18 SYMBOL: BGLSX				1,303.30	
Dec 14	Reinvestment	BOSTON PARTNERS GLOBAL LONG/SHORT FUND CLASS INST LT CAP GAINS REINVESTED AT 10.66 NAV ON 12/13/18 AS OF 12/13/18 SYMBOL: BGLSX		122.261		-1,303.30	
Dec 14	Dividend	COCA COLA CO COM PAID ON SYMBOL: KO				547.56	191,977.31
Dec 17	Dividend	MCDONALDS CORP PAID ON SYMBOL: MCD				348.00	
Dec 17	Dividend	NEXTERA ENERGY INC COM PAID ON SYMBOL: NEE				237.54	
Dec 17	Dividend	SUNTRUST BANKS INC PAID ON SYMBOL: STI				139.50	192,702.35
Dec 18	Lt Cap Gain	RIVERPARK LONG/SHORT OPPORTUNITY FUND CLASS INST LONG TERM CAPITAL GAIN SYMBOL: RLSIX				7,601.23	
Dec 18	Reinvestment	RIVERPARK LONG/SHORT OPPORTUNITY FUND CLASS INST LT CAP GAINS REINVESTED AT 11.09 NAV ON 12/17/18 SYMBOL: RLSIX		685.413		-7,601.23	192,702.35
Dec 19	Dividend	THE INCOME FUND OF AMERICA FUND CLASS F2 SYMBOL: AMEPX				1,170.98	
Dec 19	Lt Cap Gain	THE INCOME FUND OF AMERICA FUND CLASS F2 LONG TERM CAPITAL GAIN SYMBOL: AMEPX				4,997.77	

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Portfolio Management Program  
December 2018

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Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Dec 19	Reinvestment	THE INCOME FUND OF AMERICA FUND CLASS F2 LT CAP GAINS REINVESTED AT 20.69 NAV ON 12/18/18 SYMBOL: AMIEFX		241.555		-4,997.77	193,873.33
Dec 20	Dividend	VF CORP PAID ON SYMBOL: VFC	557			284.07	
Dec 20	Dividend	THE INVESTMENT COMPANY OF AMERICA FUND CLASS F2 SYMBOL: ICAFX				1,894.28	
Dec 20	Lt Cap Gain	THE INVESTMENT COMPANY OF AMERICA FUND CLASS F2 LONG TERM CAPITAL GAIN SYMBOL: ICAFX				17,087.94	
Dec 20	Reinvestment	THE INVESTMENT COMPANY OF AMERICA FUND CLASS F2 LT CAP GAINS REINVESTED AT 33.78 NAV ON 12/19/18 SYMBOL: ICAFX		505.860		-17,087.94	196,051.68
Dec 21	Lt Cap Gain	AMER FUNDS EUROPACIFIC GROWTH FUND CLASS F2 LONG TERM CAPITAL GAIN SYMBOL: AEPFX				1,074.71	
Dec 21	Dividend	AMER FUNDS EUROPACIFIC GROWTH FUND CLASS F2 SYMBOL: AEPFX				1,225.53	
Dec 21	Reinvestment	AMER FUNDS EUROPACIFIC GROWTH FUND CLASS F2 LT CAP GAINS REINVESTED AT 44.44 NAV ON 12/20/18 SYMBOL: AEPFX		24.183		-1,074.71	
Dec 21	Dividend	CULLEN HIGH DIVIDEND EQUITY I SYMBOL: CHDVX				205.78	
Dec 21	Lt Cap Gain	CULLEN HIGH DIVIDEND EQUITY I LONG TERM CAPITAL GAIN SYMBOL: CHDVX				16,745.08	
Dec 21	Reinvestment	CULLEN HIGH DIVIDEND EQUITY I LT CAP GAINS REINVESTED AT 15.10 NAV ON 12/20/18 SYMBOL: CHDVX		1,108.946		-16,745.08	197,482.99
Dec 24	Dividend	ISHARES 1-3 YR TREAS BOND ETF PAID ON SYMBOL: SHY				307.34	

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# Portfolio Management Program

December 2018

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Account number:

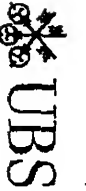
Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

## Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Dec 24	Dividend	ISHARES 3-7 YEAR TREAS BOND ETF PAID ON 250 SYMBOL: IEI				54.01	
Dec 24	Dividend	ISHARES FLOATING RATE BOND ETF PAID ON 1543 SYMBOL: FLOT				190.78	
Dec 24	Foreign Dividend	SUNCOR ENERGY INC NEW CAD PAID ON 70 SYMBOL: SU				18.53	
Dec 24	St Cap Gain	BLACKROCK FLOATING RATE INCOME PORTFOLIO INSTITUTIONAL SHORT TERM CAPITAL GAIN AS OF 12/21/18 SYMBOL: BFRX				43.09	
Dec 24	Reinvestment	BLACKROCK FLOATING RATE INCOME PORTFOLIO INSTITUTIONAL ST CAP GAINS REINVESTED AT 9.71 NAV ON 12/21/18 AS OF 12/21/18 SYMBOL: BFRX		4.438		-43.09	
Dec 24	St Cap Gain	BLACKROCK HIGH YIELD BOND PORTFOLIO INSTITUTIONAL SHORT TERM CAPITAL GAIN AS OF 12/21/18 SYMBOL: BHYX				202.18	
Dec 24	Reinvestment	BLACKROCK HIGH YIELD BOND PORTFOLIO INSTITUTIONAL ST CAP GAINS REINVESTED AT 7.13 NAV ON 12/21/18 AS OF 12/21/18 SYMBOL: BHYX		28.356		-202.18	
Dec 24	Foreign Tax Withheld	SUNCOR ENERGY INC NEW CAD SYMBOL: SU				-4.63	198,049.02
Dec 26	Transfer	JOURNAL TO JY 05936 CLARINDA P JEANES IRREVOCABLE				-12,853.50	
Dec 26	Dividend	BLACKROCK INC PAID ON 4 SYMBOL: BLK				12.52	
Dec 26	Interest	UBS BANK USA BUSINESS ACCOUNT				-3.64	185,204.40
Dec 27	Dividend	ALPS INTL SECTOR DIVD DOGS ETF PAID ON 5750 SYMBOL: IDOG				930.35	

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Portfolio Management Program  
December 2018

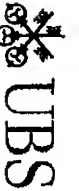
Account name: JEANES INVESTMENTS LLC  
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Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Dec 27	Dividend	ENERGY SELECT SECTOR SPDR FUND ETF PAID ON 2564				1,359.01	187,493.76
		SYMBOL: XLE					
Dec 28	Foreign Dividend	LINDE PLC EUR AS OF 12/27/18				157.58	
		SYMBOL: LIN					
Dec 28	Dividend	LOCKHEED MARTIN CORP PAID ON	22			48.40	
		SYMBOL: LMT					
Dec 28	Dividend	UNION PACIFIC CORP PAID ON	12			9.60	
		SYMBOL: UNP					
Dec 28	Dividend	VANGUARD GROWTH ETF PAID ON	500			263.70	187,973.04
		SYMBOL: VUG					
Dec 31	Dividend	RIVERPARK LONG/SHORT OPPORTUNITY FUND CLASS INSTL				763.95	
		SYMBOL: RLSIX					
Dec 31	Reinvestment	RIVERPARK LONG/SHORT OPPORTUNITY FUND CLASS INSTL DIVIDEND REINVESTED AT 10.90 NAV ON 12/28/18				-763.95	187,973.04
		SYMBOL: RLSIX					
Dec 31		Closing cash and money balance					\$187,973.04
		Proceeds from investment transactions					\$17,756.58
		Funds used for investment transactions					-\$68,882.10
<b>Money balance activities</b>							
Date	Activity	Description	Amount (\$)				
Nov 30	Balance forward		\$188,271.82				
Dec 3	Deposit	UBS BANK USA BUSINESS ACCOUNT	513.46				
Dec 4	Deposit	UBS BANK USA BUSINESS ACCOUNT	726.30				
Dec 7	Deposit	UBS BANK USA BUSINESS ACCOUNT AS OF 12/06/18	116.59				
Dec 10	Deposit	UBS BANK USA BUSINESS ACCOUNT	599.65				
Dec 11	Deposit	UBS BANK USA BUSINESS ACCOUNT	335.22				
Dec 12	Deposit	UBS BANK USA BUSINESS ACCOUNT	24.30				
Dec 13	Deposit	UBS BANK USA BUSINESS ACCOUNT	34.42				
Dec 14	Deposit	UBS BANK USA BUSINESS ACCOUNT	807.99				
Dec 17	Deposit	UBS BANK USA BUSINESS ACCOUNT	547.56				
Dec 18	Deposit	UBS BANK USA BUSINESS ACCOUNT	725.04				

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# Portfolio Management Program

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## Account activity this month (continued)

Money balance activities (continued)	Date	Activity	Description	Amount (\$)
	Dec 20	Deposit	UBS BANK USA BUSINESS ACCOUNT	1,170.98
	Dec 21	Deposit	UBS BANK USA BUSINESS ACCOUNT	2,178.35
	Dec 24	Deposit	UBS BANK USA BUSINESS ACCOUNT	1,431.31
	Dec 26	Deposit	UBS BANK USA BUSINESS ACCOUNT	566.03
	Dec 27	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 12/26/18	-12,844.62
	Dec 28	Deposit	UBS BANK USA BUSINESS ACCOUNT	2,289.36
	Dec 31	Deposit	UBS BANK USA BUSINESS ACCOUNT	479.28
	Dec 31	Closing	UBS Bank USA Business Account	\$187,973.04

The UBS Bank USA Business Account is your primary sweep option.

## Realized gains and losses

The estimated realized gains and losses shown below are not for tax purposes. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order. See important information about your statement at the end of this document for more

information. We may not adjust gains and losses for all capital changes. We automatically adjust cost basis for tax-exempt and AMT coupon municipal securities for estimated amortization of bond premiums and for accreted OID for securities issued at a discount. If you requested that UBS adjust cost basis for the bond premium amortization on taxable debt securities then cost basis reflected for these securities has been adjusted. Estimates in the Unclassified section can not be classified as short-term or long-term because information is missing, or the product is one in which the gain/loss calculation is not provided.

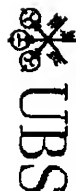
## Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
REPUBLIC SERVICES INC	FIFO	85,000	Jun 19, 18	Dec 10, 18	6,275.88	5,847.92			427.96

## Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
NEXTERA ENERGY INC COM	FIFO	49,000	Jan 09, 12	Dec 10, 18	8,929.43	2,878.75			6,050.68
	FIFO	14,000	Jul 08, 13	Dec 10, 18	2,551.27	1,126.82			1,424.45
<b>Total</b>					<b>\$11,480.70</b>	<b>\$4,005.57</b>			<b>\$7,475.13</b>
Net long-term capital gains or losses									<b>\$7,475.13</b>
Net capital gains/losses:									<b>\$7,903.09</b>

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Portfolio Management Program  
December 2018

Account name: JEANES INVESTMENTS LLC  
Friendly account name: Jeanes Inv LLC  
Account number:

Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

## Summary of approved payees and recurring payments

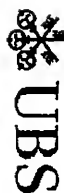
Based on your instructions, the following payees and recurring payments are considered "approved payees". As a result, (1) you may authorize additional transfers to these payees verbally and (2) you are no longer receiving individual confirmations for payments to these payees.

The payees and recurring payments listed below do not include Bill Payments, Electronic Funds Transfers and certain other transfers between accounts at UBS. If you have additional payees and/or recurring instructions on file, you will receive separate confirmations from your Financial Advisor.

To update or cancel any of these instructions, please contact your Financial Advisor.

Payee description	Amount (\$)	Disbursement method	Type/Event	Frequency	Start date	End date	Last used date
JY05936	varied	Transfer	Payee on file	Upon request	n/a	n/a	Dec 26, 18
Teton Agents, LLC	varied	Check	Payee on file	Upon request	n/a	n/a	Aug 20, 18
Jeanes Investments 1017-62	varied	Check	Payee on file	Upon request	n/a	n/a	Aug 20, 18
Wyoming Secretary of State	varied	Check	Payee on file	Upon request	n/a	n/a	Aug 20, 18
Jeanes Investments	varied	Check	Payee on file	Upon request	n/a	n/a	Aug 20, 18

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Filtered by: Entry Date 01/01/2018-12/31/2018, Bought

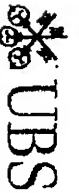
[illegible]

Filtered by: Entry Date 01/01/2018-12/31/2018, Call Redemption  
 Entry Date 05/15/18 05/15/18 CALL REDEMPTION GENL ELEC CPTL CORP B/E 04.400% 051518 DTDD050610  
 Symbol/Security# ZFE1F-6800K8 Quantity 10,000.00  
 Type REDEMPTION

Filtered by: Entry Date 01/01/2018-12/31/2018, Exchange  
 Entry Date 10/30/18 10/30/18 EXCHANGE LINDE PLC  
 Symbol/Security# LIN-2621Y8 Quantity 191,000  
 Type EXCHANGE  
 Entry Date 10/30/18 10/30/18 EXCHANGE PRAXAIR INC "MERGER: 10/2018"  
 Symbol/Security# PX-385344 Quantity -191,000  
 Type EXCHANGE  
 Amount 0.00

Filtered by: Entry Date 01/01/2018-12/31/2018, Sold  
 Entry Date 01/09/18 01/11/18 SOLD PEPSCO INC DE Trade#91679 Biot85  
 Symbol/Security# PEP-366024 Quantity -134,000  
 Price/Detail \$118.678 15,902.43  
 Amount  
 Entry Date 03/05/18 03/07/18 SOLD PRAXAIR INC DE Trade#03058 Biot85  
 Symbol/Security# PX-385344 Quantity -114,000  
 Price/Detail \$153.258 17,470.89  
 Amount  
 Entry Date 03/05/18 03/07/18 SOLD MEDTRONIC PLC DE Trade#99657 Biot85  
 Symbol/Security# MDT-292829 Quantity -224,000  
 Price/Detail \$79.833 17,904.60  
 Amount  
 Entry Date 04/09/18 04/11/18 SOLD NEXTERA ENERGY INC COM DE Trade#25804 Biot85  
 Symbol/Security# NEE-346U78 Quantity -99,000  
 Price/Detail \$162.914 16,128.10  
 Amount  
 Entry Date 04/11/18 04/12/18 SOLD BLACKROCK HIGH YIELD BOND I Trade#68208 Biot37  
 Symbol/Security# MFBCHD-54M019 Quantity -3,327.733  
 Price/Detail \$7.690 25,590.27  
 Amount  
 Entry Date 04/11/18 04/13/18 SOLD ISHARES 3-7 YEAR TREAS BOND ETF Trade#20319 Biot85  
 Symbol/Security# IEI-214HY4 Quantity -271,000  
 Price/Detail \$120.340 32,611.39  
 Amount  
 Entry Date 06/19/18 06/21/18 SOLD VF CORP DE Trade#48354 Biot85  
 Symbol/Security# VFC-488904 Quantity -170,000  
 Price/Detail \$83.633 14,217.47  
 Amount  
 Entry Date 07/10/18 07/12/18 SOLD ISHARES 3-7 YEAR TREAS BOND ETF Trade#62700 Biot85  
 Symbol/Security# IEI-214HY4 Quantity -111,000  
 Price/Detail \$119.662 13,282.30  
 Amount  
 Entry Date 07/23/18 07/25/18 SOLD PRAXAIR INC DE Trade#58544 Biot85  
 Symbol/Security# PX-385344 Quantity -86,000  
 Price/Detail \$162.443 13,988.88  
 Amount  
 Entry Date 08/18/18 08/20/18 SOLD ISHARES 3-7 YEAR TREAS BOND ETF Trade#64016 Biot85  
 Symbol/Security# IEI-214HY4 Quantity -83,000  
 Price/Detail \$119.030 9,878.38  
 Amount  
 Entry Date 10/15/18 10/17/18 SOLD BRITISH AMER TOBACCO PLC GB SPON ADR Trade#61585 Biot85  
 Symbol/Security# BTI-0582E4 Quantity -31,000  
 Price/Detail \$43.290 1,341.97  
 Amount  
 Entry Date 10/15/18 10/17/18 SOLD BRITISH AMER TOBACCO PLC GB SPON ADR Trade#62962 Biot85  
 Symbol/Security# BTI-0582E4 Quantity -32,000  
 Price/Detail \$43.280 1,385.26  
 Amount  
 Entry Date 10/15/18 10/17/18 SOLD INVESCO LTD DE Trade#61398 Biot85  
 Symbol/Security# IVZ-408929 Quantity -101,000  
 Price/Detail \$19.920 2,011.89  
 Amount  
 Entry Date 10/17/18 10/18/18 SOLD VOYA CBRE LONG/SHORT FUND CLASS I Trade#13418 Biot37  
 Symbol/Security# MFPALVZ-52N67 Quantity -8,865.359  
 Price/Detail \$9.570 85,701.49  
 Amount  
 Entry Date 10/29/18 10/31/18 SOLD FLEXSHARES INTL QUALITY DIVIDEND INDEX FD ETF Trade#02817 Biot85  
 Symbol/Security# IODF-146BU2 Quantity -6,500,000  
 Price/Detail \$22.051 143,329.94  
 Amount  
 Entry Date 11/05/18 11/07/18 SOLD COLGATE PALMOLIVE CO DE Trade#57305 Biot85  
 Symbol/Security# CL-095206 Quantity -87,000  
 Price/Detail \$81.005 5,307.39  
 Amount  
 Entry Date 11/05/18 11/07/18 SOLD COLGATE PALMOLIVE CO DE Trade#25697 Biot85  
 Symbol/Security# CL-095206 Quantity -432,000  
 Price/Detail \$80.730 26,235.06  
 Amount  
 Entry Date 11/05/18 11/07/18 SOLD COLGATE PALMOLIVE CO DE Trade#31852 Biot85  
 Symbol/Security# CL-095206 Quantity -454,000  
 Price/Detail \$61.027 27,706.09  
 Amount  
 Entry Date 12/10/18 12/12/18 SOLD REPUBLIC SERVICES INC DE Trade#38844 Biot85  
 Symbol/Security# RSG-3962P3 Quantity -85,000  
 Price/Detail \$73.835 6,275.88  
 Amount  
 Entry Date 12/10/18 12/12/18 SOLD NEXTERA ENERGY INC COM DE Trade#38843 Biot85  
 Symbol/Security# NEE-346U78 Quantity -63,000  
 Price/Detail \$182.236 11,480.70  
 Amount

## **SCHEDULE 9**



UBS Financial Services Inc.  
214 E Mountcastle Drive  
Suite 1-A  
Johnson City TN 37601-2509

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# Managed Accounts Consulting

December 2018

## Your Financial Advisor:

WLP FINANCIAL GROUP  
Phone: 423-928-7144/800-729-4848

## Questions about your statement?

Call your Financial Advisor or the  
RMA ResourceLine at 800-RMA-1000,  
account 353005936.

## Visit our website:

www.ubs.com/financialservices

## Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

## Your return objective:

Current income & capital appreciation

## Your risk profile:

Primary - Moderate  
Investment eligibility consideration - None selected

Account name: CLARINDA P JEANES IRREVOCABLE • INHERITANCE TRUST

GDOT

Friendly account name: GDOT/BlackRock

Account number:

## Value of your account

	on November 30 (\$)	on December 31 (\$)
Your assets	3,851,300.23	3,889,432.82
Your liabilities	0.00	0.00
<b>Value of your account</b>	<b>\$3,851,300.23</b>	<b>\$3,889,432.82</b>
Accrued interest in value above	\$42,230.42	\$47,146.49

As a service to you, your portfolio value of \$3,889,432.82 includes accrued interest.

## Your account instructions

- Your account is managed by BlackRock.
- Your account cost basis default closing method is FIFO, First In, First Out.

## Change in the value of your account

	December 2018 (\$)	Year to date (\$)
<b>Opening account value</b>	<b>\$3,851,300.23</b>	<b>\$3,883,348.15</b>
Deposits, including investments transferred in	12,853.50	154,242.00
Withdrawals and fees, including investments transferred out	-12,853.50	-186,105.16
Dividend and interest income	9,115.02	153,645.48
Change in value of accrued interest	4,916.07	7,545.15
Change in market value	24,101.50	-123,242.80
<b>Closing account value</b>	<b>\$3,889,432.82</b>	<b>\$3,889,432.82</b>



Managed Accounts Consulting  
December 2018

Account name: CLARINDA P JEANES (IRREVOCABLE)  
Friendly account name: CNOTRBlackrock  
Account number:

Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

## Cash activity summary

See Account activity this month for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC Insurance applies to deposits at UBS Bank USA and all banks participating in the UBS FDIC Insured Deposit Program. It does not apply to deposits at UBS AG, Stamford Branch. SIPC protection applies to money market sweep fund holdings but not bank deposits. See important information about your statement on the last two pages of this document for details.

	December 2018 (\$)	Year to date (\$)
<b>Opening balances</b>	<b>\$236,496.21</b>	<b>\$451,680.91</b>
<b>Additions</b>		
Deposits and other funds credited	12,853.50	154,242.00
Dividend and interest income	9,115.02	153,645.48
Proceeds from investment transactions	0.00	1,138,031.75
<b>Total additions</b>	<b>\$21,968.52</b>	<b>\$1,445,919.23</b>
<b>Subtractions</b>		
Professional management fees and related services	0.00	-23,384.16
Other funds debited	-12,853.50	-162,721.00
Funds withdrawn for investments bought	-151,590.00	-1,617,473.75
<b>Total subtractions</b>	<b>-\$164,443.50</b>	<b>-\$1,803,578.91</b>
<b>Net cash flow</b>	<b>-\$142,474.98</b>	<b>-\$357,659.68</b>
<b>Closing balances</b>	<b>\$94,021.23</b>	<b>\$94,021.23</b>

## Dividend and interest income earned

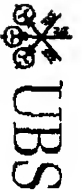
For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	December 2018 (\$)	Year to date (\$)
<b>Taxable interest</b>	<b>299.05</b>	<b>777.42</b>
<b>Tax-exempt interest</b>	<b>11,750.00</b>	<b>152,395.83</b>
<b>Tax-exempt accrued interest paid</b>	<b>-2,934.03</b>	<b>-13,211.80</b>
<b>Tax-exempt accrued interest received</b>	<b>0.00</b>	<b>13,684.03</b>
<b>Total current year</b>	<b>\$9,115.02</b>	<b>\$153,645.48</b>
<b>Total dividend &amp; interest</b>	<b>\$9,115.02</b>	<b>\$153,645.48</b>

## Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified.

	Realized gains and losses		Unrealized gains and losses (\$)
	December 2018 (\$)	Year to date (\$)	
Short term	0.00	-24,764.68	27,351.42
Long term	0.00	-21,792.24	18,787.75
<b>Total</b>	<b>\$0.00</b>	<b>-\$46,556.92</b>	<b>\$46,139.17</b>



Managed Accounts Consulting  
December 2018

Account name: CLARINDA P JEANES IRREVOCABLE  
Friendly account name: GDOT/Blackrock  
Account number:

Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

## UBS FDIC-Insured Dep Pgm Account APY

Interest period Nov 7 - Dec 6

Opening UBS FDIC-Insured Dep Pgm balance Nov 7	\$36,280.65
Closing UBS FDIC-Insured Dep Pgm balance Dec 6	\$248,545.26
Number of days in interest period	30
Average daily balance	\$519,777.17
Interest earned	\$299.05
Annual percentage yield earned	0.70%



Managed Accounts Consulting  
December 2018

Account name: CLARINDA P JEANES IRREVOCABLE  
Friendly account name: GDOT/Blackrock  
Account number:

Your Financial Advisor:  
WIP FINANCIAL GROUP  
423-928-7144/800-729-4848

## Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See Important Information about your statement at the end of this document for more information.

### Cash

#### Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS FDIC Insured Program Bank Balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

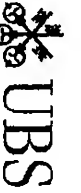
See the Important Information about your statement at the end of this document for details about those balances.

UBS Bank USA and all UBS FDIC Insured Program Bank deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC.

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period
Cash	-12,853.50	-12,853.50				
UBS FDIC INSURED DEP PGM	249,349.71	106,874.73				
<b>Total</b>	<b>\$236,496.21</b>	<b>\$94,021.23</b>				

#### UBS FDIC-Insured Deposit Program

Priority	Bank Name	Closing balance on Dec 31 (\$)	Status
1	UBS Bank USA	106,874.73	
2	Wells Fargo Bank, N.A.	0.00	
3	Citibank, N.A.	0.00	
4	HSBC Bank USA, N.A.	0.00	
5	Compass Bank	0.00	
6	Associated Bank, N.A.	0.00	
7	Comerica Bank	0.00	
8	BankUnited, N.A.	0.00	
9	Pacific Western Bank	0.00	
10	Ameris Bank	0.00	
<b>Total</b>		<b>\$106,874.73</b>	



# Managed Accounts Consulting

December 2018

Account name: CLARINDA P JEANES IRREVOCABLE  
Friendly account name: GDOT/Blackrock  
Account number:

Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

## Your assets (continued)

### Fixed income

#### Municipal securities

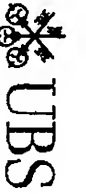
Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected. Cost basis has been automatically adjusted for mandatory amortization of bond premium on coupon tax-exempt municipal securities using the constant yield method and for accreted original issue

discount for securities issued at a discount. When original cost basis is displayed, amortization has been done using the constant yield method, otherwise amortization has been done using the straight line method.

Holding	Trade date	Total face value at maturity (\$)	Purchase price(\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
BAY AREA TOLL AUTH CA TO F-1 RV BE/R/ RATE 05.000% MATURES 04/01/19 ACCRUED INTEREST \$1,250.00 CUSIP 072024RY0 Moody: Aa3 S&P: AA EAI: \$2,500 Current yield: 4.96% Original cost basis: \$120,994.00	Sep 20, 12	100,000.000	100.853	100,853.25	100.870	100,870.00	16.75	LT
MEMPHIS-SHELBY CNTY TN P RV BE/R/ RATE 04.000% MATURES 04/01/19 ACCRUED INTEREST \$1,000.00 CUSIP 586122AH6 Moody: Aa3 S&P: AA EAI: \$2,000 Current yield: 3.98% Original cost basis: \$111,082.00	Aug 26, 11	100,000.000	100.397	100,397.66	100.553	100,553.00	155.34	LT
TEXAS A&M UNIV PERM FD SR A RV BE/R/ RATE 05.000% MATURES 07/01/19 ACCRUED INTEREST \$5,625.00 CUSIP 882117X58 Moody: Aaa S&P: AAA EAI: \$11,250 Current yield: 4.92% Original cost basis: \$277,141.50	Jun 27, 12	225,000.000	101.746	228,930.62	101.603	228,606.75	-323.87	LT

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December 2018

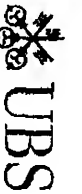
Account name: CLARINDA P JEANES IRREVOCABLE  
Friendly account name: GDOT/Blackrock  
Account number:

Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

Your assets , Fixed income , Municipal securities (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price(\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>NEW JERSEY ENVIRONMENTAL</b>								
A-R RV BE/RV								
RATE 04.000% MATURES 09/01/19								
ACCRUED INTEREST \$999.99								
CUSIP 645791CS1								
Moody: Aaa S&P: AAA								
EAI: \$3,000 Current yield: 3.94%								
Original cost basis: \$87,779.25								
	Aug 17, 12	75,000.00	101.691	76,268.55	101.530	76,147.50	-121.05	LT
<b>KNOXVILLE TN GAS SYS</b>								
SR Q RV BE/RV								
RATE 04.000% MATURES 03/01/20								
ACCRUED INTEREST \$999.99								
CUSIP 499764PV4								
Moody: Aa2 S&P: AA								
EAI: \$3,000 Current yield: 3.90%								
Original cost basis: \$84,987.75								
	Mar 15, 12	75,000.00	102.118	76,589.05	102.550	76,912.50	323.45	LT
<b>NYS THRUWAY AUTH INCOME</b>								
SR A RV /RV								
RATE 04.000% MATURES 03/15/20								
ACCRUED INTEREST \$1,177.78								
CUSIP 650028SZ7								
Moody: Aa1 S&P: AA+								
EAI: \$4,000 Current yield: 3.90%								
Original cost basis: \$113,723.00								
	Sep 09, 11	100,000.00	102.104	102,104.74	102.562	102,562.00	457.26	LT
<b>TENNESSEE ST</b>								
SR A /RV								
RATE 05.000% MATURES 08/01/20								
ACCRUED INTEREST \$2,604.16								
CUSIP 880541SM0								
Moody: Aaa S&P: AAA								
EAI: \$6,250 Current yield: 4.76%								
Original cost basis: \$150,118.75								
	Apr 15, 14	125,000.00	105.248	131,560.23	104.970	131,212.50	-347.73	LT

-347.73 LT  
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**Managed Accounts Consulting**  
December 2018

Account name: CLARINDA P JEANES (REVOCABLE)  
Friendly account name: GDOT/Blackrock  
Account number:

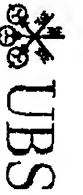
Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

**Your assets, Fixed Income, Municipal securities (continued)**

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>ST OF CA VAR GEN OBLI BN</b>								
<b>BE/R</b>								
RATE 05.000% MATURES 09/01/20								
ACCRUED INTEREST \$1,666.67								
CUSIP 130638MG4								
Moody: Aa3 S&P: AA-								
EAI: \$5,000 Current yield: 4.74%								
Original cost basis: \$115,980.00								
	Sep 21, 11	100,000.000	103.307	103,307.97	105.549	105,549.00	2,241.03	LT
<b>KNOXVILLE TN</b>								
<b>2014 BE/R</b>								
RATE 05.000% MATURES 05/01/21								
ACCRUED INTEREST \$833.33								
CUSIP 499731E29								
Moody: Aa1 S&P: AA+								
EAI: \$5,000 Current yield: 4.66%								
Original cost basis: \$120,396.00								
	Sep 17, 14	100,000.000	107.516	107,516.17	107.189	107,189.00	-327.17	LT
<b>PENNSYLVANIA ECON DEV F</b>								
<b>SR B BE/R</b>								
MATURITY CALL								
RATE 05.000% MATURES 07/01/21								
CALLABLE 01/01/19 @ 100.00								
ACCRUED INTEREST \$500.00								
CUSIP 70869VAX2								
Moody: Aaa S&P: AA-								
EAI: \$1,000 Current yield: 5.00%								
Original cost basis: \$23,864.20								
	Oct 16, 12	20,000.000	100.000	20,000.00	100.000	20,000.00		LT
<b>CALIFORNIA ST UNIV SYSTE</b>								
<b>2011A BE/R</b>								
RATE 04.000% MATURES 11/01/21								
ACCRUED INTEREST \$666.67								
CUSIP 13077CVM3								
Moody: Aa2 S&P: AA-								
EAI: \$4,000 Current yield: 3.75%								
Original cost basis: \$110,762.00								
	Sep 15, 11	100,000.000	103.328	103,328.28	106.579	106,579.00	3,250.72	LT

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Managed Accounts Consulting  
December 2018

Account name: CLARINDA P JEANES IRREVOCABLE  
Friendly account name: GDOT/Blackrock  
Account number:

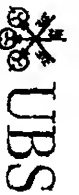
Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

Your assets , Fixed income , Municipal securities (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price(\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
MONTGOMERY CNTY TN GENOB BE/R/								
PRE-REFUNDED								
RATE 05.000% MATURES 04/01/25								
PREREFUNDED 04/01/22 @ 100.00								
ACCRUED INTEREST \$1,250.00								
CUSIP 6136644J7								
Moody: Not rated S&P: AA+								
EAI: \$5,000 Current yield: 4.56%								
Original cost basis: \$114,026.00								
WEST KNOX UTIL DIST KNOX	Jul 19, 11	100,000.000	104.818	104,818.52	109.673	109,673.00	4,854.48	LT
RV BE/R/								
RATE 05.000% MATURES 06/01/22								
ACCRUED INTEREST \$416.67								
CUSIP 953564GES								
Moody: Not rated S&P: AA+								
EAI: \$5,000 Current yield: 4.56%								
Original cost basis: \$114,450.00								
MET GOVT NASHVILLE&DAVID	Dec 08, 16	100,000.000	109.268	109,268.57	109.669	109,669.00	400.43	LT
PREF BE/R/								
PRE-REFUNDED								
RATE 05.000% MATURES 07/01/23								
PREREFUNDED 07/01/22 @ 100.00								
ACCRUED INTEREST \$375.00								
CUSIP 592112RS7								
Moody: Not rated S&P: Not rated								
EAI: \$750 Current yield: 4.53%								
Original cost basis: \$117,125.38								
MISSOURI ST BRD PUB BLDG	Oct 30, 13	15,000.000	108.437	16,265.64	110.290	16,543.50	277.86	LT
SR A RV BE/R/								
RATE 05.000% MATURES 10/01/22								
ACCRUED INTEREST \$2,500.00								
CUSIP 606341GH2								
Moody: Aa1 S&P: AA+								
EAI: \$10,000 Current yield: 4.51%								
Original cost basis: \$241,248.00								
	Jul 16, 14	200,000.000	109.984	219,968.62	110.921	221,842.00	1,873.38	LT

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December 2018

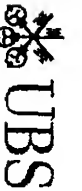
Account name: CLARINDA P JEANES IRREVOCABLE  
Friendly account name: GDOT/Blackrock  
Account number:

Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

Your assets , Fixed income , Municipal securities (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price(\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>SHELBY CNTY TN</b>								
SR A BEV/								
RATE 05.000% MATURES 03/01/23								
ACCRUED INTEREST \$2,500.00								
CUSIP 821686L42								
Moody: Aa1 S&P: AA+								
EAI: \$7,500 Current yield: 4.45%								
Original cost basis: \$187,773.00								
	Apr 03, 13	150,000.000	111.242	166,863.13	112.301	168,451.50	1,588.37	LT
<b>MET GOVT NASHVILLE&amp;DAVID</b>								
BEV/								
RATE 05.000% MATURES 07/01/23								
CALLABLE 07/01/22 @ 100.00								
ACCRUED INTEREST \$1,500.00								
CUSIP 592112RW8								
Moody: Aa2 S&P: AA								
EAI: \$3,000 Current yield: 4.53%								
Original cost basis: \$67,714.27								
	Oct 30, 13	60,000.000	107.676	64,605.92	110.362	66,217.20	1,611.28	LT
<b>SUMNER CNTY TN</b>								
BEV/								
RATE 05.000% MATURES 12/01/23								
ACCRUED INTEREST \$500.00								
CUSIP 866407K24								
Moody: Not rated S&P: AA+								
EAI: \$6,000 Current yield: 4.39%								
Original cost basis: \$149,349.60								
	Apr 15, 15	120,000.000	114.484	137,381.61	113.965	136,758.00	-623.61	LT
<b>PUTNAM CNTY TENN RFDG</b>								
BEV/								
RATE 05.000% MATURES 04/01/24								
ACCRUED INTEREST \$2,187.50								
CUSIP 746673YJ5								
Moody: Aa2 S&P: Not rated								
EAI: \$8,750 Current yield: 4.36%								
Original cost basis: \$197,184.75								
	Nov 08, 18	175,000.000	112.351	196,615.47	114.648	200,634.00	4,018.53	ST

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Managed Accounts Consulting  
December 2018

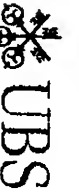
Account name: CLARINDA P JEANES IRREVOCABLE  
Friendly account name: GDOT/Blackrock  
Account number:

Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

Your assets , Fixed income , Municipal securities (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price(\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
SHELBY CO TN HLTH ED &								
RV	BE/RV							
RATE 05.000% MATURES 08/01/24								
ACCRUED INTEREST \$1,562.49								
CUSIP 821697M55								
Moody: A1 S&P: A+								
EAI: \$3,750 Current yield: 4.38%								
Original cost basis: \$92,809.50								
MAURY CNTY TN		Mar 26, 15	75,000.000	114.801	86,101.46	114.169	85,626.75	-474.71 LT
BE/RV								
RATE 05.000% MATURES 04/01/25								
ACCRUED INTEREST \$2,125.00								
CUSIP 577625X14								
Moody: Aa2 S&P: Not rated								
EAI: \$8,500 Current yield: 4.30%								
Original cost basis: \$207,163.70								
LINCOLN CNTY TN SCH		May 28, 15	170,000.000	114.498	194,647.63	116.377	197,840.90	3,193.27 LT
BE/RV								
RATE 05.000% MATURES 05/01/25								
DATED DATE 11/20/18								
ACCRUED INTEREST \$711.80								
CUSIP 533407XX5								
Moody: Aa3								
EAI: \$6,250 Current yield: 4.29%								
Original cost basis: \$143,098.75								
FRANKLIN TN SPL SCH DIST		Nov 13, 18	125,000.000	114.242	142,802.59	116.581	145,726.25	2,923.66 ST
BE/RV								
RATE 05.000% MATURES 06/01/25								
ACCRUED INTEREST \$312.50								
CUSIP 354771QW0								
Moody: Aa1 S&P: Not rated								
EAI: \$3,750 Current yield: 4.26%								
Original cost basis: \$91,000.50								
		Dec 29, 17	75,000.000	118.614	88,961.08	117.243	87,932.25	-1,028.83 LT

-1,028.83 LT  
continued next page



Managed Accounts Consulting  
December 2018

Account name: CLARINDA P JEANES IRREVOCABLE  
Friendly account name: GDOT/Blackrock  
Account number:

Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

Your assets , Fixed income , Municipal securities (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price(\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
MET GOVT NASHVILLE&DAVID BE/R/								
RATE 05.000% MATURES 01/01/26								
ACCURED INTEREST \$4,375.00								
CUSIP 592112QY5								
Moody: Aa2 S&P: AA								
EAI: \$8,750 Current yield: 4.22%								
Original cost basis: \$201,687.50	Nov 08, 18	175,000.000	114.962	201,184.53	118.487	207,352.25	6,167.72	ST
KNOXVILLE TN ELEC SR HH RV BE/R/								
RATE 05.000% MATURES 07/01/26								
ACCURED INTEREST \$2,500.00								
CUSIP 499746C78								
Moody: Aa2 S&P: AA+								
EAI: \$5,000 Current yield: 4.21%								
Original cost basis: \$120,678.00	Mar 09, 17	100,000.000	117.145	117,145.09	118.792	118,792.00	1,646.91	LT
MADISON CNTY TN SCH BE/R/								
RATE 05.000% MATURES 05/01/27								
ACCURED INTEREST \$624.99								
CUSIP 557412WX7								
Moody: Aa2								
EAI: \$3,750 Current yield: 4.15%								
Original cost basis: \$89,803.50	Jul 06, 18	75,000.000	118.755	89,066.99	120.438	90,328.50	1,261.51	ST
CLARKSVILLE TN EL SYS RV BE/R/								
RATE 05.000% MATURES 09/01/27								
ACCURED INTEREST \$1,250.00								
CUSIP 182494HP2								
Moody: Aa2								
EAI: \$3,750 Current yield: 4.14%								
Original cost basis: \$89,102.25	Oct 01, 18	75,000.000	118.331	88,748.72	120.829	90,621.75	1,873.03	ST

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# Managed Accounts Consulting

December 2018

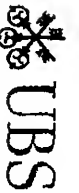
Account name: CLARINDA P JEANES IRREVOCABLE  
Friendly account name: GDOT/Blackrock  
Account number:

Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

## Your assets , Fixed income , Municipal securities (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price(\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
MEMPHIS TN GAS SYS RV BE/R/ RATE 05.000% MATURES 12/01/27 CALLABLE 12/01/26 @ 100.00 ACCRUED INTEREST \$729.17 CUSIP 58616PAL2 Moody: Aa1 S&P: AA- EAI: \$8,750 Current yield: 4.20% Original cost basis: \$203,444.50	Nov 20, 18	175,000.000	116.046	203,080.79	119.027	208,297.25	5,216.46	ST
MONTGOMERY CNTY TN BE/R/ RATE 05.000% MATURES 04/01/28 DATED DATE 11/14/18 ACCRUED INTEREST \$652.78 CUSIP 61366AC14 S&P: AA+ EAI: \$5,000 Current yield: 4.10% Original cost basis: \$117,675.00	Nov 06, 18	100,000.000	117.458	117,458.61	121.808	121,808.00	4,349.39	ST
THE COMMONWEALTH OF MA SR B BE/R/ RATE 05.000% MATURES 07/01/28 ACCRUED INTEREST \$3,125.00 CUSIP 57582RV9 Moody: Aa1 S&P: AA EAI: \$6,250 Current yield: 4.08% Original cost basis: \$151,590.00	Dec 18, 18	125,000.000	121.200	151,500.13	122.433	153,041.25	1,541.12	ST
MET GOVT NASHVILLE & DAV SR D RV BE/R/ RATE 05.000% MATURES 10/01/30 CALLABLE 10/01/22 @ 100.00 ACCRUED INTEREST \$625.00 CUSIP 592041US4 Moody: Aa1 S&P: AA+ EAI: \$2,500 Current yield: 4.55% Original cost basis: \$61,725.50	Nov 15, 12	50,000.000	109.568	54,784.31	109.857	54,928.50	144.19	LT

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# Managed Accounts Consulting

December 2018

Account name: CLARINDA P JEANES IRREVOCABLE  
Friendly account name: GDOT/Blackrock  
Account number:

Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

## Your assets , Fixed income , Municipal securities (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price(\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Total		\$3,360,000.000		\$3,702,125.93		\$3,748,265.10	\$46,139.17	
Total accrued interest: \$47,146.49								
Total estimated annual income: \$159,000								

## Your total assets

	Cash and money balances	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash		94,021.23	2.42%	94,021.23		
Fixed Income	Municipal securities	3,748,265.10		3,702,125.93	159,000.00	46,139.17
	Total accrued interest	47,146.49				
	Total fixed income	3,795,411.59	97.58%	3,702,125.93	159,000.00	46,139.17
Total		\$3,889,432.82	100.00%	\$3,796,147.16	\$159,000.00	\$46,139.17

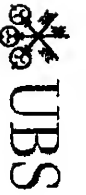
## Account activity this month

Date	Activity	Description	Amount (\$)
Dec 26	Transfer	JOURNAL FROM JV 05931 JEANES INVESTMENTS LLC	12,853.50
Total deposits and other funds credited			\$12,853.50

Date	Activity	Description	Amount (\$)
Dec 7	Interest	UBS FDIC INSURED DEPOSIT PROGRAM AS OF 12/06/18	299.05
Total taxable interest			\$299.05
Dec 3	Interest	WEST KNOX UTIL DIST KNOX RV PAID ON 100000AS OF 12/01/18 CUSIP: 953564GES	2,500.00
Dec 3	Interest	MEMPHIS TN GAS SVS RV CALLABLE PAID ON 175000AS OF 12/01/18 CUSIP: 58616PAL2	4,375.00
Dec 3	Interest	FRANKLIN TN SPR SCH DIST BE/R 5 DUE 060125 DTD 051816PAID ON 75000 AS OF 12/01/18 CUSIP: 354771QW0	1,875.00
Dec 3	Interest	SUNNER CNTY TN BE/R 5 DUE 120123 DTD 042915PAID ON 120000AS OF 12/01/18 CUSIP: 866407K24	3,000.00

Date	Activity	Description	Amount (\$)
Dec 7	Interest	UBS FDIC INSURED DEPOSIT PROGRAM AS OF 12/06/18	299.05
Total taxable interest			\$299.05
Dec 3	Interest	WEST KNOX UTIL DIST KNOX RV PAID ON 100000AS OF 12/01/18 CUSIP: 953564GES	2,500.00
Dec 3	Interest	MEMPHIS TN GAS SVS RV CALLABLE PAID ON 175000AS OF 12/01/18 CUSIP: 58616PAL2	4,375.00
Dec 3	Interest	FRANKLIN TN SPR SCH DIST BE/R 5 DUE 060125 DTD 051816PAID ON 75000 AS OF 12/01/18 CUSIP: 354771QW0	1,875.00
Dec 3	Interest	SUNNER CNTY TN BE/R 5 DUE 120123 DTD 042915PAID ON 120000AS OF 12/01/18 CUSIP: 866407K24	3,000.00

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**Managed Accounts Consulting**  
December 2018

Account name: CLARINDA P JEANES IRREVOCABLE  
Friendly account name: GDOT/Blackrock  
Account number:

Your Financial Advisor:  
WFP FINANCIAL GROUP  
423-928-7144/800-729-4848

**Account activity this month (continued)**

Date	Activity	Description	Amount (\$)
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**Dividend and interest income (continued)**

**Tax-exempt interest (continued)**

<b>Total accrued interest paid</b>			<b>-\$2,934.03</b>
<b>Total tax-exempt interest</b>			<b>\$8,815.97</b>
<b>Total dividend and interest income</b>			<b>\$9,115.02</b>

**Other funds debited**

Date	Activity	Description	Amount (\$)
Dec 31	Transfer	JOURNAL TO JY 01954 CLARINDA P JEANES LIVING TRUST	-12,853.50
<b>Total other funds debited</b>			<b>-\$12,853.50</b>

**Investment transactions**

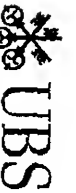
For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from investment transactions (\$)	Funds withdrawn for investments bought (\$)	Accrued interest (\$)
Dec 20	Bought	THE COMWLT OF MA SR B DUE 070128 DTD 070716 MATURITY UNSOLICITED CUSIP: 57582RV9	125,000.000	121.2720000			-151,590.00	-2,934.03
<b>Total</b>							<b>-\$151,590.00</b>	<b>-\$2,934.03</b>

**Money balance activities**

Date	Activity	Description	Amount (\$)
<b>Balance forward</b>			<b>\$249,349.71</b>
Nov 30	Withdrawal	UBS FDIC INSURED DEPOSIT PGM AS OF 11/30/18	-12,853.50
Dec 3	Deposit	UBS FDIC INSURED DEPOSIT PGM	11,750.00
Dec 4	Deposit	UBS FDIC INSURED DEPOSIT PGM AS OF 12/06/18	299.05
Dec 7	Withdrawal	UBS FDIC INSURED DEPOSIT PGM	-154,524.03
Dec 20	Deposit	UBS FDIC INSURED DEPOSIT PGM	12,853.50
Dec 27	Closing UBS FDIC-Insured Deposit Program		\$106,874.73

The UBS FDIC-Insured Deposit Program is your primary sweep option.



Managed Accounts Consulting  
December 2018

Account name: CLARINDA P JEANES IRREVOCABLE  
Friendly account name: GDOT/Blackrock  
Account number:

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WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

## Realized gains and losses

The estimated realized gains and losses shown below are not for tax purposes. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a various purchases or VSP order. See Important Information about your statement at the end of this document for more

information. We may not adjust gains and losses for all capital changes. We automatically adjust cost basis for tax-exempt and AMT coupon municipal securities for estimated amortization of bond premiums and for accreted OID for securities issued at a discount. If you requested that UBS adjust cost basis for the bond premium amortization on taxable debt securities then cost basis reflected for these securities has been adjusted. Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided.

### Prior month or year activity adjustments

Gain and loss details presented here reflect changes to previously reported gains and losses, resulting from a trade cancellation, wash sale transaction or an update to cost basis. If the adjustment is a result of a wash

sale transaction, the amount of the disallowed loss has been added to the cost basis of the securities of the same issuer that you held subsequent to the wash sale.

### Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
PENNSYLVANIA ECON DEV FI SR B BEIV 5,000 070121 DTD 101812	Adjustment	20,000,000	Oct 16, 12	Jan 01, 18	20,000.00	20,650.92		-650.92	
	Adjustment	60,000,000	Oct 16, 12	Jul 01, 18	60,000.00	60,980.52		-980.52	
<b>Total</b>					<b>\$80,000.00</b>	<b>\$81,631.44</b>		<b>-\$1,631.44</b>	

## Summary of approved payees and recurring payments

Based on your instructions, the following payees and recurring payments are considered "approved payees". As a result, (1) you may authorize additional transfers to these payees verbally and (2) you are no longer receiving individual confirmations for payments to these payees.

The payees and recurring payments listed below do not include Bill Payments, Electronic Funds Transfers and certain other transfers between accounts at UBS. If you have additional payees and/or recurring instructions on file, you will receive separate confirmations from your Financial Advisor.

To update or cancel any of these instructions, please contact your Financial Advisor.

Payee description	Amount (\$)	Disbursement method	Type/Event	Frequency	Start date	End date	Last used date
Blackburn, Childers, and Steagall, PLC							
Client 10862							
JY01954							
	varied	Check	Payee on file	Upon request	n/a	n/a	Apr 04, 18
	varied	Transfer	Payee on file	Upon request	n/a	n/a	Dec 31, 18
TN Dept of Revenue							
30-6337975							
	varied	Check	Payee on file	Upon request	n/a	n/a	Apr 04, 18

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End of statement for account number JY 05936 FW



Filtered by: Entry Date: 01/01/2018-12/31/2018, Bought

Entry Date	Settle Date	Activity	Description	Symbol/Security	Type	Quantity	Rate/Detail	Amount
12/18/18	12/20/18	BOUGHT	THE COMM'LTH OF MA SR B BE/R/ Trade#23559 Bld:87	DH41G7	1	125,000.000	\$121.272	-154,524.03
11/20/18	11/23/18	BOUGHT	MEMPHIS TN GAS SYS RV BE/R/ Trade#25787 Bld:87	BX8P40	1	175,000.000	\$116.254	-207,625.06
11/13/18	11/20/18	BOUGHT	LINCOLN CNTY TN SCH BE/R/ Trade#25246 Bld:87	BX72K3	1	125,000.000	\$114.479	-143,098.75
11/08/18	11/13/18	BOUGHT	PUNNAM CNTY TENN RPDG BE/R/ Trade#40525 Bld:87	EH1JF8	1	175,000.000	\$112.677	-198,205.58
11/08/18	11/13/18	BOUGHT	MET GOVT NASHVILLE&DAVID BE/R/ Trade#40520 Bld:87	BS3X86	1	175,000.000	\$115.250	-204,895.83
11/08/18	11/14/18	BOUGHT	MONTGOMERY CNTY TN BE/R/ Trade#27898 Bld:87	BX7SP4	1	100,000.000	\$117.675	-117,675.00
10/01/18	10/03/18	BOUGHT	CLARKSVILLE TN EL SYS RV BE/R/ Trade#09902 Bld:87	BX5K12	1	75,000.000	\$118.803	-89,435.58
07/06/18	07/10/18	BOUGHT	MADISON CNTY TN SCH BE/R/ Trade#47528 Bld:87	BX0Z20	1	75,000.000	\$119.738	-89,293.08
01/25/18	02/01/18	BOUGHT	MAINE TPK AUTH RV BE/R/ Trade#41473 Bld:87	BW1041	1	150,000.000	\$120.458	-180,687.00
01/10/18	01/12/18	BOUGHT	WASHINGTON CO TN GO SR A BE/R/ Trade#30753 Bld:87	EH8D58	1	125,000.000	\$121.780	-152,911.81

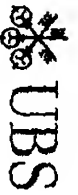
Filtered by: Entry Date: 01/01/2018-12/31/2018, Call Redemption

Entry Date	Settle Date	Activity	Description	Symbol/Security	Type	Quantity	Rate/Detail	Amount
07/02/18	07/02/18	CALL REDEMPTION	PENNSYLVANIA ECON DEV F1 SR B BE/R/ AS OF 07/01/18	EE1KR5	1	-60,000.000	REDEMPTION	60,000.00
01/02/18	01/02/18	CALL REDEMPTION	PENNSYLVANIA ECON DEV F1 SR B BE/R/ AS OF 01/01/18	EE1KR5	1	-20,000.000	REDEMPTION	20,000.00

Filtered by: Entry Date: 01/01/2018-12/31/2018, Sold

Entry Date	Settle Date	Activity	Description	Symbol/Security	Type	Quantity	Rate/Detail	Amount
11/02/18	11/06/18	SOLD	MAINE TPK AUTH RV BE/R/ Trade#52965 Bld:87	BW1041	1	-150,000.000	\$113.349	172,627.67
11/02/18	11/06/18	SOLD	JOHNSON CITY TN SR A BE/R/ Trade#52962 Bld:87	CL0084	1	-175,000.000	\$115.192	205,283.36
11/02/18	11/06/18	SOLD	WILLIAMSON CNTY TN SR B BE/R/ Trade#52973 Bld:87	EG1PY4	1	-200,000.000	\$112.690	226,352.22
11/02/18	11/06/18	SOLD	VIRGINIA COMM'LTH TRANS RV BE/R/ Trade#52969 Bld:87	EH3CV9	1	-150,000.000	\$114.479	175,281.00
11/02/18	11/06/18	SOLD	TENNESSEE ST SCH BD AUTH SR A BE/R/ Trade#52967 Bld:87	EH5ST8	1	-125,000.000	\$116.373	145,553.06
11/02/18	11/06/18	SOLD	WASHINGTON CO TN GO SR A BE/R/ Trade#52971 Bld:87	EH8D58	1	-125,000.000	\$115.142	146,618.47

## **SCHEDULE 10**



UBS Financial Services Inc.  
214 E Mountcastle Drive  
Suite 1-A  
Johnson City TN 37601-2509

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## Portfolio Management Program December 2018

### Your Financial Advisor:

WLP FINANCIAL GROUP

Phone: 423-928-7144/800-729-4848

### Questions about your statement?

Call your Financial Advisor or the

RMA ResourceLine at 800-RMA-1000,

account 353005941.

### Visit our website:

[www.ubs.com/financialservices](http://www.ubs.com/financialservices)

### Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

### Your return objective:

Current income & capital appreciation

### Your risk profile:

Primary - Moderate  
Investment eligibility consideration - None selected

Account name: DONALD R JEANES EXEMPT

FAMILY SHARE TRUST

Friendly account name: Family Trust

Account number:

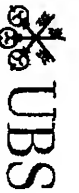
### Value of your account

	on November 30 (\$)	on December 31 (\$)
Your assets	487,252.13	455,943.26
Your liabilities	0.00	0.00
Value of your account	\$487,252.13	\$455,943.26

- Your account instructions**
- Your account is managed by your Financial Advisor under the Portfolio Management Program.
  - Your account cost basis default closing method is FIFO, First In, First Out.

### Change in the value of your account

	December 2018 (\$)	Year to date (\$)
Opening account value	\$487,252.13	\$499,930.87
Withdrawals and fees, including investments transferred out	-600.00	-12,650.46
Dividend and interest income	8,538.72	16,806.60
Change in market value	-39,247.59	-48,143.75
Closing account value	\$455,943.26	\$455,943.26



**Portfolio Management Program**  
December 2018

Account name: DONALD R JEANES EXEMPT  
Friendly account name: Family Trust  
Account number:

Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

## Cash activity summary

See Account activity this month for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC Insurance applies to deposits at UBS Bank USA and all banks participating in the UBS FDIC Insured Deposit Program. It does not apply to deposits at UBS AG, Stamford Branch. SIPC protection applies to money market sweep fund holdings but not bank deposits. See Important Information about your statement on the last two pages of this document for details.

	December 2018 (\$)	Year to date (\$)
<b>Opening balances</b>	<b>\$502.60</b>	<b>\$1,441.05</b>
<b>Additions</b>		
Dividend and interest income	8,538.72	16,806.60
Proceeds from investment transactions	0.00	91,653.99
<b>Total additions</b>	<b>\$8,538.72</b>	<b>\$108,460.59</b>
<b>Subtractions</b>		
Professional management fees and related services	0.00	-3,759.46
Other funds debited	-600.00	-8,891.00
Funds withdrawn for investments bought	-6,960.33	-95,770.19
<b>Total subtractions</b>	<b>-\$7,560.33</b>	<b>-\$108,420.65</b>
<b>Net cash flow</b>	<b>\$978.39</b>	<b>\$39.94</b>
<b>Closing balances</b>	<b>\$1,480.99</b>	<b>\$1,480.99</b>

## Dividend and interest income earned

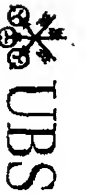
For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	December 2018 (\$)	Year to date (\$)
<b>Taxable dividends</b>	<b>3,251.48</b>	<b>11,327.30</b>
Long-term capital gains	5,287.24	5,287.24
<b>Total current year</b>	<b>\$8,538.72</b>	<b>\$16,614.54</b>
Prior year adjustment	0.00	192.06
<b>Total dividend &amp; interest</b>	<b>\$8,538.72</b>	<b>\$16,806.60</b>

## Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified.

	Realized gains and losses		Unrealized
	December 2018 (\$)	Year to date (\$)	gains and losses (\$)
Short term	0.00	95.84	-7,788.53
Long term	0.00	7,622.09	18,509.05
<b>Total</b>	<b>\$0.00</b>	<b>\$7,717.93</b>	<b>\$10,720.52</b>



# Portfolio Management Program

December 2018

Account name: DONALD R JEANES EXEMPT  
Friendly account name: Family Trust  
Account number:

Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

## Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See important information about your statement at the end of this document for more information.

### Cash

#### Cash and money balances

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period
RMA GOVT MONEY MARKET FD	502.60	1,480.99	1.00	1.75%	Nov 1 to Nov 30	30

### Equities

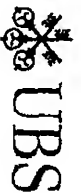
#### Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.  
Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.  
Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
<b>ENERGY SELECT SECTOR SPDR FUND</b>									
<b>ETF</b>									
Symbol: XLE									
Trade date: May 16, 17	320,000	67.909	21,731.17	21,731.17	57.350	18,352.00	-3,379.17		LT
Trade date: Mar 21, 18	30,000	67.230	2,016.90	2,016.90	57.350	1,720.50	-296.40		ST
EAI: \$7.1 Current yield: 3.54%									
Security total	350,000	67.852	23,748.07	23,748.07		20,072.50	-3,675.57	-3,675.57	
<b>FINANCIAL SELECT SECTOR SPDR</b>									
<b>FUND ETF</b>									
Symbol: XLF									
Trade date: Oct 24, 16	852,000	19.718	16,800.45	16,800.45	23.820	20,294.64	3,494.19		LT
Trade date: May 16, 17	20,000	23.787	475.75	475.75	23.820	476.40	0.65		LT
EAI: \$4.33 Current yield: 2.08%									

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# Portfolio Management Program

December 2018

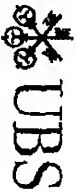
Account name: DONALD R JEANES EXEMPT  
Friendly account name: Family Trust  
Account number:

Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

## Your assets, Equities, Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Investment return (\$)	Holding period
<b>INVESTCO QQQ TRUST ETF</b>									
Security total	872,000	19.812	17,276.20	17,276.20		20,771.04	3,494.84	3,494.84	
<b>Symbol: QQQ</b>									
Trade date: Nov 18, 15	143,000	112.350	16,066.05	16,066.05	154.260	22,059.18	5,993.13		LT
Trade date: Jul 5, 16	3,000	107.250	321.75	321.75	154.260	462.78	141.03		LT
EAT: \$205 Current yield: 0.91%									
Security total	146,000	112.245	16,387.80	16,387.80		22,521.96	6,134.16	6,134.16	
<b>ISHARES EDGE MSCI MULTIFACTOR INTL ETF</b>									
<b>Symbol: INTF</b>									
Trade date: Sep 4, 18	1,695,000	27.385	46,417.58	46,417.58	23.710	40,188.45	-6,229.13	-6,229.13	ST
EAT: \$1,054 Current yield: 2.62%									
<b>SPDR PORTFOLIO DEVELOPED WORLD EX-US ETF</b>									
<b>Symbol: SPDW</b>									
Trade date: Oct 16, 14	1,019,000	26.381	26,883.05	26,883.05	26.450	26,952.55	69.50		LT
Trade date: Feb 20, 15	1,000	28.190	28.19	28.19	26.450	26.45	-1.74		LT
Trade date: Jun 23, 15	67,000	29.340	1,965.78	1,965.78	26.450	1,772.15	-193.63		LT
Trade date: Nov 18, 15	61,000	26.536	1,618.72	1,618.72	26.450	1,613.45	-5.27		LT
Trade date: Mar 23, 16	20,000	25.090	501.80	501.80	26.450	529.00	27.20		LT
Trade date: Jul 5, 16	64,000	24.628	1,576.20	1,576.20	26.450	1,692.80	116.60		LT
Trade date: Jan 23, 17	22,000	26.470	582.34	582.34	26.450	581.90	-0.44		LT
Trade date: May 16, 17	179,000	29.229	5,232.15	5,232.15	26.450	4,734.55	-497.60		LT
EAT: \$1,165 Current yield: 3.07%									
Security total	1,433,000	26.789	38,388.23	38,388.23		37,902.85	-485.38	-485.38	
<b>SPDR S&amp;P MIDCAP 400 ETF TR</b>									
<b>Symbol: MDY</b>									
Trade date: Oct 16, 14	49,000	236.345	11,580.91	11,580.91	302.670	14,830.83	3,249.92		LT
Trade date: Nov 18, 15	35,000	260.010	9,100.35	9,100.35	302.670	10,593.45	1,493.10		LT
Trade date: Jul 5, 16	1,000	268.920	268.92	268.92	302.670	302.67	33.75		LT
Trade date: May 16, 17	1,000	314.490	314.49	314.49	302.670	302.67	-11.82		LT

continued next page



# Portfolio Management Program

December 2018

Account name: DONALD R. JEANES EXEMPT  
Friendly account name: Family Trust  
Account number:

Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

## Your assets, Equities, Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
EAI: \$361 Current yield: 1.39%									
Security total	86,000	247,264	21,264.67	21,264.67		26,029.62	4,764.95	4,764.95	

### VANGUARD GROWTH ETF

Symbol: VUG

Trade date: Oct 16, 14	112,000	93,716	10,496.25	10,496.25	134,330	15,044.96	4,548.71		LT
Trade date: Aug 26, 15	124,000	99,678	12,360.17	12,360.17	134,330	16,656.92	4,296.75		LT
Trade date: Jul 5, 16	119,000	106,954	12,727.53	12,727.53	134,330	15,985.27	3,257.74		LT
Trade date: Jan 23, 17	2,000	114,540	229.08	229.08	134,330	268.66	39.58		LT
EAI: \$633 Current yield: 1.32%									
Security total	357,000	100,317	35,813.03	35,813.03		47,955.81	12,142.78	12,142.78	
Total			\$199,295.58	\$199,295.58		\$215,442.23	\$16,146.65	\$16,146.65	

Total estimated annual income: \$4,562

### Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

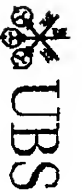
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Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
MFS VALUE FUND CLASS I									
Symbol: MEIX									
Trade date: Jun 28, 13	570,397	29,424	16,783.63	16,783.63	35,510	20,254.81	3,471.18		LT
Trade date: Apr 30, 14	13,298	33,584	446.62	446.62	35,510	472.22	25.60		LT
Trade date: Oct 16, 14	13,575	32,390	439.70	439.70	35,510	482.05	42.35		LT
Trade date: Jul 5, 16	170,800	34,589	5,907.96	5,907.96	35,510	6,065.11	157.15		LT
Total reinvested	257,472	35,533		9,148.77	35,510	9,142.84	-5.93		
EAI: \$766 Current yield: 2.10%									
Security total	1,025,543	31,912	23,577.91	32,726.68		36,417.03	3,690.35	12,839.12	
NEUBERGER BERMAN GENESIS									

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# Portfolio Management Program

December 2018

Account name: DONALD R JEANES EXEMPT  
Friendly account name: Family Trust  
Account number:

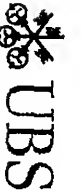
Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

## Your assets, Equities, Mutual funds (continued)

Holding	Number of shares	Purchase price Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
<b>INSTITUTIONAL</b>									
Symbol: NBGIX									
Trade date: Jun 28, 13	92,300	57.035	5,264.33	5,264.33	47.850	4,416.53	-847.80		LT
Trade date: Jul 5, 16	31,837	53.429	1,701.04	1,701.04	47.850	1,523.40	-177.64		LT
Trade date: Oct 24, 16	79,924	55.559	4,440.56	4,440.56	47.850	3,824.36	-616.20		LT
Trade date: May 16, 17	1,297	59.128	76.69	76.69	47.850	62.06	-14.63		LT
Total reinvested	368,101	55.980		20,606.46	47.850	17,613.61	-2,992.85		
EAT: \$76 Current yield: 0.28%									
Security total	573,458	55.957	11,482.62	32,089.08		27,439.96	-4,649.12	15,957.34	
<b>VIRTUS VONTOBEL EMERGING MARKETS OPPORTUNITIES FUND CLASS I</b>									
Symbol: HIEMX									
Trade date: Aug 15, 14	124,096	10.679	1,325.34	1,325.34	9.960	1,236.00	-89.34		LT
Trade date: Oct 16, 14	700,930	9.939	6,968.66	6,968.66	9.960	6,981.26	12.60		LT
Trade date: Feb 20, 15	10,589	10.370	109.81	109.81	9.960	105.47	-4.34		LT
Trade date: Jun 23, 15	60,819	10.080	613.06	613.06	9.960	605.76	-7.30		LT
Trade date: Jan 23, 17	278,461	9.280	2,584.12	2,584.12	9.960	2,773.47	189.35		LT
Total reinvested	103,764	9.707		1,007.32	9.960	1,033.49	26.17		
EAT: \$137 Current yield: 1.08%									
Security total	1,278,659	9.861	11,600.99	12,608.31		12,735.44	127.14	1,134.46	
Total			\$46,661.52	\$77,424.07		\$76,592.43	-\$831.63	\$29,930.91	
<b>Total estimated annual income: \$979</b>									

2 Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction.

EP220002002991556 PZ2000116067 00004 1218 028839246 JY05941FW0 110000



# Portfolio Management Program

December 2018

Account name: DONALD R JEANES EXEMPT  
Friendly account name: Family Trust  
Account number:

Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

## Your assets (continued)

### Fixed income

### Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

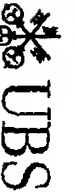
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Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
<b>ISHARES 1-3 YR TREAS BOND ETF</b>									
Symbol: SHY									
Trade date: Jan 23, 17	53,000	84.520	4,479.56	4,479.56	83.620	4,431.86	-47.70		LT
Trade date: May 16, 17	5,000	84.522	422.61	422.61	83.620	418.10	-4.51		LT
Trade date: Oct 24, 17	191,000	84.289	16,099.34	16,099.34	83.620	15,971.42	-127.92		LT
Trade date: Apr 11, 18	61,000	83.440	5,139.06	5,139.06	83.620	5,100.82	-38.24		
Trade date: Apr 11, 18	50,000	83.440	4,172.00	4,172.00	83.620	4,181.00	9.00		ST
EAI: \$518 Current yield: 1.72%									
Security total	360,000	84.202	30,312.57	30,312.57		30,103.20	-209.37	-209.37	
<b>ISHARES 3-7 YEAR TREAS BOND ETF</b>									
Symbol: IEI									
Trade date: Apr 18, 17	3,000	124.186	372.56	372.56	121.400	364.20	-8.36		LT
Trade date: May 16, 17	2,000	123.525	247.05	247.05	121.400	242.80	-4.25		LT
Trade date: Oct 24, 17	36,000	122.980	4,427.28	4,427.28	121.400	4,370.40	-56.88		LT
EAI: \$97 Current yield: 1.95%									
Security total	41,000	123.095	5,046.89	5,046.89		4,977.40	-69.49	-69.49	
<b>ISHARES FLOATING RATE BOND ETF</b>									
Symbol: FLOT									
Trade date: Apr 18, 17	240,000	50.842	12,202.14	12,202.14	50.360	12,086.40	-115.74		LT
Trade date: May 16, 17	12,000	50.840	610.08	610.08	50.360	604.32	-5.76		LT
Trade date: Mar 21, 18	17,000	50.920	865.64	865.64	50.360	856.12	-9.52		ST
EAI: \$326 Current yield: 2.41%									

continued next page



# Portfolio Management Program

December 2018

Account name: DONALD R JEANES EXEMPT  
Friendly account name: Family Trust  
Account number:

Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

## Your assets , Fixed income , Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
Security total	269,000	50.847	13,677.86	13,677.86		13,546.84	-131.02	-131.02	
<b>Total</b>			<b>\$49,037.32</b>	<b>\$49,037.32</b>		<b>\$48,627.44</b>	<b>-\$409.88</b>	<b>-\$409.88</b>	

Total estimated annual income: \$941

<sup>2</sup> Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction.

## Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

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Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
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## BLACKROCK FLOATING RATE

### INCOME

#### PORTFOLIONSTITUTIONAL

Symbol: BFRIX

Trade date: Jul 5, 16

1,761,952 10.000

17,619.52

17,619.52

9.680

17,055.69

-563.83

LT

Trade date: Oct 24, 16

389,089 10.170

3,957.04

3,957.04

9.680

3,766.38

-190.66

LT

Trade date: Jan 23, 17

3,140 10.251

32.19

32.19

9.680

30.40

-1.79

LT

Trade date: May 16, 17

81,563 10.239

835.20

835.20

9.680

789.53

-45.67

LT

Trade date: Mar 21, 18

90,244 10.209

921.39

921.39

9.680

873.56

-47.83

ST

Total reinvested

237,800 10.179

2,420.65

2,420.65

9.680

2,301.90

-118.75

EAI: \$1,192 Current yield: 4.80%

Security total

2,563,788 10.058

23,365.34

23,785.99

24,817.46

-968.53

1,452.12

## BLACKROCK HIGH YIELD

### BOND

#### PORTFOLIONSTITUTIONAL

Symbol: BHYIX

Trade date: Nov 9, 16

512,101 7.540

3,861.25

3,861.25

7.140

3,656.40

-204.85

LT

Trade date: Nov 9, 16

320,964 7.540

2,420.07

2,420.07

7.140

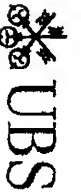
2,291.68

-128.39

LT

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# Portfolio Management Program

December 2018

Account name: DONALD R JEANES EXEMPT  
Friendly account name: Family Trust  
Account number:

Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

## Your assets , Fixed income , Mutual funds (continued)

Holding	Number of shares	Purchase price/ Average price Per share (\$)	Client Investment (\$)	Cost base (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
Trade date: Jan 23, 17	1,286,863	7.680	9,883.11	9,883.11	7.140	9,188.20	-694.91		LT
Trade date: May 16, 17	44,104	7.799	344.01	344.01	7.140	314.90	-29.11		LT
Trade date: Mar 21, 18	111,834	7.670	857.77	857.77	7.140	798.49	-59.28		ST
Trade date: Jul 10, 18	271,507	7.639	2,074.31	2,074.31	7.140	1,938.56	-135.75		ST
Trade date: Jul 10, 18	266,630	7.639	2,037.05	2,037.05	7.140	1,903.74	-133.31		ST
Trade date: Sep 18, 18	688,865	7.669	5,283.59	5,283.59	7.140	4,918.49	-365.10		ST
Trade date: Sep 18, 18	201,745	7.669	1,547.38	1,547.38	7.140	1,440.46	-106.92		ST
Total reinvested	342,531	7.688		2,633.72	7.140	2,445.67	-188.05		
EAI: \$1,785 Current yield: 6.18%									
Security total	4,047,144	7.645	28,308.54	30,942.26		28,896.60	-2,045.67	588.05	
TEMPLETON GLOBAL BOND ADV									
Symbol: TGBAX									
Trade date: Jun 28, 13	1,455,847	12.602	18,347.71	18,347.71	11.250	16,378.27	-1,969.44		LT
Trade date: Apr 30, 14	304,525	12.752	3,883.41	3,883.41	11.250	3,425.91	-457.50		LT
Trade date: Nov 20, 14	57,314	12.861	737.16	737.16	11.250	644.78	-92.38		LT
Trade date: Feb 20, 15	53,702	11.991	643.98	643.98	11.250	604.15	-39.83		LT
Trade date: May 27, 16	62,202	11.153	693.76	693.76	11.250	699.77	6.01		LT
Trade date: Jan 23, 17	12,579	11.790	148.31	148.31	11.250	141.51	-6.80		LT
Trade date: Mar 21, 18	228,884	11.849	2,712.27	2,712.27	11.250	2,574.94	-137.33		ST
Total reinvested	520,505	11.939		6,214.35 <sup>2</sup>	11.250	5,855.68	-358.67		
EAI: \$2,067 Current yield: 6.82%									
Security total	2,695,558	12.384	27,166.60	33,380.95		30,325.02	-3,055.94	3,158.41	
Total			\$78,840.48	\$90,109.20		\$84,039.08	-\$6,070.14	\$5,198.60	
Total estimated annual income: \$5,044									

<sup>2</sup> Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction.



# Portfolio Management Program

December 2018

Account name: DONALD R JEANES EXEMPT  
 Friendly account name: Family Trust  
 Account number:

Your Financial Advisor:  
 WLP FINANCIAL GROUP  
 423-928-7144/800-729-4848

## Your assets (continued)

### Other

### Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
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### JANUS HENDERSON BALANCED FUND CLASS I SHARES

Symbol: JBALX

Trade date: Jul 5, 16

Trade date: Oct 24, 16

Trade date: May 16, 17

Total reinvested

EAI: \$592 Current yield: 1.99%

Security total

## Your total assets

	Cash and money balances	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash		1,480.99	0.32%	1,480.99		
Equities	Closed end funds & Exchange traded products	215,442.23		199,295.58	4,562.00	16,146.65
	Mutual funds	76,592.43		77,424.07	979.00	-831.63
	Total equities	292,034.66	64.05%	276,719.65	5,541.00	15,315.02
Fixed Income	Closed end funds & Exchange traded products	48,627.44		49,037.32	941.00	-409.88
	Mutual funds	84,039.08		90,109.20	5,044.00	-6,070.14
	Total Fixed Income	132,666.52	29.10%	139,146.52	5,985.00	-6,480.02
Other	Mutual funds	29,761.09	6.53%	27,875.58	592.00	1,885.52
Total		\$455,943.26	100.00%	\$445,222.74	\$12,118.00	\$10,720.52



Portfolio Management Program  
December 2018

Account name: DONALD R JEANES EXEMPT  
Friendly account name: Family Trust  
Account number:

Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

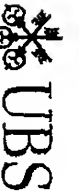
## Account activity this month

Dividend and interest income

Taxable dividends

Date	Activity	Description	Amount (\$)
Dec 3	Dividend	BLACKROCK FLOATING RATE INCOME PORTFOLIO INSTITUTIONAL AS OF 11/30/18 SYMBOL: BFRX	104.38
Dec 3	Dividend	BLACKROCK HIGH YIELD BOND PORTFOLIO INSTITUTIONAL AS OF 11/30/18 SYMBOL: BHYX	153.66
Dec 3	Dividend	UBS RMA GOVERNMENT MONEY MARKET FUND AS OF 11/30/18 SYMBOL: BSHY	0.65
Dec 7	Dividend	ISHARES 1-3 YR TREAS BOND ETF PAID ON SYMBOL: SHY	51.23
Dec 7	Dividend	ISHARES 3-7 YEAR TREAS BOND ETF PAID ON SYMBOL: IEI	8.68
Dec 7	Dividend	ISHARES FLOATING RATE BOND ETF PAID ON SYMBOL: FLOT	31.11
Dec 18	Dividend	NEUBERGER BERMAN GENESIS INSTITUTIONAL SYMBOL: NBGX	67.13
Dec 18	Dividend	VIRTUS VONTOBEL EMERGING MARKETS OPPORTUNITIES FUND CLASS I AS OF 12/17/18 SYMBOL: HIEMX	131.82
Dec 19	Dividend	JANUS HENDERSON BALANCED FUND CLASS I SHARES AS OF 12/18/18 SYMBOL: JBALX	204.20
Dec 19	Dividend	TEMPLETON GLOBAL BOND ADV SYMBOL: TGBAX	695.37
Dec 21	St Cap Gain	MFS VALUE FUND CLASS I SHORT TERM CAPITAL GAIN AS OF 12/20/18 SYMBOL: MEIX	22.33
Dec 21	Dividend	MFS VALUE FUND CLASS I AS OF 12/20/18 SYMBOL: MEIX	243.65
Dec 24	Dividend	ISHARES 1-3 YR TREAS BOND ETF PAID ON SYMBOL: SHY	42.75
Dec 24	Dividend	ISHARES 3-7 YEAR TREAS BOND ETF PAID ON SYMBOL: IEI	8.86
Dec 24	Dividend	ISHARES FLOATING RATE BOND ETF PAID ON SYMBOL: FLOT	33.26
Dec 24	St Cap Gain	BLACKROCK FLOATING RATE INCOME PORTFOLIO INSTITUTIONAL SHORT TERM CAPITAL GAIN AS OF 12/21/18 SYMBOL: BFRX	7.21

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# Portfolio Management Program

December 2018

Account name: DONALD R. JEANES EXEMPT  
Friendly account name: Family Trust  
Account number:

Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

## Account activity this month (continued)

### Dividend and interest income (continued)

#### Taxable dividends (continued)

Date	Activity	Description	Amount (\$)
Dec 24	St Cap Gain	BLACKROCK HIGH YIELD BOND PORTFOLIO INSTITUTIONAL SHORT TERM CAPITAL GAIN AS OF 12/21/18 SYMBOL: BHYX	43.34
Dec 24	Dividend	ISHARES EDGE MSCI MULTIFACTOR INTL ETF PAID ON SYMBOL: INTF	438.24
Dec 27	Dividend	FINANCIAL SELECT SECTOR SPDR FUND ETF PAID ON SYMBOL: XLF	126.39
Dec 27	Dividend	ENERGY SELECT SECTOR SPDR FUND ETF PAID ON SYMBOL: XLE	185.51
Dec 28	Dividend	INVESCO QQQ TRUST ETF PAID ON SYMBOL: QQQ	61.41
Dec 28	Dividend	VANGUARD GROWTH ETF PAID ON SYMBOL: VUG	188.28
Dec 28	Dividend	SPDR PORTFOLIO DEVELOPED WORLD EX-US ETF PAID ON SYMBOL: SPDW	402.02

#### Total taxable dividends

\$3,251.48

### Long-term capital gains

Dec 18	Lt Cap Gain	NEUBERGER BERMAN GENESIS INSTITUTIONAL LONG TERM CAPITAL GAIN SYMBOL: NBGIX	2,983.69
Dec 18	Lt Cap Gain	VIRTUS VONTOBEL EMERGING MARKETS OPPORTUNITIES FUND CLASS I LONG TERM CAPITAL GAIN AS OF 12/17/18 SYMBOL: HEMX	338.25
Dec 19	Lt Cap Gain	JANUS HENDERSON BALANCED FUND CLASS I SHARES LONG TERM CAPITAL GAIN AS OF 12/18/18 SYMBOL: JBALX	1,446.71
Dec 21	Lt Cap Gain	MFS VALUE FUND CLASS I LONG TERM CAPITAL GAIN AS OF 12/20/18 SYMBOL: MEIX	518.59

#### Total long-term capital gains

5,287.24

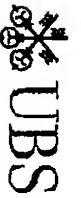
#### Total dividend and interest income

\$8,538.72

### Other funds debited

Date	Activity	Description	Amount (\$)
Dec 28	Transfer	JOURNAL TO JY 07495 AMY FOLCK LIVING TRUST	-600.00
		<b>Total other funds debited</b>	<b>-\$600.00</b>

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Portfolio Management Program  
December 2018

Account name: DONALD R JEANES EXEMPT  
Friendly account name: Family Trust  
Account number:

Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

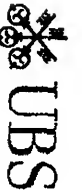
Account activity this month (continued)

Investment transactions

For more information about the price/value shown for restricted securities, see Important information about your statement at the end of this document.

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from Investment transactions (\$)	Funds withdrawn for investments bought (\$)	Accrued Interest (\$)
Dec 3	Reinvestment	BLACKROCK FLOATING RATE INCOME PORTFOLIO INSTITUTIONAL DIVIDEND REINVESTED AT 9.99 NAV ON 11/30/18 AS OF 11/30/18 SYMBOL: BFRIX	10.448				-104.38	
Dec 3	Reinvestment	BLACKROCK HIGH YIELD BOND PORTFOLIO INSTITUTIONAL DIVIDEND REINVESTED AT 7.37 NAV ON 11/30/18 AS OF 11/30/18 SYMBOL: BHYX	20.849				-153.66	
Dec 18	Reinvestment	NEUBERGER BERMAN GENESIS INSTITUTIONAL DIVIDEND REINVESTED AT 48.46 NAV ON 12/17/18 SYMBOL: NBGIX	1.385				-67.13	
Dec 18	Reinvestment	VIRTUS VONTOBEL EMERGING MARKETS OPPORTUNITIES FUND CLASS I DIVIDEND REINVESTED AT 9.80 NAV ON 12/17/18 AS OF 12/17/18 SYMBOL: HIEMX	13.451				-131.82	
Dec 18	Reinvestment	VIRTUS VONTOBEL EMERGING MARKETS OPPORTUNITIES FUND CLASS I LT CAP GAINS REINVESTED AT 9.80 NAV ON 12/17/18 AS OF 12/17/18 SYMBOL: HIEMX	34.515				-338.25	
Dec 18	Reinvestment	NEUBERGER BERMAN GENESIS INSTITUTIONAL LT CAP GAINS REINVESTED AT 48.46 NAV ON 12/17/18 SYMBOL: NBGIX	61.570				-2,983.69	
Dec 19	Reinvestment	JANUS HENDERSON BALANCED FUND CLASS I SHARES DIVIDEND REINVESTED AT 31.11 NAV ON 12/18/18 AS OF 12/18/18 SYMBOL: JBALX	6.564				-204.20	

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Portfolio Management Program  
December 2018

Account name: DONALD R JEANES EXEMPT  
Friendly account name: Family Trust  
Account number:

Your Financial Advisor:  
WLB FINANCIAL GROUP  
423-928-7144/800-729-4848

Account activity this month (continued)

Investment transactions (continued)

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from investment transactions (\$)	Funds withdrawn for investments bought (\$)	Accrued interest (\$)
Dec 19	Reinvestment	JANUS HENDERSON BALANCED FUND CLASS I SHARES LT CAP GAINS REINVESTED AT 31.11 NAV ON 12/18/18 AS OF 12/18/18 SYMBOL: JBALX	46.503				-1,446.71	
Dec 19	Reinvestment	TEMPLETON GLOBAL BOND ADV DIVIDEND REINVESTED AT 11.29 NAV ON 12/17/18 SYMBOL: TGBAX	61.592				-695.37	
Dec 21	Reinvestment	MFS VALUE FUND CLASS I DIVIDEND REINVESTED AT 35.12 NAV ON 12/20/18 AS OF 12/20/18 SYMBOL: MEIX	6.938				-243.65	
Dec 21	Reinvestment	MFS VALUE FUND CLASS I LT CAP GAINS REINVESTED AT 35.12 NAV ON 12/20/18 AS OF 12/20/18 SYMBOL: MEIX	14.766				-518.59	
Dec 21	Reinvestment	MFS VALUE FUND CLASS I ST CAP GAINS REINVESTED AT 35.12 NAV ON 12/20/18 AS OF 12/20/18 SYMBOL: MEIX	0.636				-22.33	
Dec 24	Reinvestment	BLACKROCK FLOATING RATE INCOME PORTFOLIO ST CAP GAINS REINVESTED AT 9.71 NAV ON 12/21/18 AS OF 12/21/18 SYMBOL: BRRIX	0.743				-7.21	
Dec 24	Reinvestment	BLACKROCK HIGH YIELD BOND PORTFOLIO INSTITUTIONAL ST CAP GAINS REINVESTED AT 7.13 NAV ON 12/21/18 AS OF 12/21/18 SYMBOL: BHYX	6.079				-43.34	
<b>Total</b>							<b>-\$6,960.33</b>	
<b>Money balance activities</b>								
	Date	Activity	Description	Amount (\$)				
	<b>Nov 30</b>	<b>Balance forward</b>		<b>\$502.60</b>				
	Dec 3	Bought	RMA GOVERNMENT MONEY MARKET FD AS OF 11/30/18	0.65				
	Dec 10	Bought	RMA GOVERNMENT MONEY MARKET FD	91.02				
	Dec 26	Bought	RMA GOVERNMENT MONEY MARKET FD	523.11				

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Portfolio Management Program  
December 2018

Account name: DONALD R JEANES EXEMPT  
Friendly account name: Family Trust  
Account number:

Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

Account activity this month (continued)

Money balance activities (continued)	Date	Activity	Description	Amount (\$)
	Dec 28	Bought	RMA GOVERNMENT MONEY MARKET FD	311.90
	Dec 31	Bought	RMA GOVERNMENT MONEY MARKET FD	51.71
	Dec 31	Closing	RMA Government Money Market Fd	\$1,480.99
The RMA Government Money Market Fd is your primary sweep option.				

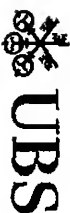
## Summary of approved payees and recurring payments

Based on your instructions, the following payees and recurring payments are considered "approved payees". As a result, (1) you may authorize additional transfers to these payees verbally and (2) you are no longer receiving individual confirmations for payments to these payees.

The payees and recurring payments listed below do not include Bill Payments, Electronic Funds Transfers and certain other transfers between accounts at UBS. If you have additional payees and/or recurring instructions on file, you will receive separate confirmations from your Financial Advisor.

To update or cancel any of these instructions, please contact your Financial Advisor.

Payee description	Amount (\$)	Disbursement method	Type/Event	Frequency	Start date	End date	Last used date
Blackburn, Childers & Stegall							
Client 11303							
JY07495							
TN Dept of Revenue							
30-6333927							
	varied	Check	Payee on file	Upon request	n/a	n/a	Mar 29, 18
	varied	Transfer	Payee on file	Upon request	n/a	n/a	Dec 28, 18
	varied	Check	Payee on file	Upon request	n/a	n/a	Mar 29, 18



Filtered by: Entry Date 01/01/2018-12/31/2018, Bought

Entry Date	Settle Date	Activity	Description	Symbol/Security#	Type	Quantity	Price/Detail	Amount
09/18/18	09/19/18	BOUGHT	BLACKROCK HIGH YIELD BOND	Trade# 03093 Biot:37	1	201.745	\$7.670	-1,547.38
09/18/18	09/19/18	BOUGHT	BLACKROCK HIGH YIELD BOND	Trade# 03428 Biot:37	1	688.865	\$7.670	-5,283.59
09/04/18	09/06/18	BOUGHT	ISHARES EDGE MSCI MULTIFACTOR INTL ETF	Trade# 09318 Biot:85	1	1,695.000	\$27.385	-46,417.58
07/10/18	07/11/18	BOUGHT	BLACKROCK HIGH YIELD BOND	Trade# 64668 Biot:37	1	271.507	\$7.640	-2,074.31
07/10/18	07/11/18	BOUGHT	BLACKROCK HIGH YIELD BOND	Trade# 64308 Biot:37	1	268.630	\$7.640	-2,037.05
04/11/18	04/13/18	BOUGHT	ISHARES 1-3 YR TREAS BOND ETF	Trade# 21654 Biot:85	1	50.000	\$83.440	-4,172.00
04/11/18	04/13/18	BOUGHT	ISHARES 1-3 YR TREAS BOND ETF	Trade# 20320 Biot:85	1	61.000	\$83.440	-5,089.84
03/21/18	03/22/18	BOUGHT	ENERGY SELECT SECTOR SPDR FUND ETF	Trade# 06326 Biot:85	1	30.000	\$67.230	-2,016.90
03/21/18	03/22/18	BOUGHT	TEMPLETON GLOBAL ADV FBOID 80800238978	Trade# 23897 Biot:37	1	228.884	\$11.850	-2,712.27
03/21/18	03/23/18	BOUGHT	XTRACKERS MSCI EAFE HEDGED EQUITY ETF	Trade# 06313 Biot:85	1	101.000	\$30.690	-3,099.69
03/21/18	03/23/18	BOUGHT	ISHARES 1-3 YEAR CREDIT BOND ETF	Trade# 06312 Biot:85	1	71.000	\$103.630	-7,357.73

Filtered by: Entry Date 01/01/2018-12/31/2018, Exchange

Entry Date	Settle Date	Activity	Description	Symbol/Security#	Type	Quantity	Price/Detail	Amount
43252	6/1/2018	EXCHANGE	INVERSCO OQQ TRUST ETF	QOQ-226H66	1	146.000	EXCHANGE	0
43252	6/1/2018	EXCHANGE	POWERSHARES OQQ TRUST ETF SER 1	383C41	1	-146.000	EXCHANGE	0

Filtered by: Entry Date 01/01/2018-12/31/2018, Sold

Entry Date	Settle Date	Activity	Description	Symbol/Security#	Type	Quantity	Price/Detail	Amount
43180	3/23/2018	SOLD	SPDR S&P MIDCAP 400 ETF TR	Trade# 06318 Biot:85	1	-3.000	\$349.190	1047.55
43180	3/22/2018	SOLD	JANUS HENDERSON BALANCED FUND CLASS I SHARES	Trade# 23894 Biot:3	1	-35.118	\$33.510	1176.79
43180	3/22/2018	SOLD	MFS VALUE FUND CLASS I FBOID 80800238952	Trade# 23895 Biot:37	1	-29.480	\$40.480	1193.35
43180	3/22/2018	SOLD	NEUBERGER BERMAN GENESIS INSTITUTIONAL	Trade# 23896 Biot:37	1	-32.491	\$58.960	1915.69
43180	3/23/2018	SOLD	POWERSHARES OQQ TRUST ETF SER 1	Trade# 06321 Biot:85	1	-19.000	\$167.210	3176.92
43180	3/23/2018	SOLD	FINANCIAL SELECT SECTOR SPDR FUND ETF	Trade# 06327 Biot:85	1	-112.000	\$28.780	3223.29
43180	3/23/2018	SOLD	ISHARES 1-3 YR TREAS BOND ETF	Trade# 06322 Biot:85	1	-42.000	\$83.350	3500.62
43180	3/23/2018	SOLD	VANGUARD GROWTH ETF DE	Trade# 06325 Biot:85	1	-27.000	\$147.550	3983.76
43201	4/13/2018	SOLD	BLACKROCK HIGH YIELD BOND	Trade# 68209 Biot:37	1	-546.365	\$7.690	4201.55
43201	4/13/2018	SOLD	ISHARES 3-7 YEAR TREAS BOND ETF	Trade# 20319 Biot:85	1	-43.000	\$120.340	5174.5
43242	5/24/2018	SOLD	ENERGY SELECT SECTOR SPDR FUND ETF	Trade# 65095 Biot:60	1	-25.000	\$78.900	1972.47
43291	7/12/2018	SOLD	ISHARES 3-7 YEAR TREAS BOND ETF	Trade# 62700 Biot:85	1	-17.000	\$119.662	2034.22
43291	7/12/2018	SOLD	ISHARES 1-3 YEAR CREDIT BOND ETF	Trade# 63150 Biot:85	1	-20.000	\$103.581	2071.59
43347	9/6/2018	SOLD	XTRACKERS MSCI EAFE HEDGED EQUITY ETF	Trade# 09317 Biot:85	1	-1,464.000	\$31.682	46382.14
43361	9/20/2018	SOLD	ISHARES 3-7 YEAR TREAS BOND ETF	Trade# 64016 Biot:85	1	-13.000	\$119.030	1547.37
43361	9/20/2018	SOLD	ISHARES SHORT-TERM CORPORATE BOND ETF	Trade# 65096 Biot:85	1	-102.000	\$51.783	5281.81

## **SCHEDULE 11**



UBS Financial Services Inc.  
214 E Mountcastle Drive  
Suite 1-A  
Johnson City TN 37601-2509

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# Portfolio Management Program December 2018

**Your Financial Advisor:**  
WLP FINANCIAL GROUP  
Phone: 423-928-7144/800-729-4848

**Questions about your statement?**  
Call your Financial Advisor or the  
RMA ResourceLine at 800-RMA-1000,  
account 353005947.

**Visit our website:**  
[www.ubs.com/financialservices](http://www.ubs.com/financialservices)

**Account name:** DONALD R JEANES MARITAL  
SHARE TWO TRUST  
**Friendly account name:** Marital Trust  
**Account number:**

## Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

**Your return objective:**  
Current income & capital appreciation  
**Your risk profile:**  
Primary - Moderate  
Investment eligibility consideration - None selected

## Value of your account

	on November 30 (\$)	on December 31 (\$)
<b>Your assets</b>	3,472,437.21	3,259,074.67
<b>Your liabilities</b>	0.00	0.00
<b>Value of your account</b>	<b>\$3,472,437.21</b>	<b>\$3,259,074.67</b>
Accrued interest in value above	\$2,504.02	\$2,912.50

## Change in the value of your account

	December 2018 (\$)	Year to date (\$)
<b>Opening account value</b>	<b>\$3,472,437.21</b>	<b>\$3,364,489.95</b>
Deposits, including investments transferred in	0.00	953,827.79
Withdrawals and fees, including investments transferred out	-11,818.43	-833,986.21
Dividend and interest income	17,566.02	93,731.40
Change in value of accrued interest	408.48	-1,809.58
Change in market value	-219,518.61	-317,178.68
<b>Closing account value</b>	<b>\$3,259,074.67</b>	<b>\$3,259,074.67</b>

As a service to you, your portfolio value of \$3,259,074.67 includes accrued interest.

### Your account instructions

- Your account is managed by your Financial Advisor under the Portfolio Management Program.
- Your account cost basis default closing method is FIFO, First In, First Out.



# Portfolio Management Program

December 2018

Account name: DONALD R JEANES MARITAL  
 Friendly account name: Marital Trust  
 Account number:

Your Financial Advisor:  
 WLP FINANCIAL GROUP  
 423-928-7144/800-729-4848

## Cash activity summary

See Account activity this month for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC Insurance applies to deposits at UBS Bank USA and all banks participating in the UBS FDIC Insured Deposit Program. It does not apply to deposits at UBS AG, Stamford Branch, SPC. Protection applies to money market sweep fund holdings but not bank deposits. See Important information about your statement on the last two pages of this document for details.

	December 2018 (\$)	Year to date (\$)
<b>Opening balances</b>	<b>\$530,019.08</b>	<b>\$351,436.11</b>
<b>Additions</b>		
Deposits and other funds credited	0.00	953,827.79
Dividend and interest income	17,566.02	93,731.40
Proceeds from investment transactions	7,678.47	1,255,081.34
<b>Total additions</b>	<b>\$25,244.49</b>	<b>\$2,302,640.53</b>
<b>Subtractions</b>		
Professional management fees and related services	0.00	-28,248.18
Other fees	0.00	-83.93
Other funds debited	-11,818.43	-705,138.08
Funds withdrawn for investments bought	-8,066.18	-1,385,227.49
<b>Total subtractions</b>	<b>-\$19,884.61</b>	<b>-\$2,118,697.68</b>
<b>Net cash flow</b>	<b>\$5,359.88</b>	<b>\$183,942.85</b>
<b>Closing balances</b>	<b>\$535,378.96</b>	<b>\$535,378.96</b>

## Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	December 2018 (\$)	Year to date (\$)
<b>Taxable dividends</b>	<b>16,548.95</b>	<b>79,873.42</b>
<b>Long-term capital gains</b>	<b>595.93</b>	<b>595.93</b>
<b>Taxable interest</b>	<b>327.39</b>	<b>6,724.50</b>
<b>Tax-exempt interest</b>	<b>93.75</b>	<b>6,350.00</b>
<b>Total current year</b>	<b>\$17,566.02</b>	<b>\$93,543.85</b>
<b>Prior year adjustment</b>	<b>0.00</b>	<b>187.55</b>
<b>Total dividend &amp; interest</b>	<b>\$17,566.02</b>	<b>\$93,731.40</b>

## Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified.

	Realized gains and losses		Unrealized
	December 2018 (\$)	Year to date (\$)	gains and losses (\$)
<b>Short term</b>	<b>95.63</b>	<b>-45,849.37</b>	<b>-85,559.29</b>
<b>Long term</b>	<b>258.60</b>	<b>77,282.44</b>	<b>267,508.00</b>
<b>Total</b>	<b>\$354.23</b>	<b>\$31,433.07</b>	<b>\$181,948.71</b>

## Withholdings and tax summary

	December 2018 (\$)	Year to date (\$)
<b>Foreign taxes paid</b>	<b>-61.07</b>	<b>-420.03</b>



Portfolio Management Program  
December 2018

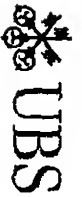
Account name: DONALD R JEANES MARITAL  
Friendly account name: Marital Trust  
Account number:

Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

## UBS FDIC-Insured Dep Pgm Account APY

Interest period Nov 7 - Dec 6

Opening UBS FDIC-Insured Dep Pgm balance Nov 7	\$591,892.10
Closing UBS FDIC-Insured Dep Pgm balance Dec 6	\$535,871.00
Number of days in interest period	30
Average daily balance	\$569,018.21
Interest earned	\$327.39
Annual percentage yield earned	0.70%



Portfolio Management Program  
December 2018

Account name: DONALD R JEANES MARITAL  
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## Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See important information about your statement at the end of this document for more information.

### Cash

#### Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS FDIC Insured Program Bank Balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

See the important information about your statement at the end of this document for details about those balances.

UBS Bank USA and all UBS FDIC Insured Program Bank deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC.

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period
Cash	-2,343.15	-11,757.36				
UBS FDIC INSURED DEP PGM	532,362.23	547,136.32				
Total	\$530,019.08	\$535,378.96				

#### UBS FDIC-Insured Deposit Program

Priority	Bank Name	Closing balance on Dec 31 (\$)	Status
1	UBS Bank USA	249,000.00	
2	Wells Fargo Bank, N.A.	249,000.00	
3	Citibank, N.A.	49,136.32	
4	HSBC Bank USA, N.A.	0.00	
5	Compass Bank	0.00	
6	Associated Bank, N.A.	0.00	
7	Commerce Bank	0.00	
8	BankUnited, N.A.	0.00	
9	Pacific Western Bank	0.00	
10	Arreys Bank	0.00	
Total		\$547,136.32	



# Portfolio Management Program

December 2018

Account name: DONALD R JEANES MARTIAL  
Friendly account name: Martial Trust  
Account number:

Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

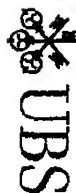
## Your assets (continued)

### Equities

#### Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>ACCENTURE PLC IRELAND CL A</b>								
Symbol: ACN Exchange: NYSE								
EAI: \$333 Current yield: 2.07%								
	Sep 21, 16	38,000	111.340	4,230.92	141.010	5,358.38	1,127.46	LT
	Jul 27, 17	26,000	128.278	3,335.25	141.010	3,666.26	331.01	LT
	Jul 27, 17	25,000	128.249	3,206.23	141.010	3,525.25	319.02	LT
	Oct 17, 18	25,000	158.690	3,967.25	141.010	3,525.25	-442.00	ST
Security total		114,000	129.295	14,739.65		16,075.14	1,335.49	
<b>ADOBE INC. (DELAWARE)</b>								
Symbol: ADBE Exchange: OTC								
	Feb 16, 16	127,000	77.777	9,877.68	226.240	28,732.48	18,854.80	LT
	Sep 21, 16	40,000	107.130	4,285.20	226.240	9,049.60	4,764.40	LT
	Jul 27, 17	22,000	149.249	3,283.48	226.240	4,977.28	1,693.80	LT
Security total		189,000	92.309	17,446.36		42,759.36	25,313.00	
<b>ALPHABET INC CL A</b>								
Symbol: GOOGL Exchange: OTC								
	Sep 21, 16	5,000	801.050	4,005.25	1,044.960	5,224.80	1,219.55	LT
	Jul 27, 17	3,000	967.270	2,901.81	1,044.960	3,134.88	233.07	LT
Security total		8,000	863.383	6,907.06		8,359.68	1,452.62	
<b>ALTRIA GROUP INC</b>								
Symbol: MO Exchange: NYSE								
EAI: \$2,726 Current yield: 6.48%								
	Aug 27, 12	204,000	34.240	6,984.96	49.390	10,075.56	3,090.60	LT
	Aug 27, 12	190,000	34.240	6,505.60	49.390	9,384.10	2,878.50	LT
	Aug 27, 12	189,000	34.240	6,471.36	49.390	9,334.71	2,863.35	LT
	Aug 27, 12	176,000	34.240	6,026.24	49.390	8,692.64	2,666.40	LT
	Aug 27, 12	93,000	34.240	3,184.32	49.390	4,593.27	1,408.95	LT
Security total		852,000	34.240	29,172.48		42,080.28	12,907.80	
<b>AMERICAN TOWER CORP REIT</b>								
Symbol: AMT Exchange: NYSE								
EAI: \$261 Current yield: 1.99%								
	Jul 27, 17	6,000	136.710	820.26	158.190	949.14	128.88	LT
	Jul 23, 18	77,000	139.867	10,769.81	158.190	12,180.63	1,410.82	ST
Security total		83,000	139.639	11,590.07		13,129.77	1,539.70	

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# Portfolio Management Program

December 2018

Account name: DONALD R JEANES MARTAL  
 Friendly account name: Martal Trust  
 Account number:

Your Financial Advisor:  
 MLP FINANCIAL GROUP  
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## Your assets - Equities - Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>AMERIPRISE FINANCIAL INC</b>								
Symbol: AMP Exchange: NYSE								
EAI: \$464 Current yield: 3.45%								
	Sep 21, 16	43,000	100.370	4,315.91	104.370	4,487.91	172.00	LT
	Jul 27, 17	22,000	144.869	3,187.12	104.370	2,296.14	-890.98	LT
	Jun 19, 18	64,000	147.597	9,446.21	104.370	6,679.68	-2,766.53	ST
Security total		129,000	131.389	16,949.24		13,463.73	-3,485.51	

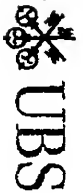
<b>AT&amp;T INC</b>								
Symbol: T Exchange: NYSE								
EAI: \$1,236 Current yield: 7.15%								
	Aug 27, 12	200,000	36.870	7,374.00	28.540	5,708.00	-1,666.00	LT
	Aug 27, 12	104,000	36.870	3,834.48	28.540	2,968.16	-866.32	LT
	Aug 27, 12	88,000	36.870	3,244.56	28.540	2,511.52	-733.04	LT
	Aug 27, 12	85,000	36.870	3,133.95	28.540	2,425.90	-708.05	LT
	Aug 27, 12	69,000	36.870	2,544.03	28.540	1,969.26	-574.77	LT
	Aug 27, 12	60,000	36.870	2,212.20	28.540	1,712.40	-499.80	LT
Security total		606,000	36.870	22,343.22		17,295.24	-5,047.98	

<b>AUTOMATIC DATA PROCESSING INC</b>								
Symbol: ADP Exchange: OTC								
EAI: \$313 Current yield: 2.41%								
	Aug 27, 12	39,000	51.175	1,995.86	131.120	5,113.68	3,117.82	LT
	Aug 27, 12	38,000	51.175	1,944.67	131.120	4,982.56	3,037.89	LT
	Aug 27, 12	13,000	51.176	665.29	131.120	1,704.56	1,039.27	LT
	Aug 27, 12	9,000	51.175	460.58	131.120	1,180.08	719.50	LT
Security total		99,000	51.176	5,066.40		12,980.88	7,914.48	

<b>BLACKROCK INC</b>								
Symbol: BLK Exchange: NYSE								
EAI: \$326 Current yield: 3.19%								
	Dec 15, 16	4,000	392.902	1,571.61	392.820	1,571.28	-0.33	LT
	Jul 27, 17	8,000	427.660	3,421.28	392.820	3,142.56	-278.72	LT
	Jul 27, 17	8,000	427.718	3,421.75	392.820	3,142.56	-279.19	LT
	Oct 17, 18	6,000	408.030	2,448.18	392.820	2,356.92	-91.26	ST
Security total		26,000	417.801	10,862.82		10,213.32	-649.50	

<b>CHEVRON CORP</b>								
Symbol: CVX Exchange: NYSE								
EAI: \$502 Current yield: 4.12%								
	Jan 11, 17	33,000	115.494	3,811.32	108.790	3,590.07	-221.25	LT

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# Portfolio Management Program

December 2018

Account name: DONALD R JEANES MARITAL  
 Friendly account name: Marital Trust  
 Account number:

Your Financial Advisor:  
 WLP FINANCIAL GROUP  
 423-928-7144/800-729-4848

## Your assets - Equities - Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>CHUBB LTD CHF</b>								
Symbol: CB Exchange: NYSE								
EAI: \$114 Current yield: 2.26%								
CHF Exchange rate: 0.98580								
Security total								
	Jul 27, 17	32,000	105.289	3,369.25	108.790	3,481.28	112.03	LT
	Sep 12, 17	6,000	112.890	677.34	108.790	652.74	-24.60	LT
	Oct 15, 18	8,000	117.580	940.64	108.790	870.32	-70.32	ST
	Oct 17, 18	33,000	117.050	3,862.65	108.790	3,590.07	-272.58	ST
Security total		112,000	113.046	12,661.20		12,184.48	-476.72	
<b>CISCO SYSTEMS INC</b>								
Symbol: CSCO Exchange: OTC								
EAI: \$1,987 Current yield: 3.05%								
Security total								
	May 16, 13	1,505,000	23.969	36,074.70	43.330	65,211.65	29,136.95	LT
<b>COCA COLA CO COM</b>								
Symbol: KO Exchange: NYSE								
EAI: \$245 Current yield: 3.30%								
Security total								
	Jul 27, 17	73,000	45.749	3,339.68	47.350	3,456.55	116.87	LT
	Jul 23, 18	27,000	44.888	1,212.00	47.350	1,278.45	66.45	ST
	Oct 17, 18	57,000	45.518	2,594.53	47.350	2,698.95	104.42	ST
Security total		157,000	45.517	7,146.21		7,433.95	287.74	
<b>COLGATE PALMOLIVE CO</b>								
Symbol: CL Exchange: NYSE								
EAI: \$440 Current yield: 2.82%								
Security total								
	Sep 21, 16	59,000	72.459	4,275.13	59.520	3,511.68	-763.45	LT
	Jul 27, 17	46,000	72.630	3,340.98	59.520	2,737.92	-603.06	LT
	Jul 27, 17	44,000	72.610	3,194.84	59.520	2,618.88	-575.96	LT
	Nov 6, 17	15,000	70.000	1,050.00	59.520	892.80	-157.20	LT
	Jul 23, 18	56,000	65.770	3,683.17	59.520	3,333.12	-350.05	ST
	Oct 17, 18	42,000	62.220	2,613.24	59.520	2,499.84	-113.40	ST
Security total		262,000	69.303	18,157.36		15,594.24	-2,563.12	
<b>COMCAST CORP NEW CL A</b>								
Symbol: CMCSA Exchange: OTC								
EAI: \$326 Current yield: 2.23%								
Security total								
	Sep 21, 16	128,000	33.254	4,256.58	34.050	4,358.40	101.82	LT
	Jul 27, 17	81,000	39.899	3,231.82	34.050	2,758.05	-473.77	LT

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# Portfolio Management Program

December 2018

Account name: DONALD R JEANES MARITAL  
 Friendly account name: Marital Trust  
 Account number:

Your Financial Advisor:  
 WLP FINANCIAL GROUP  
 423-928-7144/800-729-4848

## Your assets, Equities, Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>CRANE CO</b>								
Symbol: CR Exchange: NYSE								
EAI: \$101 Current yield: 1.94%								
	Mar 5, 18	88,000	36.974	3,253.75	34.050	2,996.40	-257.35	ST
	Mar 5, 18	31,000	36.963	1,145.87	34.050	1,055.55	-90.32	ST
	Mar 5, 18	29,000	36.937	1,071.18	34.050	987.45	-83.73	ST
	Oct 17, 18	72,000	35.859	2,581.91	34.050	2,451.60	-130.31	ST
Security total		429,000	36.226	15,541.11		14,607.45	-933.66	
<b>DANAHER CORP</b>								
Symbol: DHR Exchange: NYSE								
EAI: \$70 Current yield: 0.62%								
	Jul 27, 17	35,000	75.240	2,633.40	72.180	2,526.30	-107.10	LT
	Dec 20, 17	12,000	89.116	1,069.40	72.180	866.16	-203.24	LT
	Oct 17, 18	25,000	89.990	2,249.75	72.180	1,804.50	-445.25	ST
Security total		72,000	82.674	5,952.55		5,196.96	-755.59	
<b>DIAGEO PLC NEW GB SPON ADR</b>								
Symbol: DEO Exchange: NYSE								
EAI: \$167 Current yield: 2.45%								
	Sep 21, 16	56,000	77.008	4,312.50	103.120	5,774.72	1,462.22	LT
	Jul 27, 17	40,000	81.509	3,260.36	103.120	4,124.80	864.44	LT
	Jul 23, 18	13,000	102.673	1,334.76	103.120	1,340.56	5.80	ST
Security total		109,000	81.721	8,907.62		11,240.08	2,332.46	
<b>ECOLAB INC</b>								
Symbol: ECL Exchange: NYSE								
EAI: \$112 Current yield: 1.25%								
	Jul 27, 17	26,000	128.110	3,330.86	141.800	3,686.80	355.94	LT
	Sep 12, 17	5,000	137.410	687.05	141.800	709.00	21.95	LT
	Oct 17, 18	17,000	134.430	2,285.31	141.800	2,410.60	125.29	ST
Security total		48,000	131.317	6,303.22		6,806.40	503.18	
<b>ENBRIDGE INC CAD</b>								
Symbol: ENB Exchange: NYSE								
EAI: \$862 Current yield: 7.09%								
CAD Exchange rate: 1.36580								
	Sep 21, 16	37,000	117.260	4,338.62	147.350	5,451.95	1,113.33	LT
	Jul 27, 17	24,000	132.109	3,170.62	147.350	3,536.40	365.78	LT
Security total		61,000	123.102	7,509.24		8,988.35	1,479.11	
<b>ENBRIDGE INC CAD</b>								
Symbol: ENB Exchange: NYSE								
EAI: \$862 Current yield: 7.09%								
CAD Exchange rate: 1.36580								
	Aug 27, 12	115,480	29.359	3,390.46	31.080	3,589.12	198.66	LT
	Aug 27, 12	98,400	29.359	2,889.00	31.080	3,058.27	169.27	LT

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Portfolio Management Program  
December 2018

Account name: DONALD R JEANES MARITAL  
Friendly account name: Marital Trust  
Account number:

Your Financial Advisor:  
WIP FINANCIAL GROUP  
423-928-7144/800-729-4848

Your assets, Equities, Common stock (continued)

Holding	Trade date	Number of shares	Purchase price Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>Security total</b>								
FACEBOOK INC CL A								
Symbol: FB Exchange: OTC	Sep 21, 16	13,000	129.020	1,677.26	131.090	1,704.17	26.91	LT
	Jul 27, 17	19,000	174.440	3,314.36	131.090	2,490.71	-823.65	LT
Security total		32,000	155.988	4,991.62		4,194.88	-796.74	
<b>FIFTH THIRD BANCORP</b>								
Symbol: FITB Exchange: OTC								
EAI: \$1,650 Current yield: 3.74%	Aug 30, 12	726,000	15.035	10,915.63	23.530	17,082.78	6,167.15	LT
	Sep 5, 12	1,149,000	14.947	17,174.79	23.530	27,035.97	9,861.18	LT
Security total		1,875,000	14.982	28,090.42		44,118.75	16,028.33	
<b>HARRIS CORP DELA</b>								
Symbol: HRS Exchange: NYSE								
EAI: \$814 Current yield: 2.04%	Aug 27, 12	297,000	46.800	13,899.60	134.650	39,991.05	26,091.45	LT
<b>HILTON GRAND VACATIONS INC</b>								
Symbol: HGV Exchange: NYSE	Sep 21, 16	18,000	21.317	383.71	26.390	475.02	91.31	LT
<b>HILTON WORLDWIDE HOLDINGS INC</b>								
Symbol: HLT Exchange: NYSE								
EAI: \$337 Current yield: 0.83%	Sep 21, 16	62,000	47.829	2,965.42	71.800	4,451.60	1,486.18	LT
<b>HOMER DEPOT INC</b>								
Symbol: HD Exchange: NYSE								
EAI: \$420 Current yield: 2.40%	Sep 21, 16	34,000	127.220	4,325.48	171.820	5,841.88	1,516.40	LT
	Jul 27, 17	23,000	146.680	3,373.64	171.820	3,951.86	578.22	LT
	Jul 27, 17	22,000	146.670	3,226.74	171.820	3,780.04	553.30	LT
	Oct 17, 18	23,000	185.500	4,266.50	171.820	3,951.86	-314.64	ST
Security total		102,000	148.945	15,192.36		17,525.64	2,333.28	
<b>HONEYWELL INTL INC</b>								
Symbol: HON Exchange: NYSE								
EAI: \$197 Current yield: 2.49%	Sep 21, 16	37,000	109.960	4,068.55	132.120	4,888.44	819.89	LT
	Jul 27, 17	23,000	131.876	3,033.17	132.120	3,038.76	5.59	LT
Security total		60,000	118.362	7,101.72		7,927.20	825.48	

continued next page



# Portfolio Management Program

December 2018

Account name: DONALD R JEANES MARITAL  
 Friendly account name: Marital Trust  
 Account number:

Your financial Advisor:  
 WLP FINANCIAL GROUP  
 423-928-7144/800-729-4848

## Your assets, Equities, Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>INTEL CORP</b>								
Symbol: INTC Exchange: OTC								
EAI: \$220 Current yield: 2.56%								
	Jul 27, 17	61,000	35.230	2,149.03	46.930	2,862.73	713.70	LT
	Sep 12, 17	21,000	35.880	753.48	46.930	985.53	232.05	LT
	Oct 17, 18	101,000	45.700	4,615.70	46.930	4,739.93	124.23	ST
Security total		183,000	41.083	7,518.21		8,588.19	1,069.98	
<b>INTERCONTINENTALEXCHANGE GROUP</b>								
Symbol: ICE Exchange: NYSE								
EAI: \$118 Current yield: 1.27%								
	Sep 21, 16	75,000	56.205	4,215.44	75.330	5,649.75	1,434.31	LT
	Jul 27, 17	48,000	66.470	3,190.56	75.330	3,615.84	425.28	LT
Security total		123,000	60.211	7,406.00		9,265.59	1,859.59	
<b>JOHNSON &amp; JOHNSON COM</b>								
Symbol: JNJ Exchange: NYSE								
EAI: \$313 Current yield: 2.79%								
	Jul 27, 17	26,000	130.178	3,384.65	129.050	3,355.30	-29.35	LT
	Jul 23, 18	24,000	126.127	3,027.05	129.050	3,097.20	70.15	ST
	Oct 17, 18	37,000	138.169	5,112.28	129.050	4,774.85	-337.43	ST
Security total		87,000	132.460	11,523.98		11,227.35	-296.63	
<b>JPMORGAN CHASE &amp; CO</b>								
Symbol: JPM Exchange: NYSE								
EAI: \$250 Current yield: 3.28%								
	Jan 9, 18	30,000	109.018	3,270.55	97.620	2,928.60	-341.95	ST
	Oct 15, 18	8,000	107.390	859.12	97.620	780.96	-78.16	ST
	Oct 17, 18	40,000	108.980	4,359.20	97.620	3,904.80	-454.40	ST
Security total		78,000	108.832	8,488.87		7,614.36	-874.51	
<b>LAUDER ESTEE COS CL A</b>								
Symbol: EL Exchange: NYSE								
EAI: \$150 Current yield: 1.33%								
	Nov 12, 18	87,000	140.867	12,255.51	130.100	11,318.70	-936.81	ST
<b>LINDE PLC EUR</b>								
Symbol: LIN Exchange: NYSE								
EAI: \$76 Current yield: 2.12%								
EUR Exchange rate: 0.87477								
	Jul 27, 17	13,000	163.455	2,124.92	156.040	2,028.52	-96.40	LT
	Oct 17, 18	10,000	163.455	1,634.55	156.040	1,560.40	-74.15	ST
Security total		23,000	163.455	3,759.47		3,588.92	-170.55	

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# Portfolio Management Program

December 2018

Account name: DONALD R JEANES MARITAL  
Friendly account name: Marital Trust  
Account number:

Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

## Your assets, Equities, Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>LOCKHEED MARTIN CORP</b>								
Symbol: LMT Exchange: NYSE								
EAI: \$2,015 Current yield: 3.36%								
	Aug 27, 12	53,000	92.610	4,908.33	261.840	13,877.52	8,969.19	LT
	Aug 27, 12	52,000	92.610	4,815.72	261.840	13,615.68	8,799.96	LT
	Aug 27, 12	52,000	92.610	4,815.72	261.840	13,615.68	8,799.96	LT
	Aug 27, 12	51,000	92.610	4,723.11	261.840	13,353.84	8,630.73	LT
	Aug 27, 12	3,000	92.610	277.83	261.840	785.52	507.69	LT
	Jul 27, 17	12,000	287.960	3,455.52	261.840	3,142.08	-313.44	LT
	Oct 17, 18	6,000	335.480	2,012.88	261.840	1,571.04	-441.84	ST
Security total		229,000	109.210	25,009.11		59,961.36	34,952.25	
<b>LOWES COMPANIES INC</b>								
Symbol: LOW Exchange: NYSE								
EAI: \$196 Current yield: 2.08%								
	Sep 21, 16	60,000	71.549	4,292.99	92.360	5,541.60	1,248.61	LT
	Jul 27, 17	42,000	76.520	3,213.84	92.360	3,879.12	665.28	LT
Security total		102,000	73.596	7,506.83		9,420.72	1,913.89	
<b>MARSH &amp; MCLENNAN COS INC</b>								
Symbol: MMC Exchange: NYSE								
EAI: \$125 Current yield: 2.09%								
	Jul 27, 17	43,000	78.079	3,357.40	79.750	3,429.25	71.85	LT
	Oct 17, 18	32,000	80.179	2,565.75	79.750	2,552.00	-13.75	ST
Security total		75,000	78.975	5,923.15		5,981.25	58.10	
<b>MAXIM INTEGRATED PRODS INC</b>								
Symbol: MIXM Exchange: OTC								
EAI: \$830 Current yield: 3.62%								
	Aug 27, 12	130,000	27.130	3,526.90	50.850	6,610.50	3,083.60	LT
	Aug 27, 12	111,000	27.130	3,011.43	50.850	5,644.35	2,632.92	LT
	Aug 27, 12	110,000	27.130	2,984.30	50.850	5,593.50	2,609.20	LT
	Aug 27, 12	92,000	27.130	2,495.96	50.850	4,678.20	2,182.24	LT
	Aug 27, 12	8,000	27.130	217.04	50.850	406.80	189.76	LT
Security total		451,000	27.130	12,235.63		22,933.35	10,697.72	
<b>MCDONALDS CORP</b>								
Symbol: MCD Exchange: NYSE								
EAI: \$227 Current yield: 2.61%								
	Jul 27, 17	21,000	156.760	3,291.96	177.570	3,728.97	437.01	LT
	Nov 6, 17	9,000	169.425	1,524.83	177.570	1,598.13	73.30	LT
	Oct 17, 18	19,000	164.600	3,127.40	177.570	3,373.83	246.43	ST

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# Portfolio Management Program

December 2018

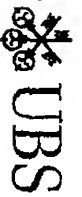
Account name: DONALD R JEANES MARITAL  
 Friendly account name: Marital Trust  
 Account number:

Your Financial Advisor:  
 WLP FINANCIAL GROUP  
 423-928-7144/800-729-4848

## Your assets, Equities, Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>MEDTRONIC PLC</b>								
Symbol: MDT Exchange: NYSE								
EAI: \$244 Current yield: 2.20%								
Security total		49,000	162.126	7,944.19		8,700.93	756.74	
	Sep 21, 16	8,000	86.598	692.79	90.960	727.68	34.89	LT
	Jul 27, 17	39,000	85.250	3,324.75	90.960	3,547.44	222.69	LT
	Jul 27, 17	38,000	85.258	3,239.84	90.960	3,456.48	216.64	LT
	Oct 17, 18	37,000	96.308	3,563.40	90.960	3,365.52	-197.88	ST
Security total		122,000	88.695	10,820.78		11,097.12	276.34	
<b>MICROCHIP TECHNOLOGY INC</b>								
Symbol: MCHP Exchange: OTC								
EAI: \$844 Current yield: 2.03%								
Security total		141,000	30.130	4,248.45	71.920	10,140.72	5,892.27	LT
	Aug 27, 12	139,000	30.130	4,188.19	71.920	9,996.88	5,808.69	LT
	Aug 27, 12	138,000	30.130	4,158.04	71.920	9,924.96	5,766.92	LT
	Aug 27, 12	120,000	30.130	3,615.71	71.920	8,630.40	5,014.69	LT
	Aug 27, 12	41,000	30.130	1,235.35	71.920	2,948.72	1,713.37	LT
Security total		579,000	30.131	17,445.74		41,641.68	24,195.94	
<b>MICROSOFT CORP</b>								
Symbol: MSFT Exchange: OTC								
EAI: \$464 Current yield: 1.81%								
Security total		45,000	74.150	3,336.75	101.570	4,570.65	1,233.90	LT
	Jul 27, 17	38,000	97.936	3,721.59	101.570	3,859.66	138.07	ST
	Jul 16, 18	37,000	104.985	3,884.45	101.570	3,758.09	-126.36	ST
	Sep 18, 18	67,000	113.453	7,601.41	101.570	6,805.19	-796.22	ST
	Oct 17, 18	65,000	109.878	7,142.07	101.570	6,602.05	-540.02	ST
Security total		252,000	101.930	25,686.27		25,595.64	-90.63	
<b>MONDELEZ INTL INC</b>								
Symbol: MDLZ Exchange: OTC								
EAI: \$275 Current yield: 2.60%								
Security total		123,000	45.110	5,548.53	40.030	4,923.69	-624.84	LT
	Jul 27, 17	73,000	44.069	3,217.10	40.030	2,922.19	-294.91	LT
	Jul 16, 18	68,000	42.426	2,885.00	40.030	2,722.04	-162.96	ST
Security total		264,000	44.131	11,650.63		10,567.92	-1,082.71	

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# Portfolio Management Program

December 2018

Account name: DONALD R JEANES MARITAL  
Friendly account name: Marital Trust  
Account number:

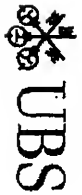
Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

## Your assets - Equities - Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>NEXTERA ENERGY INC COM</b>								
Symbol: NEE Exchange: NYSE								
EAI: \$89 Current yield: 2.56%								
	Jul 27, 17	4,000	144.470	577.88	173.820	695.28	117.40	LT
	Oct 17, 18	16,000	170.268	2,724.30	173.820	2,781.12	56.82	ST
Security total		20,000	165.109	3,302.18		3,476.40	174.22	
<b>NOVARTIS AG SPON ADR</b>								
Symbol: NVS Exchange: NYSE								
EAI: \$169 Current yield: 2.90%								
	Jul 27, 17	39,000	84.968	3,313.79	85.810	3,346.59	32.80	LT
	Oct 17, 18	29,000	84.970	2,464.13	85.810	2,488.49	24.36	ST
Security total		68,000	84.969	5,777.92		5,835.08	57.16	
<b>NUCOR CORP</b>								
Symbol: NUE Exchange: NYSE								
EAI: \$683 Current yield: 3.09%								
	Aug 27, 12	147,000	38.700	5,688.90	51.810	7,616.07	1,927.17	LT
	Aug 27, 12	112,000	38.700	4,334.40	51.810	5,802.72	1,468.32	LT
	Aug 27, 12	86,000	38.700	3,328.20	51.810	4,455.66	1,127.46	LT
	Aug 27, 12	82,000	38.700	3,173.40	51.810	4,248.42	1,075.02	LT
Security total		427,000	38.700	16,524.90		22,122.87	5,597.97	
<b>O REILLY AUTOMOTIVE INC</b>								
Symbol: ORLY Exchange: OTC								
	Sep 21, 16	6,000	276.660	1,659.96	344.330	2,065.98	406.02	LT
	Jul 27, 17	17,000	193.520	3,289.84	344.330	5,853.61	2,563.77	LT
Security total		23,000	215.209	4,949.80		7,919.59	2,969.79	
<b>OMEGA HEALTHCARE INVS INC</b>								
Symbol: OHI Exchange: NYSE								
EAI: \$1,848 Current yield: 7.51%								
	Oct 16, 12	170,000	20.603	3,502.60	35.150	5,975.50	2,472.90	LT
	Oct 17, 12	175,000	20.533	3,593.41	35.150	6,151.25	2,557.84	LT
	Nov 15, 12	355,000	18.667	6,626.83	35.150	12,478.25	5,851.42	LT
Security total		700,000	19.604	13,722.84		24,605.00	10,882.16	
<b>PARKER HANNIFIN CORP</b>								
Symbol: PH Exchange: NYSE								
EAI: \$70 Current yield: 2.04%								
	Sep 18, 17	23,000	170.490	3,921.27	149.140	3,430.22	-491.05	LT
<b>PAYCHEX INC</b>								
Symbol: PAYX Exchange: OTC								
EAI: \$1,091 Current yield: 3.44%								
	Aug 27, 12	138,000	33.220	4,584.36	65.150	8,990.70	4,406.34	LT
	Aug 27, 12	120,000	33.220	3,986.40	65.150	7,818.00	3,831.60	LT

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# Portfolio Management Program

December 2018

Account name: DONALD R JEANES MARTIAL  
 Friendly account name: Martial Trust  
 Account number:

Your Financial Advisor:  
 WLP FINANCIAL GROUP  
 423-928-7144/800-729-4848

## Your assets - Equities - Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Aug 27, 12	120,000	33.220	3,986.40	65.150	7,818.00	3,831.60	LT
	Aug 27, 12	102,000	33.220	3,388.44	65.150	6,645.30	3,256.86	LT
	Aug 27, 12	7,000	33.220	232.54	65.150	456.05	223.51	LT
Security total		487,000	33.220	16,178.14		31,728.05	15,549.91	

PROCTER & GAMBLE CO								
Symbol: PG Exchange: NYSE								
EAI: \$964 Current yield: 3.12%								
Security total	Aug 27, 12	78,000	67.120	5,235.36	91.920	7,169.76	1,934.40	LT
	Aug 27, 12	76,000	67.120	5,101.12	91.920	6,985.92	1,884.80	LT
	Aug 27, 12	69,000	67.120	4,631.28	91.920	6,342.48	1,711.20	LT
	Aug 27, 12	60,000	67.120	4,027.20	91.920	5,515.20	1,488.00	LT
Security total		53,000	67.120	3,557.36	91.920	4,871.76	1,314.40	LT
Security total		336,000	67.120	22,552.32		30,885.12	8,332.80	

RED HAT INC								
Symbol: RHT Exchange: NYSE								
Security total	Sep 21, 16	27,000	76.360	2,061.72	175.640	4,742.28	2,680.56	LT
	Jul 27, 17	32,000	101.249	3,239.97	175.640	5,620.48	2,380.51	LT
	Oct 15, 18	15,000	122.510	1,837.65	175.640	2,634.60	796.95	ST
	Oct 15, 18	12,000	122.510	1,470.12	175.640	2,107.68	637.56	ST
Security total		86,000	100.110	8,609.46		15,105.04	6,495.58	

REPUBLIC SERVICES INC								
Symbol: RSG Exchange: NYSE								
EAI: \$119 Current yield: 2.09%								
Security total	Jun 19, 18	38,000	68.806	2,614.64	72.090	2,739.42	124.78	ST
	Jul 23, 18	11,000	68.100	749.10	72.090	792.99	43.89	ST
	Oct 17, 18	30,000	71.570	2,147.10	72.090	2,162.70	15.60	ST
	Oct 17, 18	79,000	69.757	5,510.84		5,695.11	184.27	

RESIDEO TECHNOLOGIES INC								
Symbol: REZI Exchange: NYSE								
Security total	Sep 21, 16	6,167	19.830	122.29	20.550	126.73	4.44	LT
	Jul 27, 17	3,833	23.783	91.17	20.550	78.77	-12.40	LT
	Jul 27, 17	10,000	21.346	213.46		205.50	-7.96	
	Jul 27, 17	18,000	165.170	3,303.40	150.480	3,009.60	-293.80	LT
Security total		10,000	165.218	2,973.94	150.480	2,708.64	-265.30	LT

ROCKWELL AUTOMATION INC NEW								
Symbol: ROK Exchange: NYSE								
EAI: \$190 Current yield: 2.58%								
Security total	Jul 27, 17	20,000	165.170	3,303.40	150.480	3,009.60	-293.80	LT
	Jul 27, 17	18,000	165.218	2,973.94	150.480	2,708.64	-265.30	LT
	Jul 27, 17	10,000	21.346	213.46		205.50	-7.96	
	Jul 27, 17	18,000	165.170	3,303.40	150.480	3,009.60	-293.80	LT

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# Portfolio Management Program

December 2018

Account name: DONALD R JEANES MARITAL  
Friendly account name: Marital Trust  
Account number:

Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

## Your assets, Equities, Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>Security total</b>								
SCHLUMBERGER LTD NETHERLANDS ANTILLES	Oct 17, 18	11,000	171.079	1,881.87	150.480	1,655.28	-226.59	ST
Symbol: SLB Exchange: NYSE								
EAI: \$382 Current yield: 5.54%								
CAD Exchange rate: 1.36580								
<b>Security total</b>								
SUNCOR ENERGY INC NEW CAD								
Symbol: SU Exchange: NYSE								
EAI: \$225 Current yield: 3.78%								
CAD Exchange rate: 1.36580								
<b>Security total</b>								
SUNTRUST BANKS INC								
Symbol: STI Exchange: NYSE								
EAI: \$230 Current yield: 3.97%								
<b>Security total</b>								
TEXAS INSTRUMENTS								
Symbol: TXN Exchange: OTC								
EAI: \$185 Current yield: 3.26%								
<b>Security total</b>								
THERMO FISHER SCIENTIFIC INC								
Symbol: TMO Exchange: NYSE								
EAI: \$31 Current yield: 0.30%								
<b>Security total</b>								

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# Portfolio Management Program

December 2018

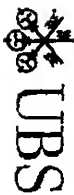
Account name: DONALD R JEANES MARITAL  
 Friendly account name: Marital Trust  
 Account number:

Your Financial Advisor:  
 WLP FINANCIAL GROUP  
 423-928-7144/800-729-4848

## Your assets, Equities, Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>TIX COS INC NEW</b>								
Symbol: TIX Exchange: NYSE								
EAI: \$162 Current yield: 1.74%								
	Sep 21, 16	114,000	37.784	4,307.48	44.740	5,100.36	792.88	LT
	Jul 27, 17	94,000	34.304	3,224.62	44.740	4,205.56	980.94	LT
Security total		208,000	36.212	7,532.10		9,305.92	1,773.82	
<b>UNION PACIFIC CORP</b>								
Symbol: UNP Exchange: NYSE								
EAI: \$144 Current yield: 2.31%								
	Jul 27, 17	24,000	102.269	2,454.46	138.230	3,317.52	863.06	LT
	Oct 17, 18	21,000	150.920	3,169.32	138.230	2,902.83	-266.49	ST
Security total		45,000	124.973	5,623.78		6,220.35	596.57	
<b>UNITEDHEALTH GROUP INC</b>								
Symbol: UNH Exchange: NYSE								
EAI: \$659 Current yield: 1.45%								
	Feb 13, 18	172,000	226.707	38,993.72	249.120	42,848.64	3,854.92	ST
	Apr 16, 18	11,000	227.530	2,502.84	249.120	2,740.32	237.48	ST
Security total		183,000	226.757	41,496.56		45,588.96	4,092.40	
<b>UNITED TECHNOLOGIES CORP</b>								
Symbol: UTX Exchange: NYSE								
EAI: \$344 Current yield: 2.76%								
	Sep 21, 16	42,000	101.009	4,242.38	106.480	4,472.16	229.78	LT
	Jul 27, 17	28,000	117.980	3,303.44	106.480	2,981.44	-322.00	LT
	Jul 27, 17	27,000	118.000	3,186.00	106.480	2,874.96	-311.04	LT
	Oct 17, 18	20,000	130.790	2,615.80	106.480	2,129.60	-486.20	ST
Security total		117,000	114.082	13,347.62		12,458.16	-889.46	
<b>VERIZON COMMUNICATIONS INC</b>								
Symbol: VZ Exchange: NYSE								
EAI: \$1,258 Current yield: 4.29%								
	Aug 27, 12	200,000	42.900	8,580.00	56.220	11,244.00	2,664.00	LT
	Aug 27, 12	86,000	42.900	3,689.40	56.220	4,834.92	1,145.52	LT
	Aug 27, 12	74,000	42.900	3,174.60	56.220	4,160.28	985.68	LT
	Aug 27, 12	69,000	42.900	2,960.10	56.220	3,879.18	919.08	LT
	Aug 27, 12	53,000	42.900	2,273.70	56.220	2,979.66	705.96	LT
	Aug 27, 12	40,000	42.900	1,716.00	56.220	2,248.80	532.80	LT

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# Portfolio Management Program

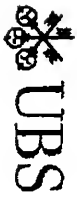
December 2018

Account name: DONALD R JEANES MARITAL  
 Friendly account name: Marital Trust  
 Account number:

Your Financial Advisor:  
 WLP FINANCIAL GROUP  
 423-928-7144/800-729-4848

## Your assets, Equities, Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>VF CORP</b>								
Symbol: VFC Exchange: NYSE								
EAI: \$131 Current yield: 2.87%								
	Jul 27, 17	42,000	60.619	2,546.00	71.340	2,996.28	450.28	LT
	Oct 17, 18	22,000	88.820	1,954.04	71.340	1,569.48	-384.56	ST
Security total		64,000	70.313	4,500.04		4,565.76	65.72	
<b>VISA INC CL A</b>								
Symbol: V Exchange: NYSE								
EAI: \$63 Current yield: 0.76%								
	Sep 21, 16	31,000	82.569	2,559.64	131.940	4,090.14	1,530.50	LT
	Jul 27, 17	32,000	100.750	3,224.00	131.940	4,222.08	998.08	LT
Security total		63,000	91.804	5,783.64		8,312.22	2,528.58	
<b>WALT DISNEY CO (HOLDING CO)</b>								
DISNEY COM								
Symbol: DIS Exchange: NYSE								
EAI: \$181 Current yield: 1.60%								
	Sep 21, 16	46,000	92.460	4,253.16	109.650	5,043.90	790.74	LT
	Jul 27, 17	30,000	109.300	3,279.00	109.650	3,289.50	10.50	LT
	Sep 18, 18	27,000	108.970	2,942.19	109.650	2,960.55	18.36	ST
Security total		103,000	101.693	10,474.35		11,293.95	819.60	
<b>WASTE MGMT INC NEW</b>								
Symbol: WWM Exchange: NYSE								
EAI: \$342 Current yield: 2.09%								
	Aug 27, 12	184,000	34.210	6,294.64	88.990	16,374.16	10,079.52	LT
Security total								
				\$785,027.72		\$1,043,460.13	\$258,432.41	
<b>Total</b>								
<b>Total estimated annual income: \$29,610</b>								



# Portfolio Management Program

December 2018

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## Your assets , Equities (continued)

### Closed end funds & Exchange traded products

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Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
<b>ALPS INTL SECTOR DIVID DOGS ETF</b>									
Symbol: IDOG									
Trade date: Oct 29, 18	8,332,000	25.004	208,339.50	208,339.50	23.820	198,468.24	-9,871.26	-9,871.26	ST
EAI: \$8,924 Current yield: 4.50%									
<b>ENERGY SELECT SECTOR SPDR FUND ETF</b>									
Symbol: XLE									
Trade date: Jul 27, 17	2,482,000	65.877	163,507.71	163,507.71	57.350	142,342.70	-21,165.01	-21,165.01	LT
EAI: \$5,038 Current yield: 3.54%									
<b>ISHARES EDGE MSCI MULTIFACTOR INTL ETF</b>									
Symbol: JNTF									
Trade date: Oct 17, 18	7,494,000	26.417	197,972.75	197,972.75	23.710	177,682.74	-20,290.01	-20,290.01	ST
Trade date: Oct 29, 18	6,863,000	25.380	174,182.94	174,182.94	23.710	162,721.73	-11,461.21	-11,461.21	ST
EAI: \$8,930 Current yield: 2.62%									
Security total	14,357,000	25.922	372,155.69	372,155.69		340,404.47	-31,751.22	-31,751.22	
<b>SPDR S&amp;P 600 SMALL CAP ETF</b>									
Symbol: SLV									
Trade date: Sep 21, 16	830,000	55.121	45,750.51	45,750.51	59.970	49,775.10	4,024.59	4,024.59	LT
Trade date: Apr 5, 18	250,000	67.264	16,816.24	16,816.24	59.970	14,992.50	-1,823.74	-1,823.74	ST
EAI: \$1,012 Current yield: 1.56%									
Security total	1,080,000	57.932	62,566.75	62,566.75		64,767.60	2,200.85	2,200.85	
<b>VANGUARD GROWTH ETF</b>									

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# Portfolio Management Program

December 2018

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## Your assets, Equities, Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
Symbol: VUG									
Trade date: Oct 17, 18	1,872,000	152.340	285,180.48	285,180.48	134.330	251,465.76	-33,714.72	-33,714.72	ST
EAI: \$3,321 Current yield: 1.32%									
Total			\$1,091,750.13	\$1,091,750.13		\$997,448.77	-\$94,301.36	-\$94,301.36	
Total estimated annual income:			\$27,225						

## Mutual funds

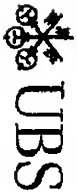
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Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
MFS VALUE FUND CLASS I									
Symbol: MEIX									
Trade date: Jun 28, 13	1,034,188	29.424	30,430.41	30,430.41	35.510	36,724.01	6,293.60		LT
Total reinvested	136,339	36.114		4,923.83	35.510	4,841.40	-82.43		
EAI: \$874 Current yield: 2.10%									
Security total	1,170,527	30.204	30,430.41	35,354.24		41,565.41	6,211.17	11,135.00	



Portfolio Management Program  
December 2018

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Your assets (continued)

Fixed income

Municipal securities

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected. Cost basis has been automatically adjusted for mandatory amortization of bond premium on coupon tax-exempt municipal securities using the constant yield method and for accreted original issue

discount for securities issued at a discount. When original cost basis is displayed, amortization has been done using the constant yield method, otherwise amortization has been done using the straight line method.

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding Period
JOHNSON CITY TN SR A BEV/ PRE-REFUNDED RATE 05.375% MATURES 07/01/25 PRE-REFUNDED 07/01/20 @ 100.00 ACCRUED INTEREST \$2,687.50 CUSIP 478271JEO								
Moody: Baa1 S&P: A- EAT: \$5.375 Current yield: 5.11% Original cost basis: \$99,996.25	Apr 09, 10	100,000.000	99.999	99,999.31	105.096	105,096.00	5,096.69	LT
TENNESSEE HDA HOMEOWNER AMT RV BEV/ RATE 04.500% MATURES 07/01/25 CALLABLE 01/01/20 @ 100.00 ACCRUED INTEREST \$225.00 CUSIP 88045RRM6								
Moody: Aa1 S&P: AA+ EAT: \$4.50 Current yield: 4.43% Original cost basis: \$110,059.59	Aug 31, 11	10,000.000	100.106	10,010.66	101.557	10,155.70	145.04	LT
<b>Total</b>		<b>\$110,000.000</b>		<b>\$110,009.97</b>		<b>\$115,251.70</b>	<b>\$5,241.73</b>	
<b>Total accrued interest: \$2,912.50</b>								
<b>Total estimated annual income: \$5,825</b>								



# Portfolio Management Program

December 2018

Account name: DONALD R JEANES MARTIAL  
 Friendly account name: Martial Trust  
 Account number:

Your Financial Advisor:  
 WLP FINANCIAL GROUP  
 423-928-7144/800-729-4848

## Your assets , Fixed income (continued)

### Closed end funds & Exchange traded products

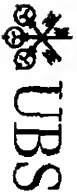
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Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
<b>ISHARES 1-3 YR TREAS BOND ETF</b>									
Symbol: SHY									
Trade date: Jan 24, 17	165,000	84.530	13,947.45	13,947.45	83.620	13,797.30	-150.15		LT
Trade date: Apr 11, 18	72,000	83.440	6,007.68	6,007.68	83.620	6,020.64	12.96		ST
Trade date: Apr 11, 18	38,000	83.440	3,170.72	3,170.72	83.620	3,177.56	6.84		ST
EAI: \$396 Current yield: 1.72%									
Security total	275,000	84.094	23,125.85	23,125.85		22,995.50	-130.35	-130.35	
<b>ISHARES 3-7 YEAR TREAS BOND ETF</b>									
Symbol: IEI									
Trade date: Jan 24, 17	29,000	122.840	3,562.36	3,562.36	121.400	3,520.60	-41.76		LT
Trade date: Apr 18, 17	19,000	124.187	2,359.57	2,359.57	121.400	2,306.60	-52.97		LT
EAI: \$114 Current yield: 1.96%									
Security total	48,000	123.374	5,921.93	5,921.93		5,827.20	-94.73	-94.73	
<b>ISHARES FLOATING RATE BOND ETF</b>									
Symbol: FLOT									
Trade date: Apr 18, 17	289,000	50.842	14,693.42	14,693.42	50.360	14,554.04	-139.38	-139.38	LT
EAI: \$350 Current yield: 2.40%									
Total			\$43,741.20	\$43,741.20		\$43,376.74	-\$364.46	-\$364.46	
Total estimated annual income: \$860									



# Portfolio Management Program

December 2018

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WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

## Your assets , Fixed income (continued)

### Mutual funds

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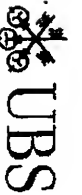
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Holding	Number of shares	Purchase price/ Average price per share (\$)	Client Investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
<b>BLACKROCK FLOATING RATE INCOME</b>									
<b>PORTFOLIO INSTITUTIONAL</b>									
Symbol: BFRDX									
Trade date: Sep 21, 16	2,269,638	10.129	22,991.43	22,991.43	9.680	21,970.09	-1,021.34		LT
Trade date: Oct 21, 16	442,478	10.169	4,500.00	4,500.00	9.680	4,283.19	-216.81		LT
Total reinvested	266,221	10.184		2,711.40	9.680	2,577.02	-134.38		
EAI: \$1,385 Current yield: 4.80%									
Security total	2,978,337	10.141	27,491.43	30,202.83		28,830.30	-1,372.53	1,338.87	
<b>BLACKROCK HIGH YIELD BOND</b>									
<b>PORTFOLIO INSTITUTIONAL</b>									
Symbol: BHHYX									
Trade date: Sep 21, 16	1,414,651	7.540	10,666.47	10,666.47	7.140	10,100.61	-565.86		LT
Trade date: Nov 9, 16	279,099	7.540	2,104.41	2,104.41	7.140	1,992.77	-111.64		LT
Trade date: Jul 10, 18	329,366	7.640	2,516.36	2,516.36	7.140	2,351.67	-164.69		ST
Trade date: Sep 18, 18	232,783	7.670	1,785.45	1,785.45	7.140	1,662.07	-123.38		ST
Total reinvested	289,370	7.689		2,225.07	7.140	2,066.10	-158.97		
EAI: \$1,122 Current yield: 6.17%									
Security total	2,545,269	7.582	17,072.69	19,297.76		18,173.22	-1,124.54	1,100.53	
<b>TEMPLETON GLOBAL BOND ADV</b>									
Symbol: TGBAX									
Trade date: Jun 28, 13	6,583,389	12.602	82,969.00	82,969.00	11.250	74,063.12	-8,905.88		LT
Trade date: Jun 28, 13	1,706,502	12.602	21,506.69	21,506.69	11.250	19,198.14	-2,308.55		LT

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# Portfolio Management Program

December 2018

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## Your assets, Fixed income, Mutual funds (continued)

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
Trade date: Jul 27, 17	7,827,833	12.180	95,343.01	95,343.01	11.250	88,063.12	-7,279.89		LT
Total reinvested	1,427,474	11.622		16,590.21	11.250	16,059.08	-531.13		
EAL: \$13,457 Current yield: 6.82%									
Security total	17,545,197	12.334	199,818.70	216,408.91		197,383.46	-19,025.45	-2,435.24	
Total			\$244,382.82	\$265,909.50		\$244,386.98	-\$21,522.52	\$4.16	
Total estimated annual income: \$15,964									

## Non-traditional

### Mutual funds

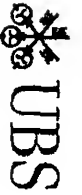
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Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
GATEWAY FUND CLASS Y									
Symbol: GTEYX									
Trade date: Aug 27, 12	1,121,120	27.449	30,774.74	30,774.74	31.630	35,461.03	4,686.29		LT
Trade date: Jun 28, 13	5,376,344	27.900	150,000.00	150,000.00	31.630	170,053.76	20,053.76		LT
Trade date: Jun 28, 13	941,470	27.899	26,267.01	26,267.01	31.630	29,778.70	3,511.69		LT
EAL: \$3,325 Current yield: 1.41%									
Security total	7,438,934	27.832	207,041.75	207,041.75		235,293.48	28,251.74	28,251.74	



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Your assets (continued)

Your total assets

	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
<b>Cash</b>	<b>535,378.96</b>	<b>16.43%</b>	<b>535,378.96</b>		
<b>Equities</b>	<b>1,043,460.13</b>		<b>785,027.72</b>	<b>29,610.00</b>	<b>258,432.41</b>
Common stock					
Closed end funds & Exchange traded products	997,448.77		1,091,750.13	27,225.00	-94,301.36
Mutual funds	41,565.41		35,354.24	874.00	6,211.17
<b>Total equities</b>	<b>2,082,474.31</b>	<b>63.90%</b>	<b>1,912,132.09</b>	<b>57,709.00</b>	<b>170,342.22</b>
<b>Fixed Income</b>	<b>115,251.70</b>		<b>110,009.97</b>	<b>5,825.00</b>	<b>5,241.73</b>
Municipal securities					
Closed end funds & Exchange traded products	43,376.74		43,741.20	860.00	-364.46
Mutual funds	244,386.98		265,909.50	15,964.00	-21,522.52
Total accrued interest	2,912.50				
<b>Total fixed income</b>	<b>405,927.92</b>	<b>12.45%</b>	<b>419,660.67</b>	<b>22,649.00</b>	<b>-16,645.25</b>
<b>Non-traditional</b>	<b>235,293.48</b>	<b>7.22%</b>	<b>207,041.75</b>	<b>3,325.00</b>	<b>28,251.74</b>
<b>Total</b>	<b>\$3,259,074.67</b>	<b>100.00%</b>	<b>\$3,074,213.47</b>	<b>\$83,683.00</b>	<b>\$181,948.71</b>

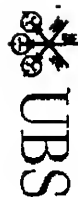
Account activity this month

Dividend and Interest Income

Taxable dividends

Date	Activity	Description	Amount (\$)
Dec 3	Dividend	BLACKROCK FLOATING RATE INCOME PORTFOLIONSTITUTIONAL AS OF 11/30/18 SYMBOL: BFRIX	121.28
Dec 3	Dividend	BLACKROCK HIGH YIELD BOND PORTFOLIONSTITUTIONAL AS OF 11/30/18 SYMBOL: BHYIX	96.62
Dec 3	Dividend	INTEL CORP PAID ON 183 AS OF 12/01/18 SYMBOL: INTC	54.90
Dec 4	Foreign Dividend	ENBRIDGE INC CAD AS OF 12/01/18 SYMBOL: ENB	198.77
Dec 4	Dividend	VISA INC CL A PAID ON 63 SYMBOL: V	15.75
Dec 5	Dividend	MICROCHIP TECHNOLOGY INC PAID ON 579 SYMBOL: MCHP	211.05

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Portfolio Management Program  
December 2018

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Account activity this month (continued)

Date	Activity	Description	Amount (\$)
<b>Dividend and Interest Income (continued)</b>			
<i>Taxable dividends (continued)</i>			
Dec 6	Dividend	TIX COS INC NEW PAID ON SYMBOL: TIX	208 40.56
Dec 7	Dividend	HARRIS CORP DELA PAID ON SYMBOL: HRS	297 203.45
Dec 7	Dividend	HONEYWELL INTL INC PAID ON SYMBOL: HON	60 49.20
Dec 7	Dividend	ISHARES 1-3 YR TREAS BOND ETF PAID ON SYMBOL: SHY	275 39.13
Dec 7	Dividend	ISHARES 3-7 YEAR TREAS BOND ETF PAID ON SYMBOL: IEI	48 10.16
Dec 7	Dividend	ISHARES FLOATING RATE BOND ETF PAID ON SYMBOL: FLOT	289 33.42
Dec 7	Dividend	PARKER HANFEN CORP PAID ON SYMBOL: PH	23 17.48
Dec 10	Dividend	CHEVRON CORP PAID ON SYMBOL: CVX	112 125.44
Dec 10	Dividend	CRANE CO PAID ON SYMBOL: CR	72 25.20
Dec 10	Dividend	ROCKWELL AUTOMATION INC NEW PAID ON SYMBOL: ROK	49 47.53
Dec 10	Dividend	UNTD TECHNOLOGIES CORP PAID ON SYMBOL: UTX	117 86.00
Dec 11	Dividend	JOHNSON & JOHNSON COM PAID ON SYMBOL: JNJ	87 78.30
Dec 13	Dividend	HOME DEPOT INC PAID ON SYMBOL: HD	102 105.06
Dec 13	Dividend	MAXIM INTEGRATED PRODS INC PAID ON SYMBOL: MXM	451 207.46
Dec 13	Dividend	MICROSOFT CORP PAID ON SYMBOL: MSFT	252 115.92
Dec 13	Dividend	UNITEDHEALTH GROUP INC PAID ON SYMBOL: UNH	183 164.70
Dec 14	Dividend	COCA COLA CO COM PAID ON SYMBOL: KO	157 61.23

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Portfolio Management Program  
December 2018

Account name: DONALD R JEANES MARITAL  
Friendly account name: Marital Trust  
Account number:

Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

Account activity this month (continued)

Date	Activity	Description	Amount (\$)
<b>Dividend and Interest Income (continued)</b>			
<i>Taxable dividends (continued)</i>			
Dec 14	Dividend	WASTE MGMT INC NEW PAID ON SYMBOL: WM	184 85.56
Dec 17	Dividend	LAUDER ESTEE COS CL A PAID ON SYMBOL: EL	87 37.41
Dec 17	Dividend	MCDONALDS CORP PAID ON SYMBOL: MCD	49 56.84
Dec 17	Dividend	NEXTERA ENERGY INC COM PAID ON SYMBOL: NEE	27 29.97
Dec 17	Dividend	SUNTRUST BANKS INC PAID ON SYMBOL: STI	90 45.00
Dec 19	Dividend	TEMPLETON GLOBAL BOND ADV SYMBOL: TGBAX	4,526.10
Dec 20	Dividend	VF CORP PAID ON SYMBOL: VFC	64 32.64
Dec 20	Dividend	GATEWAY FUND CLASS Y SYMBOL: GTEYX	807.12
Dec 21	St Cap Gain	MES VALUE FUND CLASS I SHORT TERM CAPITAL GAIN AS OF 12/20/18 SYMBOL: MEIX	25.66
Dec 21	Dividend	MES VALUE FUND CLASS I AS OF 12/20/18 SYMBOL: MEIX	279.99
Dec 24	St Cap Gain	BLACKROCK FLOATING RATE INCOME PORTFOLIO INSTITUTIONAL SHORT TERM CAPITAL GAIN AS OF 12/21/18 SYMBOL: BFRX	8.38
Dec 24	St Cap Gain	BLACKROCK HIGH YIELD BOND PORTFOLIO INSTITUTIONAL SHORT TERM CAPITAL GAIN AS OF 12/21/18 SYMBOL: BHYX	27.25
Dec 24	Dividend	ISHARES 1-3 YR TREAS BOND ETF PAID ON SYMBOL: SHY	275 32.66
Dec 24	Dividend	ISHARES 3-7 YEAR TREAS BOND ETF PAID ON SYMBOL: IEI	48 10.37
Dec 24	Dividend	ISHARES FLOATING RATE BOND ETF PAID ON SYMBOL: FLOT	289 35.73
Dec 24	Dividend	ISHARES EDGE MSCI MULTIFACTOR INTL ETF PAID ON SYMBOL: INTF	14357 3,711.96

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Portfolio Management Program  
December 2018

Account name: DONALD R JEANES MARTIAL  
Friendly account name: Martial Trust  
Account number:

Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

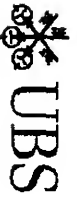
Account activity this month (continued)

Date	Activity	Description	Amount (\$)
<b>Dividend and interest income (continued)</b>			
<i>Taxable dividends (continued)</i>			
Dec 24	Foreign Dividend	SUNCOR ENERGY INC NEW CAD PAID ON SYMBOL: SU	172 45.54
Dec 26	Dividend	BLACKROCK INC PAID ON SYMBOL: BLK	26 81.38
Dec 27	Dividend	ALPS INTL SECTOR DIVID DOGS ETF PAID ON SYMBOL: IDOG	8332 1,348.12
Dec 27	Dividend	ENERGY SELECT SECTOR SPDR FUND ETF PAID ON SYMBOL: XLE	2482 1,315.55
Dec 28	Foreign Dividend	LINDE PLC EUR AS OF 12/27/18 SYMBOL: LIN	18.98
Dec 28	Dividend	HILTON WORLDWIDE HOLDINGS INC PAID ON SYMBOL: HLT	62 9.30
Dec 28	Dividend	LOCKHEED MARTIN CORP PAID ON SYMBOL: LMT	229 503.80
Dec 28	Dividend	SPDR S&P 600 SMALL CAP ETF PAID ON SYMBOL: SLY	1080 312.22
Dec 28	Dividend	UNION PACIFIC CORP PAID ON SYMBOL: UNP	45 36.00
Dec 28	Dividend	VANGUARD GROWTH ETF PAID ON SYMBOL: VUG	1872 987.29
Dec 28	Dividend	INTERCONTINENTAL EXCHANGE GROUP PAID ON SYMBOL: ICE	123 29.52
<b>Total taxable dividends</b>			<b>\$16,548.95</b>
Dec 21	Lt Cap Gain	MFS VALUE FUND CLASS I LONG TERM CAPITAL GAIN AS OF 12/20/18 SYMBOL: MEXX	595.93
<b>Total long-term capital gains</b>			<b>595.93</b>
Dec 7	Interest	UBS FDIC INSURED DEPOSIT PROGRAM AS OF 12/06/18	327.39
<b>Total taxable interest</b>			<b>\$327.39</b>
Dec 3	Interest	TENNESSEE HDA HOMEOWNER AMT RV CALLABLE AS OF 12/01/18 CUSIP: 88045RRM6	BEAR/4.5 07/01/25 DTD 10/31/10 93.75
<b>Total tax-exempt interest</b>			<b>\$93.75</b>
<b>Total dividend and interest income</b>			<b>\$17,566.02</b>

Long-term capital gains

Taxable interest

Tax-exempt interest



**Portfolio Management Program**  
December 2018

**Account name:** DONALD R JEANES MARITAL  
**Friendly account name:** Marital Trust  
**Account number:**

**Your Financial Advisor:**  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

**Account activity this month (continued)**

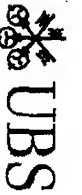
Other funds debited	Date	Activity	Description	Amount (\$)
	Dec 4	Foreign Tax Withheld	ENBRIDGE INC CAD AS OF 12/01/18 SYMBOL: ENB	-49.69
	Dec 24	Foreign Tax Withheld	SUNCOR ENERGY INC NEW CAD SYMBOL: SU	-11.38
	Dec 31	Transfer	EARNINGS DISBURSEMENT TO JY 01954 CLARINDA P JEANES LIVING TRUST	-11,757.36
<b>Total other funds debited</b>				<b>-\$11,818.43</b>

**Investment transactions**

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from investment transactions (\$)	Funds withdrawn for investments bought (\$)	Accrued interest (\$)
Dec 3	Call Redemption	TENNESSEE HDA HOMEOWNER AMT RV BE/R/ 4.5 070125 DTD 101310 CALLABLE AS OF 12/01/18 CUSIP: 88045RRM6	-5,000.000			5,000.00		
Dec 3	Reinvestment	BLACKROCK FLOATING RATE INCOME PORTFOLIO INSTITUTIONAL DIVIDEND REINVESTED AT 9.99 NAV ON 11/30/18 AS OF 11/30/18 SYMBOL: BFRX	12.140				-121.28	
Dec 3	Reinvestment	BLACKROCK HIGH YIELD BOND PORTFOLIO INSTITUTIONAL DIVIDEND REINVESTED AT 7.37 NAV ON 11/30/18 AS OF 11/30/18 SYMBOL: BHYX	13.110				-96.62	
Dec 12	Sold	NEXTERA ENERGY INC COM DE SYMBOL: NEE	-7.000		182.235690	1,275.63		
Dec 12	Sold	REPUBLIC SERVICES INC DE SYMBOL: RSG	-19.000		73.834800	1,402.84		
Dec 12	Bought	SUNTRUST BANKS INC DE SYMBOL: STI	25.000		55.694600		-1,392.37	
Dec 12	Bought	SUNCOR ENERGY INC NEW CAD DE SYMBOL: SU	41.000		31.038800		-1,272.59	

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Portfolio Management Program  
December 2018

Account name: DONALD R JEANES MARITAL  
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WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

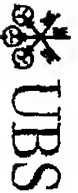
Account activity this month (continued)

Investment transactions (continued)

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from investment transactions (\$)	Funds withdrawn for investments bought (\$)	Accrued interest (\$)
Dec 19	Reinvestment	TEMPLETON GIBAL BOND ADV DIVIDEND REINVESTED AT 11.29 NAV ON 12/17/18 SYMBOL: TGBAX	400.895				-4,526.10	
Dec 21	Reinvestment	MFS VALUE FUND CLASS I LT CAP GAINS REINVESTED AT 35.12 NAV ON 12/20/18 AS OF 12/20/18 SYMBOL: MEIX	16.968				-595.93	
Dec 21	Reinvestment	MFS VALUE FUND CLASS I ST CAP GAINS REINVESTED AT 35.12 NAV ON 12/20/18 AS OF 12/20/18 SYMBOL: MEIX	0.731				-25.66	
Dec 24	Reinvestment	BLACKROCK FLOATING RATE INCOME PORTFOLIO ST CAP GAINS REINVESTED AT 9.71 NAV ON 12/21/18 AS OF 12/21/18 SYMBOL: BRFX	0.863				-8.38	
Dec 24	Reinvestment	BLACKROCK HIGH YIELD BOND PORTFOLIO INSTITUTIONAL ST CAP GAINS REINVESTED AT 7.13 NAV ON 12/21/18 AS OF 12/21/18 SYMBOL: BHYX	3.822				-27.25	
<b>Total</b>						<b>\$7,678.47</b>	<b>-\$8,066.18</b>	

Date	Activity	Description	Amount (\$)
<b>Nov 30</b>	<b>Balance forward</b>		<b>\$592,362.23</b>
Dec 3	Withdrawal	UBS FDIC INSURED DEPOSIT PGM AS OF 11/30/18	-2,343.15
Dec 4	Deposit	UBS FDIC INSURED DEPOSIT PGM	5,148.65
Dec 5	Deposit	UBS FDIC INSURED DEPOSIT PGM	164.83
Dec 6	Deposit	UBS FDIC INSURED DEPOSIT PGM	211.05
Dec 7	Deposit	UBS FDIC INSURED DEPOSIT PGM AS OF 12/06/18	327.39
Dec 7	Deposit	UBS FDIC INSURED DEPOSIT PGM	40.56
Dec 10	Deposit	UBS FDIC INSURED DEPOSIT PGM	352.84
Dec 11	Deposit	UBS FDIC INSURED DEPOSIT PGM	284.17
Dec 12	Deposit	UBS FDIC INSURED DEPOSIT PGM	78.30
Dec 13	Deposit	UBS FDIC INSURED DEPOSIT PGM	13.51
Dec 14	Deposit	UBS FDIC INSURED DEPOSIT PGM	593.14

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Portfolio Management Program  
December 2018

Account name: DONALD R JEANES MARITAL  
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WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

Account activity this month (continued)

Money balance activities (continued)	Date	Activity	Description	Amount (\$)
	Dec 17	Deposit	UBS FDIC INSURED DEPOSIT PGM	146.79
	Dec 18	Deposit	UBS FDIC INSURED DEPOSIT PGM	169.22
	Dec 21	Deposit	UBS FDIC INSURED DEPOSIT PGM	839.76
	Dec 24	Deposit	UBS FDIC INSURED DEPOSIT PGM	279.99
	Dec 26	Deposit	UBS FDIC INSURED DEPOSIT PGM	3,824.88
	Dec 27	Deposit	UBS FDIC INSURED DEPOSIT PGM	81.38
	Dec 28	Deposit	UBS FDIC INSURED DEPOSIT PGM	2,663.67
	Dec 31	Deposit	UBS FDIC INSURED DEPOSIT PGM	1,897.11
	Dec 31	Closing	UBS FDIC-Insured Deposit Program	\$547,136.32

The UBS FDIC-Insured Deposit Program is your primary sweep option.

## Realized gains and losses

The estimated realized gains and losses shown below are not for tax purposes. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order. See Important information about your statement at the end of this document for more

information. We may not adjust gains and losses for all capital changes. We automatically adjust cost basis for tax-exempt and AMT coupon municipal securities for estimated amortization of bond premiums and for accreted OID for securities issued at a discount. If you requested that UBS adjust cost basis for the bond premium amortization on taxable debt securities then cost basis reflected for these securities has been adjusted. Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided.

## Short-term capital gains and losses

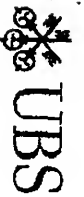
Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
REPUBLIC SERVICES INC	FIFO	15,000	Jun 19, 18	Dec 10, 18	1,107.51	1,031.99			75.52
	FIFO	4,000	Jun 19, 18	Dec 10, 18	295.33	275.22			20.11
<b>Total</b>					<b>\$1,402.84</b>	<b>\$1,307.21</b>			<b>\$95.63</b>

## Net short-term capital gains and losses

## Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
NEXTERA ENERGY INC COM	FIFO	7,000	Jul 27, 17	Dec 10, 18	1,275.63	1,011.28			264.35

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# Portfolio Management Program

December 2018

Account name: DONALD R JEANES MARITAL  
Friendly account name: Marital Trust  
Account number:

Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

## Realized gains and losses (continued)

## Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
TENNESSEE HDA HOMEOWNER AMT RV BE/R 4.500 070125 OTD 101310 Original cost basis : \$5,029.79	FIFO	5,000.000	Aug 31, 11	Dec 01, 18	5,000.00	5,005.75		-5.75	
<b>Total</b>					<b>\$6,275.63</b>	<b>\$6,017.03</b>		<b>-\$5.75</b>	<b>\$264.35</b>
<b>Net long-term capital gains or losses</b>									<b>\$258.60</b>
<b>Net capital gains/losses:</b>									<b>\$354.23</b>

## Summary of approved payees and recurring payments

Based on your instructions, the following payees and recurring payments are considered "approved payees". As a result, (1) you may authorize additional transfers to these payees verbally and (2) you are no longer receiving individual confirmations for payments to these payees.

The payees and recurring payments listed below do not include Bill Payments, Electronic Funds Transfers and certain other transfers between accounts at UBS. If you have additional payees and/or recurring instructions on file, you will receive separate confirmations from your Financial Advisor.

To update or cancel any of these instructions, please contact your Financial Advisor.

Payee description	Disbursement Amount (\$)	method	Type/Event	Frequency	Start date	End date	Last used date
Blackburn, Childers & Steagall, P.L.C. Client ID 11305	varied	Check	Payee on file	Upon request	n/a	n/a	Mar 29, 18
City of Johnson City 216 Magnolia Ridge Dr JY01954	varied	Check	Payee on file	Upon request	n/a	n/a	Oct 17, 18
PA Department of Revenue 306333926 PAA1	varied	Transfer	Payee on file	Upon request	n/a	n/a	Dec 29, 18
PA Department of Revenue 306333926 PAA1	varied	Check	Payee on file	Upon request	n/a	n/a	Mar 29, 18
Thebeau and Associates, PA CAPITAL BANK CORPORATION	varied	Federal Funds	Payee on file	Upon request	n/a	n/a	Apr 13, 18
TN Dept of Revenue 30-63333926	varied	Check	Payee on file	Upon request	n/a	n/a	Mar 29, 18
Washington County Trustee 216 Magnolia Ridge Dr	varied	Check	Payee on file	Upon request	n/a	n/a	Oct 17, 18



Entry Date	Settle Date	Activity	Description	Symbol/Security	Type	Quantity	Price	Amount
10/17/18	10/19/18	BOUGHT	MARSH & MCLENNAN COS INC DE Trade# 97624 Biot85	MMC-275603	1	32.000	\$81.180	-2,565.75
10/17/18	10/19/18	BOUGHT	NOVARTIS AG SPON ADR DE Trade# 97627 Biot85	NVS-338460	1	28.000	\$84.970	-2,464.13
10/17/18	10/19/18	BOUGHT	BLACKROCK INC DE Trade# 97609 Biot85	BLK-0921P7	1	6.000	\$408.030	-2,448.18
10/17/18	10/19/18	BOUGHT	DIAGEO PLC NEW GB SPON ADR Trade# 97815 Biot85	DEO-115750	1	17.000	\$134.430	-2,285.31
10/17/18	10/19/18	BOUGHT	CRANE CO DE Trade# 97613 Biot85	CR-112500	1	25.000	\$89.990	-2,249.75
10/17/18	10/19/18	BOUGHT	REPUBLIC SERVICES INC DE Trade# 97530 Biot85	RSR-3862P3	1	30.000	\$71.570	-2,147.10
10/17/18	10/19/18	BOUGHT	CHUBB LTD CHF DE Trade# 97610 Biot85	CB-112WY2	1	16.000	\$125.980	-2,015.68
10/17/18	10/19/18	BOUGHT	LOCKHEED MARTIN CORP DE Trade# 97621 Biot85	LMT-2639C1	1	6.000	\$335.480	-2,012.88
10/17/18	10/19/18	BOUGHT	VF CORP DE Trade# 97636 Biot85	VFC-488984	1	22.000	\$88.820	-1,954.04
10/17/18	10/19/18	BOUGHT	ROCKWELL AUTOMATION INC NEW Trade# 97629 Biot85	ROK-4026J3	1	11.000	\$171.079	-1,881.87
10/17/18	10/19/18	BOUGHT	PRAXAIR INC DE Trade# 97628 Biot85	PX-385344	1	10.000	\$161.370	-1,613.70
10/29/18	10/31/18	BOUGHT	ALPS INTL SECTOR DVID DOGS ETF Trade# 01167 Biot85	IDOG-071WT3	1	8,332.000	\$25.005	-208,339.50
10/29/18	10/31/18	BOUGHT	ISHARES EDGE MSCI MULTIFACTOR INTL ETF Trade# 01168 Biot85	INTF-220DJ8	1	6,863.000	\$25.380	-174,182.94
11/12/18	11/14/18	BOUGHT	LAUDER ESTEE COS CL A DE Trade# 21436 Biot85	EL-2585C9	1	87.000	\$140.868	-12,255.51
12/10/18	12/12/18	BOUGHT	SUNTRUST BANKS INC DE Trade# 38945 Biot85	STI-409278	1	25.000	\$55.895	-1,392.37
12/10/18	12/12/18	BOUGHT	SUNCOR ENERGY INC NEW CAD Trade# 38846 Biot85	SU-446CD3	1	41.000	\$31.039	-1,272.59

Filtered by: Entry Date 01/01/2018-12/31/2018 Call Redemption

Entry Date	Settle Date	Activity	Description	Symbol/Security	Type	Quantity	Price	Amount
12/03/18	12/03/18	CALL REDEMPTION	TENNESSEE HDA FHEOWNER AMT RV BE/RV AS OF 12/01/18	E49CNS	1	-5,000.000	REDEMPTION	5,000.00
06/01/18	06/01/18	CALL REDEMPTION	TENNESSEE HDA FHEOWNER AMT RV BE/RV	E49CNS	1	-5,000.000	REDEMPTION	5,000.00
04/02/18	04/02/18	CALL REDEMPTION	MORGAN STANLEY MTN 06.625% 04/01/18 DTDD040108 AS OF 04/01/18	646661	1	-100,000.000	REDEMPTION	100,000.00

Filtered by: Entry Date 01/01/2018-12/31/2018 Exchange

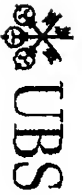
Entry Date	Settle Date	Activity	Description	Symbol/Security	Type	Quantity	Price	Amount
10/30/18	10/30/18	EXCHANGE	LINDE PLC	UN-2621Y8	1	23.000	EXCHANGE	0.00
10/30/18	10/30/18	EXCHANGE	PRAXAIR INC *MERGER- 10/2018*	PX-385344	1	-23.000	EXCHANGE	0.00

Filtered by: Entry Date 01/01/2018-12/31/2018 Sold

Entry Date	Settle Date	Activity	Description	Symbol/Security	Type	Quantity	Price	Amount
01/09/18	01/11/18	SOLD	PEPSICO INC DE Trade# 97679 Biot85	PEP-368024	1	-28.000	\$118.678	3,322.89
03/05/18	03/07/18	SOLD	PRAXAIR INC DE Trade# 03058 Biot85	PX-385344	1	-7.000	\$153.258	1,072.78
03/05/18	03/07/18	SOLD	UNION PACIFIC CORP DE Trade# 05122 Biot85	UNP-469106	1	-9.000	\$130.477	1,174.26
03/05/18	03/07/18	SOLD	MEDTRONIC PLC DE Trade# 99657 Biot85	MDT-2926Z9	1	-41.000	\$79.933	3,277.18
04/05/18	04/09/18	SOLD	L BRANDS INC COM Trade# 58199 Biot85	LB-254P11	1	-355.000	\$38.304	13,597.54
04/09/18	04/11/18	SOLD	TEXAS INSTRUMENTS DE Trade# 27392 Biot85	TXN-453587	1	-10.000	\$102.547	1,025.45
04/11/18	04/13/18	SOLD	BLACKROCK HIGH YIELD BOND 1 Trade# 88210 Biot85	MF8CHD-54M0J9	1	-415.507	\$3.195.25	6,016.86
04/11/18	04/13/18	SOLD	ISHARES 3-7 YEAR TREAS BOND ETF Trade# 20319 Biot85	IEI-214HY4	1	-50.000	\$120.340	6,016.86
04/16/18	04/18/18	SOLD	AMERISOURCEBERGEN CORP DE Trade# 70556 Biot85	ABC-0181W3	1	-29.000	\$98.976	2,522.23
04/16/18	04/18/18	SOLD	FACEBOOK INC CL A DE Trade# 80890 Biot85	FB-253JBD	1	-20.000	\$185.870	3,737.31
05/14/18	05/16/18	SOLD	RED HAT INC DE Trade# 73159 Biot85	RHT-394564	1	-29.000	\$165.249	4,792.11
05/14/18	05/16/18	SOLD	VF CORP DE Trade# 48354 Biot85	VFC-488984	1	-13.000	\$83.633	1,087.22
06/19/18	06/21/18	SOLD	INVECO LTD DE Trade# 35141 Biot85	IVZ-4089Z9	1	-100.000	\$26.863	2,686.26
06/19/18	06/21/18	SOLD	ROCKWELL AUTOMATION INC NEW Trade# 51882 Biot85	ROK-4026J3	1	-17.000	\$170.139	2,892.32
06/19/18	06/21/18	SOLD	INVECO LTD DE Trade# 35141 Biot85	IVZ-4089Z9	1	-353.000	\$26.832	9,471.72
07/10/18	07/12/18	SOLD	ISHARES 3-7 YEAR TREAS BOND ETF Trade# 62700 Biot85	IEI-214HY4	1	-21.000	\$119.662	2,512.87
07/16/18	07/18/18	SOLD	STARBUCKS CORP DE Trade# 36285 Biot85	SBUK-509736	1	-57.000	\$60.871	2,905.31
07/16/18	07/18/18	SOLD	STARBUCKS CORP DE Trade# 35942 Biot85	SBUK-509736	1	-78.000	\$50.970	3,975.61
07/23/18	07/25/18	SOLD	SUNCOR ENERGY INC NEW CAD Trade# 58546 Biot85	SU-446CD3	1	-30.000	\$40.854	1,225.61
07/23/18	07/25/18	SOLD	VISA INC CL A DE Trade# 65027 Biot85	V-486372	1	-10.000	\$140.275	1,402.73
07/23/18	07/25/18	SOLD	BLACKROCK INC DE Trade# 58541 Biot85	BLK-0921P7	1	-6.000	\$508.250	3,049.46

DATE	DESCRIPTION	ACTIVITY	DEBIT	CREDIT	IS TO BE	REMARKS	QUANTITY	PRICE	AMOUNT
07/23/18	ROCKWELL AUTOMATION INC NEW		Trade# 65026	Blot 85		ROK-4026J3	1	-22.000	\$169.641
07/23/18	ADOBE SYSTEMS INC (DELAWARE)		Trade# 65022	Blot 85		ADBE-018341	1	-42.000	\$259.187
09/05/18	PARK HOTELS & RESORTS INC REIT		Trade# 55996	Blot 60		PK-361046	1	-40.000	\$33.012
09/05/18	SUEZ UNSPONSORED ADR		Trade# 55341	Blot 36		SZEVY-4423M8	1	-1,252.000	\$7.010
09/05/18	Pfizer Inc		Trade# 56535	Blot 60		PFE-370011	1	-266.000	\$41.465
09/05/18	TARGET CORP		Trade# 56941	Blot 60		TGT-462152	1	-245.000	\$88.555
09/18/18	ISHARES 3-7 YEAR TREAS BOND ETF		Trade# 64016	Blot 85		IEI-214HY4	1	-15.000	\$119.030
09/20/18	O REILLY AUTOMOTIVE INC DE		Trade# 62687	Blot 85		ORLY-350881	1	-8.000	\$337.740
09/18/18	AMERICAN TOWER CORP REIT DE		Trade# 62684	Blot 85		AMT-050GW8	1	-52.000	\$147.961
09/20/18	BRITISH AMER TOBACCO PLC GB SPON ADR		Trade# 62662	Blot 85		BTI-0582E4	1	-24.000	\$43.290
10/15/18	VISA INC CL A DE		Trade# 55041	Blot 85		V-488372	1	-11.000	\$139.890
10/15/18	PARKER HANNIFIN CORP DE		Trade# 54712	Blot 85		PH-361160	1	-11.000	\$163.800
10/17/18	NEWELL BRANDS INC		Trade# 84718	Blot 60		NWL-3266J5	1	-1,241.000	\$17.912
10/17/18	XTRACKERS MSCI EAFE HEDGED EQUITY ETF		Trade# 96423	Blot 85		DBEF-119LG7	1	-6,464.000	\$30.605
10/17/18	GATEWAY FUND CLASS Y FBOLD 82900M2334		Trade# 04233	Blot 37		MFQWAB-52E472	1	-11,233.803	\$33.630
10/29/18	SPDR PORTFOLIO DEVELOPED WORLD EX-US ETF		Trade# 01170	Blot 85		SPDW-4475Z5	1	-6,561.000	\$27.982
10/29/18	FLEXSHARES INTL QUALITY DIVIDEND INDEX FD ETF		Trade# 01169	Blot 85		IQDF-146BU2	1	-9,400.000	\$22.086
12/10/18	NEXTERA ENERGY INC COM DE		Trade# 38843	Blot 85		NEE-346UT8	1	-7.000	\$182.236
12/10/18	REPUBLIC SERVICES INC DE		Trade# 38844	Blot 85		RSB-3962P3	1	-19.000	\$73.835

## **SCHEDULE 12**



UBS Financial Services Inc.  
214 E Mountcastle Drive  
Suite 1-A  
Johnson City TN 37601-2509

## Strategic Wealth Portfolio

December 2018

### Your Financial Advisor:

WLP FINANCIAL GROUP  
Phone: 423-928-7144/800-729-4848

### Questions about your statement?

Call your Financial Advisor or the  
RMA ResourceLine at 800-RMA-1000,

### Visit our website:

[www.ubs.com/financialservices](http://www.ubs.com/financialservices)

### Your investment objectives:

You have identified the following  
investment objectives for this account. If  
you have questions about these  
objectives, disagree with them, or wish to  
change them, please contact your  
Financial Advisor or Branch Manager. You  
can find a full description of the  
alternative investment objectives in  
*Important information about your  
statement* at the end of this document.

### Your return objective:

Capital appreciation

### Your risk profile:

Primary - Aggressive  
Investment eligibility consideration - None  
selected

Account name: DONALD R JEANES

SWING SHARE ONE TRUST

Friendly account name: Swing Trust

Account number:

## Value of your account

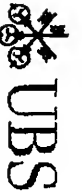
	on November 30 (\$)	on December 31 (\$)
Your assets	4,725,786.06	4,371,755.50
Your liabilities	0.00	0.00
<b>Value of your account</b>	<b>\$4,725,786.06</b>	<b>\$4,371,755.50</b>

### Your account instructions

- Your account cost basis default closing method is FIFO, First In, First Out.

## Change in the value of your account

	December 2018 (\$)	Year to date (\$)
<b>Opening account value</b>	<b>\$4,725,786.06</b>	<b>\$5,124,685.30</b>
Deposits, including investments transferred in	0.00	19,188.75
Withdrawals and fees, including investments transferred out	-14,155.61	-379,506.34
Dividend and interest income	15,554.50	160,706.07
Change in market value	-355,429.45	-553,318.28
<b>Closing account value</b>	<b>\$4,371,755.50</b>	<b>\$4,371,755.50</b>



# Strategic Wealth Portfolio

December 2018

Account name: DONALD R JEANES  
Friendly account name: Swing Trust  
Account number:

Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

## Cash activity summary

See Account activity this month for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies to deposits at UBS Bank USA and all banks participating in the UBS FDIC Insured Deposit Program. It does not apply to deposits at UBS AG, Stamford Branch, SIPC protection applies to money market sweep fund holdings but not bank deposits. See important information about your statement on the last two pages of this document for details.

	December 2018 (\$)	Year to date (\$)
<b>Opening balances</b>	<b>\$246,023.19</b>	<b>\$177,779.11</b>
<b>Additions</b>		
Deposits and other funds credited	0.00	19,188.75
Dividend and interest income	15,554.50	160,706.07
Proceeds from investment transactions	764,247.89	7,002,557.59
<b>Total additions</b>	<b>\$779,802.39</b>	<b>\$7,182,452.41</b>
<b>Subtractions</b>		
Professional management fees and related services	0.00	-56,841.58
Other fees	-466.22	-3,117.72
Other funds debited	-13,689.39	-319,547.04
Funds withdrawn for investments bought	-806,516.20	-6,775,571.41
<b>Total subtractions</b>	<b>-\$820,671.81</b>	<b>-\$7,155,077.75</b>
<b>Net cash flow</b>	<b>-\$40,869.42</b>	<b>\$27,374.66</b>
<b>Closing balances</b>	<b>\$205,153.77</b>	<b>\$205,153.77</b>

## Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	December 2018 (\$)	Year to date (\$)
<b>Taxable dividends</b>	<b>15,449.70</b>	<b>158,603.14</b>
<b>Taxable interest</b>	<b>104.80</b>	<b>945.34</b>
<b>Miscellaneous</b>	<b>0.00</b>	<b>1,631.52</b>
<b>Total current year</b>	<b>\$15,554.50</b>	<b>\$161,180.00</b>
Prior year adjustment	0.00	-473.93
<b>Total dividend &amp; interest</b>	<b>\$15,554.50</b>	<b>\$160,706.07</b>
<b>Return of capital/principal</b>	<b>0.00</b>	<b>681.50</b>

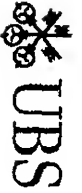
## Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified.

	Realized gains and losses	Unrealized gains and losses (\$)
	December 2018 (\$)	Year to date (\$)
Short term	-145,642.76	-332,453.49
Long term	7,168.30	335,058.33
<b>Total</b>	<b>-\$138,474.46</b>	<b>\$2,604.84</b>

## Withholdings and tax summary

	December 2018 (\$)	Year to date (\$)
Foreign taxes paid	-689.39	-9,595.04



Strategic Wealth Portfolio  
December 2018

Account name: DONALD R JEANES  
Friendly account name: Swing Trust  
Account number:

Your Financial Advisor:  
WLP FINANCIAL GROUP  
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## UBS FDIC-Insured Dep Pgm Account APY

Interest period Nov 7 - Dec 6

Opening UBS FDIC-Insured Dep Pgm balance Nov 7	\$154,486.06
Closing UBS FDIC-Insured Dep Pgm balance Dec 6	\$226,586.75
Number of days in interest period	30
Average daily balance	\$182,167.07
Interest earned	\$104.80
Annual percentage yield earned	0.70%



Strategic Wealth Portfolio  
December 2018

Account name: DONALD R JEANES  
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423-928-7144/800-729-4848

## Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See Important Information about your statement at the end of this document for more information.

### Cash

#### Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS FDIC Insured Program Bank Balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

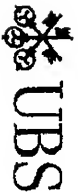
See the Important Information about your statement at the end of this document for details about those balances.

UBS Bank USA and all UBS FDIC Insured Program Bank deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC.

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period
Cash	320.77	238.10				
UBS FDIC INSURED DEP PGM	245,702.42	204,915.67				
<b>Total</b>	<b>\$246,023.19</b>	<b>\$205,153.77</b>				

#### UBS FDIC-Insured Deposit Program

Priority	Bank Name	Closing balance on Dec 31 (\$)	Status
1	UBS Bank USA	204,915.67	
2	Wells Fargo Bank, N.A.	0.00	
3	Citibank, N.A.	0.00	
4	HSBC Bank USA, N.A.	0.00	
5	Compass Bank	0.00	
6	Associated Bank, N.A.	0.00	
7	Corneria Bank	0.00	
8	BankUnited, N.A.	0.00	
9	Pacific Western Bank	0.00	
10	Ameris Bank	0.00	
<b>Total</b>		<b>\$204,915.67</b>	



# Strategic Wealth Portfolio

December 2018

Account name: DONALD R JEANES  
Friendly account name: Swing Trust  
Account number:

Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

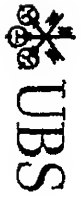
## Your assets (continued)

### Equities

#### Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>ABBOTT LABS</b>								
Symbol: ABB Exchange: NYSE								
EAI: \$623 Current yield: 1.77%	May 10, 18	487,000	60.166	29,301.08	72.330	35,224.71	5,923.63	ST
<b>AEGON NV ADR N Y SHS</b>								
Symbol: AEG Exchange: NYSE								
NETHERLANDS ADR								
	Jan 4, 17	3,243,000	5.677	18,411.81	4.650	15,079.95	-3,331.86	LT
	May 26, 17	1,028,000	4.900	5,037.20	4.650	4,780.20	-257.00	LT
	Nov 19, 18	4,979,000	6.063	30,191.66	4.650	23,152.35	-7,039.31	ST
Earnings		294,000	5.321	1,564.52	4.650	1,367.10	-197.42	
Security total		9,544,000	5.784	55,205.19		44,379.60	-10,825.59	
<b>AES CORP</b>								
Symbol: AES Exchange: NYSE								
EAI: \$1,226 Current yield: 3.78%	May 7, 18	2,241,000	12.205	27,353.59	14.460	32,404.86	5,051.27	ST
	Dec 3, 18	4,000	15.630	62.52	14.460	57.84	-4.68	ST
Security total		2,245,000	12.212	27,416.11		32,462.70	5,046.59	
<b>ALLSTATE CORP</b>								
Symbol: ALL Exchange: NYSE								
EAI: \$653 Current yield: 2.23%	Dec 3, 18	355,000	88.900	31,559.50	82.630	29,333.65	-2,225.85	ST
<b>AMERICA MOVIL S.A.B. DE C.V.</b>								
SER L SPON ADR								
Symbol: AMX Exchange: NYSE								
EAI: \$302 Current yield: 2.30%	Jan 4, 17	923,000	12.716	11,737.51	14.250	13,152.75	1,415.24	LT
<b>AMGEN INC</b>								
Symbol: AMGN Exchange: OTC								
EAI: \$899 Current yield: 2.98%	Dec 3, 18	155,000	202.511	31,389.34	194.670	30,173.85	-1,215.49	ST
<b>ANTHEM INC</b>								
Symbol: ANTM Exchange: NYSE								
EAI: \$327 Current yield: 1.14%	Dec 12, 17	109,000	228.384	24,893.89	262.630	28,626.67	3,732.78	LT
<b>APPLE INC</b>								
Symbol: AAPL Exchange: OTC								
EAI: \$447 Current yield: 1.85%	Jan 3, 17	153,000	115.225	17,629.43	157.740	24,134.22	6,504.79	LT

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# Strategic Wealth Portfolio

December 2018

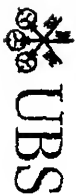
Account name: DONALD R JEANES  
 Friendly account name: Swing Trust  
 Account number:

Your Financial Advisor:  
 WLP FINANCIAL GROUP  
 423-928-7144/800-729-4848

## Your assets + Equities + Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>BANK OF AMER CORP</b>								
Symbol: BAC Exchange: NYSE								
EAI: \$697 Current yield: 2.43%	Jul 24, 17	1,162,000	24.005	27,894.27	24.640	28,631.68	737.41	LT
<b>BARCLAYS PLC ADR</b>								
Symbol: BCS Exchange: NYSE								
EAI: \$646 Current yield: 3.13%	Apr 4, 16	465,000	8.657	4,025.78	7.540	3,506.10	-519.68	LT
	Dec 18, 17	1,814,000	10.885	19,745.39	7.540	13,677.56	-6,067.83	LT
	Oct 16, 18	457,000	8.645	3,950.77	7.540	3,445.78	-504.99	ST
Security total		2,736,000	10.132	27,721.94		20,629.44	-7,092.50	
<b>BASF SE SPON ADR</b>								
Symbol: BASFV Exchange: OTC								
EAI: \$420 Current yield: 3.72%	Dec 21, 18	641,000	17.096	10,958.66	17.590	11,275.19	316.53	ST
<b>BB&amp;T CORP</b>								
Symbol: BBT Exchange: NYSE								
EAI: \$2,088 Current yield: 3.74%	Oct 17, 16	307,000	37.957	11,652.96	43.320	13,299.24	1,646.28	LT
	Oct 19, 16	392,000	38.816	15,216.26	43.320	16,981.44	1,765.18	LT
	Mar 23, 17	291,000	44.381	12,915.07	43.320	12,606.12	-308.95	LT
	Nov 19, 18	299,000	51.896	15,517.14	43.320	12,952.68	-2,564.46	ST
Security total		1,289,000	42.903	55,301.43		55,839.48	538.05	
<b>BCE INC NEW CAD</b>								
Symbol: BCE Exchange: NYSE								
EAI: \$1,946 Current yield: 5.81%	Dec 30, 15	208,000	39.218	8,157.43	39.530	8,222.24	64.81	LT
CAD Exchange rate: 1.36580	Nov 19, 18	640,000	42.180	26,995.20	39.530	25,299.20	-1,696.00	ST
Security total		848,000	41.454	35,152.63		33,521.44	-1,631.19	
<b>BP PLC SPON ADR</b>								
Symbol: BP Exchange: NYSE								
EAI: \$1,681 Current yield: 6.43%	Dec 28, 15	398,000	31.750	12,636.50	37.920	15,092.16	2,455.66	LT
	Feb 12, 16	160,000	28.160	4,505.60	37.920	6,067.20	1,561.60	LT
	Earnings	131,000	33.676	4,411.62	37.920	4,967.52	555.90	
Security total		689,000	31.283	21,553.72		26,126.88	4,573.16	

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# Strategic Wealth Portfolio

December 2018

Account name: DONALD R JEANES  
Friendly account name: Swing Trust  
Account number:

Your Financial Advisor:  
WFP FINANCIAL GROUP  
423-928-7144/800-729-4848

## Your assets , Equities , Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>BROADCOM INC</b>								
Symbol: AVGO Exchange: OTC								
EAI: \$1,908 Current yield: 4.17%	Dec 3, 18	180,000	242.538	43,656.95	254.280	45,770.40	2,113.45	ST
<b>CARNIVAL CORP NEW (PAIRED STOCK)</b>								
Symbol: CCL Exchange: NYSE								
EAI: \$990 Current yield: 4.06%	Nov 19, 18	495,000	59.399	29,402.90	49.300	24,403.50	-4,999.40	ST
<b>CARRIFOUR SA SPON ADR</b>								
Symbol: CRRFY Exchange: OTC								
	Jun 25, 18	1,471,000	3.438	5,058.16	3.320	4,883.72	-174.44	ST
	Jul 16, 18	1,656,000	3.155	5,226.07	3.320	5,497.92	271.85	ST
	Nov 21, 18	6,573,000	3.881	25,513.82	3.320	21,822.36	-3,691.46	ST
	Dec 3, 18	1,570,000	3.379	5,305.83	3.320	5,212.40	-93.43	ST
Earnings		241,000	3.205	772.53	3.320	800.12	27.59	
Security total		11,511,000	3.638	41,876.41		38,216.52	-3,659.89	
<b>CELANESE CORP NEW</b>								
Symbol: CE Exchange: NYSE								
EAI: \$313 Current yield: 2.40%	Nov 23, 18	145,000	102.977	14,931.74	89.970	13,045.65	-1,886.09	ST
<b>CEMEX S.A.B. DE C.V. SPON ADR</b>								
Symbol: CX Exchange: NYSE								
	Aug 27, 12	0.638	6.883	4.39	4.820	3.07	-1.32	LT
	Jan 13, 15	0.799	9.039	7.22	4.820	3.85	-3.37	LT
	Mar 4, 15	0.300	8.714	2.61	4.820	1.44	-1.17	LT
	Nov 18, 15	0.776	5.399	4.19	4.820	3.74	-0.45	LT
	Jan 13, 16	735,488	4.144	3,048.58	4.820	3,545.05	496.47	LT
	Dec 3, 18	3,779,000	5.159	19,499.26	4.820	18,214.78	-1,284.48	ST
Security total		4,517,000	4.996	22,566.25		21,771.94	-794.32	
<b>CENTERPOINT ENERGY INC (HLDGS CO)</b>								
Symbol: CNP Exchange: NYSE								
EAI: \$1,806 Current yield: 4.07%	Mar 20, 18	1,534,000	26.748	41,031.74	28.230	43,304.82	2,273.08	ST
	Apr 3, 18	29,000	27.000	783.00	28.230	818.67	35.67	ST
	May 8, 18	5,000	26.320	135.44 <sup>2</sup>	28.230	141.15	5.71	ST
	Dec 3, 18	2,000	28.230	56.46	28.230	56.46		ST
Security total		1,570,000	26.756	42,006.64		44,321.10	2,314.46	

continued next page



# Strategic Wealth Portfolio

December 2018

Account name: DONALD R JEANES  
Friendly account name: Swing Trust  
Account number:

Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

## Your assets, Equities, Common stock (continued)

Holding	Trade date	Number of shares	Purchase price Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
CHINA MOBILE LTD SPON ADR								
Symbol: CHL Exchange: NYSE								
EAI: \$1.102 Current yield: 4.08%								
	Mar 28, 14	110,000	45.059	4,956.58	48.000	5,280.00	323.42	LT
	Dec 3, 18	453,000	48.006	21,746.85	48.000	21,744.00	-2.85	ST
Security total		563,000	47.431	26,703.43		27,024.00	320.57	

### CISCO SYSTEMS INC

Symbol: CSCO Exchange: OTC  
EAI: \$2.195 Current yield: 3.05%

	Aug 27, 12	568,000	19.260	10,939.68	43.330	24,611.44	13,671.76	LT
	Jun 6, 13	772,000	24.566	18,965.34	43.330	33,450.76	14,485.42	LT
	Mar 24, 14	9,000	21.615	194.54	43.330	389.97	195.43	LT
	Dec 30, 15	23,000	27.704	637.20	43.330	996.59	359.39	LT
	Apr 3, 18	13,000	41.019	533.25	43.330	563.29	30.04	ST
	May 8, 18	12,000	45.648	547.78	43.330	519.96	-27.82	ST
	Nov 19, 18	3,000	45.936	137.81	43.330	129.99	-7.82	ST
	Dec 14, 18	263,000	45.838	12,055.55	43.330	11,395.79	-659.76	ST
Security total		1,563,000	26.465	44,011.15		72,057.79	28,046.64	

### CITIGROUP INC

Symbol: C Exchange: NYSE  
EAI: \$1.654 Current yield: 3.46%

	Oct 21, 13	29,000	51.075	1,481.19	52.060	1,509.74	28.55	LT
	Oct 22, 13	312,000	50.837	15,861.42	52.060	16,242.72	381.30	LT
	Feb 19, 16	114,000	38.797	4,422.96	52.060	5,934.84	1,511.88	LT
	Nov 19, 18	464,000	65.189	30,248.11	52.060	24,155.84	-6,092.27	ST
Security total		919,000	56.598	52,013.68		47,843.14	-4,170.54	

### CITIZENS FINANCIAL GROUP INC

Symbol: CFG Exchange: NYSE  
EAI: \$933 Current yield: 3.63%

	Nov 19, 18	864,000	35.747	30,886.19	29.730	25,686.72	-5,199.47	ST
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### CME GROUP INC

Symbol: CME Exchange: OTC  
EAI: \$686 Current yield: 1.49%

	Dec 17, 15	109,000	94.966	10,351.35	188.120	20,505.08	10,153.73	LT
	Dec 30, 15	133,000	92.560	12,310.48	188.120	25,019.96	12,709.48	LT
	May 8, 18	2,000	158.250	316.50	188.120	376.24	59.74	ST
	Nov 19, 18	1,000	196.250	196.25	188.120	188.12	-8.13	ST

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# Strategic Wealth Portfolio

December 2018

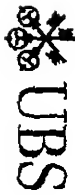
Account name: DONALD R JEANES  
Friendly account name: Swinn Trust  
Account number:

Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

## Your assets, Equities, Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>Security total</b>								
245,000		94,590		23,174.58		46,089.40	22,914.82	
<b>COCA COLA EUROPEAN PARTNERS</b>								
EUR								
Symbol: CCEP Exchange: NYSE								
EAI: \$1,143 Current yield: 2.73%								
EUR Exchange rate: 0.87477								
914,000	Dec 3, 18	47,747		43,641.40	45.850	41,906.90	-1,734.50	ST
<b>COMCAST CORP NEW CL A</b>								
Symbol: CMCSA Exchange: OTC								
EAI: \$615 Current yield: 2.23%								
809,000	Jun 29, 18	33,087		26,767.49	34.050	27,546.45	778.96	ST
<b>COMERICA INC</b>								
Symbol: CMA Exchange: NYSE								
EAI: \$924 Current yield: 3.49%								
385,000	Nov 19, 18	80,328		30,926.55	68.690	26,445.65	-4,480.90	ST
<b>COMPAGNIE DE SAINT-GOBAIN ADR</b>								
Symbol: CODY Exchange: OTC								
EAI: \$845 Current yield: 3.26%								
2,773,000	Nov 20, 18	7,007		19,431.41	6.590	18,274.07	-1,157.34	ST
1,158,000	Dec 20, 18	6,548		7,582.80	6.590	7,631.22	48.42	ST
3,931,000		6,872		27,014.21		25,905.29	-1,108.92	
<b>Security total</b>								
928,000	Nov 19, 18	33,443		31,035.29	21.360	19,822.08	-11,213.21	ST
<b>CONAGRA BRANDS, INC.</b>								
Symbol: CAG Exchange: NYSE								
EAI: \$789 Current yield: 3.98%								
480,000	Aug 30, 17	43,315		20,791.59	62.350	29,928.00	9,136.41	LT
<b>CONOCOPHILLIPS</b>								
Symbol: COP Exchange: NYSE								
EAI: \$586 Current yield: 1.96%								
335,000	Jun 14, 16	11,800		3,953.00	10.860	3,638.10	-314.90	LT
2,222,000	Dec 3, 18	12,074		26,829.76	10.860	24,130.92	-2,698.84	ST
2,557,000		12,039		30,782.76		27,769.02	-3,013.74	
<b>Security total</b>								
700,000	Nov 19, 18	27,676		19,373.76	26.350	18,445.00	-928.76	ST
164,000	Dec 6, 18	25,888		4,245.76	26.350	4,321.40	75.64	ST
864,000		27,337		23,619.52		22,766.40	-853.12	
<b>Security total</b>								
700,000	Nov 19, 18	27,676		19,373.76	26.350	18,445.00	-928.76	ST
164,000	Dec 6, 18	25,888		4,245.76	26.350	4,321.40	75.64	ST
864,000		27,337		23,619.52		22,766.40	-853.12	

continued next page



# Strategic Wealth Portfolio

December 2018

Account name: DONALD R JEANES  
 Friendly account name: Swing Trust  
 Account number:

Your Financial Advisor:  
 WFP FINANCIAL GROUP  
 423-928-7144/800-729-4848

## Your assets , Equities , Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>CROWN CASTLE INTL CORP REIT</b>								
Symbol: CCI Exchange: NYSE								
EAI: \$1,589 Current yield: 4.14%								
	Jan 6, 17	188,000	86.918	16,340.70	108.630	20,422.44	4,081.74	LT
	Apr 26, 17	165,000	94.494	15,591.64	108.630	17,923.95	2,332.31	LT
Security total		353,000	90.460	31,932.34		38,346.39	6,414.05	
<b>CYPRESS SEMICONDUCTOR CP</b>								
Symbol: CY Exchange: OTC								
EAI: \$768 Current yield: 3.46%								
	Dec 3, 18	1,746,000	14.126	24,664.17	12.720	22,209.12	-2,455.05	ST
<b>DAI NIPPON PRINTING CO SPON ADR</b>								
Symbol: DNPY Exchange: OTC								
EAI: \$419 Current yield: 2.04%								
	Aug 27, 12	673,000	7.240	4,872.52	10.435	7,022.76	2,150.24	LT
	Jun 6, 13	525,000	8.140	4,273.50	10.435	5,478.38	1,204.88	LT
	Jan 13, 15	770,000	8.786	6,765.68	10.435	8,034.95	1,269.27	LT
Security total		1,968,000	8.085	15,911.70		20,536.08	4,624.39	
<b>DAIKI SANKYO CO LTD SPON ADR</b>								
Symbol: DSNKY Exchange: OTC								
EAI: \$139 Current yield: 1.51%								
	Jan 16, 15	2,000	13.465	26.93	32.100	64.20	37.27	LT
	Jan 23, 15	285,000	13.731	3,913.59	32.100	9,148.50	5,234.91	LT
Security total		287,000	13.730	3,940.52		9,212.70	5,272.18	
<b>DIGITAL REALTY TRUST INC REIT</b>								
Symbol: DLR Exchange: NYSE								
EAI: \$667 Current yield: 3.79%								
	Jan 6, 17	162,000	85.975	13,927.99	106.550	17,261.10	3,333.11	LT
	May 8, 18	2,000	104.230	208.46	106.550	213.10	4.64	ST
	Nov 19, 18	1,000	111.570	111.57	106.550	106.55	-5.02	ST
Security total		165,000	86.352	14,248.02		17,580.75	3,332.73	
<b>EATON CORP PLC</b>								
Symbol: ETN Exchange: NYSE								
EAI: \$2,577 Current yield: 3.85%								
	Jan 12, 15	260,000	58.634	15,245.05	68.660	17,851.60	2,606.55	LT
	Oct 20, 15	81,000	47.916	4,018.71 <sup>2</sup>	68.660	5,561.46	1,542.75	LT
	Dec 21, 15	403,000	45.756	18,439.67	68.660	27,669.98	9,230.31	LT
	Dec 3, 18	232,000	77.968	18,088.60	68.660	15,929.12	-2,159.48	ST
Security total		976,000	57.164	55,792.03		67,012.16	11,220.13	

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# Strategic Wealth Portfolio

December 2018

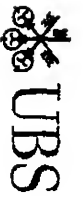
Account name: DONALD R. JEANES  
Friendly account name: Swift Trust  
Account number:

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WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

## Your assets, Equities, Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>EMBRAER S A SPON ADR</b>								
Symbol: ERJ Exchange: NYSE								
EAI: \$177 Current yield: 0.55%	May 18, 17	231,000	18.394	4,249.22	22.130	5,112.03	862.81	LT
Security total	Dec 18, 17	1,228,000	19.845	24,369.66	22.130	27,175.64	2,805.98	LT
		1,459,000	19.615	28,618.88		32,287.67	3,668.79	
<b>ENBRIDGE INC CAD</b>								
Symbol: ENB Exchange: NYSE								
EAI: \$2.614 Current yield: 7.09%								
CAD Exchange rate: 1.36580	Dec 18, 18	1,186,000	30.970	36,731.49	31.080	36,860.88	129.39	ST
<b>ENEL CHILE SA SPON ADR</b>								
Symbol: ENIC Exchange: NYSE								
EAI: \$417 Current yield: 3.54%	Nov 21, 18	2,381,000	4.847	11,542.14	4.950	11,785.95	243.81	ST
<b>ENGIE SPON ADR</b>								
Symbol: ENGIV Exchange: OTC								
EAI: \$1.947 Current yield: 4.62%	Jan 4, 17	2,945,000	12.869	37,901.75	14.320	42,172.40	4,270.65	LT
<b>ENI SPA IT SPON ADR</b>								
Symbol: E Exchange: NYSE								
EAI: \$1.605 Current yield: 4.35%	Dec 28, 15	735,000	30.600	22,491.42	31.500	23,152.50	661.08	LT
	Feb 16, 16	240,000	26.445	6,346.97	31.500	7,560.00	1,213.03	LT
	Nov 14, 16	195,000	26.425	5,152.88	31.500	6,142.50	989.62	LT
Security total		1,170,000	29.052	33,991.27		36,855.00	2,863.73	
<b>ENTERGY CORP NEW</b>								
Symbol: ETR Exchange: NYSE								
EAI: \$1.310 Current yield: 4.23%	Jan 3, 17	360,000	72.920	26,251.45	86.070	30,985.20	4,733.75	LT
<b>EXELON CORP</b>								
Symbol: EXC Exchange: NYSE								
EAI: \$912 Current yield: 3.06%	Oct 26, 18	340,000	43.898	14,925.52	45.100	15,334.00	408.48	ST
	Dec 6, 18	321,000	46.253	14,847.28	45.100	14,477.10	-370.18	ST
Security total		661,000	45.042	29,772.80		29,811.10	38.30	
<b>FIDELITY NATIONAL FINANCIAL IN COM</b>								
Symbol: FNF Exchange: NYSE								
EAI: \$1.015 Current yield: 3.82%	Nov 19, 18	846,000	32.128	27,180.89	31.440	26,598.24	-582.65	ST

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# Strategic Wealth Portfolio

December 2018

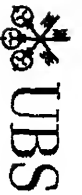
Account name: DONALD R JEANES  
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Account number:

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WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

## Your assets, Equities, Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>FIRST PAC CO LTD SPONS ADR ADR</b>								
Symbol: FPAFY Exchange: OTC								
EAI: \$391 Current yield: 3.63%	Nov 21, 18	5,590,000	2.070	11,571.30	1.925	10,760.75	-810.55	ST
<b>GAP INC</b>								
Symbol: GPS Exchange: NYSE								
EAI: \$1,089 Current yield: 3.76%	Nov 19, 18	1,123,000	25.966	29,160.72	25.760	28,928.48	-232.24	ST
<b>GARRETT MOTION INC</b>								
Symbol: GTX Exchange: NYSE	Nov 30, 16	20,000	12.280	245.60	12.340	246.80	1.20	LT
<b>GAZPROM PJSC LEVEL 1 ADR</b>								
Symbol: OZGY Exchange: OTC								
EAI: \$504 Current yield: 4.16%	Dec 18, 17	2,740,000	4.536	12,429.60	4.420	12,110.80	-318.80	LT
<b>GENERAL MOTORS CO</b>								
Symbol: GM Exchange: NYSE								
EAI: \$1,324 Current yield: 4.54%	Nov 19, 18	871,000	35.554	30,967.97	33.450	29,134.95	-1,833.02	ST
<b>GLAXO SMITHKLINE PLC ADR</b>								
Symbol: GSK Exchange: NYSE								
EAI: \$2,974 Current yield: 5.55%	Dec 18, 17	1,284,000	35.419	45,478.86	38.210	49,061.64	3,582.78	LT
	Jan 12, 18	118,000	37.505	4,425.59	38.210	4,508.78	83.19	ST
		1,402,000	35.595	49,904.45		53,570.42	3,665.97	
<b>Security total</b>								
<b>GAS ADR</b>								
Symbol: GFSZY Exchange: OTC								
EAI: \$1,054 Current yield: 4.37%	Dec 3, 18	1,875,000	12.400	23,250.00	12.865	24,121.87	871.87	ST
<b>HONDA MOTOR CO ADR JAPAN ADR</b>								
Symbol: HMC Exchange: NYSE								
EAI: \$707 Current yield: 3.24%	Nov 21, 18	825,000	28.039	23,132.92	26.450	21,821.25	-1,311.67	ST
<b>HONEYWELL INTL INC</b>								
Symbol: HON Exchange: NYSE								
EAI: \$659 Current yield: 2.48%	Nov 30, 16	201,000	109.471	22,003.84	132.120	26,556.12	4,552.28	LT
<b>HOST HOTELS &amp; RESORTS INC (REIT)</b>								
Symbol: HST Exchange: NYSE								
EAI: \$1,305 Current yield: 4.80%	Nov 19, 18	1,631,000	19.009	31,005.15	16.670	27,188.77	-3,816.38	ST

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# Strategic Wealth Portfolio

December 2018

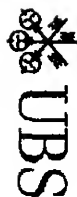
Account name: DONALD R JEANES  
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423-928-7144/800-729-4848

## Your assets, Equities, Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>HP INC</b>								
Symbol: HPQ Exchange: NYSE								
EAI: \$1,303 Current yield: 3.13%								
	May 5, 17	1,319,000	18.889	24,915.12	20.460	26,986.74	2,071.62	LT
	Aug 29, 17	646,000	19.237	12,427.68	20.460	13,217.16	789.48	LT
	Apr 3, 18	57,000	21.109	1,203.26	20.460	1,166.22	-37.04	ST
	May 8, 18	9,000	21.825	196.43	20.460	184.14	-12.29	ST
	Dec 3, 18	1,000	23.860	23.86	20.460	20.46	-3.40	ST
Security total		2,032,000	19.078	38,766.35		41,574.72	2,808.37	
<b>HSBC HOLDINGS PLC NEW GB SPON ADR</b>								
Symbol: HSBG Exchange: NYSE								
EAI: \$2,989 Current yield: 6.20%								
	Dec 3, 18	817,000	43.495	35,536.07	41.110	33,586.87	-1,949.20	ST
	Dec 3, 18	355,000	43.387	15,402.63	41.110	14,594.05	-808.58	ST
Security total		1,172,000	43.463	50,938.70		48,180.92	-2,757.78	
<b>HUNTINGTON BANCSHARES</b>								
Symbol: HBAN Exchange: OTC								
EAI: \$1,742 Current yield: 4.70%								
	Nov 19, 18	3,104,000	14.937	46,366.00	11.920	36,999.68	-9,366.32	ST
	Dec 3, 18	6,000	14.490	86.94	11.920	71.52	-15.42	ST
Security total		3,110,000	14.937	46,452.94		37,071.20	-9,381.74	
<b>IMPERIAL BRANDS PLC SPON ADR</b>								
Symbol: IMBBY Exchange: OTC								
EAI: \$2,053 Current yield: 7.94%								
	Nov 21, 18	847,000	31.870	26,993.89	30.520	25,850.44	-1,143.45	ST
<b>INTEL CORP</b>								
Symbol: INTC Exchange: OTC								
EAI: \$780 Current yield: 2.56%								
	Apr 18, 13	429,000	22.059	9,463.36	46.930	20,132.97	10,669.61	LT
	Feb 25, 14	125,000	24.599	3,074.96	46.930	5,866.25	2,791.29	LT
	Mar 19, 15	96,000	30.857	2,962.30	46.930	4,505.28	1,542.98	LT
Security total		650,000	23.847	15,500.62		30,504.50	15,003.88	
<b>INTESA SANPAOLO SPON ADR</b>								
Symbol: ISNPR Exchange: OTC								
EAI: \$1,846 Current yield: 7.67%								
	Nov 20, 18	1,794,000	12.799	22,961.74	13.420	24,075.48	1,113.74	ST
<b>INTL PAPER CO</b>								
Symbol: IP Exchange: NYSE								
EAI: \$652 Current yield: 4.96%								
	Aug 27, 12	69,000	34.031	2,348.17	40.360	2,784.84	436.67	LT

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# Strategic Wealth Portfolio

December 2018

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## Your assets , Equities , Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total								
J. SAINSBURY PLC SPON ADR								
Symbol: JSAY Exchange: OTC								
EAI: \$847 Current yield: 3.64%	Dec 18, 17	1,726,000	12.670	21,868.42	13.490	23,283.74	1,415.32	LT
JOHNSON & JOHNSON COM								
Symbol: JNJ Exchange: NYSE								
EAI: \$760 Current yield: 2.79%	Aug 27, 12	211,000	67.720	14,288.92	129.050	27,229.55	12,940.63	LT
JPMORGAN CHASE & CO								
Symbol: JPM Exchange: NYSE								
EAI: \$1,869 Current yield: 3.28%	Aug 27, 12	265,000	37.170	9,850.05	97.620	25,869.30	16,019.25	LT
	Aug 27, 12	235,000	37.170	8,734.95	97.620	22,940.70	14,205.75	LT
	Sep 19, 14	84,000	61.296	5,148.89	97.620	8,200.08	3,051.19	LT
Security total		584,000	40.640	23,733.89		57,010.08	33,276.19	
KANSAS CITY STHN NEW								
Symbol: KSU Exchange: NYSE								
EAI: \$408 Current yield: 1.51%	Jun 8, 17	283,000	98.318	27,824.19	95.450	27,012.35	-811.84	LT
KINGFISHER PLC NEW SPON ADR								
Symbol: KGFHY Exchange: OTC								
EAI: \$1,231 Current yield: 4.85%	Dec 3, 18	4,866,000	6.148	29,918.11	5.220	25,400.52	-4,517.59	ST
KLA-TENCOR CORP								
Symbol: KLAC Exchange: OTC								
EAI: \$1,443 Current yield: 3.35%	Nov 19, 18	478,000	94.580	45,209.62	89.490	42,776.22	-2,433.40	ST
	Dec 3, 18	3,000	100.270	300.81	89.490	268.47	-32.34	ST
Security total		481,000	94.616	45,510.43		43,044.69	-2,465.74	
LAMAR ADVERTISING CO NEW CL A								
Symbol: LAMR Exchange: OTC								
EAI: \$1,991 Current yield: 5.32%	Oct 15, 14	380,000	45.736	17,379.81	69.180	26,288.40	8,908.59	LT
	May 7, 18	158,000	66.222	10,463.08	69.180	10,930.44	467.36	ST
	Nov 19, 18	3,000	76.896	230.69	69.180	207.54	-23.15	ST
Security total		541,000	51.892	28,073.58		37,426.38	9,352.80	

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# Strategic Wealth Portfolio

December 2018

Account name: DONALD R JEANES  
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423-928-7144/800-729-4848

## Your assets, Equities, Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>LILLY EU &amp; CO</b>								
Symbol: LLY Exchange: NYSE								
EAI: \$702 Current yield: 2.23%								
	Jun 19, 18	83,000	86.784	7,203.11	115.720	9,604.76	2,401.65	ST
	Jul 2, 18	189,000	85.509	16,161.36	115.720	21,871.08	5,709.72	ST
Security total		272,000	85.899	23,364.47		31,475.84	8,111.37	
<b>LOCKHEED MARTIN CORP</b>								
Symbol: LMT Exchange: NYSE								
EAI: \$933 Current yield: 3.36%								
	Dec 3, 18	106,000	298.200	31,609.20	261.840	27,755.04	-3,854.16	ST
<b>LUKOIL PJSC SPON ADR</b>								
Symbol: LUKOY Exchange: OTC								
EAI: \$576 Current yield: 4.23%								
	Jan 13, 15	76,000	38.555	2,930.18	71.340	5,421.84	2,491.66	LT
	Dec 28, 15	115,000	32.125	3,694.38	71.340	8,204.10	4,509.72	LT
Security total		191,000	34.684	6,624.56		13,625.94	7,001.38	
<b>LYONDELBASELL INDUSTRIES NV</b>								
SHS - A - CL A EUR								
Symbol: LYB Exchange: NYSE								
EAI: \$2,436 Current yield: 4.81%								
EUR Exchange rate: 0.87477								
	Jan 12, 15	250,000	76.199	19,049.83	83.160	20,790.00	1,740.17	LT
	Dec 30, 15	115,000	88.465	10,173.49	83.160	9,563.40	-610.09	LT
	Nov 19, 18	244,000	94.078	22,955.25	83.160	20,291.04	-2,664.21	ST
Security total		609,000	85.679	52,178.57		50,644.44	-1,534.13	
<b>MARKS &amp; SPENCER GROUP INC SPON ADR</b>								
Symbol: MAKSY Exchange: OTC								
EAI: \$1,846 Current yield: 7.37%								
	Nov 21, 18	4,049,000	7.627	30,884.56	6.190	25,063.31	-5,821.25	ST
<b>MEDTRONIC PLC</b>								
Symbol: MDT Exchange: NYSE								
EAI: \$772 Current yield: 2.20%								
	May 10, 18	386,000	85.326	32,935.87	90.960	35,110.56	2,174.69	ST
<b>MERCK &amp; CO INC NEW COM</b>								
Symbol: MRK Exchange: NYSE								
EAI: \$902 Current yield: 2.88%								
	Sep 13, 18	410,000	70.788	29,023.20	76.410	31,328.10	2,304.90	ST
<b>METLIFE INC</b>								
Symbol: MET Exchange: NYSE								
EAI: \$1,176 Current yield: 4.09%								
	Feb 19, 16	188,000	34.857	6,553.26	41.060	7,719.28	1,166.02	LT

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# Strategic Wealth Portfolio

December 2018

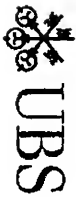
Account name: DONALD R JEANES  
Friendly account name: Swing Trust  
Account number:

Your Financial Advisor:  
WLF FINANCIAL GROUP  
423-928-7144/800-729-4848

## Your assets, Equities, Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>Security total</b>								
	Dec 3, 18	512,000	44.867	22,972.06	41.060	21,022.72	-1,949.34	ST
		.700,000	42.179	29,525.32		28,742.00	-783.32	
<b>SPON ADR</b>								
<b>Symbol: MTZPY Exchange: OTC</b>								
<b>EAI: \$607 Current yield: 2.66%</b>								
	Jan 6, 14	180,000	14.047	2,528.53	14.325	2,578.50	49.97	LT
	Apr 23, 14	370,000	13.530	5,006.29	14.325	5,300.25	293.96	LT
	Nov 21, 18	1,044,000	14.515	15,153.66	14.325	14,955.30	-198.36	ST
		1,594,000	14.234	22,688.48		22,834.05	145.57	
<b>Security total</b>								
<b>SPON ADR</b>								
<b>Symbol: MUFU Exchange: NYSE</b>								
<b>EAI: \$764 Current yield: 3.16%</b>								
	Aug 27, 12	1,793,000	4.650	8,337.45	4.870	8,731.91	394.46	LT
	Mar 25, 14	620,000	5.229	3,242.23	4.870	3,019.40	-222.83	LT
	May 23, 14	770,000	5.617	4,325.78	4.870	3,749.90	-575.88	LT
	Feb 23, 16	850,000	4.150	3,527.50	4.870	4,139.50	612.00	LT
	Dec 3, 18	927,000	5.457	5,059.10	4.870	4,514.49	-544.61	ST
		4,960,000	4.938	24,492.06		24,155.20	-336.86	
<b>Security total</b>								
<b>SPON ADR</b>								
<b>Symbol: MBT Exchange: NYSE</b>								
<b>EAI: \$1,016 Current yield: 9.38%</b>								
	Dec 3, 18	1,547,000	7.507	11,614.41	7.000	10,829.00	-785.41	ST
<b>Security total</b>								
<b>SPON ADR</b>								
<b>Symbol: MDLZ Exchange: OTC</b>								
<b>EAI: \$726 Current yield: 2.60%</b>								
	May 10, 18	698,000	39.009	27,228.91	40.030	27,940.94	712.03	ST
<b>Security total</b>								
<b>SPON ADR</b>								
<b>Symbol: MS Exchange: NYSE</b>								
<b>EAI: \$833 Current yield: 3.03%</b>								
	Dec 3, 18	694,000	45.663	31,690.54	39.650	27,517.10	-4,173.44	ST
<b>Security total</b>								
<b>SPON ADR</b>								
<b>Symbol: MSADY Exchange: OTC</b>								
<b>EAI: \$840 Current yield: 3.36%</b>								
	Jan 24, 14	488,000	12.592	6,145.09	14.065	6,863.72	718.63	LT
	Jul 9, 14	400,000	11.656	4,662.52	14.065	5,626.00	963.48	LT
	Nov 19, 14	565,000	10.799	6,101.77	14.065	7,946.73	1,844.96	LT

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# Strategic Wealth Portfolio

December 2018

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## Your assets, Equities, Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Nov 21, 18	327,000	15.170	4,960.59	14.065	4,599.26	-361.33	ST
NISSAN MTR LTD SPONS ADR JAPAN ADR		1,780,000	12.287	21,869.97		25,035.70	3,165.74	
Security total								
NISSAN MTR LTD SPONS ADR JAPAN ADR								
Symbol: NSANY Exchange: OTC								
EAI: \$1.264 Current yield: 5.20%	Jan 13, 15	1,525,000	17.094	26,069.11	15.940	24,308.50	-1,760.61	LT
NOKIA CORP SPONS ADR FINLAND ADR								
Symbol: NOK Exchange: NYSE								
EAI: \$1.671 Current yield: 2.68%	Dec 18, 17	5,276,000	4.705	24,823.58	5.820	30,706.32	5,882.74	LT
Security total	Nov 19, 18	5,438,000	5.777	31,420.22	5.820	31,649.16	228.94	ST
		10,714,000	5.250	56,243.80		62,355.48	6,111.68	
INX SEMICONDUCTORS N V COM EUR								
Symbol: NXPI Exchange: OTC								
EAI: \$185 Current yield: 1.36%	Nov 21, 18	185,000	83.649	15,475.23	73.280	13,556.80	-1,918.43	ST
EUR Exchange rate: 0.87477								
OCCIDENTAL PETROLEUM CRP								
Symbol: OXY Exchange: NYSE								
EAI: \$3.285 Current yield: 5.08%	Nov 19, 18	627,000	73.257	45,932.64	61.380	38,485.26	-7,447.38	ST
Security total	Nov 19, 18	426,000	72.819	31,021.28	61.380	26,147.88	-4,873.40	ST
		1,053,000	73.081	76,953.92		64,633.14	-12,320.78	
OLD REPUBLIC INTL CORP								
Symbol: ORI Exchange: NYSE								
EAI: \$1.014 Current yield: 3.79%	Jan 16, 18	1,294,000	20.151	26,075.66	20.570	26,617.58	541.92	ST
Security total	Nov 19, 18	6,000	21.886	131.32	20.570	123.42	-7.90	ST
		1,300,000	20.159	26,206.98		26,741.00	534.02	
ONEOK INC NEW								
Symbol: OKE Exchange: NYSE								
EAI: \$2.288 Current yield: 6.34%	Apr 3, 18	666,000	55.563	37,005.09	53.950	35,930.70	-1,074.39	ST
Security total	May 8, 18	2,000	63.850	127.70	53.950	107.90	-19.80	ST
	Nov 19, 18	1,000	62.410	62.41	53.950	53.95	-8.46	ST
		669,000	55.598	37,195.20		36,092.55	-1,102.65	

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# Strategic Wealth Portfolio

December 2018

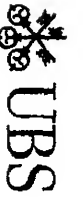
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## Your assets , Equities , Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>ORANGE SPON ADR</b>								
Symbol: ORAN Exchange: NYSE								
EAI: \$1.258 Current yield: 3.95%								
	Feb 20, 13	335,000	10.262	3,437.90	16.190	5,423.65	1,985.75	LT
	Jun 21, 13	400,000	9.707	3,882.95	16.190	6,476.00	2,593.05	LT
	Jun 21, 13	255,000	9.687	2,470.22	16.190	4,128.45	1,658.23	LT
	Jan 4, 17	975,000	15.392	15,007.83	16.190	15,785.25	777.42	LT
Security total		1,965,000	12.620	24,798.90		31,813.35	7,014.45	
<b>PENBINA PIPELINE CORP CAD</b>								
Symbol: PBA Exchange: NYSE								
EAI: \$706 Current yield: 5.79%								
CAD Exchange rate: 1.36580								
	Apr 3, 18	406,000	30.040	12,196.24	29.670	12,046.02	-150.22	ST
	May 8, 18	3,000	34.200	102.60	29.670	89.01	-13.59	ST
	Nov 19, 18	2,000	34.330	68.66	29.670	59.34	-9.32	ST
Security total		411,000	30.091	12,367.50		12,194.37	-173.13	
<b>PETROLEO BRASILEIRO SA</b>								
PETROBRAS NON VTG SPON ADR								
Symbol: PBR.A Exchange: NYSE								
EAI: \$379 Current yield: 3.14%								
	Dec 28, 15	1,043,000	3.436	3,583.75	11.590	12,088.37	8,504.62	LT
<b>PFIZER INC</b>								
Symbol: PFE Exchange: NYSE								
EAI: \$3.017 Current yield: 3.30%								
	Aug 27, 12	244,000	23.940	5,841.36	43.650	10,650.60	4,809.24	LT
	Aug 27, 12	208,000	23.940	4,979.52	43.650	9,079.20	4,099.68	LT
	Mar 24, 16	459,000	30.034	13,786.02	43.650	20,035.35	6,249.33	LT
	Nov 30, 16	710,000	31.959	22,691.46	43.650	30,991.50	8,300.04	LT
	Jul 14, 17	220,000	33.497	7,369.54	43.650	9,603.00	2,233.46	LT
	Mar 9, 18	229,000	36.812	8,430.00	43.650	9,995.85	1,565.85	ST
	Apr 3, 18	16,000	35.280	564.48	43.650	698.40	133.92	ST
	Nov 19, 18	9,000	43.735	393.62	43.650	392.85	-0.77	ST
Security total		2,095,000	30.576	64,056.00		91,446.75	27,390.75	
<b>POSCO SPON ADR</b>								
Symbol: PKX Exchange: NYSE								
EAI: \$313 Current yield: 2.82%								
	Dec 28, 15	202,000	37.649	7,605.24	54.940	11,097.88	3,492.64	LT

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# Strategic Wealth Portfolio

December 2018

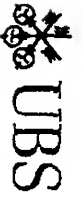
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## Your assets, Equities, Common stock (continued)

Holding	Trade date	Number of shares	Purchase price / Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>PUBLIC SERVICE ENTERPRISE GROUP INC</b>								
Symbol: PEG Exchange: NYSE								
EAI: \$1,109 Current yield: 3.46%								
	Sep 10, 14	70,000	36.487	2,554.14	52.050	3,643.50	1,089.36	LT
	Sep 22, 14	466,000	37.996	17,706.37	52.050	24,255.30	6,548.93	LT
	Sep 25, 14	67,000	37.676	2,524.31	52.050	3,487.35	963.04	LT
	Oct 16, 15	13,000	43.435	564.66	52.050	676.65	111.99	LT
Security total		616,000	37.905	23,349.48		32,062.80	8,713.32	
<b>PUBLICIS GROUPE S.A. NEW SPON ADR</b>								
Symbol: PUBGY Exchange: OTC								
EAI: \$946 Current yield: 3.25%								
	Jan 26, 16	1,160,000	13.980	16,217.39	14.160	16,425.60	208.21	LT
	Nov 21, 18	897,000	15.009	13,463.88	14.160	12,701.52	-762.36	ST
Security total		2,057,000	14.429	29,681.27		29,127.12	-554.15	
<b>QUALCOMM INC</b>								
Symbol: QCOM Exchange: OTC								
EAI: \$2,026 Current yield: 4.36%								
	Feb 17, 16	274,000	46.003	12,604.99	56.910	15,593.34	2,988.35	LT
	Feb 23, 16	264,000	48.062	12,688.44	56.910	15,024.24	2,335.80	LT
	Apr 3, 18	8,000	54.140	433.12	56.910	455.28	22.16	ST
	Nov 19, 18	270,000	55.948	15,106.15	56.910	15,365.70	259.55	ST
	Nov 19, 18	1,000	56.340	56.34	56.910	56.91	0.57	ST
Security total		817,000	50.048	40,889.04		46,495.47	5,606.43	
<b>QUEST DIAGNOSTICS INC</b>								
Symbol: DGX Exchange: NYSE								
EAI: \$500 Current yield: 2.54%								
	Jan 7, 16	236,000	68.362	16,133.62	83.270	19,651.72	3,518.10	LT
<b>REGIONS FINANCIAL CORP</b>								
Symbol: RF Exchange: NYSE								
EAI: \$1,055 Current yield: 4.19%								
	Nov 1, 18	615,000	17.161	10,554.45	13.380	8,228.70	-2,325.75	ST
	Nov 19, 18	1,203,000	16.347	19,666.28	13.380	16,096.14	-3,570.14	ST
	Dec 3, 18	66,000	16.669	1,100.21	13.380	883.08	-217.13	ST
Security total		1,884,000	16.625	31,320.94		25,207.92	-6,113.02	
<b>REINSURANCE GROUP AMER INC NEW</b>								
Symbol: RGA Exchange: NYSE								
EAI: \$504 Current yield: 1.71%								
	Nov 19, 18	210,000	147.864	31,051.63	140.230	29,448.30	-1,603.33	ST

-1,603.33  
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# Strategic Wealth Portfolio

December 2018

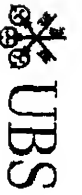
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## Your assets, Equities, Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>RENAULT SA UNSPONSORED ADR</b>								
Symbol: RNL5Y Exchange: OTC								
EAI: \$930 Current yield: 5.25%								
	Nov 20, 18	470,000	13.226	6,216.57	12.300	5,781.00	-435.57	ST
	Nov 21, 18	969,000	13.440	13,023.55	12.300	11,918.70	-1,104.85	ST
Security total		1,439,000	13.370	19,240.12		17,699.70	-1,540.42	
<b>REPSON SA SPON ADR</b>								
Symbol: REPLY Exchange: OTC								
EAI: \$1,296 Current yield: 5.20%								
	Dec 28, 15	1,263,000	11.489	14,510.73	16.030	20,245.89	5,735.16	LT
	Dec 3, 18	242,000	17.419	4,215.62	16.030	3,879.26	-336.36	ST
	Earnings	49,000	14.146	693.20	16.030	785.47	92.27	
Security total		1,554,000	12.496	19,419.55		24,910.62	5,491.07	
<b>RESIDEO TECHNOLOGIES INC</b>								
Symbol: REZI Exchange: NYSE								
	Nov 30, 16	33,000	19.742	651.51	20.550	678.15	26.64	LT
<b>ROCHE HLDG LTD SPONS ADR SWITZ ADR</b>								
Symbol: RHHBY Exchange: OTC								
EAI: \$401 Current yield: 2.87%								
	May 24, 18	450,000	27.646	12,441.02	31.080	13,986.00	1,544.98	ST
<b>ROYAL DUTCH SHELL PLC CL A SPON ADR</b>								
Symbol: RDSA Exchange: NYSE								
EAI: \$2,982 Current yield: 5.49%								
	Dec 21, 15	933,000	43.524	40,608.08	58.270	54,365.91	13,757.83	LT
<b>SABRE CORP</b>								
Symbol: SABR Exchange: OTC								
EAI: \$951 Current yield: 2.59%								
	Dec 18, 17	1,650,000	20.445	33,734.25	21.640	35,706.00	1,971.75	LT
	Apr 3, 18	25,000	20.749	518.73	21.640	541.00	22.27	ST
	May 8, 18	9,000	22.850	205.65	21.640	194.76	-10.89	ST
	Nov 19, 18	11,000	24.418	268.60	21.640	238.04	-30.56	ST
	Dec 3, 18	4,000	26.130	104.52	21.640	86.56	-17.96	ST
Security total		1,699,000	20.501	34,831.75		36,766.36	1,934.61	
<b>SANOFI SPON ADR</b>								
Symbol: SNY Exchange: NYSE								
EAI: \$1,464 Current yield: 2.77%								
	Feb 24, 16	115,000	38.005	4,370.67	43.410	4,992.15	621.48	LT
	Mar 23, 16	130,000	39.505	5,135.73	43.410	5,643.30	507.57	LT

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# Strategic Wealth Portfolio

December 2018

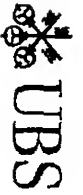
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## Your assets, Equities, Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total								
SCHNEIDER ELEC SE UNSPONSORED ADR								
Symbol: SBGSY Exchange: OTC								
EAI: \$39 Current yield: 0.25%	Dec 28, 15	1,144,000	11.230	12,847.85	13.520	15,466.88	2,619.03	LT
SHINHAN FINANCIAL HLDG CO SPON ADR								
Symbol: SHG Exchange: NYSE								
EAI: \$531 Current yield: 2.91%	Dec 28, 15	515,000	35.130	18,091.95	35.490	18,277.35	185.40	LT
SHIRE PLC SPON ADR								
Symbol: SHPG Exchange: OTC								
EAI: \$99 Current yield: 0.61%	Aug 20, 18	93,000	171.614	15,960.11	174.040	16,185.72	225.61	ST
SIX FLAGS ENTERTAINMENT CORP								
Symbol: SIX Exchange: NYSE								
EAI: \$1,722 Current yield: 5.90%	Dec 3, 18	525,000	60.311	31,663.59	55.630	29,205.75	-2,457.84	ST
SUMITOMO MITSUI TRUST HOLDINGS SPON ADR								
Symbol: SUNNY Exchange: OTC								
EAI: \$553 Current yield: 2.46%	Jun 1, 16	6,360,000	3.516	22,362.39	3.540	22,514.40	152.01	LT
SWATCH GROUP AG ADR								
Symbol: SWGAY Exchange: OTC								
EAI: \$269 Current yield: 1.48%	Dec 3, 18	1,247,000	15.547	19,387.98	14.580	18,181.26	-1,206.72	ST
SWISS RE LTD SPON ADR								
Symbol: SSREY Exchange: OTC								
EAI: \$691 Current yield: 4.54%	Jun 6, 13	27,000	18.034	486.94	22.910	618.57	131.63	LT
	Sep 7, 17	393,000	21.837	8,582.18	22.910	9,003.63	421.45	LT
	Jun 22, 18	244,000	21.982	5,363.66	22.910	5,590.04	226.38	ST
Security total		664,000	21.736	14,432.78		15,212.24	779.46	
TAIWAN SEMICONDUCTOR MFG CO LTD ADR								
Symbol: TSM Exchange: NYSE								
EAI: \$982 Current yield: 2.81%	Jan 27, 17	941,000	31.220	29,378.49	36.910	34,732.31	5,353.82	LT

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# Strategic Wealth Portfolio

December 2018

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## Your assets, Equities, Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>TAKEDA PHARMACEUTICAL CO LTD SPON ADR</b>								
Symbol: TAK Exchange: NYSE								
EAI: \$641 Current yield: 3.66%								
Security total		948,000	31.267	29,640.77	36.910	34,990.68	5,349.91	ST
	May 8, 18	3,000	39.240	117.72	36.910	110.73	-6.99	ST
	Nov 19, 18	4,000	36.140	144.56	36.910	147.64	3.08	ST
<b>TARGET CORP</b>								
Symbol: TGT Exchange: NYSE								
EAI: \$2,196 Current yield: 3.87%								
Security total		1,041,000	18.986	19,763.97	16.820	17,509.62	-2,254.35	ST
	Jun 5, 18	416,000	19.567	8,139.91	16.820	6,997.12	-1,142.79	ST
	Dec 3, 18	625,000	18.598	11,624.06	16.820	10,512.50	-1,111.56	ST
<b>TELECOM ITALIA SPA NEW REPTG</b>								
10 ORD SHS SPON ADR								
Symbol: TI Exchange: NYSE								
EAI: \$1,739 Current yield: 7.65%								
Security total		858,000	69.767	59,859.68	66.090	56,705.22	-3,154.46	ST
	Dec 18, 17	454,000	63.800	28,965.24	66.090	30,004.86	1,039.62	LT
	May 8, 18	3,000	69.410	208.23	66.090	198.27	-9.96	ST
	Jul 2, 18	204,000	75.177	15,336.14	66.090	13,482.36	-1,853.78	ST
	Nov 19, 18	195,000	77.909	15,192.43	66.090	12,887.55	-2,304.88	ST
	Nov 19, 18	2,000	78.820	157.64	66.090	132.18	-25.46	ST
<b>TELEFONICA BRASIL SA SPON ADR</b>								
Symbol: VIV Exchange: NYSE								
EAI: \$1,739 Current yield: 7.65%								
Security total		1,905,000	9.497	18,093.50	11.930	22,726.65	4,633.15	LT
	Nov 21, 18	5,100,000	6.206	31,651.62	5.550	28,305.00	-3,346.62	ST
<b>TESCO PLC SPONS ADR</b>								
Symbol: TSCDY Exchange: OTC								
EAI: \$565 Current yield: 1.97%								
Security total		2,500,000	7.888	19,720.00	7.320	18,300.00	-1,420.00	ST
	Dec 28, 15	533,000	6.768	3,607.45	7.320	3,901.56	294.11	LT
	Jun 19, 17	888,000	6.646	5,901.65	7.320	6,500.16	598.51	LT
	Nov 21, 18	2,500,000	7.888	19,720.00	7.320	18,300.00	-1,420.00	ST
Security total		3,921,000	7.455	29,229.10	28.701.72	28,701.72	-527.38	ST
<b>TEXAS INSTRUMENTS</b>								
Symbol: TXN Exchange: OTC								
EAI: \$1,543 Current yield: 3.26%								
Security total		501,000	101.231	50,716.93	94.500	47,344.50	-3,372.43	ST
	Dec 3, 18	501,000	101.231	50,716.93	94.500	47,344.50	-3,372.43	ST

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# Strategic Wealth Portfolio

December 2018

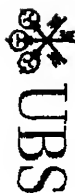
Account name: DONALD R JEANES  
Friendly account name: Swing Trust  
Account number:

Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

## Your assets , Equities , Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
TORONTO DOMINION BK NEW CANADA								
CAD								
Symbol: TD Exchange: NYSE								
EAI: \$1,728 Current yield: 4.06%								
CAD Exchange rate: 1.36580								
	Dec 30, 15	254,000	39.590	10,055.86	49.720	12,628.88	2,573.02	LT
	Feb 26, 16	374,000	38.758	14,495.57	49.720	18,595.28	4,099.71	LT
	Apr 3, 18	15,000	56.125	841.88	49.720	745.80	-96.08	ST
	May 8, 18	4,000	56.555	226.22	49.720	198.88	-27.34	ST
	Nov 19, 18	209,000	54.859	11,465.72	49.720	10,391.48	-1,074.24	ST
Security total		856,000	43.324	37,085.25		42,560.32	5,475.07	
TOTAL S.A. FRANCE SPON ADR								
Symbol: TOT Exchange: NYSE								
EAI: \$3,127 Current yield: 4.73%								
	Dec 18, 17	715,000	55.432	39,634.31	52.180	37,308.70	-2,325.61	LT
	Nov 19, 18	549,000	56.694	31,125.49	52.180	28,646.82	-2,478.67	ST
	Nov 19, 18	2,000	57.035	114.07	52.180	104.36	-9.71	ST
Security total		1,266,000	55.983	70,873.87		66,059.88	-4,813.99	
UBS GROUP AG CHF								
Symbol: UBS Exchange: NYSE								
EAI: \$1,466 Current yield: 5.24%								
CHF Exchange rate: 0.98580								
	Aug 27, 12	10,000	11.270	112.70	12.380	123.80	11.10	LT
	Nov 21, 18	2,248,000	13.654	30,694.87	12.380	27,830.24	-2,864.63	ST
Security total		2,258,000	13.644	30,807.57		27,954.04	-2,853.53	
UMPOVA HOLDINGS CORP OR								
Symbol: UMPQ Exchange: OTC								
EAI: \$575 Current yield: 5.28%								
	Nov 19, 18	685,000	19.709	13,501.14	15.900	10,891.50	-2,609.64	ST
UNITD TECHNOLOGIES CORP								
Symbol: UTX Exchange: NYSE								
EAI: \$764 Current yield: 2.76%								
	Aug 6, 15	21,000	98.650	2,071.66	106.480	2,236.08	164.42	LT
	Aug 7, 15	170,000	98.140	16,683.87	106.480	18,101.60	1,417.73	LT
	Aug 11, 15	5,000	98.190	490.95	106.480	532.40	41.45	LT
	Dec 21, 15	64,000	93.309	5,971.78	106.480	6,814.72	842.94	LT
Security total		260,000	96.993	25,218.26		27,684.80	2,466.54	

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# Strategic Wealth Portfolio

December 2018

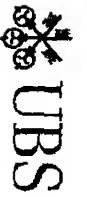
Account name: DONALD R JEANES  
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Account number:

Your Financial Advisor:  
WFP FINANCIAL GROUP  
423-928-7144/800-729-4848

## Your assets , Equities , Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>US BANCORP DEL (NEM)</b>								
Symbol: USB Exchange: NYSE								
EAI: \$897 Current yield: 3.24%	Aug 3, 16	245,000	42.009	10,292.38	45.700	11,196.50	904.12	LT
	Aug 16, 16	361,000	43.101	15,559.61	45.700	16,497.70	938.09	LT
Security total		606,000	42.660	25,851.99		27,694.20	1,842.21	
<b>VALERO ENERGY CORP NEW</b>								
Symbol: VLO Exchange: NYSE								
EAI: \$1,226 Current yield: 4.27%	Nov 19, 18	383,000	81.153	31,081.94	74.970	28,713.51	-2,368.43	ST
<b>VERZON COMMUNICATIONS INC</b>								
Symbol: VZ Exchange: NYSE								
EAI: \$3,642 Current yield: 4.29%	May 10, 18	511,000	47.026	24,030.54	56.220	28,728.42	4,697.88	ST
	Jun 4, 18	998,000	48.045	47,949.88	56.220	56,107.56	8,157.68	ST
	Nov 19, 18	2,000	60.800	121.60	56.220	112.44	-9.16	ST
Security total		1,511,000	47.718	72,102.02		84,948.42	12,846.40	
<b>VF CORP</b>								
Symbol: VFC Exchange: NYSE								
EAI: \$361 Current yield: 2.86%	Nov 22, 17	177,000	73.235	12,962.61	71.340	12,627.18	-335.43	LT
<b>WALMART INC</b>								
Symbol: WMT Exchange: NYSE								
EAI: \$726 Current yield: 2.23%	Dec 21, 15	349,000	59.326	20,704.84	93.150	32,509.35	11,804.51	LT
<b>WALT DISNEY CO (HOLDING CO)</b>								
Symbol: DIS Exchange: NYSE								
EAI: \$466 Current yield: 1.60%	Nov 29, 18	131,000	116.910	15,315.25	109.650	14,364.15	-951.10	ST
	Dec 13, 18	134,000	112.974	15,138.64	109.650	14,693.10	-445.54	ST
Security total		265,000	114.920	30,453.89		29,057.25	-1,396.64	
<b>WMM MORRISON SUPERMARKETS PLC</b>								
Symbol: MRWSY Exchange: OTC								
EAI: \$1,193 Current yield: 4.34%	Dec 28, 15	2,040,000	11.529	23,520.39	13.480	27,499.20	3,978.81	LT
<b>WPP PLC NEW SPON ADR</b>								
Symbol: WPP Exchange: NYSE								
EAI: \$2,792 Current yield: 7.25%	Nov 19, 18	703,000	54.821	38,539.58	54.800	38,524.40	-15.18	ST

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# Strategic Wealth Portfolio

December 2018

Account name: DONALD R JEANES  
Fiduciary account name: Swing Trust  
Account number:

Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

## Your assets, Equities, Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>Total</b>				<b>\$3,913,373.56</b>		<b>\$4,139,014.48</b>	<b>\$225,640.93</b>	
<b>Total estimated annual income: \$149,418</b>								

\* Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction.

## Fixed income

### Preferred securities

Holding	Trade date	Number of shares	Purchase price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
SURGUTNETGAS PISC SPON ADR								
PFD SPON ADR								
Symbol: SGTPY Exchange: OTC								
EAI: \$704 Current yield: 2.55%								
	Jun 16, 17	923,000	4.720	4,356.84	5.490	5,067.27	710.43	LT
	Dec 18, 17	4,102,000	4.944	20,284.11	5.490	22,519.98	2,235.87	LT
Security total		5,025,000		24,640.95		27,587.25	2,946.30	

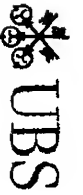
## Your total assets

	Cash and money balances	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash		205,153.77	4.69%	205,153.77		
Equities	Common stock	4,139,014.48	94.68%	3,913,373.56	149,418.00	225,640.93
Fixed income	Preferred securities	27,587.25	0.63%	24,640.95	704.00	2,946.30
<b>Total</b>		<b>\$4,371,755.50</b>	<b>100.00%</b>	<b>\$4,143,168.28</b>	<b>\$150,122.00</b>	<b>\$228,587.23</b>

## Account activity this month

Date	Activity	Description	Amount (\$)
<b>Dividend and interest income</b>			
<b>Taxable dividends</b>			
Dec 3	Dividend	BB&T CORP PAID ON SYMBOL: BBT	401.76
Dec 3	Dividend	CONOCOPHILLIPS PAID ON SYMBOL: COP	146.40
Dec 3	Dividend	ENERGY CORP NEW PAID ON SYMBOL: ETR	385.84

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Strategic Wealth Portfolio  
December 2018

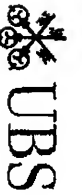
Account name: DONALD R JEANES  
Friendly account name: Swing Trust  
Account number:

Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

Account activity this month (continued)

Date	Activity	Description	Amount (\$)
Dividend and Interest Income (continued)			
Taxable dividends (continued)			
Dec 3	Dividend	INTEL CORP PAID ON 650AS OF 12/01/18 SYMBOL: INTC	195.00
Dec 3	Dividend	PFIZER INC PAID ON 2200 SYMBOL: PFE	748.00
Dec 5	Foreign Dividend	HONDA MOTOR CO ADR JAPAN ADR PAID ON 854 CUSIP: 438128308 / SYMBOL: HMC	209.68
Dec 5	Foreign Dividend	NISSAN MTR LTD SPONS ADR JAPAN ADR PAID ON 1525 CUSIP: 654744408 / SYMBOL: NSANY	762.23
Dec 7	Dividend	HONEYWELL INTL INC PAID ON 201 SYMBOL: HON	164.82
Dec 10	Foreign Dividend	DAIICHI SANKYO CO LTD SPON ADR PAID ON 384 CUSIP: 23381D102 / SYMBOL: DSNKY	118.35
Dec 10	Dividend	EXELON CORP PAID ON 340 SYMBOL: EXC	117.30
Dec 10	Dividend	LILLY BU & CO PAID ON 317 SYMBOL: LLY	178.31
Dec 10	Foreign Dividend	PETROLEO BRASILEIRO SA PETROBRAS NON VTG SPON ADR PAID ON 1043 CUSIP: 71654V101 / SYMBOL: PBR.A	54.16
Dec 10	Dividend	TARGET CORP PAID ON 861 SYMBOL: TGT	551.04
Dec 10	Dividend	UNITD TECHNOLOGIES CORP PAID ON 260 SYMBOL: UTX	191.10
Dec 11	Dividend	JOHNSON & JOHNSON COM PAID ON 211 SYMBOL: JNJ	189.90
Dec 13	Dividend	CENTERPOINT ENERGY INC (HLDS CO) PAID ON 1568 SYMBOL: CNP	435.12
Dec 13	Dividend	METLIFE INC PAID ON 188 SYMBOL: MET	78.96
Dec 13	Foreign Dividend	TAKEDA PHARMACEUTICAL CO LTD SPON ADR PAID ON 846 CUSIP: 874060205 / SYMBOL: TAK	334.59
Dec 14	Foreign Dividend	CARNIVAL CORP NEW (PAIRED STOCK) PAID ON 497 SYMBOL: CCL	248.50

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**Strategic Wealth Portfolio**  
December 2018

Account name: DONALD R. JEANES  
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423-928-7144/800-729-4848

Account activity this month (continued)

Date	Activity	Description	Amount (\$)
<b>Dividend and Interest Income (continued)</b>			
<i>Taxable dividends (continued)</i>			
Dec 14	Dividend	INTL PAPER CO PAID ON SYMBOL: IP	187.50
Dec 14	Dividend	OLD REPUBLIC INTL CORP PAID ON SYMBOL: ORI	254.09
Dec 14	Dividend	PENBINA PIPELINE CORP CAD PAID ON SYMBOL: PBA	58.93
Dec 17	Dividend	LYONDELLBASELL INDUSTRIES N V SHS - A - CL A EUR PAID ON SYMBOL: LYB	609.00
Dec 17	Dividend	FINANCIAL GROUP INC SPON ADR PAID ON SYMBOL: MFG	4719
Dec 18	Dividend	MITSUBISHI TANABE PHARMA CORP SPON ADR PAID ON SYMBOL: MZPY	1496
Dec 18	Dividend	TELEFONICA BRASIL SA SPON ADR PAID ON SYMBOL: VIV	1905
Dec 19	Dividend	MS&AD INS GROUP HLDGS ADR PAID ON SYMBOL: MSADY	1453
Dec 19	Dividend	ROYAL DUTCH SHELL PLC CL A SPON ADR PAID ON SYMBOL: RDSA	933
Dec 19	Dividend	SUMITOMO MITSUBI TRUST HOLDINGS SPON ADR PAID ON SYMBOL: SUTNY	6360
Dec 20	Dividend	GENERAL MOTORS CO PAID ON SYMBOL: GM	871
Dec 20	Dividend	DAI NIPPON PRINTING CO SPON ADR PAID ON SYMBOL: DNPLY	1968
Dec 20	Dividend	QUALCOMM INC PAID ON SYMBOL: QCOM	817
Dec 20	Dividend	VF CORP PAID ON SYMBOL: VFC	177
Dec 21	Dividend	BP PLC SPON ADR PAID ON SYMBOL: BP	689
Dec 21	Dividend	ANTHEM INC PAID ON SYMBOL: ANTM	109
Dec 21	Dividend	ORANGE SPON ADR PAID ON SYMBOL: ORAN	1965

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Strategic Wealth Portfolio  
December 2018

Account name: DONALD R JEANES  
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WLP FINANCIAL GROUP  
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Account activity this month (continued)

Dividend and Interest Income (continued)			Amount (\$)
Date	Activity	Description	

Taxable dividends (continued)

Dec 27	Dividend	CME GROUP INC PAID ON SYMBOL: CME	245	171.50
Dec 28	Dividend	BANK OF AMER CORP PAID ON SYMBOL: BAC	1162	174.30
Dec 28	Dividend	CROWN CASTLE INTL CORP REIT PAID ON SYMBOL: CCI	353	397.13
Dec 28	Dividend	FIDELITY NATIONAL FINANCIAL IN COM PAID ON SYMBOL: FNF	846	253.80
Dec 28	Dividend	BROADCOM INC PAID ON SYMBOL: AVGO	180	477.00
Dec 28	Dividend	LAMAR ADVERTISING CO NEW CL A PAID ON SYMBOL: LAMR	541	497.72
Dec 28	Dividend	PUBLIC SERVICE ENTERPRISE GROUP INC PAID ON SYMBOL: PEG	616	277.20
Dec 28	Dividend	SABRE CORP PAID ON SYMBOL: SABR	1699	237.86
Dec 28	Dividend	WILLIAMS COS INC (DEL) PAID ON SYMBOL: WMB	1528	519.52
Dec 31	Foreign Dividend	J. SAINSBURY PLC SPON ADR PAID ON CUSIP: 466249208 / SYMBOL: JSAY	1726	270.57

Taxable Interest

Dec 7	Interest	UBS FDIC INSURED DEPOSIT PROGRAM AS OF 12/06/18		104.80
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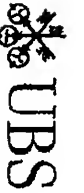
Total taxable dividends				\$15,449.70
Total taxable interest				\$104.80
Total dividend and interest income				\$15,554.50

Date	Activity	Description	Amount (\$)
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Fees

Dec 5	Fee	HONDA MOTOR CO ADR JAPAN ADR CUSIP: 438128308 / SYMBOL: HMC	-5.55
Dec 5	Fee	NISSAN MTR LTD SPONS ADR JAPAN ADR CUSIP: 654744408 / SYMBOL: NSANY	-40.41
Dec 10	Fee	DAICHI SANKYO CO LTD SPON ADR CUSIP: 23381D102 / SYMBOL: DSNKY	-10.56
Dec 10	Fee	PETROLEO BRASILEIRO SA PETROBRAS NON VTG SPON ADR CUSIP: 71654V101 / SYMBOL: PBR.A	-5.52

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Strategic Wealth Portfolio  
December 2018

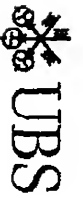
Account name: DONALD R JEANES  
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423-928-7144/800-729-4848

Account activity this month (continued)

Fees (continued)		Date	Activity	Description	Amount (\$)
Dec 13	Fee			TAKEDA PHARMACEUTICAL CO LTD SPON ADR CUSIP: 874060205 / SYMBOL: TAK	-44.38
Dec 17	Fee			DAICHI SANKYO CO LTD SPON ADR CUSIP: 606822104 / SYMBOL: MUFJ	-36.95
Dec 17	Fee			ROCHE HLDG LTD SPONS ADR SWITZ ADR CUSIP: 771195104 / SYMBOL: RHBY	-13.50
Dec 18	Fee			DAICHI SANKYO CO LTD SPON ADR CUSIP: 606813202 / SYMBOL: MIZPY	-51.66
Dec 18	Fee			TELEFONICA BRASIL SA SPON ADR CUSIP: 87936R106 / SYMBOL: VIV	-38.10
Dec 19	Fee			MS&AD INS GROUP HLDGS ADR CUSIP: 553491101 / SYMBOL: MSADY	-53.62
Dec 19	Fee			SUMITOMO MITSUI TRUST HOLDINGS SPON ADR CUSIP: 86562X106 / SYMBOL: SUTNY	-51.41
Dec 20	Fee			DAI NIPPON PRINTING CO SPON ADR CUSIP: 233806306 / SYMBOL: DNPLY	-39.34
Dec 21	Fee			BP PLC SPON ADR CUSIP: 055622104 / SYMBOL: BP	-3.45
Dec 21	Fee			ORANGE SPON ADR CUSIP: 684060106 / SYMBOL: ORAN	-39.30
Dec 31	Fee			J. SAINSBURY PLC SPON ADR CUSIP: 466249208 / SYMBOL: JSAY	-32.47
Total fees					-\$466.22
Other funds debited					
Dec 5	Foreign Tax			HONDA MOTOR CO ADR JAPAN ADR CUSIP: 438128308 / SYMBOL: HMC	-20.97
Dec 5	Foreign Tax			NISSAN MTR LTD SPONS ADR JAPAN ADR CUSIP: 654744408 / SYMBOL: NSANY	-76.22
Dec 10	Foreign Tax			DAICHI SANKYO CO LTD SPON ADR CUSIP: 23381D102 / SYMBOL: DSNKY	-11.83
Dec 10	Foreign Tax			PETROLEO BRASILEIRO SA PETROBRAS NON VTG SPON ADR CUSIP: 71654V101 / SYMBOL: PBR.A	-8.12
Dec 13	Foreign Tax			TAKEDA PHARMACEUTICAL CO LTD SPON ADR CUSIP: 874060205 / SYMBOL: TAK	-33.46

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# Strategic Wealth Portfolio

December 2018

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## Account activity this month (continued)

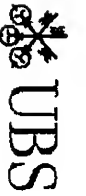
Other funds debited (continued)	Date	Activity	Description	Amount (\$)
	Dec 14	Foreign Tax	PEMBINA PIPELINE CORP CAD	-14.73
		Withheld	SYMBOL: PBA	
	Dec 17	Foreign Tax	MITSUBISHI UFJ FINANCIAL GROUP INC SPON ADR	-45.86
		Withheld	CUSIP: 606822104 / SYMBOL: MUFG	
	Dec 18	Foreign Tax	MITSUBISHI TANABE PHARMA CORP SPON ADR	-36.82
		Withheld	CUSIP: 606813202 / SYMBOL: MTZPY	
	Dec 19	Foreign Tax	MS&AD INS GROUP HLDGS ADR	-44.92
		Withheld	CUSIP: 553491101 / SYMBOL: MSADY	
	Dec 19	Foreign Tax	ROYAL DUTCH SHELL PLC CL A SPON ADR	-131.55
		Withheld	CUSIP: 780259206 / SYMBOL: RDSA	
	Dec 19	Foreign Tax	SUMITOMO MITSUI TRUST HOLDINGS SPON ADR	-36.49
		Withheld	CUSIP: 86562X106 / SYMBOL: SUMTY	
	Dec 20	Foreign Tax	DAI NIPPON PRINTING CO SPON ADR	-27.86
		Withheld	CUSIP: 233806306 / SYMBOL: DNPLY	
	Dec 21	Foreign Tax	ORANGE SPON ADR	-200.56
		Withheld	CUSIP: 684060106 / SYMBOL: ORAN	
	Dec 26	Transfer	JOURNAL TO YR 01954 CLARINDA P JEANES LIVING TRUST	-13,000.00
Total other funds debited				-\$13,689.39

## Investment transactions

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from investment transactions (\$)	Funds withdrawn for investments bought (\$)	Accrued interest (\$)
Dec 3	Bought	WALT DISNEY CO (HOLDING CO) DISNEY COM UNSOLICITED SYMBOL: DIS	131,000		116.910300		-15,315.25	
Dec 3	Sold	SCHLUMBERGER LTD NETHERLANDS ANTILLES UNSOLICITED SYMBOL: SLB	-314,000		45.936100	14,423.75		
Dec 5	Bought	ALLSTATE CORP UNSOLICITED SYMBOL: ALL	355,000		88.90		-31,559.50	
Dec 5	Sold	AEGON NV ADR N Y SHS NETHERLANDS ADR UNSOLICITED CUSIP: 007924103 / SYMBOL: AEG	-4,000		5.570600	22.27		

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Strategic Wealth Portfolio  
December 2018

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423-928-7144/800-729-4848

Account activity this month (continued)

Investment transactions (continued)

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from investment transactions (\$)	Funds withdrawn for investments bought (\$)	Accrued interest (\$)
Dec 5	Bought	AMGEN INC UNSOLICITED SYMBOL: AMGN	155.000		202.511900		-31,389.34	
Dec 5	Bought	AES CORP UNSOLICITED SYMBOL: AES	4.000		15.628800		-62.52	
Dec 5	Sold	BB&T CORP UNSOLICITED SYMBOL: BBT	-2.000		50.820100	101.63		
Dec 5	Sold	BCE INC NEW CAD UNSOLICITED SYMBOL: BCE	-2.000		42.790100	85.57		
Dec 5	Bought	EATON CORP PLC UNSOLICITED SYMBOL: ETN	232.000		77.968100		-18,088.60	
Dec 5	Bought	CARREFOUR SA SPON ADR UNSOLICITED CUSIP: 144430204 / SYMBOL: CRFP	1,570.000		3.369400		-5,305.83	
Dec 5	Bought	CENTERPOINT ENERGY INC (HLDGS CO) UNSOLICITED SYMBOL: CNP	2.000		28.228100		-56.46	
Dec 5	Bought	CYPRESS SEMICONDUCTOR CP UNSOLICITED SYMBOL: CY	2,841.000		14.126100		-40,132.25	
Dec 5	Bought	CHINA MOBILE LTD SPON ADR UNSOLICITED CUSIP: 16941M109 / SYMBOL: CHL	453.000		48.006300		-21,746.85	
Dec 5	Bought	CREDIT SUISSE GROUP SPON ADR UNSOLICITED CUSIP: 225401108 / SYMBOL: CS	2,222.000		12.074600		-26,829.76	
Dec 5	Sold	CARNIVAL CORP NEW (PAIRED STOCK) UNSOLICITED SYMBOL: CCL	-2.000		60.566100	121.12		
Dec 5	Sold	CISCO SYSTEMS INC UNSOLICITED SYMBOL: CSCO	-1.000		48.371900	48.36		
Dec 5	Bought	CENEX S.A.B. DE C.V. SPON ADR UNSOLICITED CUSIP: 151290889 / SYMBOL: CX	3,779.000		5.159900		-19,499.26	
Dec 5	Sold	CROWN CASTLE INTL CORP REIT UNSOLICITED SYMBOL: CCI	-1.000		113.000800	112.99		
Dec 5	Bought	COCA COLA EUROPEAN PARTNERS EUR UNSOLICITED SYMBOL: CCEP	914.000		47.747700		-43,641.40	

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Strategic Wealth Portfolio  
December 2018

Account name: DONALD R JEANES  
Friendly account name: Swing Trust  
Account number:

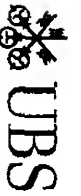
Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

Account activity this month (continued)

Investment transactions (continued)

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from Investment transactions (\$)	Funds withdrawn for investments bought (\$)	Accrued interest (\$)
Dec 5	Sold	DIGITAL REALTY TRUST INC RET UNSOLICITED SYMBOL: DLR	-1,000		115.350100	115.34		
Dec 5	Bought	EASTMAN CHEMICAL CO UNSOLICITED SYMBOL: EMN	190,000		81.304000		-15,447.76	
Dec 5	Sold	FIDELITY NATIONAL FINANCIAL INC COM UNSOLICITED SYMBOL: FNF	-4,000		33.40	133.59		
Dec 5	Bought	BROADCOM INC UNSOLICITED SYMBOL: AVGO	180,000		242.538600		-43,656.95	
Dec 5	Sold	GAP INC UNSOLICITED SYMBOL: GPS	-11,000		27.95	307.44		
Dec 5	Bought	GAS ADR UNSOLICITED CUSIP: 37441W108 / SYMBOL: GFSZY	1,875,000		12.40		-23,250.00	
Dec 5	Bought	HP INC UNSOLICITED SYMBOL: HPQ	1,000		23.859300		-23.86	
Dec 5	Sold	CITIGROUP INC UNSOLICITED SYMBOL: C	-1,000		65.220100	65.21		
Dec 5	Bought	HSBC HOLDINGS PLC NEW GB SPON ADR UNSOLICITED CUSIP: 404280406 / SYMBOL: HSBC	817,000		43.495800		-35,536.07	
Dec 5	Bought	HSBC HOLDINGS PLC NEW GB SPON ADR UNSOLICITED CUSIP: 404280406 / SYMBOL: HSBC	355,000		43.387700		-15,402.63	
Dec 5	Sold	ISHARES RUSSELL 1000 VALUE ETF UNSOLICITED SYMBOL: IVD	-1,600,000		124.315500	198,902.21		
Dec 5	Sold	ISHARES SELECT DAVID ETF UNSOLICITED SYMBOL: DVV	-3,025,000		98.362500	297,542.69		
Dec 5	Sold	ISHARES MSCI ACWI EX US ETF UNSOLICITED SYMBOL: ACWX	-3,728,000		45.16	168,354.29		
Dec 5	Bought	HUNTINGTON BANCSHARES UNSOLICITED SYMBOL: HBAN	6,000		14.49		-86.94	

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Strategic Wealth Portfolio  
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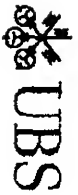
Account activity this month (continued)

Investment transactions (continued)

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from investment transactions (\$)	Funds withdrawn for investments bought (\$)	Accrued interest (\$)
Dec 5	Sold	LYONDELLBASELL INDUSTRIES N V SHS - A - CL A EUR UNSOLICITED SYMBOL: LYB	-3,000		93.66	280.97		
Dec 5	Bought	KLA - TENCOR CORP UNSOLICITED SYMBOL: KLAC	3,000		100.269900		-300.81	
Dec 5	Bought	KINGFISHER PLC NEW SPON ADR UNSOLICITED CUSIP: 495724403 / SYMBOL: KGFHY	4,866,000		6.148400		-29,918.11	
Dec 5	Sold	LAMAR ADVERTISING CO NEW CL A UNSOLICITED SYMBOL: LAMR	-3,000		75.29	225.86		
Dec 5	Bought	LOCKHEED MARTIN CORP UNSOLICITED SYMBOL: LMT	106,000		298.20		-31,609.20	
Dec 5	Bought	METLIFE INC UNSOLICITED SYMBOL: MET	512,000		44.867300		-22,972.06	
Dec 5	Bought	MORGAN STANLEY UNSOLICITED SYMBOL: MS	694,000		45.663600		-31,690.54	
Dec 5	Bought	MOBILE TELESYSTEMS PISC SPON ADR UNSOLICITED CUSIP: 607409109 / SYMBOL: MBT	1,547,000		7.507700		-11,614.41	
Dec 5	Bought	MTSUBISHI UFJ FINANCIAL GROUP INC SPON ADR UNSOLICITED CUSIP: 606822104 / SYMBOL: MUFJ	927,000		5.457500		-5,059.10	
Dec 5	Sold	NOKIA CORP SPONS ADR FINLAND ADR UNSOLICITED CUSIP: 654902204 / SYMBOL: NOK	-39,000		5.59	218.00		
Dec 5	Sold	OCCIDENTAL PETROLEUM CRP UNSOLICITED SYMBOL: OXY	-5,000		70.951300	354.75		
Dec 5	Sold	ONEOK INC NEW UNSOLICITED SYMBOL: OKE	-1,000		62.610100	62.60		
Dec 5	Sold	OLD REPUBLIC INTL CORP UNSOLICITED SYMBOL: ORI	-3,000		22.63	67.88		
Dec 5	Sold	PEMBINA PIPELINE CORP CAD UNSOLICITED SYMBOL: PBA	-4,000		33.893000	135.56		

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Strategic Wealth Portfolio  
December 2018

Account name: DONALD R JEANES  
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Account number:

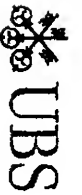
Your Financial Advisor:  
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423-928-7144/800-729-4848

Account activity this month (continued)

Investment transactions (continued)

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from investment transactions (\$)	Funds withdrawn for investments bought (\$)	Accrued interest (\$)
Dec 5	Sold	PFIZER INC UNSOLICITED SYMBOL: PFE	-4.000		45.950100	183.79		
Dec 5	Bought	REGIONS FINANCIAL CORP UNSOLICITED SYMBOL: RF	66.000		16.669900		-1,100.21	
Dec 5	Bought	REPSOL SA SPON ADR UNSOLICITED CUSIP: 760267205 / SYMBOL: REPY	242.000		17.419900		-4,215.62	
Dec 5	Bought	SIX FLAGS ENTERTAINMENT CORP UNSOLICITED SYMBOL: SIX	525.000		60.311600		-31,663.59	
Dec 5	Bought	SABRE CORP UNSOLICITED SYMBOL: SABR	4.000		26.129900		-104.52	
Dec 5	Bought	SANOFI SPON ADR UNSOLICITED CUSIP: 80105N105 / SYMBOL: SNY	598.000		44.409900		-26,636.79	
Dec 5	Sold	TORONTO DOMINION BK NEW CANADA CAD UNSOLICITED SYMBOL: TD	-3.000		55.225000	165.67		
Dec 5	Bought	SWATCH GROUP AG ADR UNSOLICITED CUSIP: 870123106 / SYMBOL: SWGAY	1,247.000		15.547700		-19,387.98	
Dec 5	Bought	TEXAS INSTRUMENTS UNSOLICITED SYMBOL: TXN	501.000		101.231400		-50,716.93	
Dec 5	Sold	TAIWAN SEMICONDUCTOR MFG CO LTD ADR UNSOLICITED CUSIP: 874039100 / SYMBOL: TSM	-3.000		38.52	115.55		
Dec 5	Sold	TARGET CORP UNSOLICITED SYMBOL: TGT	-3.000		71.80	215.39		
Dec 5	Sold	UMPOQA HOLDINGS CORP OR UNSOLICITED SYMBOL: UMPQ	-9.000		19.170100	172.52		
Dec 5	Bought	TAKEDA PHARMACEUTICAL CO LTD SPON ADR UNSOLICITED CUSIP: 874060205 / SYMBOL: TAK	625.000		18.598500		-11,624.06	
Dec 5	Sold	VERIZON COMMUNICATIONS INC UNSOLICITED SYMBOL: VZ	-3.000		58.01	174.02		

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Strategic Wealth Portfolio  
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Account activity this month (continued)

Investment transactions (continued)

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from Investment transactions (\$)	Funds withdrawn for Investments bought (\$)	Accrued Interest (\$)
Dec 5	Bought	WILLIAMS COS INC (DEL) UNSOLICITED SYMBOL: WMB	1,528.000		25.727000		-39,310.86	
Dec 10	Sold	AMERIPRISE FINANCIAL INC UNSOLICITED SYMBOL: AMP	-122.000		115.099700	14,041.98		
Dec 10	Bought	CRH PLC IRELAND SPON ADR UNSOLICITED CUSIP: 12626K203 / SYMBOL: CRH	164.000		25.888800		-4,245.76	
Dec 10	Bought	EXELON CORP UNSOLICITED SYMBOL: EXC	321.000		46.253200		-14,847.28	
Dec 10	Sold	LUKOIL PISC SPON ADR UNSOLICITED CUSIP: 69343P105 / SYMBOL: LUKOY	-71.000		76.679400	5,444.17		
Dec 17	Bought	WALT DISNEY CO (HOLDING CO) DISNEY COM UNSOLICITED SYMBOL: DIS	134.000		112.974900		-15,138.64	
Dec 17	Sold	EASTMAN CHEMICAL CO UNSOLICITED SYMBOL: EMN	-190.000		71.695100	13,621.89		
Dec 18	Sold	CYPRESS SEMICONDUCTOR CP UNSOLICITED SYMBOL: CY	-1,095.000		12.796600	14,012.32		
Dec 18	Bought	CISCO SYSTEMS INC UNSOLICITED SYMBOL: CSCO	263.000		45.838600		-12,055.55	
Dec 20	Bought	ENBRIDGE INC CAD UNSOLICITED SYMBOL: ENB	1,186.000		30.970900		-36,731.49	
Dec 20	Sold	WILLIAMS COS INC (DEL) UNSOLICITED SYMBOL: WMB	-1,528.000		22.525500	34,418.51		
Dec 24	Bought	COMPAGNIE DE SAINT-GOBAIN ADR UNSOLICITED CUSIP: 204280309 / SYMBOL: CODY	1,158.000		6.528600		-7,582.80	
Dec 26	Bought	BASF SE SPON ADR UNSOLICITED CUSIP: 055262505 / SYMBOL: BASF	641.000		17.096200		-10,958.66	
<b>Total</b>						<b>\$764,247.89</b>	<b>-\$806,516.20</b>	

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Strategic Wealth Portfolio  
December 2018

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Account activity this month (continued)

Money balance activities	Date	Activity	Description	Amount (\$)
	Nov 30	Balance forward		\$245,702.42
	Dec 3	Withdrawal	UBS FDIC INSURED DEPOSIT PGM	-570.73
	Dec 4	Deposit	UBS FDIC INSURED DEPOSIT PGM	1,877.00
	Dec 5	Withdrawal	UBS FDIC INSURED DEPOSIT PGM	-21,355.50
	Dec 6	Deposit	UBS FDIC INSURED DEPOSIT PGM	828.76
	Dec 7	Deposit	UBS FDIC INSURED DEPOSIT PGM AS OF 12/06/18	104.80
	Dec 10	Deposit	UBS FDIC INSURED DEPOSIT PGM	164.82
	Dec 11	Deposit	UBS FDIC INSURED DEPOSIT PGM	1,567.34
	Dec 12	Deposit	UBS FDIC INSURED DEPOSIT PGM	189.90
	Dec 14	Deposit	UBS FDIC INSURED DEPOSIT PGM	770.83
	Dec 17	Withdrawal	UBS FDIC INSURED DEPOSIT PGM	-782.46
	Dec 18	Deposit	UBS FDIC INSURED DEPOSIT PGM	971.33
	Dec 19	Deposit	UBS FDIC INSURED DEPOSIT PGM	2,852.59
	Dec 20	Withdrawal	UBS FDIC INSURED DEPOSIT PGM	-939.93
	Dec 21	Deposit	UBS FDIC INSURED DEPOSIT PGM	1,139.23
	Dec 24	Withdrawal	UBS FDIC INSURED DEPOSIT PGM	-6,652.10
	Dec 26	Withdrawal	UBS FDIC INSURED DEPOSIT PGM	-10,958.66
	Dec 27	Withdrawal	UBS FDIC INSURED DEPOSIT PGM AS OF 12/26/18	-13,000.00
	Dec 28	Deposit	UBS FDIC INSURED DEPOSIT PGM	171.50
	Dec 31	Deposit	UBS FDIC INSURED DEPOSIT PGM	2,834.53
	Dec 31	Closing UBS FDIC-Insured Deposit Program		\$204,915.67

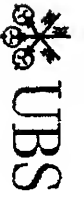
The UBS FDIC-Insured Deposit Program is your primary sweep option.

Unsettled transaction activity

The following transaction(s) are pending settlement.

Trade date	Settlement date	Activity	Description	Quantity	Price / Value (\$)	Amount (\$)
Dec 28, 18	Jan 02, 19	Sold	ALLSTATE CORP UNSOLICITED	-355,000	82.331900	29,227.44
Dec 28, 18	Jan 02, 19	Sold	AEGON NV ADR N Y SHS NETHERLANDS ADR	-4,979,000	4.592700	22,866.75
Dec 28, 18	Jan 02, 19	Sold	UNSLICITED			
Dec 28, 18	Jan 02, 19	Sold	AMGEN INC UNSOLICITED	-155,000	192.485700	29,834.89
Dec 28, 18	Jan 02, 19	Sold	BB&T CORP UNSOLICITED	-299,000	42.913700	12,831.03

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Strategic Wealth Portfolio  
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Account name: DONALD R. JEANES  
Friendly account name: Swing Trust  
Account number:

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423-928-7144/800-729-4848

Unsettled transaction activity (continued)

Trade date	Settlement date	Activity	Description	Quantity	Price / Value (\$)	Amount (\$)
Dec 28, 18	Jan 02, 19	Sold	BCE INC NEW CAD UNSOLICITED	-640.000	39.742900	25,435.13
Dec 28, 18	Jan 02, 19	Sold	EATON CORP PLC UNSOLICITED	-232.000	68.672000	15,931.69
Dec 28, 18	Jan 02, 19	Sold	CYPRESS SEMICONDUCTOR CP UNSOLICITED	-1,746.000	12.75	22,261.21
Dec 28, 18	Jan 02, 19	Sold	CREDIT SUISSE GROUP SPON ADR UNSOLICITED	-2,222.000	10.942100	24,313.03
Dec 28, 18	Jan 02, 19	Sold	GENERAL MOTORS CO UNSOLICITED	-871.000	34.033300	29,642.61
Dec 28, 18	Jan 02, 19	Sold	CARNIVAL CORP NEW (PAIRED STOCK) UNSOLICITED	-495.000	48.440400	23,977.69
Dec 28, 18	Jan 02, 19	Sold	CITIZENS FINANCIAL GROUP INC UNSOLICITED	-864.000	29.462100	25,454.92
Dec 28, 18	Jan 02, 19	Sold	CEMEX S.A.B. DE C.V. SPON ADR UNSOLICITED	-3,779.000	4.82	18,214.54
Dec 28, 18	Jan 02, 19	Sold	CELANESE CORP NEW UNSOLICITED	-145.000	89.641900	12,997.91
Dec 28, 18	Jan 02, 19	Sold	CONAGRA BRANDS, INC. UNSOLICITED	-928.000	20.951900	19,443.11
Dec 28, 18	Jan 02, 19	Sold	COMERICA INC UNSOLICITED	-385.000	67.687200	26,059.23
Dec 28, 18	Jan 02, 19	Sold	COCA COLA EUROPEAN PARTNERS EUR UNSOLICITED	-914.000	46.124500	42,157.24
Dec 28, 18	Jan 02, 19	Sold	WALT DISNEY CO (HOLDING CO) DISNEY COM UNSOLICITED	-265.000	106.644400	28,260.40
Dec 28, 18	Jan 02, 19	Sold	FIRST PAC CO LTD SPONS ADR ADR UNSOLICITED	-5,590.000	1.920100	10,733.22
Dec 28, 18	Jan 02, 19	Sold	CTTGROUPO INC UNSOLICITED	-464.000	51.922100	24,091.54
Dec 28, 18	Jan 02, 19	Sold	HSBC HOLDINGS PLC NEW GB SPON ADR UNSOLICITED	-817.000	41.01	33,504.73
Dec 28, 18	Jan 02, 19	Sold	HSBC HOLDINGS PLC NEW GB SPON ADR UNSOLICITED	-355.000	41.292000	14,658.47
Dec 28, 18	Jan 02, 19	Sold	HONDA MOTOR CO ADR JAPAN ADR UNSOLICITED	-825.000	26.465000	21,833.35
Dec 28, 18	Jan 02, 19	Sold	HOTEL & RESORTS INC (REIT) UNSOLICITED	-1,631.000	16.566200	27,019.12
Dec 28, 18	Jan 02, 19	Bought	ISHARES RUSSELL 1000 VALUE ETF UNSOLICITED	4,015.000	110.445900	-443,440.29
Dec 28, 18	Jan 02, 19	Bought	ISHARES SELECT DVID ETF UNSOLICITED	4,629.000	89.11	-412,490.19

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Strategic Wealth Portfolio  
December 2018

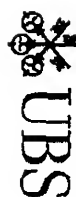
Account name: DONALD R JEANES  
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Account number:

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WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

Unsettled transaction activity (continued)

Trade date	Settlement date	Activity	Description	Quantity	Price / Value (\$)	Amount (\$)
Dec 28, 18	Jan 02, 19	Bought	ISHARES MSCI ACWI EX US ETF UNSOLICITED	8,884.000	41.907900	-372,309.78
Dec 28, 18	Jan 02, 19	Sold	IMPERIAL BRANDS PLC SPON ADR UNSOLICITED	-847.000	29.920100	25,341.99
Dec 28, 18	Jan 02, 19	Sold	LYONDELBASELL INDUSTRIES N V SHS - A - CL A EUR UNSOLICITED	-244.000	83.366300	20,341.12
Dec 28, 18	Jan 02, 19	Sold	KINGFISHER PLC NEW SPON ADR UNSOLICITED	-4,866.000	5.224800	25,423.55
Dec 28, 18	Jan 02, 19	Sold	LOCKHEED MARTIN CORP UNSOLICITED	-106.000	263.340600	27,913.74
Dec 28, 18	Jan 02, 19	Sold	MARKS & SPENCER GROUP INC SPON ADR UNSOLICITED	-4,049.000	6.244400	25,283.25
Dec 28, 18	Jan 02, 19	Sold	METLIFE INC UNSOLICITED	-512.000	40.732000	20,854.51
Dec 28, 18	Jan 02, 19	Sold	MORGAN STANLEY UNSOLICITED	-694.000	39.466600	27,389.46
Dec 28, 18	Jan 02, 19	Sold	NXP SEMICONDUCTORS N V COM EUR UNSOLICITED	-185.000	72.49	13,410.48
Dec 28, 18	Jan 02, 19	Sold	OCCIDENTAL PETROLEUM CRP UNSOLICITED	-627.000	60.781500	38,109.50
Dec 28, 18	Jan 02, 19	Sold	OCCIDENTAL PETROLEUM CRP UNSOLICITED	-426.000	60.566400	25,800.95
Dec 28, 18	Jan 02, 19	Sold	ONEOK INC NEW UNSOLICITED	-666.000	53.592300	35,692.01
Dec 28, 18	Jan 02, 19	Sold	PUBLICIS GROUPE S.A. NEW SPON ADR UNSOLICITED	-897.000	14.03	12,584.75
Dec 28, 18	Jan 02, 19	Sold	RENAULT SA UNSPONSORED ADR UNSOLICITED	-969.000	12.270100	11,889.58
Dec 28, 18	Jan 02, 19	Sold	REINSURANCE GROUP AMER INC NEW UNSOLICITED	-210.000	138.258100	29,033.82
Dec 28, 18	Jan 02, 19	Sold	SIX FLAGS ENTERTAINMENT CORP UNSOLICITED	-525.000	54.367800	28,542.73
Dec 28, 18	Jan 02, 19	Sold	SANOFI SPON ADR UNSOLICITED	-598.000	43.111900	25,780.58
Dec 28, 18	Jan 02, 19	Sold	TORONTO DOMINION BK NEW CANADA CAD UNSOLICITED	-209.000	49.744700	10,396.50
Dec 28, 18	Jan 02, 19	Sold	TELECOM ITALIA SPA NEW REPSTG 10 ORD SHS SPON ADR UNSOLICITED	-5,100.000	5.491000	28,003.74
Dec 28, 18	Jan 02, 19	Sold	SWATCH GROUP AG ADR UNSOLICITED	-1,247.000	14.495000	18,075.04
Dec 28, 18	Jan 02, 19	Sold	TEXAS INSTRUMENTS UNSOLICITED	-501.000	93.642300	46,914.18

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# Strategic Wealth Portfolio

December 2018

Account name: DONALD R. JEANES  
 Friendly account name: Swino Trust  
 Account number:

Your Financial Advisor:  
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 423-928-7144/800-729-4848

## Unsettled transaction activity (continued)

Trade date	Settlement date	Activity	Description	Quantity	Price / Value (\$)	Amount (\$)
Dec 28, 18	Jan 02, 19	Sold	TARGET CORP UNSOLICITED	-399.000	65.227000	26,025.23
Dec 28, 18	Jan 02, 19	Sold	UMIPQUA HOLDINGS CORP OR UNSOLICITED	-685.000	15.915000	10,901.64
Dec 28, 18	Jan 02, 19	Sold	TAKEDA PHARMACEUTICAL CO LTD SPON ADR	-1,041.000	16.768100	17,455.36
Dec 28, 18	Jan 02, 19	Sold	UNSOLICITED			
Dec 28, 18	Jan 02, 19	Sold	TOTAL S.A. FRANCE SPON ADR	-549.000	52.542500	28,845.46
Dec 28, 18	Jan 02, 19	Sold	UNSOLICITED			
Dec 28, 18	Jan 02, 19	Sold	TESCO PLC SPONS ADR UNITED KINGDOM	-2,500.000	7.244000	18,109.76
Dec 28, 18	Jan 02, 19	Sold	UNSOLICITED			
Dec 28, 18	Jan 02, 19	Sold	UBS GROUP AG CHF UNSOLICITED	-2,248.000	12.463000	28,016.46
Dec 28, 18	Jan 02, 19	Sold	VALERO ENERGY CORP NEW UNSOLICITED	-383.000	73.084000	27,990.81
Dec 28, 18	Jan 02, 19	Sold	WPP PLC NEW SPON ADR UNSOLICITED	-703.000	54.115700	38,042.85
Total pending investments purchased						-\$1,228,240.26
Total pending investments sold						\$1,232,918.30

## Realized gains and losses

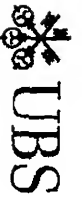
The estimated realized gains and losses shown below are not for tax purposes. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security for first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order. See important information about your statement at the end of this document for more

information. We may not adjust gains and losses for all capital changes. We automatically adjust cost basis for tax-exempt and AMT coupon municipal securities for estimated amortization of bond premiums and for accreted OID for securities issued at a discount. If you requested that UBS adjust cost basis for the bond premium amortization on taxable debt securities then cost basis reflected for these securities has been adjusted. Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided.

## Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
AEGON NV ADR N.Y. SHS	VSP	4,000	Nov 19, 18	Dec 03, 18	22.27	24.26		-1.99	
NETHERLANDS ADR	VSP	4,979,000	Nov 19, 18	Dec 28, 18	22,866.75	30,191.66		-7,324.91	
ALSTATE CORP	FIFO	355,000	Dec 03, 18	Dec 28, 18	29,227.44	31,559.50		-2,332.06	
AMGEN INC	FIFO	155,000	Dec 03, 18	Dec 28, 18	29,834.89	31,389.34		-1,554.45	
BB&T CORP	VSP	299,000	Nov 19, 18	Dec 28, 18	12,831.03	15,517.14		-2,686.11	
BCE INC NEW CAD	VSP	640,000	Nov 19, 18	Dec 28, 18	25,435.13	26,995.20		-1,560.07	

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Strategic Wealth Portfolio  
December 2018

Account name: DONALD R. JEANES  
Friendly account name: Swing Trust  
Account number:

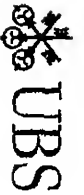
Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
CARNIVAL CORP NEW (PAIRED STOCK)	FIFO	2,000	Nov 19, 18	Dec 03, 18	121.12	118.80			2.32
	FIFO	495,000	Nov 19, 18	Dec 28, 18	23,977.69	29,402.90		-5,425.21	
CELANESE CORP NEW	FIFO	145,000	Nov 23, 18	Dec 28, 18	12,997.91	14,931.74		-1,933.83	
CEMEX S.A.B. DE C.V. SPON ADR	VSP	3,779,000	Dec 03, 18	Dec 28, 18	18,214.54	19,499.26		-1,284.72	
	VSP	1,000	Nov 19, 18	Dec 03, 18	65.21	65.19			0.02
CITIGROUP INC	VSP	464,000	Nov 19, 18	Dec 28, 18	24,091.54	30,248.11		-6,156.57	
CITIZENS FINANCIAL GROUP INC	FIFO	864,000	Nov 19, 18	Dec 28, 18	25,454.92	30,886.19		-5,431.27	
COCA COLA EUROPEAN PARTNERS EUR	FIFO	914,000	Dec 03, 18	Dec 28, 18	42,157.24	43,641.40		-1,484.16	
COMERICA INC	FIFO	385,000	Nov 19, 18	Dec 28, 18	26,059.23	30,926.55		-4,867.32	
CONAGRA BRANDS, INC.	FIFO	928,000	Nov 19, 18	Dec 28, 18	19,443.11	31,035.29		-11,592.18	
CREDIT SUISSE GROUP SPON ADR	VSP	2,222,000	Dec 03, 18	Dec 28, 18	24,313.03	26,829.76		-2,516.73	
CYPRESS SEMICONDUCTOR CP	FIFO	1,095,000	Dec 03, 18	Dec 14, 18	14,012.32	15,468.08		-1,455.76	
	FIFO	1,746,000	Dec 03, 18	Dec 28, 18	22,261.21	24,664.17		-2,402.96	
EASTMAN CHEMICAL CO	FIFO	190,000	Dec 03, 18	Dec 13, 18	13,621.89	15,447.76		-1,825.87	
EATON CORP PLC	VSP	232,000	Dec 03, 18	Dec 28, 18	15,931.69	18,088.60		-2,156.91	
FIDELITY NATIONAL FINANCIAL IN COM	FIFO	4,000	Nov 19, 18	Dec 03, 18	133.59	128.51			5.08
FIRST PAC CO LTD SPONS ADR ADR	FIFO	5,590,000	Nov 21, 18	Dec 28, 18	10,733.22	11,571.30		-838.08	
GAP INC	FIFO	11,000	Nov 19, 18	Dec 03, 18	307.44	285.63			21.81
GENERAL MOTORS CO	FIFO	871,000	Nov 19, 18	Dec 28, 18	29,642.61	30,967.97		-1,325.36	
HONDA MOTOR CO ADR JAPAN ADR	FIFO	825,000	Nov 21, 18	Dec 28, 18	21,833.35	23,132.92		-1,299.57	
HOST HOTELS & RESORTS INC (REIT)	FIFO	1,631,000	Nov 19, 18	Dec 28, 18	27,019.12	31,005.15		-3,986.03	

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Strategic Wealth Portfolio  
December 2018

Account name: DONALD R JEANES  
Friendly account name: Swing Trust  
Account number:

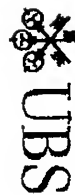
Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
HSBC HOLDINGS PLC NEW GB SPON ADR	FIFO	817,000	Dec 03, 18	Dec 28, 18	33,504.73	34,653.42	-882.65	-1,148.69	
	FIFO	355,000	Dec 03, 18	Dec 28, 18	14,658.47	16,285.28	882.65	-1,626.81	
IMPERIAL BRANDS PLC SPON ADR	FIFO	847,000	Nov 21, 18	Dec 28, 18	25,341.99	26,993.89		-1,651.90	
ISHARES MSCI ACWI EX US ETF	FIFO	3,728,000	Oct 30, 18	Dec 03, 18	168,354.29	163,738.88	3,012.50		4,615.41
ISHARES RUSSELL 1000 VALUE ETF	FIFO	1,600,000	Oct 31, 18	Dec 03, 18	198,902.21	195,622.69	2,453.73		3,279.52
ISHARES SELECT DIVID ETF	FIFO	991,000	Oct 18, 18	Dec 03, 18	97,475.97	96,575.92			900.05
	FIFO	2,034,000	Oct 30, 18	Dec 03, 18	200,066.72	194,348.50			5,718.22
KINGFISHER PLC NEW SPON ADR	FIFO	4,866,000	Dec 03, 18	Dec 28, 18	25,423.55	29,918.11		-4,494.56	
LOCKHEED MARTIN CORP	FIFO	106,000	Dec 03, 18	Dec 28, 18	27,913.74	31,609.20		-3,695.46	
LYONDELLBASELL INDUSTRIES N V SHS - A - CL A EUR	VSP	244,000	Nov 19, 18	Dec 28, 18	20,341.12	22,955.25		-2,614.13	
MARIS & SPENCER GROUP INC SPON ADR	FIFO	4,049,000	Nov 21, 18	Dec 28, 18	25,283.25	30,884.56		-5,601.31	
METLIFE INC	VSP	512,000	Dec 03, 18	Dec 28, 18	20,854.51	22,972.06		-2,117.55	
MORGAN STANLEY	FIFO	694,000	Dec 03, 18	Dec 28, 18	27,389.46	31,690.54		-4,301.08	
NOKIA CORP SPONS ADR	VSP	39,000	Nov 19, 18	Dec 03, 18	218.00	225.34		-7.34	
FINLAND ADR									
NXP SEMICONDUCTORS N V COM EUR	FIFO	185,000	Nov 21, 18	Dec 28, 18	13,410.48	15,475.23		-2,064.75	
OCCIDENTAL PETROLEUM CRP	FIFO	5,000	Nov 19, 18	Dec 03, 18	354.75	354.75	-11.54		
	FIFO	627,000	Nov 19, 18	Dec 28, 18	38,109.50	45,932.64		-7,823.14	
	FIFO	5,000	Nov 19, 18	Dec 28, 18	302.83	375.64	11.54	-72.81	
	FIFO	421,000	Nov 19, 18	Dec 28, 18	25,498.12	30,657.18		-5,159.06	
OLD REPUBLIC INTL CORP	FIFO	3,000	Jan 16, 18	Dec 03, 18	67.88	60.45			7.43
ONEOK INC NEW	FIFO	1,000	Apr 03, 18	Dec 03, 18	62.60	55.56			7.04
	FIFO	666,000	Apr 03, 18	Dec 28, 18	35,692.01	37,005.09		-1,313.08	

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Strategic Wealth Portfolio  
December 2018

Account name: DONALD R JEANES  
Friendly account name: Swina Trust  
Account number:

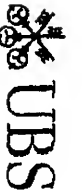
Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

## Realized gains and losses (continued)

### Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(s)	Loss (\$)	Gain (\$)
PEMBINA PIPELINE CORP CAD	FIFO	4,000	Apr 03, 18	Dec 03, 18	135.56	120.16			15.40
PUBLICIS GROUPE S.A. NEW SPON ADR	VSP	897,000	Nov 21, 18	Dec 28, 18	12,584.75	13,463.88		-879.13	
REINSURANCE GROUP AMER INC NEW	FIFO	210,000	Nov 19, 18	Dec 28, 18	29,033.82	31,051.63		-2,017.81	
RENAULT SA UNSPONSORED ADR	VSP	969,000	Nov 21, 18	Dec 28, 18	11,889.58	13,023.55		-1,133.97	
SANOFI SPON ADR	VSP	598,000	Dec 03, 18	Dec 28, 18	25,780.58	26,636.79		-856.21	
SCHLUMBERGER LTD NETHERLANDS ANTILLES	FIFO	314,000	Nov 19, 18	Nov 29, 18	14,423.75	15,059.41		-635.66	
SIX FLAGS ENTERTAINMENT CORP	FIFO	525,000	Dec 03, 18	Dec 28, 18	28,542.73	31,663.59		-3,120.86	
SWATCH GROUP AG ADR	FIFO	1,247,000	Dec 03, 18	Dec 28, 18	18,075.04	19,387.98		-1,312.94	
TAKEDA PHARMACEUTICAL CO LTD SPON ADR	FIFO	416,000	Jun 05, 18	Dec 28, 18	6,975.44	8,139.91		-1,164.47	
	FIFO	625,000	Dec 03, 18	Dec 28, 18	10,479.92	11,624.06		-1,144.14	
TARGET CORP	FIFO	3,000	Dec 18, 17	Dec 03, 18	215.39	191.41			23.98
	VSP	204,000	Jul 02, 18	Dec 28, 18	13,306.13	15,336.14		-2,030.01	
	VSP	195,000	Nov 19, 18	Dec 28, 18	12,719.10	15,192.43		-2,473.33	
TELECOM ITALIA SPA NEW REPSTG 10 ORD SHS SPON ADR	FIFO	5,100,000	Nov 21, 18	Dec 28, 18	28,003.74	31,651.62		-3,647.88	
TESCO PLC SPONS ADR	VSP	2,500,000	Nov 21, 18	Dec 28, 18	18,109.76	19,720.00		-1,610.24	
UNITED KINGDOM	FIFO	501,000	Dec 03, 18	Dec 28, 18	46,914.18	50,716.93		-3,802.75	
TEXAS INSTRUMENTS	VSP	209,000	Nov 19, 18	Dec 28, 18	10,396.50	11,465.72		-1,069.22	
TORONTO DOMINION BK NEW CANADA CAD	VSP	549,000	Nov 19, 18	Dec 28, 18	28,845.46	31,125.49		-2,280.03	
TOTAL S.A. FRANCE SPON ADR	VSP	2,248,000	Nov 21, 18	Dec 28, 18	28,016.46	30,694.87		-2,678.41	
UBS GROUP AG CHF	FIFO	9,000	Nov 19, 18	Dec 03, 18	172.52	177.39		-4.87	
UMPOUA HOLDINGS CORP OR	FIFO	685,000	Nov 19, 18	Dec 28, 18	10,901.64	13,501.14		-2,599.50	

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Strategic Wealth Portfolio  
December 2018

Account name: DONALD R JEANES  
Friendly account name: Swing Trust  
Account number:

Your Financial Advisor:  
WFP FINANCIAL GROUP  
423-928-7144/800-729-4848

## Realized gains and losses (continued)

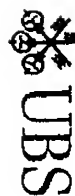
### Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
VALERO ENERGY CORP NEW	FIFO	383,000	Nov 19, 18	Dec 28, 18	27,990.81	31,081.94		-3,091.13	
VERIZON COMMUNICATIONS INC	VSP	3,000	Jun 04, 18	Dec 03, 18	174.02	144.14			29.88
WALT DISNEY CO (HOLDING CO) DISNEY COM	FIFO	131,000	Nov 29, 18	Dec 28, 18	13,970.24	15,315.25		-1,345.01	
	FIFO	134,000	Dec 13, 18	Dec 28, 18	14,290.16	15,138.64		-848.48	
WILLIAMS COS INC (DEL)	FIFO	1,528,000	Dec 03, 18	Dec 18, 18	34,418.51	39,310.86		-4,892.35	
WPP PLC NEW SPON ADR	FIFO	703,000	Nov 19, 18	Dec 28, 18	38,042.85	38,539.58		-496.73	
<b>Total</b>					<b>\$1,976,244.31</b>	<b>\$2,121,887.07</b>		<b>-\$160,268.92</b>	<b>\$14,626.16</b>
<b>Net short-term capital gains and losses</b>								<b>-\$145,642.76</b>	

### Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
AMERIPRISE FINANCIAL INC	FIFO	31,000	Aug 27, 12	Dec 06, 18	3,568.04	1,695.39			1,872.65
	FIFO	36,000	Dec 21, 15	Dec 06, 18	4,143.54	3,766.08			377.46
	FIFO	55,000	Feb 19, 16	Dec 06, 18	6,330.40	4,534.20			1,796.20
BB&T CORP	FIFO	2,000	Oct 17, 16	Dec 03, 18	101.63	75.91			25.72
BCE INC NEW CAD	FIFO	2,000	Dec 30, 15	Dec 03, 18	85.57	78.43			7.14
CISCO SYSTEMS INC	FIFO	1,000	Aug 27, 12	Dec 03, 18	48.36	19.26			29.10
CROWN CASTLE INTL CORP REIT	FIFO	1,000	Jan 06, 17	Dec 03, 18	112.99	86.92			26.07
DIGITAL REALTY TRUST INC REIT	FIFO	1,000	Jan 06, 17	Dec 03, 18	115.34	85.98			29.36
LAMAR ADVERTISING CO NEW CL A	FIFO	3,000	Oct 15, 14	Dec 03, 18	225.86	137.21			88.65
LUKOL PISC SPON ADR	FIFO	71,000	Jan 13, 15	Dec 06, 18	5,444.17	2,737.41			2,706.76
LYONDELBASELL INDUSTRIES N V SHS - A - CL A EUR	FIFO	3,000	Jan 12, 15	Dec 03, 18	280.97	228.60			52.37
PFIZER INC	FIFO	4,000	Aug 27, 12	Dec 03, 18	183.79	95.76			88.03

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Strategic Wealth Portfolio  
December 2018

Account name: DONALD R JEANES  
Friendly account name: Swing Trust  
Account number:

Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

## Realized gains and losses (continued)

### Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
TAIWAN SEMICONDUCTOR MFG CO LTD ADR	FIFO	3.000	Jan 27, 17	Dec 03, 18	115.55	93.66			21.89
TORONTO DOMINION BK NEW	FIFO	3.000	Dec 30, 15	Dec 03, 18	165.67	118.77			46.90
CANADA CAD									
<b>Total</b>					<b>\$20,921.88</b>	<b>\$13,753.58</b>			<b>\$7,168.30</b>
<b>Net long-term capital gains or losses</b>								<b>-\$138,474.46</b>	<b>\$7,168.30</b>
<b>Net capital gains/losses:</b>									

## Summary of approved payees and recurring payments

Based on your instructions, the following payees and recurring payments are considered "approved payees". As a result, (1) you may authorize additional transfers to these payees verbally and (2) you are no longer receiving individual confirmations for payments to these payees.

The payees and recurring payments listed below do not include Bill Payments, Electronic Funds Transfers and certain other transfers between accounts at UBS. If you have additional payees and/or recurring instructions on file, you will receive separate confirmations from your Financial Advisor.

To update or cancel any of these instructions, please contact your Financial Advisor.

Payee description	Amount (\$)	Disbursement method	Type/Event	Frequency	Start date	End date	Last used date
Blackburn, Childers & Steagall Client 11304	varied	Check	Payee on file	Upon request	n/a	n/a	Apr 06, 18
JY01954	varied	Transfer	Payee on file	Upon request	n/a	n/a	Dec 26, 18
TN Dept of Revenue 30-6337965	varied	Check	Payee on file	Upon request	n/a	n/a	Apr 06, 18
United States Treasury 1041ES 2018 30-6337965	varied	Check	Payee on file	Upon request	n/a	n/a	Sep 10, 18











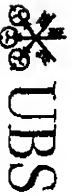








## **SCHEDULE 13**



UBS Financial Services Inc.  
214 E Mountcastle Drive  
Suite 1-A  
Johnson City TN 37601-2509

CP22002990593 1218 X12 JY 0

## Resource Management Account

December 2018

**Your Financial Advisor:**  
WJP FINANCIAL GROUP  
Phone: 423-928-7144/800-729-4848

**Questions about your statement?**  
Call your Financial Advisor or the  
RMA ResourceLine at 800-RMA-1000,  
account 353011505.

**Visit our website:**  
[www.ubs.com/financialservices](http://www.ubs.com/financialservices)

**Your investment objectives:**  
You have identified the following  
investment objectives for this account. If  
you have questions about these  
objectives, disagree with them, or wish to  
change them, please contact your  
Financial Advisor or Branch Manager. You  
can find a full description of the  
alternative investment objectives in  
*Important information about your  
statement* at the end of this document.

**Your return objective:**  
Current income & capital appreciation  
**Your risk profile:**  
Primary - Moderate  
Investment eligibility consideration - None  
selected

**Account name:** DAVID P ROE MD  
CLARINDA PHILLIPS ROE JTWROS  
**Friendly account name:** Joint Account  
**Account number:**

### Value of your account

	on November 30 (\$)	on December 31 (\$)
Your assets	346,856.86	207,506.42
Your liabilities	0.00	0.00
<b>Value of your account</b>	<b>\$346,856.86</b>	<b>\$207,506.42</b>

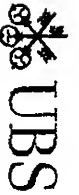
**Your account instructions**  
• Your account cost basis default closing  
method is FIFO, First In, First Out.

### Change in the value of your account

	December 2018 (\$)	Year to date (\$)
<b>Opening account value</b>	<b>\$346,856.86</b>	<b>\$0.00</b>
Deposits, including investments transferred in	5,000.00	401,048.78
Withdrawals and fees, including investments transferred out	-144,550.00	-194,550.00
Dividend and interest income	199.56	1,007.64
<b>Closing account value</b>	<b>\$207,506.42</b>	<b>\$207,506.42</b>

Member SIPC

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Resource Management Account  
December 2018

Account name: DAVID P ROE MD  
Friendly account name: Joint Account  
Account number:

Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

## Cash activity summary

See Account activity this month for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC Insurance applies to deposits at UBS Bank USA and all banks participating in the UBS FDIC Insured Deposit Program. It does not apply to deposits at UBS AG, Stamford Branch, SPC. Protection applies to money market sweep fund holdings but not bank deposits. See Important Information about your statement on the last two pages of this document for details.

	December 2018 (\$)	Year to date (\$)
<b>Opening balances</b>	<b>\$346,856.86</b>	<b>\$0.00</b>
<b>Additions</b>		
Deposits and other funds credited	5,000.00	401,048.78
Dividend and interest income	199.56	1,007.64
<b>Total additions</b>	<b>\$5,199.56</b>	<b>\$402,056.42</b>
<b>Subtractions</b>		
Checks and bill payments	0.00	-50,000.00
Other funds debited	-144,550.00	-144,550.00
<b>Total subtractions</b>	<b>-\$144,550.00</b>	<b>-\$194,550.00</b>
<b>Net cash flow</b>	<b>-\$139,350.44</b>	<b>\$207,506.42</b>
<b>Closing balances</b>	<b>\$207,506.42</b>	<b>\$207,506.42</b>

## Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	December 2018 (\$)	Year to date (\$)
<b>Taxable interest</b>	<b>199.56</b>	<b>1,007.64</b>
<b>Total current year</b>	<b>\$199.56</b>	<b>\$1,007.64</b>
<b>Total dividend &amp; interest</b>	<b>\$199.56</b>	<b>\$1,007.64</b>

## UBS Bank USA Deposit Account APY

<b>Interest period Nov 7 - Dec 6</b>	
Opening UBS Bank USA Deposit balance Nov 7	\$346,856.86
Closing UBS Bank USA Deposit balance Dec 6	\$347,063.08
Number of days in interest period	30
Average daily balance	\$647,466.14
Interest earned	\$206.22
Annual percentage yield earned	0.39%



Resource Management Account  
December 2018

Account name: DAVID P ROE MD  
Friendly account name: Joint Account  
Account number:

Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

## Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

### Cash

#### Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS FDIC Insured Program Bank Balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA and all UBS FDIC Insured Program Bank deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC.

See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/interest period	Days in period	Cap amount (\$)
UBS BANK USA DEP ACCT	346,856.86	207,506.42					500,000.00

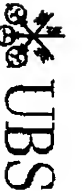
### Your total assets

Cash	Cash and money balances	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Total		\$207,506.42	100.00%	\$207,506.42		

## Account activity this month

You have qualified for a \$175.00 Annual account fee waiver.

Deposits and other funds credited	Date	Activity	Description	Amount (\$)
	Dec 19	Deposit	NON-LOCAL CHECK	5,000.00
Total deposits and other funds credited				\$5,000.00
Dividend and interest income	Date	Activity	Description	Amount (\$)
Taxable interest				
	Dec 7	Interest	UBS BANK USA DEPOSIT ACCOUNT AS OF 12/06/18	206.22
	Dec 26	Interest	UBS BANK USA DEPOSIT ACCOUNT	-6.66
Total taxable interest				\$199.56
Total dividend and interest income				\$199.56



Resource Management Account  
December 2018

Account name: DAVID PROE MD  
Friendly account name: Joint Account  
Account number:

Your Financial Advisor:  
WLP FINANCIAL GROUP  
423-928-7144/800-729-4848

Account activity this month (continued)

Date	Activity	Description	Amount (\$)
Dec 17	Withdrawal	FEDERAL FUNDS COMPLIMENTARY FED FUND WIRE TO Steven Cuff Construction AT FIFTH THIRD BANK	-144,550.00
Total other funds debited			-144,550.00
Date	Activity	Description	Amount (\$)
Nov 30	Balance forward		\$346,856.86
Dec 7	Deposit	UBS BANK USA DEPOSIT ACCOUNT AS OF 12/06/18	206.22
Dec 18	Withdrawal	UBS BANK USA DEPOSIT ACCOUNT AS OF 12/17/18	-144,550.00
Dec 20	Deposit	UBS BANK USA DEPOSIT ACCOUNT	5,000.00
Dec 27	Withdrawal	UBS BANK USA DEPOSIT ACCOUNT AS OF 12/26/18	-6.66
Dec 31	Closing	UBS Bank USA Deposit Account	\$207,506.42

The UBS Bank USA Deposit Account is your primary sweep option.

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End of statement for account number JV 11505 FW